

**CITY OF UNALASKA
UNALASKA, ALASKA
REGULAR MEETING
TUESDAY, DECEMBER 17, 2013, 7:00 P.M.
UNALASKA CITY HALL COUNCIL CHAMBERS
AGENDA**

CALL TO ORDER
ROLL CALL
PLEDGE OF ALLEGIANCE
RECOGNITION OF VISITORS
ADDITIONS TO AGENDA
MINUTES: NOVEMBER 26, 2013
FINANCIAL REPORT: NOVEMBER 2013
BOARD/COMMISSION REPORTS
AWARDS/PRESENTATIONS
MANAGER'S REPORT
COMMUNITY INPUT/ANNOUNCEMENTS
PUBLIC INPUT ON AGENDA ITEMS

PUBLIC HEARING

1. **ORDINANCE 2013-15:** CREATING BUDGET AMENDMENT NO. 4 TO THE FY14 OPERATING BUDGET TO INCREASE WAGES, FRINGE BENEFITS AND ASSOCIATED STATE OF ALASKA PERS CONTRIBUTIONS FOR TITLE 3 EMPLOYEES AND TO INCREASE THE REPAIRS AND MAINTENANCE BUDGET OF THE AIRPORT
2. **ORDINANCE 2013-16:** AMENDING SECTION 3.40.040 OF THE UNALASKA CODE OF ORDINANCES TO DELETE THE CURRENT PAY RANGE MATRIX AND ADOPT A NEW PAY RANGE MATRIX FOR TITLE 3

WORK SESSION

1. **PRESENTATION:** FY2013 COMPREHENSIVE ANNUAL FINANCIAL REPORT
2. **DISCUSSION:** AMENDING EXISTING HOUSING POLICIES HOU-2, ESTABLISHMENT OF RENT FOR CITY HOUSING, AND HOU-14, ESTABLISHMENT FO STANDARDS AND RENTS FOR 8-PLEX CITY HOUSING
3. **DISCUSSION:** AGREEMENTS BETWEEN THE QAWALANGIN TRIBE AND THE CITY OF UNALASKA

RECONVENE TO REGULAR SESSION

CONSENT AGENDA

1. **RESOLUTION 2013-78:** ESTABLISHING DATES FOR THE FISCAL YEAR 2015 REAL PROPERTY TAX AND BUSINESS PERSONAL PROPERTY TAX COLLECTION EFFORT
2. **RESOLUTION 2013-79:** ACCEPTING A \$3,000,000 GRANT FROM ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION FOR THE CONSTRUCTION OF THE WASTEWATER TREATMENT FACILITY UPGRADES PROJECT
3. **RESOLUTION 2013-80:** AUTHORIZING AN EXPENDITURE IN THE AMOUNT OF FIVE THOUSAND DOLLARS (\$5,000) FROM COUNCIL CONTINGENCY AS A DONATION TO AID THE VICTIMS OF TYPHOON HAIYAN
4. **RESOLUTION 2013-81:** AUTHORIZING THE CITY OF UNALASKA TO ACCEPT A LOAN FROM THE ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION IN AN AMOUNT NOT TO EXCEED \$8,788,200 TO FUND THE CONSTRUCTION OF THE LANDFILL EXPANSION PHASE II PROJECT

UNFINISHED BUSINESS

1. **ORDINANCE 2013-15 – SECOND READING:** CREATING BUDGET AMENDMENT NO. 4 TO THE FY14 OPERATING BUDGET TO INCREASE WAGES, FRINGE BENEFITS AND ASSOCIATED STATE OF ALASKA PERS CONTRIBUTIONS FOR TITLE 3 EMPLOYEES AND TO INCREASE THE REPAIRS AND MAINTENANCE BUDGET OF THE AIRPORT
2. **ORDINANCE 2013-16 – SECOND READING:** AMENDING SECTION 3.40.040 OF THE UNALASKA CODE OF ORDINANCES TO DELETE THE CURRENT PAY RANGE MATRIX AND ADOPT A NEW PAY RANGE MATRIX FOR TITLE 3

NEW BUSINESS

1. **RESOLUTION 2013-45:** AUTHORIZING THE CITY MANAGER TO ENTER INTO A MEMORANDUM OF AGREEMENT BETWEEN THE QAWALANGIN TRIBE OF UNALASKA AND THE CITY OF UNALASKA TO FUND CONSTRUCTION PROJECTS AND ALLOWABLE TRIBAL TRANSPORTATION PROGRAM (TTP) ACTIVITIES FOR THE BENEFIT OF THE COMMUNITY OF UNALASKA
2. **RESOLUTION 2013-83:** AUTHORIZING THE CITY MANAGER TO ENTER INTO AN AGREEMENT WITH THE QAWALANGIN TRIBE OF UNALASKA TO CONSTRUCT THE SUMMER BAY BRIDGE REPLACEMENT PROJECT
3. **RESOLUTION 2013-82:** AUTHORIZING THE CITY MANAGER TO ENTER INTO A SETTLEMENT AGREEMENT WITH GRANITE CONSTRUCTION FOR RESOLVING THE DISPUTES WITH THE UNALASKA 2012 PAVEMENT RESURFACING PROJECT
4. **RESOLUTION 2013-85:** AMENDING EXISTING HOUSING POLICIES HOU-2, ESTABLISHMENT OF RENT FOR CITY HOUSING, AND HOU-14, ESTABLISHMENT FO STANDARDS AND RENTS FOR 8-PLEX CITY HOUSING
5. **RESOLUTION 2013-86:** AUTHORIZING AN EXPENDITURE IN THE AMOUNT OF \$_____ FROM COUNCIL CONTINGENCY TO SPONSOR THE ALASKA FISHERIES DEVELOPMENT FOUNDATION'S ANNUAL ALASKA SYMPHONY OF SEAFOOD CONTEST

COUNCIL AND MAYOR TRAVEL: SWAMC (ANCHORAGE) – MARCH 5 – 7, 2014

COUNCIL DIRECTIVES TO MANAGER

COMMUNITY INPUT
ANNOUNCEMENTS
ADJOURNMENT

CITY OF UNALASKA
UNALASKA, ALASKA
REGULAR MEETING
November 26, 2013

The regular meeting of the Unalaska City Council was called to order at 7:00 p.m., November 26, 2013, in the Unalaska City Hall Council Chambers. Roll was taken:

<u>PRESENT</u>	Mayor	Shirley Marquardt Alejandro Tungul Dennis Robinson Tom Enlow Zoya Johnson Roger Rowland
<u>ABSENT</u>		Dave Gregory (excused)

PLEDGE OF ALLEGIANCE: Mayor Marquardt led the Pledge of Allegiance.

RECOGNITION OF VISITORS: None

APPROVAL OF AGENDA: Resolution 2013-76 was removed from the agenda.

MINUTES: The minutes of the November 12, 2013 regular meeting were approved as submitted.

FINANCIAL REPORT: Copies of the October, 2013 Financial Report were distributed.

BOARD/COMMISSION REPORTS: PCR Advisory Committee minutes from August 19, September 23, and October 22, 2013 were included in the packet.

AWARDS/PRESENTATIONS: Tim Mahoney received an award for 15 years of service to the City.

MANAGER'S REPORT: City Manager Hladick reported on the Alaska Municipal League and Municipal Managers Association meetings that he attended in Anchorage. Main topics of discussion at AML included the effect that an \$800 million - \$1 billion reduction in State tax revenues will have on funds available for revenue sharing, capital projects, and the unfunded PERS liability. The City Manager also reported that meetings with Granite Construction resulted in a proposed resolution of the paving dispute, that Shell Oil has plans for operations in the Chukchi Sea next year, and that Quintillion Networks is working on a plan that may bring fiber optics to Unalaska by 2016.

COMMUNITY INPUT/ANNOUNCEMENTS:

1. The Methodist Church will have a Second Blessings Sale the day after Thanksgiving. The Aleutian Arts Council's annual Arts and Crafts Fair will be Saturday, November 30th.
2. The Turkey Trot, a fundraiser for St. Jude's Children's Hospital, will be held on November 27th.
3. The community is invited to bring a side dish and attend a Thanksgiving meal at the Senior Center at noon on November 27th. The annual Firefighter's Ball will be December 7th.

4. Invitations have been mailed for the reopening of the Museum.
5. The students of Lynda Lybeck Robinson will perform in a piano recital on December 1st.

PUBLIC INPUT No members of the public spoke.

LEGISLATIVE

PUBLIC HEARING

WORK SESSION Johnson made a motion to move into a Work Session; Rowland seconded.
VOTE: Motion passed unanimously.

1. **DISCUSSION**: RESOLUTION 2013-72 – ADOPTING THE CITY OF UNALASKA HAZARD MITIGATION PLAN

Director of Public Safety Jamie Sunderland explained the evolution, purpose, and benefits of the Hazard Mitigation Plan.

2. **DISCUSSION**: ROBERTS STORRS FLOAT CONCEPTUAL DESIGN

Ports Director Peggy McLaughlin explained the staff recommendation for reconfiguring C float.

3. **DISCUSSION**: FY15 COMMUNITY SUPPORT GUIDELINES AND SCORING MATRIX

4. **DISCUSSION**: FIL-AM CONTRIBUTION REQUEST FOR TYPHOON HAIYAN RELIEF

Representatives of Fil-Am reported that they raised \$28,000 during their fundraising event and explained how the money was spent. They requested a donation from the City of Unalaska and expressed their intention to forward any money received to Catholic Relief Services for use in the Philippines. Council Member Robinson suggested a donation of \$5,000. Mayor Marquardt requested that a resolution authorizing a donation be placed on the December 17th agenda.

RECONVENE TO REGULAR SESSION

CONSENT AGENDA

1. **RESOLUTION 2013-75**: ADOPTING AN ALTERNATIVE ALLOCATION METHOD FOR THE FY14 SHARED FISHERIES BUSINESS TAX PROGRAM AND CERTIFYING THAT THIS ALLOCATION METHOD FAIRLY REPRESENTS THE DISTRIBUTION OF SIGNIFICANT EFFECTS OF FISHERIES BUSINESS ACTIVITY IN THE ALEUTIAN ISLANDS FISHERIES MANAGEMENT AREA

Hearing no objections, Mayor Marquardt declared the Consent Agenda adopted.

UNFINISHED BUSINESS

NEW BUSINESS

1. **RESOLUTION 2013-69**: AUTHORIZING THE MAYOR TO SIGN AN EMPLOYMENT AGREEMENT WITH CHRIS HLADICK FOR THE POSITION OF CITY MANAGER

Enlow made a motion to approve Resolution 2013-69; Robinson seconded.
VOTE: Motion passed unanimously.

6. **RESOLUTION 2013-71:** AUTHORIZING THE CITY MANAGER TO ENTER INTO A CONTRACT WITH PND ENGINEERS, INC. FOR PORTS LIGHTING DESIGN IN THE AMOUNT OF \$131,865

Johnson made a motion to approve Resolution 2013-71; Tungul seconded.

VOTE: Motion passed unanimously.

7. **RESOLUTION 2013-72:** ADOPTING THE CITY OF UNALASKA HAZARD MITIGATION PLAN

Johnson made a motion to approve Resolution 2013-72; Tungul seconded.

VOTE: Motion passed unanimously.

8. **RESOLUTION 2013-77:** AUTHORIZING THE CITY MANAGER TO ENTER INTO AN AGREEMENT WITH PND ENGINEERS, INC. FOR AN AMOUNT NOT TO EXCEED \$515,035 FOR THE DESIGN OF ROBERT STORRS C FLOAT AND FOR CONCEPT PLANNING FOR THE RECONFIGURATION OF ROBERT STORRS A AND B FLOATS AND UPLAND UPGRADES

Johnson made a motion to approve Resolution 2013-77; Enlow seconded.

VOTE: Motion passed unanimously.

9. **ORDINANCE 2013-15 – FIRST READING:** CREATING BUDGET AMENDMENT NO. 4 TO THE FY14 OPERATING BUDGET TO INCREASE WAGES, FRINGE BENEFITS AND ASSOCIATED STATE OF ALASKA PERS CONTRIBUTIONS FOR TITLE 3 EMPLOYEES, AND TO INCREASE THE REPAIRS AND MAINTENANCE BUDGET OF THE AIRPORT

Robinson made a motion to move Ordinance 2013-15 to Public Hearing and Second Reading on December 17, 2013; Tungul seconded.

ROLL CALL VOTE: Motion passed unanimously.

10. **ORDINANCE 2013-16 – FIRST READING:** AMENDING SECTION 3.40.040 OF THE UNALASKA CODE OF ORDINANCES TO DELETE THE CURRENT PAY RANGE MATRIX AND ADOPT A NEW PAY RANGE MATRIX FOR TITLE 3

Rowland made a motion to move Ordinance 2013-16 to Public Hearing and Second Reading on December 17, 2013; Robinson seconded.

ROLL CALL VOTE: Motion passed unanimously.

11. **MAYOR AND COUNCIL TRAVEL:** SWAMC (ANCHORAGE) FEBRUARY 20-22 AND AML CONFERENCE OF MAYORS AND LEGISLATIVE MEETING (JUNEAU) FEBRUARY 17-20

Enlow made a motion to approve travel for the Mayor to the AML Legislative meetings, and for the Mayor and three council members to SWAMC, with the names of the council members to be determined at the council meeting on December 17th; Tungul seconded.

VOTE: Motion passed unanimously

COMMUNITY INPUT/ANNOUNCEMENTS

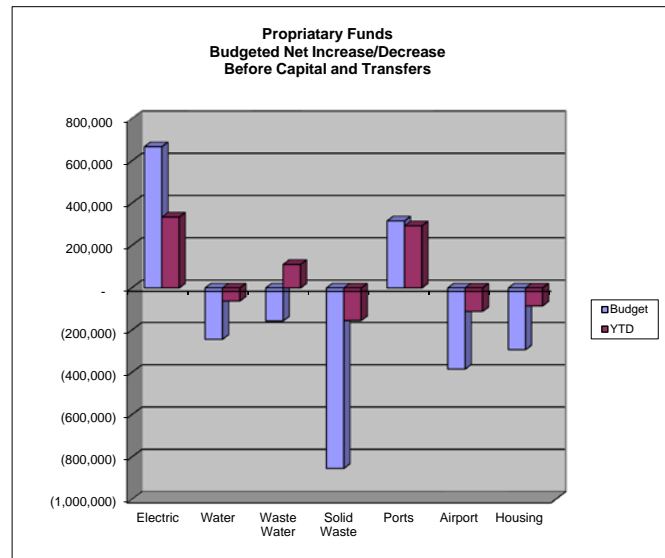
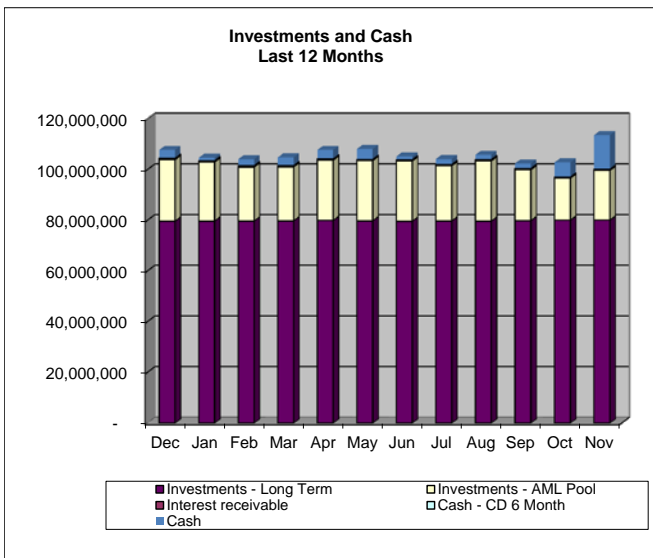
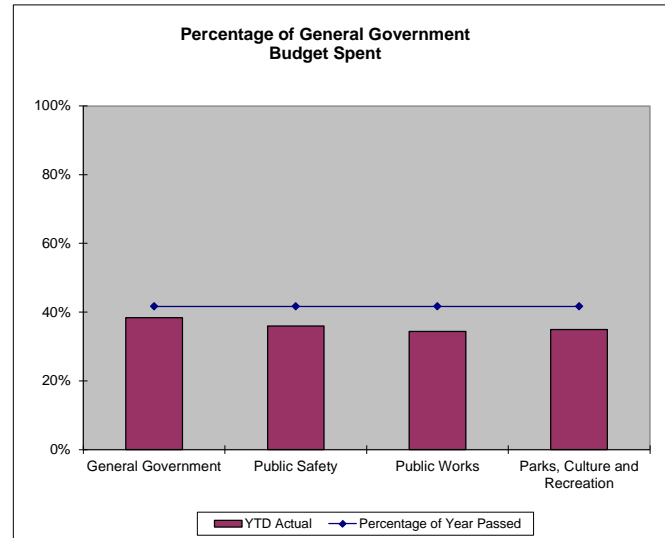
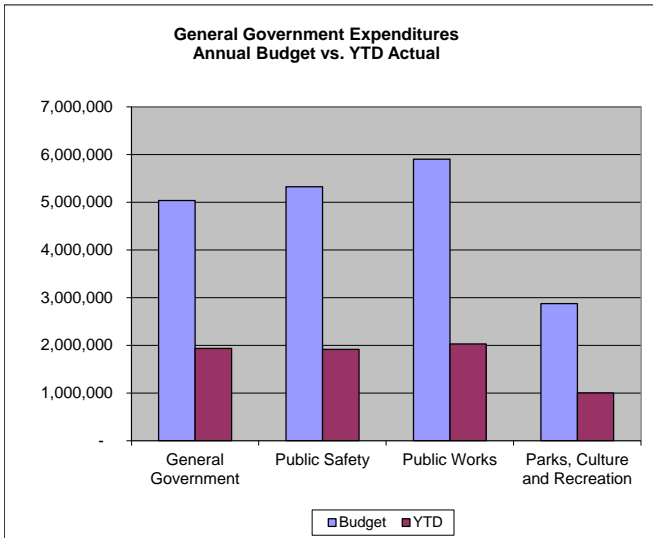
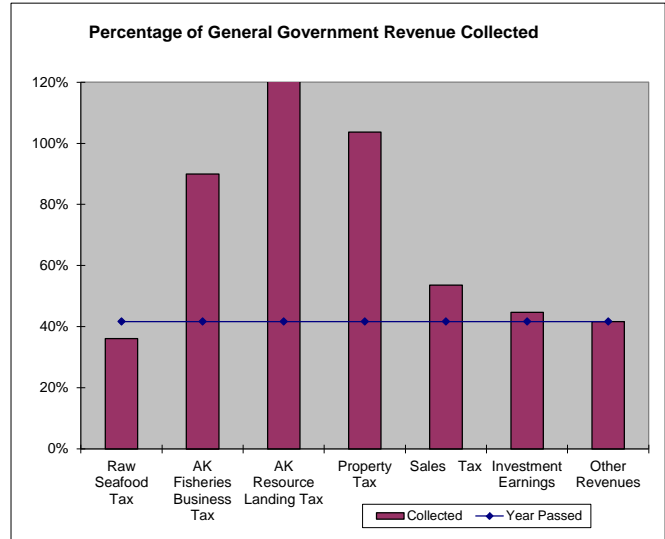
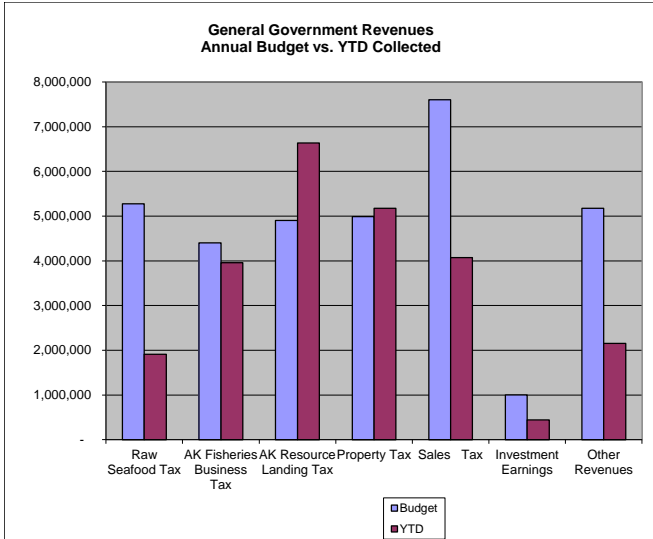
COUNCIL DIRECTIVES

ADJOURNMENT The meeting adjourned at 8:30 p.m.

Cat Hazen
Acting City Clerk

City of Unalaska

November 2013



General Fund Operating Monthly Summary - Month Ending November 2013

	FY2014 Budget	November	FY2014 YTD	% OF BUD	FY2013 YTD	INC/(DEC) Last Year
REVENUES						
Raw Seafood Tax	5,278,000	422,366	1,907,732	36%	2,311,699	(403,966)
AK Fisheries Business	4,400,000	3,957,391	3,957,391	90%	3,968,378	(10,987)
AK Fisheries Resource Landing	4,900,000	6,636,364	6,636,364	135%	4,558,307	2,078,057
Property Taxes	4,990,000	0	5,174,363	104%	4,989,485	184,878
Sales Tax	7,600,000	632,757	4,075,213	54%	5,172,928	(1,097,715)
Investment Earnings	1,000,000	71,843	446,783	45%	462,481	(15,697)
Other Revenues	5,172,470	69,999	2,152,030	42%	712,616	1,439,415
Appropriated Fund Balance	0	0	0	0%	0	0
Total General Fund Revenues	33,340,470	11,790,719	24,349,877	73%	22,175,893	2,173,984
EXPENDITURES						
Mayor & Council	533,796	15,499	193,276	36%	165,641	27,634
City Administration						
City Manager's Office	417,909	31,392	164,206	39%	147,707	16,499
Administration	1,046,338	57,584	408,064	39%	399,912	8,152
Natural Resources	207,205	14,075	70,655	34%	71,010	(354)
Total City Administration	1,671,452	103,051	642,926	38%	618,629	24,297
City Clerk	579,822	34,869	225,413	39%	165,683	59,730
Finance						
Finance	861,612	62,672	354,608	41%	344,639	9,970
Information Systems	702,545	137,421	341,155	49%	332,791	8,364
Total Finance	1,564,157	200,093	695,763	44%	677,430	18,333
Planning	686,529	43,037	177,309	26%	174,674	2,635
Public Safety						
Police, Dispatch and DMV	3,531,861	275,325	1,281,666	36%	1,241,496	40,171
Corrections	871,604	69,855	346,182	40%	298,298	47,884
Fire and EMS	921,407	56,340	287,050	31%	275,898	11,152
Total Public Safety	5,324,873	401,520	1,914,898	36%	1,815,692	99,207
Public Works						
DPW Admin & Engineering	699,650	47,027	238,658	34%	262,003	(23,345)
Streets and Roads	2,427,603	169,744	729,172	30%	714,794	14,378
Receiving and Supply	256,389	22,163	90,497	35%	94,750	(4,253)
Veh & Equip Maintenance	1,079,911	66,148	313,141	29%	318,337	(5,196)
Facilities Maintenance	1,434,287	91,172	655,746	46%	380,053	275,692
Total Public Works	5,897,840	396,254	2,027,214	34%	1,769,938	257,276
Parks, Culture & Recreation						
PCR Administration	333,064	22,535	112,886	34%	121,287	(8,400)
Recreation Programs	726,580	59,491	272,374	37%	239,981	32,392
Community Center Operations	670,135	47,918	254,722	38%	202,651	52,071
Library	755,659	47,874	256,345	34%	229,879	26,466
Aquatics Center	357,393	18,359	93,795	26%	102,596	(8,801)
Parks	26,806	1,427	12,456	46%	10,034	2,422
Total Parks, Culture & Recreation	2,869,636	197,604	1,002,578	35%	906,428	96,151
Other Expenses	5,906,979	409,055	2,173,074	37%	2,298,841	(125,768)
Total Operating Expenditures	25,035,084	1,800,983	9,052,451	36%	8,592,956	459,495
Transfers To General Fund	0	0	0	0%	0	0
Transfers To Special Revenue	0	0	0	0%	0	0
Transfers To Capital Projects	34,400	0	0	0%	3,420,000	(3,420,000)
Transfers To Enterprise Funds	0	0	0	0%	0	0
Transfers To Enterprise Capital	0	0	0	0%	4,853,719	(4,853,719)
	34,400	0	0	0%	8,273,719	(8,273,719)
	25,069,484	1,800,983	9,052,451	36%	16,866,675	(7,814,224)
Surplus/(Deficit)	8,270,986	9,989,736	15,297,426	57%	5,309,218	9,988,208

	FY2014 Budget	November	FY2014 YTD	% OF BUD	FY2013 YTD	INC/(DEC) Last Year
Electric Proprietary Fund						
REVENUES	18,120,984	1,331,944	8,207,074	45%	7,526,283	680,791
Electric Line Repair & Maint	1,239,281	58,479	309,636	25%	321,215	(11,579)
Electric Production	11,424,824	856,436	5,331,407	47%	4,948,109	383,298
Facilities Maintenance	82,048	4,442	18,513	23%	31,711	(13,198)
Utility Administration	4,650,451	274,986	2,198,740	47%	1,985,436	213,304
Veh & Equip Maintenance	56,518	2,320	13,587	24%	13,116	471
EXPENSES	17,453,123	1,196,661	7,871,883	45%	7,299,587	572,296
NET EARNINGS/(LOSS)	667,862	135,283	335,191		226,696	108,495
Water Proprietary Fund						
REVENUES	2,368,941	79,174	936,026	40%	1,047,166	(111,140)
Facilities Maintenance	20,159	413	5,009	25%	13,833	(8,824)
Utility Administration	1,423,991	125,075	586,995	41%	561,932	25,063
Veh & Equip Maintenance	32,759	2,483	11,813	36%	12,805	(991)
Water Operations	1,134,562	80,353	393,962	35%	372,540	21,423
EXPENSES	2,611,471	208,323	997,779	38%	961,109	36,670
NET EARNINGS/(LOSS)	(242,530)	(129,149)	(61,753)		86,057	(147,810)
Wastewater Proprietary Fund						
REVENUES	1,903,921	142,708	860,318	45%	802,252	58,067
Facilities Maintenance	29,572	1,608	7,281	25%	9,628	(2,347)
Utility Administration	966,694	82,703	379,122	39%	368,968	10,154
Veh & Equip Maintenance	23,675	5,939	17,763	75%	10,441	7,321
Wastewater Operations	1,040,046	78,477	345,150	33%	365,669	(20,519)
EXPENSES	2,059,987	168,727	749,316	36%	754,706	(5,391)
NET EARNINGS/(LOSS)	(156,066)	(26,019)	111,003		47,545	63,458
Solid Waste Proprietary Fund						
REVENUES	1,594,212	123,112	753,529	47%	672,368	81,161
Facilities Maintenance	68,571	3,397	20,072	29%	21,281	(1,209)
Solid Waste Operations	1,309,766	92,065	497,012	38%	470,260	26,752
Utility Administration	939,496	73,786	359,735	38%	374,695	(14,960)
Veh & Equip Maintenance	129,327	4,993	30,696	24%	29,965	730
EXPENSES	2,447,160	174,242	907,514	37%	896,202	11,313
NET EARNINGS/(LOSS)	(852,948)	(51,130)	(153,985)		(223,834)	69,849
Ports & Harbors Proprietary Fund						
REVENUES	6,346,699	379,401	2,640,539	42%	2,209,099	431,440
Bobby Storrs Small Boat Harbor	166,588	30,608	51,302	31%	0	51,302
CEM Small Boat Harbor	661,522	35,676	221,559	33%	200,148	21,411
Facilities Maintenance	39,504	1,685	13,515	34%	17,868	(4,354)
Harbor Office	3,544,957	261,234	1,568,354	44%	1,444,537	123,817
Ports Security	122,617	4,186	23,845	19%	27,386	(3,541)
Spit & Light Cargo Docks	572,847	48,662	123,562	22%	29,441	94,121
Unalaska Marine Center	865,564	61,451	330,442	38%	453,374	(122,932)
Veh & Equip Maintenance	56,139	3,895	14,353	26%	16,565	(2,212)
EXPENSES	6,029,738	447,396	2,346,931	39%	2,189,318	157,613
NET EARNINGS/(LOSS)	316,961	(67,995)	293,608		19,780	273,827
Transfers In	34,400	0	0	0%	0	0

	FY2014 Budget	November	FY2014 YTD	% OF BUD	FY2013 YTD	INC/(DEC) Last Year
Airport Proprietary Fund						
REVENUES	462,668	40,440	204,565	44%	195,566	8,999
Airport Admin/Operations	700,803	50,751	265,042	38%	264,345	696
Facilities Maintenance	145,065	13,736	50,913	35%	62,403	(11,490)
EXPENSES	845,868	64,487	315,955	37%	326,748	(10,794)
NET EARNINGS/(LOSS)	(383,200)	(24,046)	(111,390)		(131,182)	19,793
Housing Proprietary Fund						
REVENUES	251,206	14,933	93,536	37%	99,452	(5,916)
Facilities Maintenance	174,782	6,750	55,796	32%	110,408	(54,611)
Housing Admin & Operating	368,792	22,173	122,792	33%	137,491	(14,699)
EXPENSES	543,574	28,923	178,588	33%	247,898	(69,311)
NET EARNINGS/(LOSS)	(292,368)	(13,991)	(85,052)		(148,446)	63,395

Comparative Balance Sheets

City of Unalaska

General Fund

November 30, 2013 and November 30, 2012

	<u>2013</u>	<u>2012</u>
Assets		
Cash and Cash Equivalents	\$ 1,400	\$ 1,400
Receivables		
Taxes	1,949,071	2,026,294
Accounts	7,106	3,120
Special Assessments	-	3,791
Intergovernmental	292,725	292,935
Equity in Central Treasury	43,953,218	37,190,570
Inventory	532,313	473,843
Prepaid Items	132,698	172,796
Advances To Other Funds	1,540,000	1,723,533
Other Assets	14,288	-
Total Assets	\$ 48,422,819	\$ 41,888,282

Liabilities & Fund Balances

Liabilities		
Accounts Payable	\$ -	\$ 23,880
Accrued Payroll & Related Liabilities	1,260,111	773,646
Intergovernmental	853	127
Retainage Payable	30,391	1,940
Accrued Interest Payable	-	98,317
Deferred Revenue	346,803	398,003
Other Current Liability	1,409	1,037
Customer Deposits	1,405	785
Total Liabilities	\$ 1,640,971	\$ 1,297,736

Fund Balances		
Fund Balance - Non-spendable	2,193,887	2,363,020
Fund Balance - Committed	14,300,000	20,300,000
Fund Balance - Assigned	3,798,780	3,304,510
Unassigned (deficit)	26,489,180	14,623,016
Total Fund Balance	46,781,848	40,590,546
Total Liabilities & Fund Balances	\$ 48,422,819	\$ 41,888,282

Comparative Balance Sheets
City of Unalaska
1% Sales Tax Special Revenue Fund
November 30, 2013 and November 30, 2012

	<u>2013</u>	<u>2012</u>
Assets		
Taxes Receivable	\$ 648,649	\$ 664,335
Sales Tax - Full Accrual	2,500	2,500
Equity in Central Treasury	3,364,962	3,731,413
Total Current Assets	<u>4,016,111</u>	<u>4,398,248</u>
Total Assets	<u>\$ 4,016,111</u>	<u>\$ 4,398,248</u>
Liabilities & Fund Equity		
Liabilities		
Accounts Payable	\$ -	\$ -
Deferred Revenue	2,500	2,500
Total Liabilities	<u>\$ 2,500</u>	<u>\$ 2,500</u>
Fund Balances		
Non- Spendable	\$ -	\$ -
Fund Balance - Committed	-	3,000,000
Unassigned Fund Balances (deficit)	4,013,611	1,395,748
Total Fund Balance	<u>4,013,611</u>	<u>4,395,748</u>
Total Liabilities & Fund Balances	<u>\$ 4,016,111</u>	<u>\$ 4,398,248</u>

Comparative Balance Sheets
City of Unalaska
Bed Tax Special Revenue Fund
November 30, 2013 and November 30, 2012

	<u>2013</u>	<u>2012</u>
Assets		
Taxes Receivable	\$ 11,907	\$ 12,234
Equity in Central Treasury	171,535	159,405
Total Current Assets	<u>183,442</u>	<u>171,639</u>
 Total Assets	 <u>\$ 183,442</u>	 <u>\$ 171,639</u>
 Liabilities & Fund Equity		
Liabilities		
Accounts Payable	\$ -	\$ -
Total Liabilities	<u>\$ -</u>	<u>\$ -</u>
 Fund Balances		
Unassigned Fund Balance	<u>183,442</u>	<u>171,639</u>
Total Fund Balance	<u>183,442</u>	<u>171,639</u>
Total Liabilities & Fund Balance	<u>\$ 183,442</u>	<u>\$ 171,639</u>

Comparative Balance Sheets

City of Unalaska
Electric Enterprise Fund
November 30, 2013 and November 30, 2012

Assets	<u>2013</u>	<u>2012</u>
Current Assets		
Receivables		
Accounts	\$ 1,517,694	\$ 1,671,891
Intergovernmental - State	244,716	84,856
Equity in Central Treasury	1,907,082	(673,007)
Inventory	640,057	670,675
Restricted Assets-PH Debt Serv Reserve	2,372,217	2,372,217
Deferred Charges	269,257	215,718
Total Current Assets	6,951,023	4,342,350
Property, Plant & Equipment		
Land	212,598	212,598
Buildings, Net	32,801,041	33,715,320
Other Improvements, Net	3,845,665	4,166,007
Equipment, Net	16,135,141	8,098,788
Construction In Progress	2,724,703	10,618,369
Net Property, Plant & Equipment	55,719,148	56,811,082
Total Assets	\$ 62,670,172	\$ 61,153,432
Liabilities		
Current Liabilities		
Accounts Payable	\$ 259,734	\$ 269,900
Accrued Payroll & Related Liabilities	255,113	243,895
Accrued Interest Payable	373,892	384,648
Revenue Bonds - Current	665,000	640,000
Unamortized Premiums (Revenue Bonds)	9,682	9,682
Customer Deposits	242,410	277,057
Advance from Other Funds - GF	140,000	140,000
Total Current Liabilities	1,945,831	1,965,182
Long Term Debt (net of current portion)		
Revenue Bonds - Long Term	27,180,000	28,075,000
Unamortized Premium - Long Term	170,665	180,347
Notes Payable - Long Term	1,400,000	1,540,000
Total Liabilities	\$ 30,696,496	\$ 31,760,529
Net Assets		
Invested in capital assets, net of related debt	26,153,802	26,226,053
Unrestricted	5,819,874	3,166,850
Total net assets	\$ 31,973,676	\$ 29,392,903

Comparative Balance Sheets
City of Unalaska
Water Enterprise Fund
November 30, 2013 and November 30, 2012

	<u>2013</u>	<u>2012</u>
Assets		
Current Assets		
Receivables		
Accounts	\$ 70,961	\$ 165,178
Assessments	10,170	8,917
Equity in Central Treasury	9,325,741	10,570,626
Inventory	322,622	367,155
Total Current Assets	<u>9,729,494</u>	<u>11,111,876</u>
 Property, Plant & Equipment		
Land	125,074	125,074
Buildings, Net	668,068	717,698
Improvements, Net	10,304,046	10,402,332
Equipment, Net	15,334	24,890
Construction In Progress	2,840,417	1,422,002
Net Property, Plant & Equipment	<u>13,952,939</u>	<u>12,691,997</u>
Total Assets	<u>\$ 23,682,433</u>	<u>\$ 23,803,874</u>
 Liabilities		
Current Liabilities		
Accounts Payable	\$ -	\$ 137
Accrued Payroll & Related Liabilities	99,283	97,407
Retainage Withheld	600	600
Customer Deposits	22,607	25,719
Total Current Liabilities	<u>122,490</u>	<u>123,863</u>
 Long Term Debt (net of current portion)	-	-
Total Liabilities	<u>\$ 122,490</u>	<u>\$ 123,863</u>
 Net Assets		
Invested in capital assets, net of related debt	13,952,939	12,691,997
Restricted for debt service	-	-
Unrestricted	9,607,004	10,988,013
Total net assets	<u>\$ 23,559,943</u>	<u>\$ 23,680,010</u>

Comparative Balance Sheets
City of Unalaska
Wastewater Enterprise Fund
November 30, 2013 and November 30, 2012

	<u>2013</u>	<u>2012</u>
Assets		
Current Assets		
Receivables		
Accounts	\$ 128,734	\$ 158,544
Assessments	31,574	57,173
Intergovernmental - State	67,549	205,858
Equity in Central Treasury	4,456,265	7,641,190
Inventory	44,702	50,329
Total Current Assets	<u>4,728,824</u>	<u>8,113,094</u>
Property, Plant & Equipment		
Land	12,883	12,883
Buildings, Net	1,963,287	2,072,907
Improvements, Net	4,727,985	5,128,711
Equipment, Net	6,313	12,850
Construction In Progress	11,651,626	3,582,116
Net Property, Plant & Equipment	<u>18,362,094</u>	<u>10,809,468</u>
Total Assets	<u>\$ 23,090,918</u>	<u>\$ 18,922,561</u>
Liabilities		
Current Liabilities		
Accounts Payable	\$ -	\$ 65,359
Accrued Payroll & Related Liabilities	101,284	93,465
Due to State of Alaska	-	70,752
Accrued Interest	2,376	-
Retainage Withheld	315,268	57,937
Notes Payable - Current	43,461	43,461
Customer Deposits	28,896	27,751
Total Current Liabilities	<u>491,285</u>	<u>358,725</u>
Long Term Debt (net of current portion)	134,897	178,360
Total Liabilities	<u>\$ 626,182</u>	<u>\$ 537,085</u>
Net Assets		
Invested in capital assets, net of related debt	18,181,360	10,587,647
Unrestricted	4,283,376	7,797,829
Total net assets	<u>\$ 22,464,736</u>	<u>\$ 18,385,476</u>

Comparative Balance Sheets
City of Unalaska
Solid Waste Enterprise Fund
November 30, 2013 and November 30, 2012

	<u>2013</u>	<u>2012</u>
Assets		
Current Assets		
Receivables		
Accounts	\$ 122,721	\$ 103,012
Intergovernmental - State	32,451	374,016
Equity in Central Treasury	8,987,797	13,772,852
Inventory	68,686	89,853
Total Current Assets	<u>9,211,655</u>	<u>14,339,733</u>
Property, Plant & Equipment		
Land	651,474	651,474
Buildings, net	1,568,433	1,675,849
Other Improvements, net	4,974,605	5,220,197
Equipment, net	239,890	206,055
Construction In Progress	10,067,487	5,492,209
Net Property, Plant & Equipment	<u>17,501,889</u>	<u>13,245,785</u>
Total Assets	<u>\$ 26,713,544</u>	<u>\$ 27,585,518</u>
Liabilities		
Current Liabilities		
Accounts Payable	\$ -	\$ -
Accrued Payroll & Related Liabilities	91,829	92,768
Intergovernmental Payables	-	43,255
Retainage Withheld	-	296,561
Customer Deposits	9,867	9,704
Total Current Liabilities	<u>101,696</u>	<u>442,289</u>
Long Term Liabilities - landfill closure and post closure	5,095,745	4,914,039
Total Liabilities	<u>\$ 5,197,441</u>	<u>\$ 5,356,328</u>
Net Assets		
Invested in capital assets, net of related debt	17,501,889	13,245,785
Unrestricted	4,014,214	8,983,405
Total net assets	<u>\$ 21,516,102</u>	<u>\$ 22,229,190</u>

Comparative Balance Sheets
City of Unalaska
Ports & Harbors Enterprise Fund
November 30, 2013 and November 30, 2012

	<u>2013</u>	<u>2012</u>
Assets		
Current Assets		
Cash	\$ 150	\$ 150
Receivables		
Accounts	597,015	450,056
Other	2,000	2,000
Equity in Central Treasury	15,832,927	14,076,609
Total Current Assets	<u>16,432,092</u>	<u>14,528,815</u>
Deferred Charges	51,178	54,188
Restricted Assets - cash and investments	2,500	2,500
Property, Plant & Equipment		
Land	2,037,870	2,037,870
Buildings, net	1,330,652	1,372,938
Docks & Other Improvements, net	69,908,337	50,824,655
Equipment, net	55,161	93,328
Construction In Progress	7,178,954	7,159,821
Net Property, Plant & Equipment	<u>80,510,974</u>	<u>61,488,612</u>
Total Assets	<u>\$ 96,996,743</u>	<u>\$ 76,074,115</u>
Liabilities		
Current Liabilities		
Accounts Payable	\$ -	\$ 56
Accrued Payroll & Related Liabilities	108,649	105,040
Intergovernmental Payable	2,097,013	-
Retainage Withheld	90,339	650
Accrued Interest Payable	59,175	61,462
Revenue Bonds - Current	190,000	185,000
Unamortized Premium (Revenue Bonds)	5,502	5,502
Total Current Liabilities	<u>2,550,678</u>	<u>357,710</u>
Long Term Debt (net of current portion)	4,260,000	4,450,000
Unamortized Premium (Long Term)	93,541	99,043
Total Liabilities	<u>\$ 6,904,219</u>	<u>\$ 4,906,754</u>
Net Assets		
Invested in capital assets, net of related debt	75,902,756	56,687,604
Restricted for debt service	2,500	2,500
Unrestricted	14,187,269	14,477,257
Total net assets	<u>\$ 90,092,525</u>	<u>\$ 71,167,361</u>

Comparative Balance Sheets
City of Unalaska
Airport Enterprise Fund
November 30, 2013 and November 30, 2012

	<u>2013</u>	<u>2012</u>
Assets		
Current Assets		
Accounts Receivable	\$ 99,804	\$ 84,701
Equity in Central Treasury	1,749,814	1,499,220
Total Current Assets	<u>1,849,619</u>	<u>1,583,920</u>
Property, Plant & Equipment		
Buildings, net	3,359,450	3,595,721
Other Improvements, net	270,328	332,127
Construction In Progress	75,898	50,559
Net Property, Plant & Equipment	<u>3,705,675</u>	<u>3,978,407</u>
Total Assets	<u>\$ 5,555,294</u>	<u>\$ 5,562,328</u>
Liabilities		
Current Liabilities		
Accounts Payable	\$ -	\$ 134
Accrued Payroll & Related Liabilities	7,009	5,654
Retainage Withheld	-	4,250
Customers Deposits	10,000	10,000
Total Current Liabilities	<u>17,009</u>	<u>20,038</u>
Long Term Liabilities	-	-
Total Liabilities	<u>\$ 17,009</u>	<u>\$ 20,038</u>
Net Assets		
Invested in capital assets, net of related debt	3,705,675	3,978,407
Unrestricted	1,832,610	1,563,882
Total net assets	<u>\$ 5,538,285</u>	<u>\$ 5,542,290</u>

Comparative Balance Sheets
City of Unalaska
Housing Enterprise Fund
November 30, 2013 and November 30, 2012

	<u>2013</u>	<u>2012</u>
Assets		
Current Assets		
Receivables		
Accounts Receivable	\$ 3,235	\$ 635
Deposits	3,650	3,720
Intergovernmental Receivable -State	-	21,198
Equity in Central Treasury	1,379,929	1,567,026
Total Current Assets	<u>1,386,814</u>	<u>1,592,579</u>
Property, Plant & Equipment		
Land	269,577	269,577
Buildings, net	4,602,344	4,652,485
Other Improvements, net	65,575	-
Construction In Progress	419,212	238,640
Net Property, Plant & Equipment	<u>5,356,709</u>	<u>5,160,702</u>
Total Assets	<u>\$ 6,743,522</u>	<u>\$ 6,753,281</u>
Liabilities		
Current Liabilities		
Accounts Payable	\$ -	\$ -
Accrued Payroll & Related Liabilities	13,239	6,066
Retainage Withheld	6,800	9,982
Customers Deposits	12,945	14,454
Total Current Liabilities	<u>32,984</u>	<u>30,502</u>
Longterm Liabilities		
Advance From General Fund	-	43,533
Total Liabilities	<u>\$ 32,984</u>	<u>\$ 74,035</u>
Net Assets		
Invested in capital assets, net of related debt	5,356,709	5,117,169
Unrestricted	1,353,830	1,562,077
Total net assets	<u>\$ 6,710,539</u>	<u>\$ 6,679,246</u>

12/13/2013

City of Unalaska
Utility Revenue Report
Summary

10/31/13

FY 14 Budget Month	Electric	Water	Waste Water	Solid Waste	Monthly Revenue	FY14 Revenue	FY13YTD Revenue	YTD Inc/(Dec)
Jul-13	1,640,910	314,191	189,106	167,401	2,311,608	2,311,608	2,016,528	295,080
Aug-13	1,671,961	268,745	174,578	185,157	2,300,441	4,612,050	4,052,477	559,572
Sep-13	1,774,675	175,851	179,492	140,795	2,270,813	6,882,862	6,082,166	800,696
Oct-13	1,787,584	98,065	174,434	137,063	2,197,147	9,080,009	8,041,576	1,038,434
Nov-13	1,331,944	79,174	142,708	123,112	1,676,938	10,756,947	10,048,068	708,879
Dec-13	0	0	0	0	0	0	11,700,909	0
Jan-14	0	0	0	0	0	0	13,139,708	0
Feb-14	0	0	0	0	0	0	15,725,365	0
Mar-14	0	0	0	0	0	0	18,903,385	0
Apr-14	0	0	0	0	0	0	21,392,845	0
May-14	0	0	0	0	0	0	22,982,442	0
Jun-14	0	0	0	0	0	0	23,755,418	0
YTD Totals	8,207,074	936,026	860,318	753,529	10,756,947			
FY 14 Budget	18,120,984	2,959,148	2,024,497	2,389,014	25,493,643			
% to budget	45.3	31.6	42.5	31.5	42.2			

City of Unalaska
Utility Revenue Report
Electric Fund

10/31/13

FY14 Budget Month	Residential	Small General	Large General	Industrial	P.C.E. Assist	Other Revenues	Monthly Revenue	FY14YTD Revenue	FY13 YTD Revenue	YTD Inc/(Dec)
Jul-13	106,809	109,140	212,647	1,122,787	84,469	5,058	1,640,910	1,640,910	1,435,790	205,120
Aug-13	105,720	108,494	199,332	1,176,769	77,511	4,134	1,671,961	3,312,871	2,881,182	431,689
Sep-13	110,957	112,037	202,384	1,226,681	83,948	38,667	1,774,675	5,087,546	4,387,342	700,204
Oct-13	136,231	135,686	252,523	1,157,332	93,936	11,877	1,787,584	6,875,130	5,898,398	976,731
Nov-13	119,250	117,535	234,210	763,813	91,854	5,282	1,331,944	8,207,074	7,526,282	680,791
Dec-13							0	8,207,074	8,920,599	(713,525)
Jan-14							0	8,207,074	10,005,106	(1,798,032)
Feb-14							0	8,207,074	11,896,991	(3,689,917)
Mar-14							0	8,207,074	14,234,435	(6,027,362)
Apr-14							0	8,207,074	16,241,120	(8,034,047)
May-14							0	8,207,074	17,433,874	(9,226,800)
Jun-14							0	8,207,074	17,632,884	(9,425,810)
YTD Totals	578,967	582,892	1,101,095	5,447,382	431,718	65,018	8,207,074			
FY14Budget	1,566,276	1,686,514	2,631,603	11,105,802	994,149	136,640	18,120,984			
% of Budget	37.0	34.6	41.8	49.0	43.4	47.6	45.3			

Kwh Sold

FY14 Month	Residential	SM. Gen (Includes Street lights)	Large General	Industrial	Total FY14 Kwh Sold	Total FY13 Kwh Sold	Increase (Decrease)
July	307,286	288,930	606,412	3,101,257	4,303,885	3,463,137	840,748
August	281,844	265,289	534,949	2,975,235	4,057,317	3,591,241	466,076
September	282,630	262,067	521,133	2,929,417	3,995,247	3,485,593	509,654
October	339,257	320,984	607,408	2,733,740	4,001,389	3,572,881	428,508
November	310,825	287,080	579,977	1,847,489	3,025,371	3,651,848	(626,477)
December					0	3,293,856	0
January					0	2,621,242	0
February					0	4,614,809	0
March					0	6,048,469	0
April					0	5,141,148	0
May					0	3,127,268	0
June					0	(12,496)	0
Total	1,521,842	1,424,350	2,849,879	13,587,138	19,383,209	42,598,996	1,618,509
Percent Sold	7.9%	7.3%	14.7%	70.1%	100.0%		

Generator Fuel

FY14 Average Price Fuel	FY13 Average Price Fuel
3.2868	3.0902
3.4295	3.3861
3.4774	3.4470
3.5005	3.5768
3.3942	3.5499
	3.4139
	3.3698
	3.4143
	3.5868
	3.4469
	3.2803
	3.3067
	3.4057

-100.00%

FY14 Cumulative kwh Sold	FY13 Cumulative kwh Sold
4,303,885	3,463,137
8,361,202	7,054,378
12,356,449	10,539,971
16,357,838	14,112,852
19,383,209	17,764,700
19,383,209	21,058,556
19,383,209	23,679,798
19,383,209	28,294,607
19,383,209	34,343,076
19,383,209	39,484,224
19,383,209	42,611,492
19,383,209	42,598,996
	285,005,787

12/13/2013

City of Unalaska
Utility Revenue Report
Water Fund

10/31/13

FY14 Month	Unmetered Sales	Metered Sales	Other Revenues	Monthly Revenue	FY14 YTD Revenue	FY13 YTD Revenue	YTD Inc/(Dec)
Jul-13	12,554	299,770	1,866	314,191	314,191	296,828	17,363
Aug-13	11,345	252,987	4,413	268,745	582,936	571,120	11,816
Sep-13	11,850	163,075	926	175,851	758,787	782,490	(23,703)
Oct-13	11,820	85,185	1,061	98,065	856,852	924,803	(67,951)
Nov-13	11,886	62,684	4,604	79,174	936,026	1,047,167	(111,141)
Dec-13				0	0	1,117,677	0
Jan-14				0	0	1,206,251	0
Feb-14				0	0	1,532,280	0
Mar-14				0	0	1,924,743	0
Apr-14				0	0	2,078,340	0
May-14				0	0	2,155,131	0
Jun-14				0	0	2,399,716	0
YTD Totals	59,454	863,701	12,871	936,026			
FY14 Budget	136,966	2,149,443	672,739	2,959,148			
% of Budget	43.4	40.2	1.9	31.6			

Million Gallons Produced

FY14 Month	FY14 Water Produced	FY13 Water Produced	Increase (Decrease)
July	164.139	166.635	(2.496)
August	143.052	143.052	0.000
September	91.692	112.977	(21.285)
October	65.420	81.048	(15.628)
November	52.200	71.664	(19.464)
December		47.583	0.000
January		51.941	0.000
February		172.216	0.000
March		200.811	0.000
April		82.971	0.000
May		41.819	0.000
June		96.399	0.000
Total	516.503	1269.116	(58.873)

FY14 Water Cumulative	FY13 Water Cumulative
164.139	166.635
307.191	143.052
398.883	112.977
464.303	81.048
516.503	71.664
0.000	47.583
0.000	51.941
0.000	172.216
0.000	200.811
0.000	82.971
0.000	41.819
0.000	96.399

12/13/2013

City of Unalaska
Utility Revenue Report
Wastewater Fund

10/31/13

FY14 Month	Unmetered Sales	Metered Commercial	Metered Industrial	Other Revenues	Monthly Revenue	FY14 YTD Revenue	FY13 YTD Revenue	YTD Inc/(Dec)
Jul-13	30,017	152,422	2,593	4,075	189,106	189,106	155,863	33,244
Aug-13	27,549	141,535	2,281	3,213	174,578	363,684	315,749	47,935
Sep-13	28,524	140,948	2,896	7124.50	179,492	543,176	484,653	58,523
Oct-13	28,451	135,123	3,756	7,104	174,434	717,610	645,329	72,281
Nov-13	28,625	109,404	1,216	3,463	142,708	860,318	802,252	58,067
Dec-13					0	0	903,081	0
Jan-14					0	0	1,026,258	0
Feb-14					0	0	1,226,685	0
Mar-14					0	0	1,443,103	0
Apr-14					0	0	1,642,506	0
May-14					0	0	1,849,128	0
Jun-14					0	0	1,959,290	0
YTD Totals	143,165	679,432	12,742	24,979	860,318			
FY 14 Budget	305,988	1,421,649	49,003	247,857	2,024,497			
% of Budget	46.8	47.8	26.0	10.1	42.5			

FY14 Month	FY14 Effluent (Gal)	FY13 Effluent (Gal)	Increase (Decrease)
July	11,854,946	12,996,406	(1,141,460)
August	11,861,259	13,962,693	(2,101,434)
September	11,207,861	14,118,392	(2,910,531)
October	12,571,241	14,242,440	(1,671,199)
November	11,448,772	10,455,878	992,894
December		8,666,612	0
January		15,432,457	0
February		15,384,369	0
March		16,352,116	0
April		13,501,964	0
May		9,757,607	0
June		11,473,060	0
Total	58,944,079	156,343,994	(6,831,730)

FY14 Cumulative	FY13 Cumulative
11,854,946	12,996,406
23,716,205	26,959,099
34,924,066	41,077,491
47,495,307	55,319,931
58,944,079	65,775,809
0	74,442,421
0	89,874,878
0	105,259,247
0	121,611,363
0	135,113,327
0	144,870,934
0	156,343,994

12/13/2013

City of Unalaska
Utility Revenue Report
Solid Waste Fund

10/31/13

FY14 Month	Residential Fees	Tipping Fees	Other Revenue	Monthly Revenue	FY14 YTD Revenue	FY13YTD Revenue	YTD Inc/(Dec)
Jul-13	18,623	107,193	41,585	167,401	167,401	122,347	45,054
Aug-13	18,628	129,951	36,578	185,157	352,559	272,527	80,032
Sep-13	18,768	104,173	17,855	140,795	493,354	410,481	82,872
Oct-13	18,795	101,183	17,085	137,063	630,417	549,545	80,872
Nov-13	18,807	86,925	17,381	123,112	753,529	645,868	107,662
Dec-13				0	0	728,453	0
Jan-14				0	0	872,958	0
Feb-14				0	0	1,011,541	0
Mar-14				0	0	1,196,830	0
Apr-14				0	0	1,319,706	0
May-14				0	0	1,427,835	0
Jun-14				0	0	1,647,056	0
YTD Totals	93,621	529,425	130,483	753,529			
FY 13 Budget	202,104	1,124,629	1,062,281	2,389,014			
% of Budget	46.3	47.1	12.3	31.5			

FY14 Month	FY14 Tons of Waste	FY13 Tons of Waste	Increase (Decrease)
July	681.02	588.28	92.74
August	710.37	678.84	31.53
September	555.37	595.17	(39.80)
October	585.43	580.49	4.94
November	473.94	439.08	34.86
December		335.11	0.00
January		599.03	0.00
February		620.57	0.00
March		808.48	0.00
April		557.72	0.00
May		527.31	0.00
June		652.91	0.00
Total	3006.13	6982.99	124.27

Cumulative	
FY13 Tons of Waste	FY12 Tons of Waste
681.02	588.28
1391.39	1267.12
1946.76	1862.29
2532.19	2442.78
3006.13	2881.86
0.00	3216.97
0.00	3816.00
0.00	4436.57
0.00	5245.05
0.00	5802.77
0.00	6330.08
0.00	6982.99

**CITY OF UNALASKA
FY14 PORTS REVENUE**

Month	Year	UMC Dock				Spit Dock		Small Boat Harbor		Cargo Dock		CEM		Other Rev&Fees	Monthly Revenue	FY14 YTD Revenue	% of Budget	FY13 YTD Revenue	YTD Inc(Dec)
		Docking/ Moorage	Wharfage Fees	Rental Fees	Utility Fees	Docking / Moorage	Utility Fees	Docking / Moorage	Utility Fees	Dockage / Moorage	Wharfage Rental/Util	Docking/ Moorage	Utility Fees						
Jul	2013	125,744	223,268	41,856	30,650	24,722	225	8,153	885	4,533	663	4,249	3,581	5,246	473,775	473,775	8.0%	431,944	41,830
Aug	2013	129,011	246,963	65,315	21,350	27,332	2,311	11,807	1,204	1,243	3,075	5,635	5,422	43,935	564,603	1,038,378	17.5%	947,643	90,735
Sept	2013	140,499	355,737	44,751	20,690	68,198	1,657	2,069	1,669	863	521	25,298	16,302	12,470	690,726	1,729,104	29.2%	1,411,282	317,821
Oct	2013	127,293	217,722	44,775	29,698	21,888	10,767	5,047	1,954	10,348	1,303	38,984	10,829	11,425	532,034	2,261,138	38.2%	1,954,848	306,289
Nov	2013	82,579	97,134	42,491	23,734	22,719	17,817	7,334	1,288	3,993	1,875	33,942	39,170	5,325	379,401	2,640,539	44.6%	2,209,098	431,440
Dec	2013														0	0	0.0%	2,895,774	0
Jan	2014														0	0	0.0%	3,228,084	0
Feb	2014														0	0	0.0%	3,809,178	0
Mar	2014														0	0	0.0%	4,641,107	0
Apr	2014														0	0	0.0%	5,213,801	0
May	2014														0	0	0.0%	5,535,767	0
Jun	2014														0	0	0.0%	5,843,999	0
Totals		605,126	1,140,824	239,187	126,123	164,859	32,777	34,410	7,000	20,979	7,438	108,108	75,305	78,403	2,640,539				
Loc total			2,111,260			197,636		41,410		28,417		183,413							
Loc percent			80.0%			7.5%		1.6%		1.1%		6.9%							
FY14 Budget		1,300,000	2,350,000	525,000	360,000	400,000	180,000	80,000	25,000	50,000	27,000	340,000	220,000	63,000	5,920,000				
% to Budget		46.5%	48.5%	45.6%	35.0%	41.2%	18.2%	43.0%	28.0%	42.0%	27.5%	31.8%	34.2%	124.4%	44.6%				

PORTS RECEIVABLES

Month	Year	Current	Over 30 Days	Over 60 Days	Over 90 Days	Total Due	% Past Due 90 Days +	Cash Received
Jul	2013	441,479	51,097	4,165	35,451	532,191	6.7%	701,983
Aug	2013	483,270	170,016	9,723	26,367	689,377	3.8%	424,007
Sept	2013	663,422	222,248	7,068	21,888	914,626	2.4%	465,477
Oct	2013	471,524	259,022	25,220	28,206	783,973	3.6%	667,100
Nov	2013	539,062	3,728	24,257	39,968	607,015	6.6%	556,138
Dec	2013					0	0.0%	
Jan	2014					0	0.0%	
Feb	2014					0	0.0%	
Mar	2014					0	0.0%	
Apr	2014					0	0.0%	
May	2014					0	0.0%	
Jun	2014					0	0.0%	
YTD Cash Received								2,814,704

**CITY OF UNALASKA
FY14 AIRPORT REVENUE**

MONTH	YEAR	MONTHLY LEASES	MISC INCOME	LATE FEES	MONTHLY REVENUE	FY14 YTD REVENUE	% OF BUDGET	FY13 YTD REVENUE	YTD INC/(DEC)
JUL	2013	41,501	0	363	41,864	41,864	9.3%	38,921	2,943
AUG	2013	41,693	0	198	41,891	83,755	18.6%	76,963	6,792
SEP	2013	39,908	0	219	40,127	123,882	27.5%	116,915	6,967
OCT	2013	40,036	0	207	40,243	164,125	36.4%	156,948	7,177
NOV	2013	40,116	0	325	40,440	204,565	45.4%	195,566	8,999
DEC	2013				0	0	0.0%	232,952	0
JAN	2014				0	0	0.0%	269,951	0
FEB	2014				0	0	0.0%	306,823	0
MAR	2014				0	0	0.0%	343,567	0
APR	2014				0	0	0.0%	393,769	0
MAY	2014				0	0	0.0%	433,302	0
JUN	2014				0	0	0.0%	472,107	0
TOTAL		203,253	0	1,312	204,565		0.0%		
FY14 BUDGET		450,000	150	150	450,300				
% TO BUDGET		45.2%	0.0%	874.6%	45.4%				

RECEIVABLE BALANCES

MONTH	YEAR	CURRENT	OVER 30 DAYS	OVER 60 DAYS	OVER 90 DAYS	TOTAL DUE	% PAST DUE 90 DAYS +	CASH RECEIVED
JUL	2013	37,297	31,873	22,305	19,866	111,342	17.8%	46,723
AUG	2013	34,212	33,214	11,309	14,475	93,210	15.5%	60,598
SEP	2013	37,297	33,089	12,340	16,015	98,742	16.2%	33,624
OCT	2013	37,297	33,947	4,146	20,144	95,534	21.1%	45,984
NOV	2013	65,909	0	20,926	16,970	103,804	16.3%	31,199
DEC	2013					0	0.0%	
JAN	2014					0	0.0%	
FEB	2014					0	0.0%	
MAR	2014					0	0.0%	
APR	2014					0	0.0%	
MAY	2014					0	0.0%	
JUN	2014					0	0.0%	
						YTD TOTAL		218,127

FY 14 HOUSING RENTAL REVENUE

MONTH	YEAR	HOUSING RENTALS	MISC. REVENUE	MONTHLY REVENUE	FY14 YTD REVENUE	% OF BUDGET	FY13 YTD REVENUE	YTD INC/(DEC)
JUL	2013	14,681		14,681	14,681	6.1%	20,186	(5,505)
AUG	2013	20,620		20,620	35,301	14.8%	39,455	(4,154)
SEP	2013	19,088		19,088	54,389	22.8%	53,791	598
OCT	2013	24,215		24,215	78,604	32.9%	73,855	4,748
NOV	2013	14,933		14,933	93,536	39.1%	99,452	(5,916)
DEC	2013			0	0	0.0%	119,130	0
JAN	2014			0	0	0.0%	131,875	0
FEB	2014			0	0	0.0%	150,985	0
MAR	2014			0	0	0.0%	169,580	0
APR	2014			0	0	0.0%	189,361	0
MAY	2014			0	0	0.0%	215,241	0
JUN	2014			0	0	0.0%	228,181	0
TOTAL		93,536	0	93,536				
FY14 Budget		239,040	0	239,040				
% TO BUDGET		39.1%		39.1%				

City of Unalaska
Capital Project Report
As of November 30, 2013

PROJECT #	DESCRIPTION	TOTAL BUDGET	PRIOR YEARS EXP	CURRENT YTD EXP	PROJECT TO DATE EXP	ENCUMB.	AVAILABLE BUDGET	FUND
General Government								
A0601	MAKUSHIN GEOTHERMAL	4,161,500	265,912	-	265,912	10,676	3,884,912	3100
GG902	GIS	334,900	302,888	6,142	309,030	-	25,870	3100
General Government Total		4,496,400	568,800	6,142	574,942	10,676	3,910,782	
Public Safety								
PS301	PORTABLE GENERATOR	60,000	-	-	-	-	60,000	3100
PS401	DPS PARKING LOT	400,000	-	1,389	1,389	-	398,611	3100
Public Safety Total		460,000	-	1,389	1,389	-	458,611	
Public Works								
D0810	S-CURVE PATHWAYS	66,000	15,000	-	15,000	-	51,000	3100
PW001	STEWART RD/GILMAN/BIORKA PAVING	150,000	-	-	-	-	150,000	3100
PW002	AIRPORT BEACH RD/BROADWAY MAINT.	-	-	-	-	-	-	3100
PW003	BALLYHOO ROAD PAVING CONSTRUCTION	8,920,000	533,399	3,859,524	4,392,923	2,388,788	2,138,289	3100
PW004	ILULAQ LAKE DRAINAGE	1,078,312	72,431	-	72,431	-	1,005,881	3100
PW005	DELTA WAY DRAINAGE	1,696,000	55,014	-	55,014	28,466	1,612,520	3100
PW301	SHORE PROTECTION & EROSION CONTRL	265,000	-	-	-	-	265,000	3100
PW302	VEHICLE REPLACEMENT	55,000	-	57,677	57,677	-	(2,677)	3100
PW303	MISC PAVING	900,000	16,279	-	16,279	30,000	853,721	3100
PW401	SUMMERS BAY BRIDGE	1,770,000	-	-	-	-	1,770,000	3100
PW904	PAVING MASTER PLAN	146,856	146,856	-	146,856	-	-	3100
D9307	EAST BALLYHOO ROAD DESIGN	1,047,530	1,047,530	-	1,047,530	-	-	3400
D0518	FUTURE PAVING PROJECTS	256,494	256,494	-	256,494	-	-	3400
PW202	BALLYHOO ROAD DRAINAGE & ELECTRICAL	1,610,000	1,575,751	-	1,575,751	-	34,249	3400
PW203	CITYWIDE MULTIPLE LOCATION DRAINAGE PRJ	3,450,000	86,007	7,645	93,652	1,304,535	2,051,813	3400
PW204	PAVEMENT RESURFACING--EXISTING PVMNT DE	11,230,000	3,615,559	22,617	3,638,176	1,456,181	6,135,643	3400
PW205	AIRPORT EXPANSION ROAD	185,000	60,886	-	60,886	252	123,862	3400
F9301	S310 PATHWAY DESIGN & ROW'S (pre State)	237,320	237,320	-	237,320	-	-	3500
D0520	C.E.M ROAD & UTILITIES CONSTRUCTION	8,829,047	7,187,889	3	7,187,892	1,490,276	150,879	3600
Public Works Total		41,892,559	14,906,417	3,947,467	18,853,884	6,698,498	16,340,178	
Parks, Culture and Recreation								
PR001	EXPEDITION PARK ACCES & UPGRADE	26,500	7,341	-	7,341	-	19,159	3100
PR401	AQUATIC CENTER IMPROVEMENTS	35,000	-	-	-	32,939	2,061	3100
Parks, Culture and Recreation Total		61,500	7,341	-	7,341	32,939	21,220	
Education								
SS801	JR/SR HIGH SCHOOL IMPROVEMENT	4,950,000	4,696,026	11,506	4,707,533	-	242,467	3100
Education Total		4,950,000	4,696,026	11,506	4,707,533	-	242,467	

City of Unalaska
Capital Project Report
As of November 30, 2013

PROJECT #	DESCRIPTION	TOTAL BUDGET	PRIOR YEARS EXP	CURRENT YTD EXP	PROJECT TO DATE EXP	ENCUMB.	AVAILABLE BUDGET	FUND
Electric								
EL004	PRIMARY LINE RELOCATE E2-E3	305,775	120,719	-	120,719	-	185,056	5010
EL005	GIS	130,700	119,109	3,071	122,180	-	8,520	5010
EL101	BERING SEA FISHERIES ELECT. UPGRADE	21,885	6,850	-	6,850	-	15,035	5010
EL201	BALLYHOO ROAD DRAINAGE & ELECTRICAL	990,000	990,000	-	990,000	-	-	5010
EL202	TRANSFORMER REPLACEMTN--ELECT DIST	63,000	36,089	-	36,089	-	26,911	5010
EL203	COMPLIANCE MANAGEMENT SOFTWARE	43,000	10,490	-	10,490	28,452	4,058	5010
EL204	WESTWARD SEAFOOD UTILITY TIE-IN	187,500	62,625	61,005	123,630	7,764	56,106	5010
EL205	AIRPORT EXPANSION UTILITY	230,000	43,113	470	43,583	9,550	176,867	5010
EL301	TRANSFORMER UPGRADE	30,250	-	-	-	-	30,250	5010
EL303	150 KVA TRANSFORMER	12,329	-	-	-	-	12,329	5010
EL304	500 KVA TRANSFORMER	19,950	-	-	-	-	19,950	5010
EL401	UNISEA METERING CABINET INSTALL	72,310	-	-	-	-	72,310	5010
EL402	TRANSFORMER REPLACEMENTS	132,000	-	-	-	-	132,000	5010
EL802	POWERHOUSE WASTE HEAT RECOVERY	1,795,500	304,609	345,243	649,852	515,719	629,929	5010
H0801	APL ELECTRICAL UPGRADES	306,400	219,209	-	219,209	-	87,191	5010
H0802	PAC STEVE ELECTRICAL UPGRADE	8,400	-	-	-	-	8,400	5010
EL302	POWERHOUSE ENGINE #4	3,000,000	382,889	19,213	402,102	2,173,339	424,559	5040
Electric Total		7,348,999	2,295,702	429,002	2,724,704	2,734,825	1,889,471	
Water								
I0802	LT2ESWTR EVAL COMPLIANCE STUDY	200,000	155,668	-	155,668	-	44,332	5110
I0803	WELL BACK-UP POWER	600,000	-	-	-	-	600,000	5110
WA001	GIS	130,785	119,683	3,071	122,754	-	8,031	5110
WA201	ICY LAKE POWER	90,400	84,929	206	85,134	4,000	1,266	5110
WA202	WATER SUPPLY DEVELOPMENT--PHASE 1	260,000	36,090	3,845	39,935	-	220,065	5110
WA203	CT TANK LADDER REPLACEMENT	30,000	29,938	-	29,938	-	62	5110
WA301	CT TANK INTERIOR PAINT & MAINTENANCE	155,000	101,749	4,418	106,167	576	48,257	5110
WA302	AGNES BEACH 12" PANEL	13,530	8,500	-	8,500	-	5,030	5110
WA303	WATER LID FOR HAYSTACK/RAVEN WAY	85,400	-	-	-	-	85,400	5110
WA304	WATER SUPPLY DEVELOPMENT--PHASE II	340,000	-	237,789	237,789	70,256	31,955	5110
WA401	NIRVANA PUMP STATION SCADA	74,477	-	-	-	-	74,477	5110
WA402	WATER FLOW OPTIMIZATION	99,500	-	14,340	14,340	-	85,160	5110
WA901	GENERAL'S HILL BOOSTER PUMP	389,798	14,256	-	14,256	77,142	298,400	5110
WA905	WATER TREATMENT FACILITY/RD IMPROVEM	12,663,791	475,258	1,550,677	2,025,935	374,944	10,262,912	5110
Water Total		15,132,681	1,026,070	1,814,346	2,840,417	526,918	11,765,346	

City of Unalaska
Capital Project Report
As of November 30, 2013

PROJECT #	DESCRIPTION	TOTAL BUDGET	PRIOR YEARS EXP	CURRENT YTD EXP	PROJECT TO DATE EXP	ENCUMB.	AVAILABLE BUDGET	FUND
Wastewater								
WW001	GIS	130,700	119,109	3,071	122,180	-	8,520	5210
WW004	SCADA COMPUTER-RADIO SURVEY	116,580	37,690	-	37,690	-	78,890	5210
WW101	SEWER LIFT STATION PANEL REPLACE 2&3	199,550	188,248	-	188,248	-	11,302	5210
WW201	SEWAGE PUMP STATION #3 FORCE MAIN UPGR	411,000	27,062	-	27,062	44,899	339,039	5210
WW202	SEW LIFT STATION PANEL REPLACE 5&8	209,530	200,235	-	200,235	-	9,295	5210
WW301	CONNECT LIFT #4 TO SCADA	88,260	147	26,796	26,943	40,870	20,447	5210
WW302	SEWER LID HAYSTACK/RAVEN WAY	487,400	-	-	-	-	487,400	5210
WW303	LIFT STATION PANEL REPLACEMENT	121,000	268	38,017	38,285	54,604	28,111	5210
J0519	WWTP IMPROVEMENTS	28,224,119	8,025,831	2,985,153	11,010,984	15,854,238	1,358,897	5220
Wastewater Total		29,988,139	8,598,590	3,053,037	11,651,626	15,994,611	2,341,902	
Solid Waste								
SW101	CELL 2-1 DESIGN	9,290,096	387,200	4,319	391,519	217,974	8,680,602	5310
SW201	TEMPORARY COVER--CELLS 1-3 & OLD LNDFL	500,000	532	-	532	-	499,468	5310
SW203	BAILER REHABILITATION	100,000	62,143	-	62,143	-	37,857	5310
SW901	SUMMER BAY ROAD RE-ALIGNMENT--ENG	1,660,000	1,401,155	-	1,401,155	11,967	246,878	5310
SW902	LEACHATE FLOW LEVELING	8,131,015	7,278,013	519,342	7,797,355	57,683	275,977	5310
SW801	LANDFILL DEVELOPMENT PLAN PHASE II	600,000	414,782	-	414,782	-	185,219	5320
Solid Waste Total		20,281,111	9,543,826	523,661	10,067,487	287,624	9,925,999	
Ports and Harbors								
L0702	BOB STORRS SBH BATHROOMS	-	-	-	-	-	-	5410
PH001	UMC POSITION 4-7 SURFACE REPAIR	-	-	-	-	-	-	5410
PH002	POSITION 4-7 FENDER SYSTEM REPAIR	1,195,000	1,163,542	-	1,163,542	4,289	27,169	5410
PH003	UMC BACKREACH GRADING/DRAINAGE/PAV	1,740,000	478,160	536,942	1,015,102	722,109	2,789	5410
PH201	DREDGE ENTRANCE CHANNEL--(COE RECON)	100,000	-	-	-	-	100,000	5410
PH202	MOORING BOUY	432,804	392,660	-	392,660	-	40,144	5410
PH301	UMC DOCK REPLACEMENT AND EXPANSION	980,000	-	-	-	-	980,000	5410
PH302	USCG PHONE/UTILITY BUILD SIDING REPLMENT	55,000	53,750	-	53,750	-	1,250	5410
PH303	ROBERT STORRS SM BOAT IMPROVEMENT	3,662,107	20,597	11,225	31,822	6,795	3,623,490	5410
PH401	HIGHMAST LIGHTS AND LED	-	-	-	-	-	-	5410
PH905	SBH FLOAT REPAIR/REPLACEMENT	100,000	-	-	-	-	100,000	5410
L0601	C.E.M.--OUTER HARBOR CONSTRUCTION	4,500,000	4,331,580	-	4,331,580	-	168,420	5420
L9802	C.E.M.--INNER HARBOR/UPLANDS CONSTR	32,335,513	32,014,004	-	32,014,004	313,575	7,934	5420
Ports and Harbors Total		45,100,424	38,454,293	548,167	39,002,460	1,046,768	5,051,195	

City of Unalaska
Capital Project Report
As of November 30, 2013

PROJECT #	DESCRIPTION	TOTAL BUDGET	PRIOR YEARS EXP	CURRENT YTD EXP	PROJECT TO DATE EXP	ENCUMB.	AVAILABLE BUDGET	FUND
<i>Airport</i>								
AP301	AIRPORT PARKING LOT IMPROVEMENT	370,000	27,035	2,518	29,552	265,322	75,126	5510
AP302	AIRPORT HANDICAP RAMP	50,000	43,495	-	43,495	-	6,505	5510
AP401	TOM MADSEN AIRPORT CONTROL UPGRADES	65,000	-	2,850	2,850	19,618	42,532	5510
	Airport Total	485,000	70,530	5,368	75,898	284,940	124,162	
<i>Housing</i>								
EH301	4-PLEX BOILER ROOM ADDITION	150,000	81,007	-	81,007	-	68,993	5610
N0701	DIRECTOR HOUSING	992,296	17,379	-	17,379	-	974,917	5620
	Housing Total	1,142,296	98,386	-	98,386	-	1,043,910	

CITY OF UNALASKA
UNALASKA, ALASKA

ORDINANCE NO. 2013-15

ORDINANCE 2013-15 CREATING BUDGET AMENDMENT NO. 4 TO THE FY14 OPERATING BUDGET TO INCREASE WAGES, FRINGE BENEFITS AND ASSOCIATED STATE OF ALASKA PERS CONTRIBUTIONS FOR TITLE 3 EMPLOYEES, AND TO INCREASE THE REPAIRS AND MAINTENANCE BUDGET OF THE AIRPORT.

BE IT ENACTED BY THE UNALASKA CITY COUNCIL

Section 1. Classification: This is a non-code ordinance.
Section 2. Effective Date: This ordinance becomes effective upon adoption.
Section 3. Content: The City of Unalaska FY14 Budget is amended as follows:

- A. That the following sums of money are hereby accepted and the following sums of money are hereby authorized for expenditure.
B. The following are the changes by account line item:

Amendment No. 4 to Ordinance #2013-09

	Current	Requested	Revised
I. OPERATING BUDGET			
<u>TITLE 3 COMPENSATION</u>			
A. General Fund			
Revenues			
Current year budgeted surplus	8,567,245	74,301	8,492,944
Intergovernmental	12,769,570	7,367	914,530
Expenditures			
City Administration	2,152,680	16,921	2,169,601
City Clerks	554,085	6,033	560,118
Finance	1,563,655	12,384	1,576,039
Planning	615,613	6,806	622,419
Public Safety	5,281,077	13,417	5,294,494
Public Works	5,854,748	6,904	5,861,652
Parks, Culture & Recreation	2,809,989	19,203	2,829,192
B. Proprietary Funds			
Electrical Fund			
Revenues	18,120,984	616	18,121,600
Expenditures	17,327,039	6,536	17,333,575
Current year budgeted surplus	793,945	(5,920)	788,025
Water Fund			
Revenues	2,367,941	386	2,368,327
Expenditures	2,594,642	4,099	2,598,741
Appropriated net assets	226,701	3,713	230,414
Wastewater Fund			
Revenues	1,903,921	307	1,904,228
Expenditures	2,034,707	3,267	2,037,974
Appropriated net assets	130,786	2,959	133,745

Solid Waste Fund			
Revenues	1,598,588	306	1,598,894
Expenditures	2,393,390	3,250	2,396,640
Appropriated net assets	794,802	2,944	797,746
Ports and Harbors Fund			
Revenues	7,695,746	113,557	7,809,303
Expenditures	5,961,027	16,907	5,977,934
Current year budgeted surplus	1,734,719	96,650	1,831,369
Airport Fund			
Revenues	462,668	143	462,811
Expenditures	845,868	936	846,804
Appropriated net assets	383,200	792	383,992
Housing Fund			
Revenues	251,206	143	251,349
Expenditures	543,574	1,867	545,441
Appropriated net assets	292,368	1,724	294,092

AIRPORT IMPROVEMENTS

Airport Fund			
Expenditures	846,804	7,000	853,804
Appropriated net assets	383,992	7,000	390,992

PASSED AND ADOPTED BY A DULY CONSTITUTED QUORUM OF THE UNALASKA CITY COUNCIL
THIS _____ DAY OF DECEMBER 2013.

MAYOR

ATTEST;

CITY CLERK

**Summary of Budget Amendment
and Schedule of Proposed Accounts
Budget Amendment 4**

- 1) **Title 3 Compensation** - This request will adjust compensation levels of Title 3 employees to bring them more in line with the compensation study prepared by Fox Lawson & Associates.
This request includes the State of Alaska PERS contribution for each fund, including the original amount of \$112,731 for the Ports fund that was inadvertently left out during budget preparation.
- 2) **Airport Maintenance** - This request will fund the complete the overhaul of the boiler system at the airport.

NOTE: This ordinance and attached schedule only include operating revenues and expenditures. Capital items and transfers have not been included.

	Org	Object	Current	Requested	Revised
General Fund					
1) Title 3 Compensation					
Sources:					
Current year budgeted surplus			8,567,245	74,301	8,492,944
Other state revenue	0101	0041	907,163	7,367	914,530
Uses:					
City Managers Office					
Salaries and wages	0102	0251	166,988	1,566	168,554
FICA/Medicare Employer Match	0102	0251	10,803	120	10,923
PERS	0102	0251	59,282	559	59,841
Workers Compensation Ins	0102	0251	742	7	748
Natural Resources					
Salaries and wages	0102	0451	104,459	1,979	106,438
FICA/Medicare Employer Match	0102	0451	7,991	151	8,142
PERS	0102	0451	35,844	706	36,550
Workers Compensation Ins	0102	0451	449	8	457
Administration					
Salaries and wages	0102	0351	261,086	8,226	269,312
FICA/Medicare Employer Match	0102	0351	20,001	629	20,630
PERS	0102	0351	92,273	2,935	95,208
Workers Compensation Ins	0102	0351	1,149	35	1,183
Clerks					
Salaries and wages	0102	0551	226,863	4,197	231,060
FICA/Medicare Employer Match	0102	0551	17,585	321	17,906
PERS	0102	0551	78,448	1,497	79,945
Workers Compensation Ins	0102	0551	973	18	990
Finance					
Salaries and wages	0102	0651	428,677	5,610	434,287
FICA/Medicare Employer Match	0102	0651	33,764	429	34,193
PERS	0102	0651	153,040	2,002	155,042
Workers Compensation Ins	0102	0651	1,966	24	1,989
Information Systems					
Salaries and wages	0102	0751	173,575	3,005	176,580
FICA/Medicare Employer Match	0102	0751	13,571	230	13,801
PERS	0102	0751	61,930	1,072	63,002
Workers Compensation Ins	0102	0751	766	13	779
Planning					
Salaries and wages	0102	0851	218,770	4,735	223,505
FICA/Medicare Employer Match	0102	0851	16,774	362	17,136
PERS	0102	0851	77,188	1,689	78,877
Workers Compensation Ins	0102	0851	960	20	980
Police					
Salaries and wages	0102	1151	1,607,386	5,128	1,612,514
FICA/Medicare Employer Match	0102	1151	131,287	392	131,679
PERS	0102	1151	593,573	1,830	595,403
Workers Compensation Ins	0102	1151	35,098	131	35,228
Corrections					
Salaries and wages	0102	1451	407,086	1,282	408,368
FICA/Medicare Employer Match	0102	1451	33,021	98	33,119
PERS	0102	1451	149,383	457	149,840
Workers Compensation Ins	0102	1451	11,003	33	11,036
Fire, EMS					
Salaries and wages	0102	1551	283,240	2,719	285,959
FICA/Medicare Employer Match	0102	1551	26,759	208	26,967
PERS	0102	1551	106,207	970	107,177
Workers Compensation Ins	0102	1551	15,880	169	16,049
DPW Administration					
Salaries and wages	0102	2051	263,186	4,754	267,940
FICA/Medicare Employer Match	0102	2051	20,138	364	20,502
PERS	0102	2051	93,595	1,696	95,291
Workers Compensation Ins	0102	2051	3,895	90	3,985
PCR Administration					
Salaries and wages	0102	3151	150,819	2,869	153,688
FICA/Medicare Employer Match	0102	3151	11,538	219	11,757
PERS	0102	3151	53,812	1,024	54,836
Workers Compensation Ins	0102	3151	671	12	683
PCR Recreation Programs					
Salaries and wages	0102	3251	282,099	3,939	286,038
FICA/Medicare Employer Match	0102	3251	23,343	301	23,644
PERS	0102	3251	103,151	1,405	104,556
Workers Compensation Ins	0102	3251	4,425	17	4,442
PCR Library					
Salaries and wages	0102	3451	259,961	1,877	261,838
FICA/Medicare Employer Match	0102	3451	20,252	144	20,396
PERS	0102	3451	77,314	670	77,984
Workers Compensation Ins	0102	3451	1,135	8	1,143
PCR Aquatics Center					
Salaries and wages	0102	3551	187,015	5,355	192,370
FICA/Medicare Employer Match	0102	3551	14,459	410	14,869
PERS	0102	3551	19,153	702	19,855
Workers Compensation Ins	0102	3551	8,434	252	8,686

Summary of Budget Amendment
and Schedule of Proposed Accounts
Budget Amendment 4 (continued)

	Org	Object	Current	Requested	Revised
<u>Electric Utility Operating Fund</u>					
Sources:					
Current year budgeted surplus			793,945	5,920	788,025
Other state revenue	5001	5041 42359	78,364	616	78,980
Uses:					
Electric Administration					
Salaries and wages	5002	4051 51100	357,292	4,506	361,798
FICA/Medicare Employer Match	5002	4051 52200	27,272	345	27,617
PERS	5002	4051 52300	125,402	1,608	127,010
Workers Compensation Ins	5002	4051 52500	5,627	78	5,705
				6,536	
<u>Water Utility Operating Fund</u>					
Sources:					
Budgeted use of Net Assets	5100		226,701	3,713	230,414
Other state revenue	5101	5541 42359	77,365	386	77,751
Uses:					
Water Administration					
Salaries and wages	5102	4051 51100	198,707	2,824	201,531
FICA/Medicare Employer Match	5102	4051 52200	15,313	216	15,529
PERS	5102	4051 52300	70,046	1,008	71,054
Workers Compensation Ins	5102	4051 52500	3,264	51	3,315
				4,099	
<u>Wastewater Utility Operating Fund</u>					
Sources:					
Budgeted use of Net Assets	5200		130,786	2,959	133,745
Other state revenue	5201	6041 42359	61,745	307	62,052
Uses:					
Wastewater Administration					
Salaries and wages	5202	4051 51100	158,168	2,247	160,415
FICA/Medicare Employer Match	5202	4051 52200	12,251	172	12,423
PERS	5202	4051 52300	55,796	802	56,598
Workers Compensation Ins	5202	4051 52500	2,928	46	2,973
				3,267	
<u>Solid Waste Utility Operating Fund</u>					
Sources:					
Budgeted use of Net Assets	5300		794,802	2,944	797,746
Other state revenue	5301	6541 42359	74,449	306	74,755
Uses:					
Solid Waste Administration					
Salaries and wages	5302	4051 51100	128,935	2,235	131,170
FICA/Medicare Employer Match	5302	4051 52200	9,921	171	10,092
PERS	5302	4051 52300	45,343	798	46,141
Workers Compensation Ins	5302	4051 52500	2,462	46	2,507
				3,250	
<u>Ports and Harbors Operating Fund</u>					
Sources:					
Current year budgeted surplus	5400		7,695,746	(104,769)	7,800,515
Other state revenue	5401	7041 42359	-	113,557	113,557
Uses:					
Ports Office					
Salaries and wages	5402	5051 51100	417,505	5,580	423,085
FICA/Medicare Employer Match	5402	5051 52200	33,188	427	33,615
PERS	5402	5051 52300	148,760	1,991	150,751
Workers Compensation Ins	5402	5051 52500	6,828	121	6,949
				8,119	
Ports CEM					
Salaries and wages	5402	5451 51100	75,783	459	76,242
FICA/Medicare Employer Match	5402	5451 52200	6,799	35	6,834
PERS	5402	5451 52300	31,586	164	31,750
Workers Compensation Ins	5402	5451 52500	3,814	11	3,826
				669	
<u>Airport Operating Fund</u>					
Sources:					
Budgeted use of Net Assets	5500		462,668	792	463,460
Other state revenue	5501	7541 42359	12,368	143	12,511
Uses:					
Airport					
Salaries and wages	5502	5651 51100	36,262	651	36,913
FICA/Medicare Employer Match	5502	5651 52200	2,713	50	2,763
PERS	5502	5651 52300	12,917	232	13,149
Workers Compensation Ins	5502	5651 52500	157	3	160
				936	
<u>Housing Operating Fund</u>					
Sources:					
Budgeted use of Net Assets	5600		292,368	1,724	294,092
Other state revenue	5601	8041 42359	12,166	143	12,309
Uses:					
Housing					
Salaries and wages	5602	5851 51100	32,102	1,299	33,401
FICA/Medicare Employer Match	5602	5851 52200	2,455	99	2,554
PERS	5602	5851 52300	11,456	464	11,920
Workers Compensation Ins	5602	5851 52500	141	5	147

MEMORANDUM TO COUNCIL

TO: MAYOR AND CITY COUNCIL MEMBERS
FROM: NANCY PETERSON, ACTING CITY MANAGER
THRU: CHRIS HLADICK, CITY MANAGER
FROM: DEPARTMENT OF ADMINISTRATION
DATE: NOVEMBER 22, 2013
RE: ADOPTING ORDINANCE 2013-15: AMENDING THE BUDGET TO FUND THE PROPOSED CHANGES TO TITLE III

SUMMARY: This Budget Amendment will fully fund the proposed changes to the Title III wage matrix as shown in Ordinance 2013-16. The total cost to the City for this implementation is \$100,336. The cost to each department is itemized on the attached Budget Amendment spreadsheet.

PREVIOUS COUNCIL ACTION: At the November 12, 2013 Council meeting, Council was presented with an implementation proposal from staff concerning the findings of the Compensation and Classification Study, conducted by Fox Lawson and Associates, presented at the October 21, 2013 Work Session. In addition to the overall findings of the study, a new wage matrix was also presented.

BACKGROUND: After the October 21st work session, Council requested that the City Manager look into practical and cost effective methods to implementing the findings of the Classification and Compensation Study.

DISCUSSION: This budget amendment will fund the implementation plan proposed by the City Administration on November 12, 2013. The cost of \$100,336 is slightly lower than the amount reported at the November 12th meeting (\$102,309) because the estimated Workers Compensation rate has been refined.

ALTERNATIVES:

The Council could choose to not implement the proposed changes to Title III.

FINANCIAL IMPLICATIONS: The total cost to the City is \$100,336.

LEGAL: No legal opinion is necessary for this item.

STAFF RECOMMENDATION: Staff recommends Council approve Ordinance 2013-15.

CITY MANAGER'S COMMENTS: I support staff's recommendation.

MEMORANDUM TO COUNCIL

TO: MAYOR AND CITY COUNCIL MEMBERS
FROM: PEGGY MCLAUGHLIN, PORT DIRECTOR
THRU: CHRIS HLADICK, CITY MANAGER
DATE: NOVEMBER 26, 2013
RE: BUDGET AMENDMENT AIRPORT MAINTENANCE ORDINANCE 2013-15

SUMMARY:

This request is for a budget amendment to move funds from Airport Net Assets to the Airport Operating budget for "Repair and Maintenance". This amendment provides the funding to complete the maintenance as originally planned and budgeted in the operating budget. The maintenance department conducted a necessary, but unscheduled, overhaul of the boiler system in the Airport. This left us short of funding for completing the previously scheduled and budgeted airport maintenance projects.

The total amount requested for this amendment is: \$7000.00

PREVIOUS COUNCIL ACTION:

This project is new before Council.

BACKGROUND:

The boiler system at the airport required an unplanned, unbudgeted overhaul. Completing this unscheduled overhaul created a shortfall to complete the necessary and scheduled maintenance for the Terminal Building.

DISCUSSION:

The Public Works Building Maintenance Division plans and budgets for the maintenance schedule at the Tom Madsen Airport Terminal Building. Aside from the regularly scheduled routine maintenance for FY14, Building Maintenance budgeted and planned to replace the entry mats, upgrade the Direct Digital Control (DDC) module, and complete the wainscoting project.

The overhaul for the boiler system came out of necessity. The boiler began burning out key components and it was clear that it was prudent to overhaul the system rather than to spend more than the cost of overhauling the boiler system trying to keep it running through the end of the fiscal year.

This project cost \$6950 for parts and installation, but has shorted the airport operating fund from completing the other scheduled maintenance projects.

The installation of the entry mats is really what is in jeopardy of not being completed this fiscal year. They are worn beyond being useful and this affects the wear and tear on the main terminal flooring. Further, the entrance on the baggage claim side has far more wear and the adhesive on the mat is separating from the floor and beginning to “bubble”. As this separation grows it will create a trip hazard for the public.

For these reasons we are requesting this amendment so as to not defer this project into the next fiscal year.

ALTERNATIVES:

- 1) Council could fully fund this request.
- 2) Council could choose not to support the requested budget amendment.

FINANCIAL IMPLICATIONS:

These funds will be coming from the Airport Net Assets.

LEGAL: N/A

STAFF RECOMMENDATION:

Staff recommends approving this budget amendment

PROPOSED MOTION:

I move to approve the first reading of Ordinance 2013-15 and to send it to second reading and public hearing on 12/17/2013.

CITY MANAGER'S COMMENTS:

Attachment:

CITY OF UNALASKA
UNALASKA, ALASKA

ORDINANCE NO. 2013-16

AN ORDINANCE AMENDING SECTION 3.40.040 OF THE UNALASKA CODE OF ORDINANCES TO DELETE THE CURRENT PAY RANGE MATRIX AND ADOPT A NEW PAY RANGE MATRIX FOR TITLE 3.

BE IT ENACTED BY THE CITY COUNCIL OF THE CITY OF UNALASKA:

Section 1. Classification: This is a code ordinance.

Section 2. Effective Date: This ordinance becomes effective upon adoption.

Section 3. Legislative Findings: Section 3.40.020 of the Unalaska Code of Ordinances requires the City Manager to periodically, but no less than every four years, review the City of Unalaska pay plan and to make a report to the City Council. The purpose of the City Manager's review of the pay plan and report to the City Council is to determine the accuracy of pay ranges and to recommend to the City Council changes to the pay plan. This ordinance will delete the current City of Unalaska pay range matrix contained in UCO 3.40.040 and replace it with a new matrix hereby incorporated into this ordinance. The City Council has determined the new Pay Range Matrix reflects market conditions.

Section 4. Amendment of Subsection 3.40.040: Subsection 3.40.040 of the Unalaska Code of Ordinances is hereby deleted and replaced with the following language to incorporate the new pay range matrix.

§ 3.40.040 PAY RANGE MATRIX.

WAGE RANGES - ANNUAL

Wage Range	Minimum	Midpoint	Maximum
A10	\$ 29,565.22	\$ 34,000.00	\$ 38,434.78
A11	\$ 36,679.81	\$ 45,849.76	\$ 55,019.71
A12	\$ 38,931.77	\$ 48,664.72	\$ 58,397.66
A13	\$ 41,183.74	\$ 51,479.67	\$ 61,775.60
B21	\$ 43,442.46	\$ 54,303.08	\$ 65,163.69
B22	\$ 45,694.43	\$ 57,118.03	\$ 68,541.64
B23	\$ 47,946.39	\$ 59,932.99	\$ 71,919.59
B24/B31	\$ 50,766.42	\$ 63,458.02	\$ 76,149.63
B25/B32	\$ 54,147.75	\$ 67,684.68	\$ 81,221.62
C41	\$ 56,967.77	\$ 71,209.72	\$ 85,451.66
C42	\$ 59,816.16	\$ 74,770.20	\$ 89,724.24
C43	\$ 62,806.97	\$ 78,508.71	\$ 94,210.45
C44/C51	\$ 65,947.32	\$ 82,434.15	\$ 98,920.98
C45/C52	\$ 69,244.68	\$ 86,222.86	\$ 103,867.03
D61	\$ 69,910.50	\$ 90,883.65	\$ 111,856.80
D62	\$ 73,406.02	\$ 95,427.83	\$ 117,449.64

D63	\$ 77,076.32	\$100,199.22	\$ 123,322.12
E81	\$ 80,786.92	\$105,022.99	\$ 129,259.07
E82	\$ 84,826.26	\$110,274.14	\$ 135,722.02
E83	\$ 89,067.58	\$115,787.85	\$ 142,508.12
E84	\$ 93,520.95	\$121,577.24	\$ 149,633.53

WAGE RANGES SEMIMONTHLY

Wage Range	Minimum	Midpoint	Maximum
A10	\$1,231.88	\$1,416.67	\$1,601.45
A11	\$1,528.33	\$1,910.41	\$2,292.49
A12	\$1,622.16	\$2,027.70	\$2,433.24
A13	\$1,715.99	\$2,144.99	\$2,573.98
B21	\$1,810.10	\$2,262.63	\$2,715.15
B22	\$1,903.93	\$2,379.92	\$2,855.90
B23	\$1,997.77	\$2,497.21	\$2,996.65
B24/B31	\$2,115.27	\$2,644.08	\$3,172.90
B25/B32	\$2,256.16	\$2,820.20	\$3,384.23
C41	\$2,373.66	\$2,967.07	\$3,560.49
C42	\$2,492.34	\$3,115.43	\$3,738.51
C43	\$2,616.96	\$3,271.20	\$3,925.44
C44/C51	\$2,747.81	\$3,434.76	\$4,121.71
C45/C52	\$2,885.20	\$3,592.62	\$4,327.79
D61	\$2,912.94	\$3,786.82	\$4,660.70
D62	\$3,058.58	\$3,976.16	\$4,893.74
D63	\$3,211.51	\$4,174.97	\$5,138.42
E81	\$3,366.12	\$4,375.96	\$5,385.79
E82	\$3,534.43	\$4,594.76	\$5,655.08
E83	\$3,711.15	\$4,824.49	\$5,937.84
E84	\$3,896.71	\$5,065.72	\$6,234.73

WAGE RANGES HOURLY

Wage Range	Minimum	Midpoint	Maximum
A10	\$14.21	\$16.35	\$18.48
A11	\$17.63	\$22.04	\$26.45
A12	\$18.72	\$23.40	\$28.08
A13	\$19.80	\$24.75	\$29.70
B21	\$20.89	\$26.11	\$31.33
B22	\$21.97	\$27.46	\$32.95
B23	\$23.05	\$28.81	\$34.58
B24/B31	\$24.41	\$30.51	\$36.61
B25/B32	\$26.03	\$32.54	\$39.05

C41	\$27.39	\$34.24	\$41.08
C42	\$28.76	\$35.95	\$43.14
C43	\$30.20	\$37.74	\$45.29
C44/C51	\$31.71	\$39.63	\$47.56
C45/C52	\$33.29	\$41.45	\$49.94
D61	\$33.61	\$43.69	\$53.78
D62	\$35.29	\$45.88	\$56.47
D63	\$37.06	\$48.17	\$59.29
E81	\$38.84	\$50.49	\$62.14
E82	\$40.78	\$53.02	\$65.25
E83	\$42.82	\$55.67	\$68.51
E84	\$44.96	\$58.45	\$71.94

ENACTED THIS _____ DAY OF DECEMBER 2013.

Hon. Shirley Marquardt, Mayor

ATTEST:

Elizabeth Masoni, City Clerk

MEMORANDUM TO COUNCIL

TO: MAYOR AND CITY COUNCIL MEMBERS
FROM: PATRICK JORDAN, ASST. CITY MANAGER
THRU: CHRIS HLADICK, CITY MANAGER
FROM: DEPARTMENT OF ADMINISTRATION
DATE: NOVEMBER 21, 2013
RE: ADOPTING ORDINANCE 2013-16: AMENDING TITLE III WAGE MATRIX

SUMMARY: This memo serves to explain the proposed changes to the Title III wage matrix as shown in Ordinance 2013-16. This evening Council is considering adopting a new wage matrix for the City.

PREVIOUS COUNCIL ACTION: At the November 12, 2013 Council meeting, Council was presented with an implementation proposal from staff concerning the findings of the Compensation and Classification Study, conducted by Fox Lawson and Associates, presented at the October 21, 2013 Work Session. In addition to the overall findings of the study, a new wage matrix was also presented.

BACKGROUND: After the October 21st work session, Council requested that the City Manager look into practical and cost effective methods to implementing the findings of the Classification and Compensation Study.

DISCUSSION: The findings of the study clearly showed that many Title III positions are lagging the market; a potential solution is to implement a more competitive wage scale, as presented in the Compensation and Classification Study. At this time staff believes that the implementation process should start with Title III employees.

The structure of the proposed wage matrix is similar to the already existing Title III wage matrix in that there is a minimum/midpoint/maximum to each new Wage Grade which allows for essentially seamless implementation into the existing Title III structure.

Current Title III language reflects a 30% range spread from the minimum of the wage range to the maximum of the wage range for all wage grades (1-15); with the new wage matrix, the new salary range spread provides for a 30% spread for entry level positions (A10), a 50% spread for “upper entry level” to skilled and management/supervisory position (A11-C52) and a 60% spread for the “D” and “E” bands, which include high level management positions (D) and director level positions (E). Staff believes that the larger range spreads will help promote longevity of current staff and allow increased flexibility in determining salaries during the recruiting process for open positions.

Given the number of Title III positions currently lagging the market, current Title III recruiting needs and the ease of implementation of the new matrix, staff believes this Ordinance is the best way to begin the implementation process.

ALTERNATIVES:

1. Approve Ordinance 2013-16.
2. Not approve Ordinance 2013-16.

FINANCIAL IMPLICATIONS: Financial implications will be realized in the budget amendment, Ordinance 2013-15.

LEGAL: No legal opinion is necessary for this item.

STAFF RECOMMENDATION: Staff recommends Council approve Ordinance 2013-16, adopting the deletion of the current Title III Wage Matrix and replacing with the newly proposed Wage Matrix.

CITY MANAGER'S COMMENTS: I support staff's recommendation.

An aerial photograph of Unalaska, Alaska, showing the town, harbor, and surrounding mountains. The town is nestled in a valley, with a large harbor area containing several ships and industrial buildings. The surrounding landscape is rugged, with steep, green mountains and a cloudy sky.

City of Unalaska Overview of Fiscal Year 2013

Comprehensive Annual Financial Report

July 1, 2012 – June 30, 2013

City of Unalaska Net Position

	Governmental activities		Business-type activities		Total*	
	2013	2012	2013	2012	2013	2012
Current and other assets	\$ 121,240,843	138,435,516	58,334,966	55,620,762	179,575,809	194,056,278
Capital assets	92,712,404	90,446,870	191,094,108	159,979,269	283,806,512	250,426,139
Total assets	<u>\$ 213,953,247</u>	<u>228,882,386</u>	<u>249,429,074</u>	<u>215,600,031</u>	<u>463,382,321</u>	<u>444,482,417</u>
Long-term liabilities	\$ 6,542,321	7,218,356	42,331,333	39,924,492	48,873,654	47,142,848
Other liabilities	50,562,954	71,892,892	6,926,211	5,582,811	57,489,165	77,475,703
Total liabilities	<u>\$ 57,105,275</u>	<u>79,111,248</u>	<u>49,257,544</u>	<u>45,507,303</u>	<u>106,362,819</u>	<u>124,618,551</u>
Net position:						
Invested in capital assets, net						
of related debt	\$ 87,329,497	84,763,100	154,862,931	125,055,086	242,192,428	209,818,186
Unrestricted	69,518,475	65,008,038	45,308,599	45,037,642	114,827,074	110,045,680
Net position	<u>\$ 156,847,972</u>	<u>149,771,138</u>	<u>200,171,530</u>	<u>170,092,728</u>	<u>357,019,502</u>	<u>319,863,866</u>

* To facilitate ease of presentation, the total columns do not include the elimination of \$50,674,630 of internal balances.

Net Position

City of Unalaska Revenues

	Governmental activities		Business-type activities		Total	
	2013	2012	2013	2012	2013	2012
Revenues						
Program revenues:						
Charges for services	\$ 290,495	218,236	29,736,160	29,979,860	30,026,655	30,198,096
Operating grants and contributions	1,635,032	1,620,078	1,064,896	994,862	2,699,928	2,614,940
Capital grants and contributions	59,292	21,023	22,721,175	4,544,648	22,780,467	4,565,671
General revenues:						
Property taxes	4,987,005	4,749,706	—	—	4,987,005	4,749,706
General sales tax	13,272,143	13,960,079	—	—	13,272,143	13,960,079
Raw seafood tax	4,784,198	5,260,999	—	—	4,784,198	5,260,999
Fisheries tax	11,422,361	9,323,933	—	—	11,422,361	9,323,933
Investment earnings	436,013	1,067,295	—	—	436,013	1,067,295
Other	2,415,726	1,930,824	16,460	—	2,432,186	1,930,824
Total revenues	39,302,265	38,152,173	53,538,691	35,519,370	92,840,956	73,671,543

Revenues

City of Unalaska Expenses

	Governmental activities		Business-type activities		Total	
	2013	2012	2013	2012	2013	2012
Expenses:						
General government	\$ 4,438,615	4,123,313	—	—	4,438,615	4,123,313
Public safety	5,002,794	4,268,613	—	—	5,002,794	4,268,613
Public works	6,595,282	6,746,102	—	—	6,595,282	6,746,102
Parks, culture, and recreation	3,494,437	3,208,371	—	—	3,494,437	3,208,371
Community support	1,257,948	931,803	—	—	1,257,948	931,803
Education	4,482,999	4,736,055	—	—	4,482,999	4,736,055
Interest on long-term debt	148,656	431,243	—	—	148,656	431,243
Electric	—	—	16,981,402	16,764,882	16,981,402	16,764,882
Water	—	—	2,372,204	2,248,290	2,372,204	2,248,290
Wastewater	—	—	1,818,391	2,302,410	1,818,391	2,302,410
Solid waste	—	—	2,220,450	2,093,139	2,220,450	2,093,139
Ports and harbors	—	—	5,504,361	4,407,784	5,504,361	4,407,784
Airport	—	—	839,962	787,183	839,962	787,183
Housing	—	—	527,819	736,031	527,819	736,031
Total expenses	25,420,731	24,445,500	30,264,589	29,339,719	55,685,320	53,785,219

Expenses

City of Unalaska Changes in Net Position

	Governmental activities		Business-type activities		Total	
	2013	2012	2013	2012	2013	2012
Total revenues	\$ 39,302,265	38,152,173	53,538,691	35,519,370	92,840,956	73,671,543
Total expenses	<u>25,420,731</u>	<u>24,445,500</u>	<u>30,264,589</u>	<u>29,339,719</u>	<u>55,685,320</u>	<u>53,785,219</u>
Increase in net position before transfers	13,881,534	13,706,673	23,274,102	6,179,651	37,155,636	19,886,324
Transfers	<u>(6,804,700)</u>	<u>(19,580,362)</u>	<u>6,804,700</u>	<u>19,580,362</u>	<u>—</u>	<u>—</u>
Increase (decrease) in net position before transfers	7,076,834	(5,873,689)	30,078,802	25,760,013	37,155,636	19,886,324
Net position, beginning of year	<u>149,771,138</u>	<u>155,644,827</u>	<u>170,092,728</u>	<u>144,332,715</u>	<u>319,863,866</u>	<u>299,977,542</u>
Net position, end of year	<u>156,847,972</u>	<u>149,771,138</u>	<u>200,171,530</u>	<u>170,092,728</u>	<u>357,019,502</u>	<u>319,863,866</u>

Changes in Net Position

City of Unalaska Transfers

Transfers in	Transfers out				
	General Fund	1% Sales Tax	Capital Project Fund	Electric Fund	Total
General Fund	\$ —	1,200,000	225,714	379,019	1,804,733
1% Sales tax	—	—	3,144	—	3,144
Street paving	3,100,000	2,000,000	—	—	5,100,000
Capital Project Fund	5,450,000	3,000,000	—	—	8,450,000
Proprietary Funds					
Electric	2,000,000	—	—	—	2,000,000
Water	3,600,000	—	—	—	3,600,000
Ports and Harbors	1,103,719	—	—	—	1,103,719
Airport	330,000	—	—	—	330,000
Housing	150,000	—	—	—	150,000
	<u>15,733,719</u>	<u>6,200,000</u>	<u>228,858</u>	<u>379,019</u>	<u>22,541,596</u>

Transfers

\$40+ million recorded in FY13 as Capital Assets.

➤ Paving Projects

- \$4 million from the General Fund

➤ Ballyhoo Drainage & Electrical Improvements

- \$1+ million from the General Fund
- \$432,066 from the Electrical Fund

➤ Junior/Senior High School Improvements

- \$448,993

➤ New Ambulance

- \$156,875

Major Capital Asset Events

- New Power Plant down payment on a fourth engine
 - \$382,889
- Replacement for Chlorine Tank ladder & interior maintenance
 - \$131,448 from the Water Utility
- Wastewater Treatment Plant Improvements construction
 - \$6,562,417
- Replacements & upgrades for two Sewer Lift Station Panels
 - \$196,303 from the Wastewater Utility
- The Summer Bay Road realignment
 - \$1,391,428 from the Solid Waste Utility

Major Capital Asset Events (continued)

- Construction on the Leachate Flow Leveling Project
 - \$6,292,589
- UMC Position 4-7 Fender System Repair Project
 - \$326,585
- UMC Backreach Grading & Drainage Project
 - \$434,610 from the Port
- Recognized Carl E. Moses Small Boat Harbor Breakwaters
 - \$19,917,650 - costs incurred by the U.S. Army Corps of Engineers
 - \$2,097,013 - City will repay the Corps in Fiscal Year 2015
 - \$190,497 in additional construction costs

Major Capital Asset Events (continued)

City of Unalaska Long-Term Debt

	Governmental activities		Business-type activities		Total	
	2013	2012	2013	2012	2013	2012
General obligation bonds	\$ 5,720,000	6,140,000	4,450,000	4,635,000	10,170,000	10,775,000
Revenue bonds	—	—	28,715,000	29,560,000	28,715,000	29,560,000
Other contracts and loans	—	—	221,818	265,282	221,818	265,282
Total long-term debt	5,720,000	6,140,000	33,386,818	34,460,282	39,106,818	40,600,282

Does not include compensated absences or landfill closure/post-closure costs.

Long-Term Debt

Questions?

CITY OF UNALASKA
UNALASKA, ALASKA
RESOLUTION 2013- 85

A RESOLUTION OF THE UNALASKA CITY COUNCIL AMENDING EXISTING HOUSING POLICIES HOU-2, ESTABLISHMENT OF RENT FOR CITY HOUSING, AND HOU-14, ESTABLISHMENT OF STANDARDS AND RENTS FOR 8-PLEX CITY HOUSING

WHEREAS, Unalaska City Code Section 3.60.080 states, "As long as the City Council considers the housing shortage within the community critical and deems it necessary for the City government to provide some housing accommodations for certain employees, then, the City Council shall periodically adopt by resolution a City housing policy to be administered by the City Manager"; and

WHEREAS, the Unalaska City Council adopted housing policies for city housing on October 25, 1994; and

WHEREAS, the Unalaska City Council has reviewed proposed amendments to Housing Policies HOU-02, Establishment of Rent for City Housing, and HOU-14, Establishment of Standards and Rents for 8-Plex City Housing;

NOW THEREFORE BE IT RESOLVED THAT the Unalaska City Council amends the following housing policies to state that, effective March 1, 2014, total rent amounts for the 8-Plex Apartments, located at 18 Ptarmigan Road in Unalaska, Alaska shall be as follows:

\$1,600 per month for a two bedroom unit
\$2,000 per month for a three bedroom unit

NUMBER	TITLE
HOU-02	Establishment of Rent for City Housing
HOU-14	Establishment of Standards and Rents for 8-plex City Housing

PASSED AND ADOPTED BY A DULY CONSTITUTED QUORUM OF THE UNALASKA CITY COUNCIL THIS 17TH DAY OF DECEMBER 2013.

MAYOR

Attest:

CITY CLERK

MEMORANDUM TO CITY COUNCIL

TO: MAYOR AND CITY COUNCIL MEMBERS

FROM: DEBBIE HANSON-ZUEGER, RISK MANAGER
PATRICK JORDAN, ASSISTANT CITY MANAGER

THRU: CHRIS HLADICK, CITY MANAGER

DATE: DECEMBER 17, 2013

RE: APPROVAL OF RESOLUTION 2013-85, AMENDING EXISTING HOUSING POLICIES HOU-2, ESTABLISHMENT OF RENT FOR CITY HOUSING, AND HOU-14, ESTABLISHMENT OF STANDARDS AND RENTS FOR 8-PLEX CITY HOUSING

SUMMARY: Title 3.60.080 requires the City Council to periodically review the established housing policies and to adopt any changes by resolution. On April 24, 2012, City Council approved Resolution 2012-24, which took effect January 1, 2013, and set city rent at \$1 per square foot and implemented a shared utility bill charging tenants a pro-rata share of actual costs for boiler room electricity, water, wastewater, landfill fees and heating fuel costs. This resolution effectively created a set base rent amount, plus a variable shared utility fee that together comprised the monthly rent. Since tenants moved into the 8-plex in December 2011, city staff has collected boiler room and heating fuel costs in order to determine an average monthly cost for shared utilities, to eventually combine the shared utility fees and the base rent into a fixed monthly rent. Staff compiled and averaged two years' of shared utility fees for the 8-plex, which is sufficient data to determine a monthly average utility fee. All other housing policies remain unchanged.

PREVIOUS COUNCIL ACTION: Council has taken the following action in regard to the 8-plex Employee Housing rental rates:

- Approved Resolution 2011-56 on October 25, 2011, adopting Housing Policy HOU-14 Amending Existing Housing policies by establishing Standards and Rents for 8-plex City Housing.
- Approved Resolution 2012-24 on April 24, 2012, amending Housing Policies HOU-02 "Establishment of Rent for City Housing" and HOU-14 "Establishment of Standards and Rents for 8-plex City Housing".

BACKGROUND: On April 24, 2012, City Council passed Resolution 2012-24, which set City base rents at \$1 per square foot and directed that tenants be charged actual costs for boiler room electricity, water, wastewater, and landfill fee, in addition to heating fuel. Beginning January 1, 2013, Housing, in conjunction with Finance, began collecting the new base rents of \$1,315.00 and \$1,665.00, and sent tenants the first Shared Utilities bill which spread the shared utilities costs equitably among all tenants.

Consideration was given to building the shared utility costs into the monthly base rent, but at the time we did not have the history necessary to fairly establish a monthly shared utility amount. Since December 2011 we have been collecting utility cost history and can now arrive at an accurate monthly cost for shared utilities per unit, determined by square footage.

DISCUSSION: The current base rental rate for city housing is \$1 per square foot. In the 8-Plex a two-bedroom unit is \$1,315 per month and a three-bedroom unit is \$1,665 per month. “Shared Utilities” costs were not built into the rent when the \$1.00 per square foot rate was established, leaving the tenants to individually pay to the city their portion of the Shared Utility bill every month, and requiring administrative resources on the city’s part to collect those bills. An important difficulty created by the current system, which has served to compel staff to bring this matter forward at this time, is collection of a tenant’s shared utility bill after they have moved out or left the employ of the city. Combining an average of the shared utility cost with the base monthly rent will accomplish three important objectives:

- It will alleviate the problem of collecting shared utility costs from former employees/tenants;
- It will save administrative resources utilized now in calculating and collecting shared utility costs from tenants; and
- It will allow tenants to accurately budget their living expenses.

Combining an average of the shared utility costs with the base rent results in the following amounts for two and three-bedroom units:

Two-bedroom, 1,315 square foot unit:

Average shared utility cost of \$284.17 + base rent \$1,315 = \$1,599.17

Three-bedroom, 1,665 square foot unit:

Average shared utility cost of \$361.07 + base rent \$1,665 = \$2,026.07

Resolution 2013-85 will set rent at \$1,600 per month for a two-bedroom unit and \$2,000 per month for three-bedroom unit in the city 8-plex. Effective date will be March 1, 2014 to allow time for tenant notification and to make necessary changes to leases and payroll paperwork.

ALTERNATIVES: Council can adopt Resolution 2013-85 allowing for the average shared utility

costs to be combined with the base rent, resulting in fixed monthly rental rates for tenants; Council can choose to leave the policy as is.

FINANCIAL IMPLICATIONS: There are no financial implications for either the city or the tenant. However, the city will save administrative resources expended in calculating and collecting shared utility bills. Tenants will be able to budget accurately with a fixed monthly rent.

LEGAL: There are no legal ramifications associated with this addition to the policies.

STAFF RECOMMENDATION: Staff recommends council approve Resolution 2013-85

PROPOSED MOTION: "I move to approve Resolution 2013-85 effective March 1, 2014, adjusting rental rates by allowing average shared utility costs to be combined with the base rent, resulting in fixed monthly rental rates for tenants and the cessation of the monthly shared utility bill."

CITY MANAGER'S COMMENTS: I recommend approval of the policies and rates herein.

Attachment

Report "8-plex 2 & 3 Bedroom Current Base Rents Plus Utilities...Costs."

8-PLEX 2 & 3 BEDROOM BASE RENT PLUS UTILITIES (HEATING FUEL & *BOILER ROOM) ACTUAL COSTS

*Boiler Room includes water, sewer, landfill & systems/common area electricity

24 MONTH AVERAGES

TOTAL	2 BDRM	\$1,599.17
TOTAL	3 BDRM	\$2,026.07

2012

TWO BEDROOM

2012	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Jul-12	Aug-12	Sep-12	Oct-12	Nov-12	Dec-12	2012 Two Bdrm. Average
Base Rent	\$ 1,315.00	\$1,315.00	\$ 1,315.00	\$1,315.00	\$ 1,315.00	\$ 1,315.00	\$1,315.00	\$ 1,315.00	\$1,315.00	\$ 1,315.00	\$ 1,315.00	\$ 1,315.00	
BR+HF Costs	\$ 389.19	\$ 403.03	\$ 371.47	\$ 376.53	\$ 317.72	\$ 276.29	292.33	\$ 186.62	\$ 206.12	\$ 182.97	\$ 241.72	\$ 265.40	\$ 292.45
Total Costs	\$ 1,704.19	\$1,718.03	\$ 1,686.47	\$1,691.53	\$ 1,632.72	\$ 1,591.29	\$1,607.33	\$ 1,501.62	\$1,521.12	\$ 1,497.97	\$ 1,556.72	\$ 1,580.40	\$1,607.45

THREE BEDROOM

2012	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Jul-12	Aug-12	Sep-12	Oct-12	Nov-12	Dec-12	2012 Three Bdrm. Average
Base Rent	\$ 1,665.00	\$1,665.00	\$ 1,665.00	\$1,665.00	\$ 1,665.00	\$ 1,665.00	\$1,665.00	\$ 1,665.00	\$1,665.00	\$ 1,665.00	\$ 1,665.00	\$ 1,665.00	
BR+HF Costs	\$ 494.75	\$ 512.36	\$ 472.19	\$ 478.62	\$ 403.78	\$ 351.05	\$ 371.46	\$ 236.92	\$ 261.75	\$ 232.27	\$ 307.05	\$ 337.19	\$ 371.62
Total Costs	\$ 2,159.75	\$2,177.36	\$ 2,137.19	\$2,143.62	\$ 2,068.78	\$ 2,016.05	\$2,036.46	\$ 1,901.92	\$1,926.75	\$ 1,897.27	\$ 1,972.05	\$ 2,002.19	\$2,036.62

Jan. 2012 was the first tenant utility bill, because tenants moved into the 8-Plex in Dec. 2011

2012 figures were arrived at by using actual costs from 8-Plex Boiler Room & Heating Fuel bills. Tenants were actually charged for Boiler Room Water/ Sewer/ Landfill costs only

2013

TWO BEDROOM

2013	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13	2013 Two Bdrm. Average
Base Rent	\$ 1,315.00	\$1,315.00	\$ 1,315.00	\$1,315.00	\$ 1,315.00	\$ 1,315.00	\$1,315.00	\$ 1,315.00	\$1,315.00	\$ 1,315.00	\$ 1,315.00	\$ 1,315.00	
BR+HF Costs	\$ 354.93	\$ 273.61	\$ 308.20	\$ 316.84	\$ 437.43	\$ 326.62	\$ 166.46	\$ 251.21	\$ 194.59	\$ 118.99	\$ 286.06	\$ 275.82	\$ 275.90
Total Costs	\$ 1,669.93	\$1,588.61	\$ 1,623.20	\$1,631.84	\$ 1,752.43	\$ 1,641.62	\$1,481.46	\$ 1,566.21	\$1,509.59	\$ 1,433.99	\$ 1,601.06	\$ 1,590.82	\$1,590.90

THREE BEDROOM

2013	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13	2013 Three Bdrm. Average
Base Rent	\$ 1,665.00	\$1,665.00	\$ 1,665.00	\$1,665.00	\$ 1,665.00	\$ 1,665.00	\$1,665.00	\$ 1,665.00	\$1,665.00	\$ 1,665.00	\$ 1,665.00	\$ 1,665.00	
BR+HF Costs	\$ 451.14	\$ 347.63	\$ 391.67	\$ 402.66	556.14	415.11	211.27	319.07	\$ 247.01	\$ 150.79	\$ 363.43	\$ 350.38	\$ 350.53
Total Costs	\$ 2,116.14	\$2,012.63	\$ 2,056.67	\$2,067.66	\$ 2,221.14	\$ 2,080.11	\$1,876.27	\$ 1,984.07	\$1,912.01	\$ 1,815.79	\$ 2,028.43	\$ 2,015.38	\$2,015.53

In Jan. 2013 tenants, per ordinance passed by Council, began paying all 8-Plex Boiler Room and heating fuel costs. Base Rent increased to a \$1 per sq. ft.

**CITY OF UNALASKA
UNALASKA, ALASKA**

RESOLUTION 2013-45

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF UNALASKA, AUTHORIZING THE CITY MANAGER TO ENTER INTO A MEMORANDUM OF AGREEMENT BETWEEN THE QAWALANGIN TRIBE OF UNALASKA AND THE CITY OF UNALASKA TO FUND CONSTRUCTION PROJECTS AND ALLOWABLE TRIBAL TRANSPORTATION PROGRAM (TTP) ACTIVITIES FOR THE BENEFIT OF THE COMMUNITY OF UNALASKA

WHEREAS, the City of Unalaska, Alaska and the Qawalangin Tribe of Unalaska have determined there is a need to establish a cooperative relationship to fund construction projects and allowable Tribal Transportation Program (TTP) activities for the benefit of the community of Unalaska; and

WHEREAS, the Tribe and the City each recognize responsibilities and interests in the establishment of cooperative relationships that meet the needs of both the Tribal and the City governments; and

WHEREAS, the Tribe has available information and resources that can be beneficial to the City, including Tribal Transportation Program (TTP) funds which may be used for eligible TTP Program activities; and

WHEREAS, the City has available information and resources that can be beneficial to the Tribe, including construction expertise, equipment, and other resources.

WHEREAS, the Parties desire to establish a cooperative government-to-government relationship that will provide for the most efficient use of the Parties' respective resources to benefit the community of Unalaska.

NOW, THEREFORE, BE IT RESOLVED that the City Council of the City of Unalaska authorizes the City Manager to enter into an agreement with the Qawalangin Tribe of Unalaska, to fund construction projects and allowable Tribal Transportation Program (TTP) activities for the benefit of the community of Unalaska.

PASSED AND ADOPTED BY A DULY CONSTITUTED QUORUM OF THE UNALASKA CITY COUNCIL THIS 17TH DAY OF DECEMBER 2013.

MAYOR

ATTEST:

CITY CLERK

MEMORANDUM TO COUNCIL

TO: MAYOR AND CITY COUNCIL MEMBERS
THRU: CHRIS HLADICK, CITY MANAGER
FROM: NANCY PETERSON, DPW DIRECTOR
DATE: NOVEMBER 26, 2013
RE: APPROVAL OF RESOLUTION 2013-45, AUTHORIZING THE CITY MANAGER TO ENTER INTO A MEMORANDUM OF AGREEMENT BETWEEN THE QAWALANGIN TRIBE OF UNALASKA AND THE CITY OF UNALASKA TO FUND CONSTRUCTION PROJECTS AND ALLOWABLE TRIBAL TRANSPORTATION PROGRAM (TTP) ACTIVITIES FOR THE BENEFIT OF THE COMMUNITY OF UNALASKA.

SUMMARY: Resolution 2013-45 will authorize the City Manager to enter into a Memorandum of Agreement (MOA) with the Qawalangin Tribe of Unalaska to establish a cooperative relationship to fund construction projects and allowable Tribal Transportation Program (TTP) activities.

City staff and the City Attorney have been working with the Qawalangin Tribe for several months to develop an appropriate MOA that will establish the framework for allowing the City and the Tribe to work together on the Summer Bay Bridge Replacement Project. Brooks Chandler, the City's attorney and James Glaze, the Tribe's attorney have put together the attached MOA for consideration and approval by both parties.

PREVIOUS COUNCIL ACTION: City Council's most recent actions over the last eight years include the following:

- Approved Resolution 2013-25, on May 14, 2013, approving the FY14-18 Capital and Major Maintenance Plan, identifying the Summer Bay Bridge Project as a component of the Plan.
- Approved Ordinance 2013-02, on May 14, 2013, adopting the FY14 Capital and Operating budget, allocating \$1,770,000 to the Summer Bay Bridge Replacement project.

BACKGROUND: The Qawalangin Tribe received a grant to complete the design of the replacement of the Summer Bay Bridge in 2011. The design was completed and the Tribe then applied for a grant for the construction of the new bridge. The Tribe was successful in obtaining a construction grant in the amount of \$1,000,000. The Tribe has also pledged to provide an additional \$220,307 in Tribal Transportation Program (TTP) funds to assist with the construction of a new bridge.

The City approved an additional \$550,000 in the FY14 Capital budget to assist with the bidding, construction and inspection of the bridge project.

This Resolution will establish the foundation for the cooperative relationship between the City and the Tribe to complete the Summer Bay Bridge Replacement project, as well as other future projects.

DISCUSSION: The City and the Tribe have been working on the Summer Bay Bridge Replacement project since 2010. The Tribe took the lead in obtaining grant funding to pay for the design and construction of the project, and has been very successful in obtaining a significant amount of funding for the project. The City has assisted the Tribe with contracting and budgeting support.

Now that the grant funding has been obtained, the City will take the lead in soliciting bids, awarding a construction contract and managing the construction and inspection of the project.

In order to transfer the grant money to the City for use in the project, the City and the Tribe must enter into a Memorandum of Agreement (MOA) to formally establish each party's roles and responsibilities.

The attached MOA has been developed by the City's and Tribe's attorneys to establish a cooperative government-to-government relationship that will provide for the most efficient use of the Parties' respective resources to benefit the community of Unalaska.

ALTERNATIVES: The City Council could choose to not enter into a MOA with the Qawalangin Tribe and decline to participate in the replacement of the Summer Bay Bridge.

FINANCIAL IMPLICATIONS: The estimated cost for the Summer Bay Bridge Replacement project is \$1,770,000. The City is providing \$550,000 and the Tribe is providing \$1,220,307 in grant funding for the project.

LEGAL: The MOA has been drafted by the City's attorney, Brooks Chandler.

STAFF RECOMMENDATION: The Public Works Department recommends approval of Resolution 2013-45.

PROPOSED MOTION: I move to approve Resolution 2013-45.

CITY MANAGER'S COMMENTS: I recommend approval of this MOA to formally establish the cooperative relationship with the Qawalangin Tribe of Unalaska for the Summer Bay Bridge Replacement project.

Attachments:

1. MOA

NOVEMBER 17, 2013

MEMORANDUM OF AGREEMENT BETWEEN
THE QAWALANGIN TRIBE OF UNALASKA
AND
THE CITY OF UNALASKA

ARTICLE I. PURPOSE

This Memorandum of Agreement (Agreement) is entered into by and between the Qawalangin Tribe of Unalaska (Tribe), a federally recognized Indian Tribe organized pursuant to the Indian Reorganization Act of 1934, and the City of Unalaska (City), Alaska (collectively “the Parties”). The purpose of this Agreement shall be to establish a cooperative relationship between the Parties to fund construction projects and allowable Tribal Transportation Program (TTP) activities for the benefit of the community of Unalaska as a whole. This MOA promotes legitimate mutual governmental and proprietary interests in these projects.

The Tribe and the City each recognize responsibilities and interests in the establishment of cooperative relationships that meet the needs of both the Tribal and the City governments. The Tribe, in managing tribal affairs, has available information and resources that can be beneficial to the City, including Tribal Transportation Program (TTP) Program funds which may be used for eligible TTP Program activities. The City, in managing municipal affairs, has available information and resources that can be beneficial to the Tribe, including construction expertise, equipment, and other resources. Thus, the Parties desire to establish a cooperative government-to-government relationship that will provide for the most efficient use of the Parties’ respective resources to benefit the community of Unalaska.

ARTICLE II. STATEMENT OF WORK

In consideration of the above declaration of purpose, the Parties agree to do the following:

A. THE TRIBE SHALL:

1. Make Tribal transportation resources and professional expertise available for the furtherance of the cooperative relationship envisioned by this Agreement, subject to approval of the Tribal Council and applicable tribal and federal laws and regulations, including but not limited to 25 U.S.C. § 450e et. seq., 25 U.S.C. § 458 et. seq. and 25 C.F.R. Part 170.
2. Cooperate in the planning, implementation and monitoring of the project work undertaken pursuant to this Agreement.

3. Transfer transportation funding to the City for planned and agreed upon projects as specified in the Tribe's Tribal Transportation Improvement Program (TTIP) and budget.
4. Assign a Tribal liaison officer who shall serve as a point of contact for matters concerning transportation activities undertaken pursuant to this Agreement.
5. Provide reports to federal agencies, as required by applicable federal laws and regulations regarding the expenditure of the Tribe's TTP Program funds for construction purposes, based on information the Tribe receives from the City.

B. THE CITY SHALL:

1. Make City resources and professional expertise available for the furtherance of the cooperative relationship envisioned by this Agreement, subject to the approval of the City of Unalaska City Council, as well as applicable laws and regulations.
2. Cooperate in the planning, implementation, and monitoring of project work undertaken pursuant to this Agreement.
3. Provide the Tribe with all documentation regarding the expenditure of TTP Program funds that the Tribe deems necessary to comply with any reporting obligation required by applicable federal laws and regulations. At a minimum, the City agrees to provide the Tribe with quarterly project and budget reports on projects conducted with the Tribes TTP Program funding.
4. Comply with all applicable federal laws and regulations that govern the use of federal TTP Program funds. The City agrees that TTP Program funds transferred by the Tribe will only be used for allowable activities under TTP Program regulations, 25 C.F.R. Part 170, and applicable federal laws.
5. Assign a Municipal liaison officer who shall serve as a point of contact for matters concerning transportation activities undertaken pursuant to this Agreement.

C. THE PARTIES MUTUALLY AGREE AND UNDERSTAND:

1. The Parties will meet as needed, to develop guidelines for cooperative relationships and proposals to meet the purposes of this Agreement including, but not limited to, transportation and related infrastructure.
2. This Agreement in no way restricts the Parties from participating with other public and private agencies, organizations, and individuals, or from accepting contributions and donations from other similar agreements or projects.
3. Nothing in this Agreement shall obligate either the Tribe or the City in the expenditure of funds, or future payment of money, in excess of the appropriations authorized by law and approved for allocation by the governing body of either Party.

4. The Tribe and the City understand and agree that each respective government shall bear the responsibility for its own acts, and any resulting liability, and shall not bear any responsibility for the actions of the other Party under this Agreement. The Parties further understand and agree that this Agreement is not intended to confer any enforceable legal rights against the other Party and that the only remedy for a dispute arising under the terms of this Agreement shall be the termination of the Agreement, pursuant to Article III, Section C of this Agreement.

ARTICLE III. TERMS OF AGREEMENT

A. DURATION OF AGREEMENT

This Agreement shall take effect upon approval of both Parties and shall remain in effect unless and until revised or terminated pursuant to the terms of the Agreement.

B. REVISION OF AGREEMENT

The terms of this Agreement may be revised as necessary, by mutual consent of both Parties, by issuance of a written amendment, signed and dated by the proper representatives of each government.

C. TERMINATION OF AGREEMENT

This Agreement may be terminated by either Party, with or without cause, upon ten (10) days written notice.

D. SOVEREIGN IMMUNITY AND FEDERAL TORT CLAIMS COVERAGE

The Parties understand and agree that the Tribe is a federally recognized Indian tribe which possesses sovereign immunity from suit. Nothing in this Agreement shall be construed as a waiver of the sovereign immunity of the Tribe, Tribal Council members, Tribal employees or other Tribal officials for any purpose whatsoever. The Parties further understand and agree that the Tribe, Tribal Council members, Tribal employees and other Tribal officials are carrying out the provisions of the Tribe's federal self-determination and self-governance agreements when taking actions pursuant to this Agreement and, as such, the Tribe is deemed to be a federal entity and its employees and officials are deemed to be federal employees entitled to protections of the Federal Tort Claims Act, pursuant to Public Law 101-512, Title III, § 314 *et seq.*

E. NO THIRD PARTY BENEFICIARY RIGHTS

Nothing in this Agreement creates, nor shall this Agreement be construed in any way to create, any third party beneficiary rights in any person not a party to this Agreement.

F. WARRANTY OF AUTHORITY

This Agreement is binding upon the signatories hereto not as individuals, but solely in their capacities as officials of their respective governments. The City and Tribe hereby warrant that City and Tribe have been properly authorized to enter into this Agreement and that the signatories hereto have been properly authorized to sign this Agreement.

G. APPLICABLE AUTHORITIES

This Agreement contemplates construction work with the use TTP Program funds that the Tribe receives under a Public Law 93-638 Self-Governance Compact, Annual Funding Agreement (AFA) and TTP Program Addendum between Qawalangin Tribe of Unalaska and the United States. As such, the use of funds is governed by terms of the Tribe's Compact, AFA, and TTP Program Addendum, the Indian Self-Determination and Education Assistance Act (ISDEAA), 25 U.S.C. 450 *et seq.*, as amended, Title 23 of the United States Code, as amended by the Safe, Accountable, Flexible, Efficient Transportation Equity Act – A Legacy for Users (SAFETEA-LU), Pub. L. 109-59, and the Moving Ahead for Progress in the 21st Century (MAP-21), Pub. L. 112-141, 25 C.F.R. Part 170 and 25 C.F.R. Part 1000. The Parties understand and agree that all legal obligations applicable to the Qawalangin Tribe of Unalaska under these authorities shall govern the use of TTP Program funds for construction work contemplated by this Agreement.

H. HIRING PRACTICES

Alaska law prohibits the City of Unalaska from establishing racial preferences for hiring of city employees. The City will, however, include the Tribal Liaison Officer in the employee selection process for work on any projects carried out under this Agreement. The Tribal Liaison Officer: 1) will be given copies of all job applications submitted to the City; 2) may participate in all interviews of potential employees; 3) may participate in any scoring or review of job applicants or contactors applying, proposing or bidding for work carried out under this Agreement.

ARTICLE IV. EFFECTIVE DATE

IN WITNESS WHEREOF, the Tribe and the City, through their authorized representatives, execute this Agreement on dates set forth below.

QAWALANGIN TRIBE OF UNALASKA

CITY OF UNALASKA

Hon. Denise Rankin, Tribal President

Chris Hladick, City Manager

**CITY OF UNALASKA
UNALASKA, ALASKA**

RESOLUTION 2013-83

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF UNALASKA, AUTHORIZING THE CITY MANAGER TO ENTER INTO AN AGREEMENT WITH THE QAWALANGIN TRIBE OF UNALASKA TO CONSTRUCT THE SUMMER BAY BRIDGE REPLACEMENT PROJECT.

WHEREAS, the Replacement of the Summer Bay Bridge is a component of the approved CMMP; and

WHEREAS, the City of Unalaska, Alaska and the Qawalangin Tribe of Unalaska have determined there is a need to establish a project agreement to oversee the construction of the Summer Bay Bridge Replacement project; and

WHEREAS, the Tribe and the City each recognize specific roles and responsibilities for the construction of the project; and

WHEREAS, the Parties desire to establish a cooperative project agreement for the construction of the Summer Bay Bridge Replacement project;

NOW, THEREFORE, BE IT RESOLVED that the City Council of the City of Unalaska authorizes the City Manager to enter into an agreement with the Qawalangin Tribe of Unalaska to construct the Summer Bay Bridge Replacement Project.

PASSED AND ADOPTED BY A DULY CONSTITUTED QUORUM OF THE UNALASKA CITY COUNCIL THIS 17TH DAY OF DECEMBER 2013.

MAYOR

ATTEST:

CITY CLERK

MEMORANDUM TO COUNCIL

TO: MAYOR AND CITY COUNCIL MEMBERS
THRU: CHRIS HLADICK, CITY MANAGER
FROM: NANCY PETERSON, DPW DIRECTOR
DATE: NOVEMBER 26, 2013
RE: APPROVAL OF RESOLUTION 2013-83, AUTHORIZING THE CITY MANAGER TO ENTER INTO AN AGREEMENT WITH THE QAWALANGIN TRIBE OF UNALASKA TO CONSTRUCT THE SUMMER BAY BRIDGE REPLACEMENT PROJECT.

SUMMARY: Resolution 2013-83 will authorize the City Manager to enter into Project Agreement with the Qawalangin Tribe of Unalaska to construct the Summer Bay Bridge Replacement Project.

Resolution 2013-45, approved an overall Memorandum of Agreement with the Qawalangin Tribe to establish a cooperative government-to-government relationship and to fund construction of allowable projects. This resolution will approve the Project Agreement specifically for the construction the Summer Bay Bridge Replacement project.

PREVIOUS COUNCIL ACTION: City Council's most recent actions over the last eight years include the following:

- Approved Resolution 2013-25, on May 14, 2013, approving the FY14-18 Capital and Major Maintenance Plan, identifying the Summer Bay Bridge Project as a component of the Plan.
- Approved Ordinance 2013-02, on May 14, 2013, adopting the FY14 Capital and Operating budget, allocating \$1,770,000 to the Summer Bay Bridge Replacement project.
- Approved Resolution 2013-45, on December 17, 2013, authorizing the City Manager to enter into a Memorandum of Agreement between the Qawalangin Tribe of Unalaska and the City of Unalaska to fund construction projects and allowable tribal Transportation Program (TTP) activities for the benefit of the community of Unalaska. (*PENDING ACTION ON 12/17/13*)

BACKGROUND: The Qawalangin Tribe received a grant to complete the design of the replacement of the Summer Bay Bridge in 2011. The design was completed and the Tribe then applied for a grant for the construction of the new bridge. The Tribe was successful in obtaining a construction grant in the amount of \$1,000,000. The Tribe has also pledged to

provide an additional \$220,307 in Tribal Transportation Program (TTP) funds to assist with the construction of a new bridge.

The City approved an additional \$550,000 in the FY14 Capital budget to assist with the bidding, construction and inspection of the bridge project.

DISCUSSION: Earlier on the Council's December 17, 2013 Agenda, the City Council has been asked to approve Resolution 2013-45 – Establishing a Memorandum of Agreement (MOA) with the Q-Tribe to fund construction projects in Unalaska. Resolution #2013-83 now approves an Agreement specific to the construction of the Summer Bay Bridge Replacement project.

The attached Project Agreement has been developed by the City's and Tribe's attorneys to establish the roles and responsibilities for each entity – specifically for the construction of the Summer Bay Bridge Replacement project.

ALTERNATIVES: The City Council could choose to not enter into the Project Agreement with the Qawalangin Tribe and therefore decline to participate in the replacement of the Summer Bay Bridge project.

FINANCIAL IMPLICATIONS: The estimated cost for the Summer Bay Bridge Replacement project is \$1,770,000. The City is providing \$550,000 and the Tribe is providing \$1,220,307 in grant funding for the project.

LEGAL: The Project Agreement has been drafted by the City's attorney, Brooks Chandler.

STAFF RECOMMENDATION: The Public Works Department recommends approval of Resolution 2013-83.

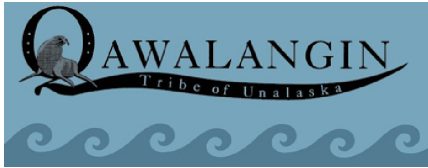
PROPOSED MOTION: I move to approve Resolution 2013-83.

CITY MANAGER'S COMMENTS: I recommend approval of this Project Agreement for the construction of the Summer Bay Bridge Replacement project.

Attachments:

1. Project Agreement

PROJECT AGREEMENT



Qawalangin Tribe of Unalaska



City of Unalaska

Summer Bay Bridge Replacement Project Unalaska, Alaska

BIA Contract Number: CTE01T51004 and A11AV00105
December 2013

PROJECT LOCATION

The City of Unalaska overlooks Iliuliuk Bay and Dutch Harbor on Unalaska Island in the Aleutian Chain. It lies 800 air miles from Anchorage (a two to three-hour flight) and 1,700 miles northwest of Seattle. The name Dutch Harbor is often applied to the portion of the city on Amaknak Island, which is connected to Unalaska Island by bridge. Dutch Harbor is actually within the boundaries of the City of Unalaska. Summer Bay Road is a rural road used by the community administration of tribal and cultural resources, provides access to the city landfill, as well as recreational activity. The Summer Bay Bridge spans a 104 foot (including approaches) with a Bridge Number of 1686, as registered with the National Bridge Inventory (NBI) managed by the U.S. DOT. The wooden Bridge is in need of a redesign and reconstruction to improve its safety and reliability.

PROJECT PURPOSE AND BACKGROUND

In 2009, the Qawalangin Tribe of Unalaska (Tribe) received American Recovery and Reinvestment Act (ARRA) funds to redesign the existing wooden Bridge. The Bridge's current condition warrants a redesign to include: new bridge superstructure and substructural items such as the bridge deck, diaphragm, stringers, pile caps and stiffeners, pipe piles, backwall, bridge rail, approach guardrail, and signage. The City of Unalaska has had to increase maintenance funding for the Bridge to replace pilings and improve the wooden deck, joists, and stringers.

The purpose of this Project is to replace the existing wooden bridge with a more durable steel bridge with concrete driving surface. The current Bridge was designed and built in 1981. The Bridge is listed as "structurally deficient" with a sufficiency rating of 55.2% in the NBI database. The Bridge railings, transitions, approach guardrails do not meet currently acceptable standards. The Bridge is NOT listed as a National Register of Historic Places. It provides access to and from Summer Bay, as well as access to the City Landfill, recreational and cultural sites.

Although the City owns the Bridge, the Bridge meets the federal statutory definition of a "Tribal

transportation facility” because it provides access to the Qawalangin Tribe of Unalaska, a federally recognized Alaska Native tribe. The Bridge is also included in the Tribal Transportation Program Inventory, and this Project is included in the Tribe’s federally approved Tribal Transportation Improvement Program (TTIP). The Bridge’s functional class is Local/Rural and is located at 53 56’30”N and 166 22’30”W. It has one lane with average daily traffic of 10 vehicles, as measured in 2010. Additional Bridge details and information are listed below.

Table 1 NBI - Database Information 1686

State:	AK
Place Name:	Unalaska
County:	Aleutians West (CA)
NBI Structure Number:	1686
Route Sign Prefix:	Other
Route Number:	NSP00
Facility Carried:	IRR: SUMMER BAY RD
Feature Intersected:	SUMMER CREEK
Location:	MILE POINT 0.1
Year Built:	1981
Status:	Structurally Deficient
Record Type:	Roadway is carried ON the structure
Level of Service:	Service or Frontage Road
Owner:	City or Municipal Highway Agency
Highway Agency District:	01
Maintenance Responsibility:	City or Municipal Highway Agency
Functional Class:	Local, Rural
Service On Bridge:	Highway
Service Under Bridge:	Waterway
Latitude:	53 56 30.00 N
Longitude:	166 22 30.00 W
Material Design:	Wood or Timber
Design Construction:	Stringer/Multi-beam or Girder
Approach Material Design:	Other
Approach Design Construction:	Other
Structure Length (m):	14.6
Approach Roadway Width (m):	5.5
Lanes on Structure:	1
Average Daily Traffic:	10
Year of Average Daily Traffic:	2010
Design Load:	Other
Scour:	Bridge with unknown foundation that has not been evaluated for scour.

Bridge Railings:	Do not meet currently acceptable standards.
Historical Significance:	Bridge is not eligible for the National Register of Historic Places.
# of Spans in Main Structure:	4
Bridge Median:	No Median
Structure Flared:	No flare
Transitions:	Does not meet currently acceptable standards.
Approach Guardrail:	Does not meet currently acceptable standards.
Approach Guardrail Ends:	Does not meet currently acceptable standards.
Navigation Control:	No Navigation Control on waterway (bridge permit not required).
Structure Open?:	Open, no restrictions
Deck:	Good Condition
Superstructure:	Satisfactory Condition
Substructure:	Poor Condition
Structural Evaluation:	Meets minimum tolerable limits to be left in place as is
Sufficiency Rating (%):	55.2

PROJECT AGREEMENT ROLES AND RESPONSIBILITIES

City of Unalaska – Primary Project Responsibility

The City shall be solely responsible for selecting and overseeing the work of its general contractor and for the successful completion of this Project. The City shall ensure that the contract with its general contractor incorporates and passes on to the general contractor (and to any subcontractors) all applicable contractual obligations set out in the Tribe's Self-Determination Contract with the Bureau of Indian Affairs (BIA Contract Numbers: CTE01T51004 and A11AV00105), regarding limitations on the use of Tribal Transportation Program (TTP) funds. The City Engineer will oversee day-to-day activities of the City's general contractor and of the Project as a whole. The City Engineer will act as the primary point of contact for the Project until final inspection and close out of the Project. During construction, the City shall be solely responsible for public inquiries and taking appropriate measures to inform the public of delays caused by the bridge reconstruction. The City is solely responsible to respond to any concerns or complaints arising from the Project.

City of Unalaska – Department of Public Works Project Contacts:

The City of Unalaska has assigned the following contact people for the Summer Bay Bridge Project.

Affiliation	Individual
City of Unalaska Public Work Director	Nancy M. Peterson (or her successor)
City of Unalaska City Engineer	Robert Lund, Civil Engineer, P.E.
Regan Engineering P.C. President and Engineer of Record	Thomas Regan – Civil Engineer, P.E.

City of Unalaska – Project Reporting

The City will submit to the Tribe: Construction Daily Reports, Construction Monthly Reports, SWPPP Inspection Reports, and Certified Payroll, which the Tribe may share with the BIA to satisfy its reporting obligations under its Self-Determination Contracts with the BIA. The City's reporting will list all

expenditures made using TTP funds supplied by the Tribe for allowable activities under IRR Program regulations, 25 C.F.R. Part 170. If the Tribe requests, the City's reporting will include copies of all contractor invoices identifying the specific work performed. If the Tribe requests, the City further agrees to provide to the Tribe copies of change orders, contract modifications, payment applications, Record Drawings, Substantial Final Inspections (Punch List) and Final Inspection and Acceptance reports. The City will provide these reports to the Tribe on a monthly basis or at shorter intervals if the Tribe requests.

Qawalangin Tribe of Unalaska – Primary Project Responsibility

The Tribe has agreed to transfer to the City \$1,220,307.00 of its High Priority Tribal Transportation Program funds to assist the City in the successful completion of the Project. Within ten (10) days of the signing of this Project Agreement, the Tribe will provide \$220,307.00 to the City via electronic fund transfer. No later than fourteen (14) days after the City's award of a construction subcontract for the Project, the Tribe will transfer the balance of \$1,000,000.00 to the City.

Beyond this transfer of funds to the City, the Tribe has no responsibility for the successful completion of the Project and shall not be involved in the day-to-day management of the Project or the oversight of the City's contractors. However, the Tribe's TTP Road Coordinator will serve as the main point of contact between the Tribe and the City during the Project and shall be entitled to participate in all Project meetings, on-site inspections and other Project activities. The City's required Project reports and other documents will be provided to the Tribe's TTP Road Coordinator, unless the Tribal Administrator request that they be provided to another Tribal official.

Qawalangin Tribe of Unalaska – Transportation Department Project Contacts:

The Qawalangin Tribe of Unalaska has assigned the following points of contact for the Summer Bay Bridge Project.

Affiliation	Individual
Qawalangin Tribe of Unalaska, Administrator	Robin Waldron
Qawalangin Tribe of Unalaska, TTP Road Coordinator	Vincent Tutiakoff
BIA – AK Region – Branch of Transportation	Thomas Llanos – Civil Engineer, E.I.T.

Final Inspection and Acceptance

The Tribe and the City will jointly conduct the final inspection and acceptance of the Project. The Tribe and the City also invite the BIA point of contact Thomas Llanos to participate in the final inspection and acceptance of the Project for technical assistance purposes only. It is understood that the BIA has no authority to decide whether the completed Project will be accepted. Once the Project is accepted, the City, as owner, agrees to maintain the newly constructed Bridge as a public roadway.

ANTICIPATED PROJECT SCHEDULE (FOR PLANNING PURPOSES ONLY)

This Anticipated Project Schedule is for planning purposes only and has no bearing on the City's actual construction schedule with its selected contractor.

<u>Activity</u>	<u>Completion Date</u>	<u>Responsible Office</u>
Memorandum of Understanding and Project Agreement Completion and Execution by Tribe and City. Transfer	December 2013	City of Unalaska Qawalangin Tribe of

of First Installment of High Priority TTP Funds to City		Unalaska
Solicitation: Invitation For Bid: Summer Bay Bridge / Road Project	December 2013	City of Unalaska
Award of Construction Contract: Summer Bay Bridge / Road Project	January 2014	City of Unalaska
Transfer of Balance of High Priority TTP Funds to City	January 2014	Qawalangin Tribe of Unalaska
Preconstruction Conference	February 2014	City of Unalaska Qawalangin Tribe of Unalaska Bureau of Indian Affairs
Begin Construction Notice to Proceed	TBD	City of Unalaska
End Construction Notice of Substantial Completion	TBD	City of Unalaska
Final Inspection and Acceptance	TBD	City of Unalaska Qawalangin Tribe of Unalaska Bureau of Indian Affairs

CONTACT INFORMATION FOR KEY PROJECT PERSONNEL

First Name	Last Name	Affiliation	Direct Phone #	Fax #	Cell Phone #	Email
Dolores	Ayotte	BIA Awarding Official	907-271-4068			Dolores.Ayotte@bia.gov
Evelyn	Shanigan	BIA Contract Specialist	907-271-4071			Evelyn.Shanigan@bia.gov
Thomas	Llanos	Civil Engineer, EIT / AOTR	907-271-4156	907-271-2133	907-227-9120	Thomas.Llanos@bia.gov
Robin	Waldron	Tribal Administrator	907-581-2920	907-581-3644		Robin.qawalangin@gmail.com
Denise	Rankin	Tribal President	907-581-2920	907-581-3644		Qt_president@live.com
Vince	Tutiakoff	IRR Roads Coordinator	907-581-2920	907-581-3644		vmtadak@aol.com
Nancy	Peterson	City Public Works Director			907-581-1260	npeterson@ci.unalaska.ak.us
Robert	Lund	City Engineer, Civil Engineer P.E.			907-581-1260	rlund@ci.unalaska.ak.us

GENERAL TERMS AND CONDITIONS

The City agrees to return to the Tribe any tribally provided funds that are not used for the construction of the Project, within thirty days after the final acceptance of the Project and the close out of any contracts directly related to performance of the Project, whichever occurs last. The City agrees to be contractually bound to the obligation set forth in this paragraph. With regard to the obligation set out in this paragraph alone, the Tribe reserves the right to pursue any and all legal remedies to enforce the City's obligations.

Aside from the paragraph immediately above, the Tribe and the City understand and agree that this Project Agreement is not intended to confer any enforceable legal rights against the other party and that the only remedy for a dispute arising under the terms of this Project Agreement shall be the termination of the Project Agreement, as set forth below. Nothing in this Project Agreement creates, nor shall this Project Agreement be construed in any way to create any third party beneficiary rights in any person not a party to this Agreement.

The Tribe and the City further understand and agree that each respective government shall bear the responsibility for its own acts and shall not bear any responsibility for the actions of the other party under this Project Agreement. The signatories to this Project Agreement are signing not as individuals, but solely in their capacities as officials of their respective governments. The City and the Tribe hereby warrant that City and the Tribe have been properly authorized to enter into this Agreement and that the signatories hereto have been properly authorized to sign this Agreement.

The Tribe and the City further understand and agree that the Tribe is a federally recognized Indian tribe which possesses sovereign immunity from suit. Nothing in this Agreement shall be construed as a waiver of the sovereign immunity of the Tribe, Tribal Council members, Tribal employees or other Tribal officials for any purpose whatsoever. The Tribe and the City further understand and agree that the Tribe, Tribal Council members, Tribal employees and other Tribal officials are carrying out the provisions of the Tribe's federal self-determination agreements when taking actions pursuant to this Project Agreement and, as such, the Tribe is deemed to be a federal entity and its employees and officials are deemed to be federal employees entitled to protections of the Federal Tort Claims Act, pursuant to Public Law 101-512, Title III, § 314 *et seq.*

The terms of this Project Agreement may be revised as necessary, by mutual consent of the Tribe and the City, by issuance of a written amendment, signed and dated by the proper representatives of each government. This Project Agreement may be terminated by either Party, with or without cause, upon ten (10) days written notice.

Agreed to by:

QAWALANGIN TRIBE OF UNALASKA

CITY OF UNALASKA

Denise Rankin, President

Date: _____

Chris Hladick, City Manager

Date: _____

CITY OF UNALASKA
UNALASKA, ALASKA

RESOLUTION 2013-78

A RESOLUTION OF THE UNALASKA CITY COUNCIL ESTABLISHING DATES FOR THE FISCAL YEAR 2015 REAL PROPERTY TAX AND BUSINESS PERSONAL PROPERTY TAX COLLECTION EFFORT.

WHEREAS, UCO 6.36.020 states that taxes become due and are delinquent on dates set yearly by resolution.

NOW THEREFORE BE IT RESOLVED that the Unalaska City Council sets the following dates for the accomplishment of the FY15 real property tax and business personal property tax collection effort:

January 15, 2014	Mailing of Business Personal Property Declaration Forms
February 28, 2014	Deadline for return of Business Personal Property Declaration Forms
March 31, 2014	Mail Real Property and Business Personal Property Regular, Supplemental, and Involuntary Assessment Notices
May 01, 2014	Deadline for filing appeal to the Board of Equalization
May 13, 2014	Board of Equalization meets
June 30, 2014	Final mailing date for Real and Business Personal Property statements
August 20, 2014	First payment due date for regular Real and Business Personal Property tax rolls
	Final payment due date for Supplemental and Involuntary Business Personal Property tax rolls
August 21, 2014	Unpaid taxes become delinquent and subject to penalty and interest
October 20, 2014	Final payment due date for regular Real and Business Personal Property tax rolls.
October 21, 2014	Unpaid taxes become delinquent and subject to penalty and interest.

PASSED AND ADOPTED BY A DULY CONSTITUTED QUORUM OF THE UNALASKA CITY COUNCIL THIS 17th DAY OF DECEMBER 2013.

MAYOR

ATTEST:

CITY CLERK

MEMORANDUM TO COUNCIL

TO: MAYOR AND CITY COUNCIL MEMBERS
THROUGH: CHRIS HLADICK, CITY MANAGER
FROM: ELIZABETH MASONI, CITY CLERK
DATE: DECEMBER 11, 2013
RE: RESOLUTION 2013-78: ESTABLISHING DATES FOR THE FISCAL YEAR 2015
REAL PROPERTY TAX AND BUSINESS PERSONAL PROPERTY TAX
COLLECTION EFFORT

SUMMARY: UCO §6.36.020 requires that tax due dates be set by resolution of Council each year. Through this resolution, Council establishes the dates that pertain to the assessment and collection of real and business personal property tax.

PREVIOUS COUNCIL ACTION: Council sets these dates through resolution each year.

BACKGROUND: The dates established through this resolution are set to allow for the assessment of property to occur in one fiscal year. The bills will be mailed by June 30th, the last business day of the current fiscal year, and the revenue will be received in the next fiscal year.

DISCUSSION: UCO §6.36 outlines the procedures and dates associated with the assessment of property taxes. Per UCO §6.36.020, "Taxes become due and are delinquent on dates set yearly by resolution." The dates set in Resolution 2013-78 are approximately the same as they have been each year, with adjustments made to accommodate weekends.

This year, rather than mailing all of the Business Personal Property Tax reporting forms on the same day in December, we are tying the mailing of the reporting forms to the issuance of the 2014 business licenses in an effort to draw from a more accurate database. The deadline for renewing business licenses is January 1st, and the applications are processed as they are submitted. Each year, we see changes in addresses, names of businesses, and owners of businesses, and some businesses indicate that they are no longer operating in Unalaska. By mailing the Business Personal Property Tax Declaration Forms as we complete the processing of the business licenses, we will be mailing the forms to the correct addresses, and we won't be sending forms to businesses that no longer operate in Unalaska. In addition, this year, we are e-mailing as many business license renewals and Business Personal Property Declaration Forms as possible. To date, we have had very positive feedback from those businesses that have received their forms electronically.

ALTERNATIVES: Code requires that these dates be set annually.

FINANCIAL IMPLICATIONS: Approval of this resolution is necessary in order to establish the due dates for tax revenue.

LEGAL: None

STAFF RECOMMENDATION: Staff recommends adoption of Resolution 2013-78.

PROPOSED MOTION: Motion to adopt Resolution 2013-78.

CITY MANAGER'S COMMENTS: I recommend approval of this resolution.

**CITY OF UNALASKA
UNALASKA, ALASKA**

RESOLUTION 2013-79

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF UNALASKA ACCEPTING A \$3,000,000 GRANT FROM ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION FOR THE CONSTRUCTION OF THE WASTEWATER TREATMENT FACILITY UPGRADES PROJECT

WHEREAS, the City of Unalaska, Alaska has determined that it is necessary to construct the New Waste Water Treatment Plant; and

WHEREAS, the Wastewater Treatment Facility Upgrade Project is a component of the approved CMMP; and

WHEREAS, it is estimated that the Wastewater Treatment Facility Upgrade Project has risen to a cost of approximately \$28,027,000; and

WHEREAS, the City of Unalaska applied for and received an additional \$3,000,000 Grant from the State of Alaska to assist with the costs on the project; and

WHEREAS, the City of Unalaska accepts responsibility for operating and maintaining the proposed wastewater utility improvements; and

WHEREAS, the City of Unalaska agrees to the terms and conditions of the grant offer;

NOW, THEREFORE, BE IT RESOLVED that the City Council of the City of Unalaska authorizes the acceptance of \$3,000,000 in additional grant funds from the State of Alaska in order to assist with costs for the Wastewater Treatment Facility Upgrade Project.

PASSED AND ADOPTED BY A DULY CONSTITUTED QUORUM OF THE UNALASKA CITY COUNCIL THIS 17TH DAY OF DECEMBER 2013.

MAYOR

ATTEST:

CITY CLERK

MEMORANDUM TO COUNCIL

TO: MAYOR AND CITY COUNCIL MEMBERS
FROM: DAN WINTERS, DIRECTOR OF PUBLIC UTILITIES
THRU: CHRIS HLADICK, CITY MANAGER
DATE: DECEMBER 17, 2013
RE: RESOLUTION 2013-79, ACCEPTING A GRANT INCREASE FROM THE DEPARTMENT OF ALASKA ENVIRONMENTAL CONSERVATION IN THE AMOUNT OF \$3,000,000 FOR THE WASTEWATER TREATMENT FACILITY UPGRADE PROJECT.

SUMMARY: : This memo brings forward Resolution 2013-79 to the Unalaska City Council, which accepts a \$3,000,000 ADEC Grant increase for the Wastewater Treatment Facility Upgrade Project. A copy of the grant agreement has been included with this memo.

PREVIOUS COUNCIL ACTION: Previous Council Action is as follows:

- In March 2011 Bristol Environmental Engineering presented the Draft Facility Plan update to the City Council and public.
- During the June 2011 Council Meeting, Council approved Resolution 2011-42 which identified the Wastewater Treatment Plant Phase II Upgrade as the highest priority project for funding through the State of Alaska for FY 2013.
- At the September 14, 2011 special City Council meeting, Bristol Environmental Engineering presented the completed Wastewater Treatment Plant Facility Plan to Council and public.
- At the September 22, 2011 Council Meeting, Council adopted the Wastewater Treatment Plant Facility Plan through Resolution 2011-52.
- At the January 24, 2012 Council Meeting, Council awarded Phase 1 Design contract to Bristol Engineering.
- At the February 28, 2012 Council Meeting, Council accepted the FY 2012 Legislative Grant in the amount of \$4,000,000, through Resolution 2012-16.
- At the June 8, 2012 Council Meeting, Council approved Resolution 2012-55, which identified the Wastewater Treatment Plant Upgrades Phase II as the highest priority

project for funding from the State of Alaska Department of Environmental Conservation for FY 2014.

- At the October 9, 2012 Council Meeting, Council approved Resolution 2012-71, which accepted the FY 2013 Alaska Department of Environmental Conservation grant in the amount of \$3,970,874.

BACKGROUND: In 2004, EPA issued the NPDES permit for the Unalaska Wastewater Treatment Plant. Soon after, it became apparent that the City would have trouble meeting the requirements of the permit. This was due in large part from leachate from the Landfill but also from the too stringent requirements of the permit. After several years of working with EPA to change the permit, the City came to the conclusion that the Wastewater Treatment Plant needed to be upgraded. In 2010, the City of Unalaska hired Bristol Environmental to create a Wastewater Treatment Plant Facility Plan. On September 22, 2011, Council adopted the Wastewater Treatment Plant Facility Plan as the driving document for the Wastewater Treatment Plant upgrades.

In July 2012, the United States Department of Justice issued a stringent Consent Decree which dictates the timeline for the Wastewater Plant upgrades.

In August 2012, staff solicited bids for the installation of a variable drive pump in the Wastewater Plant Influent Pump Station. Aleutian Electrical Contractors, Inc. was awarded the work as the low bidder. The VFD unit installation was completed in October 2012.

In August 2012, staff also solicited bids for the Wastewater Treatment Plant Civil Site Preparation. Bids were received in September 2012 and Advance Blasting Services, LLC was awarded the work as the lowest bidder. The blasting of the rock behind the Wastewater Plant was completed in July 2013.

On May 14, 2013, Alaska Mechanical was awarded the contract for the construction of the Wastewater Treatment Facility Upgrade Project.

DISCUSSION: The Wastewater Treatment Plant is a screening and ultraviolet disinfection plant that was constructed to meet wastewater effluent treatment standards required in the City's 1997 NPDES Permit. Since the issuance of the previous permit, EPA and ADEC wastewater treatment requirements have become more stringent. The City of Unalaska will no longer be allowed to simply screen and disinfect wastewater effluent but will be required to meet concentration limitations and removal percentages. In order to meet NPDES requirements, significant improvements must be made to the existing treatment facility.

The City has lobbied the State Legislature to help fund the needed improvements to the Wastewater Treatment Plant and was successful in receiving another grant in the amount of \$3,000,000 during the FY13 Legislative session.

The City was notified of the grant award in May, 2013 and amended the project budget to reflect the new revenue. The Grant paperwork was delayed by the State for some reason, and the City just received it and now needs to formalize the acceptance of the Grant through this resolution.

The total expenses for the project as of December 10, 2013 are approximately \$26,800,000:

2005-2007 WWTP Analysis	\$360,000
WWTP Facility Plan	\$350,000
Civil Site Work	\$4,265,000
VFD Installation	\$50,000
WWTP-CEPT Construction	<u>\$21,775,000</u>
TOTAL WWTP COST:	\$26,800,000

Additional expenses for design support during construction in the amount of approximately \$750,000 and a 10% construction contingency of \$1,800,000 will bring the total estimated project costs to \$29,350,000. Current revenue, including this \$3,000,000 grant, equals \$28,224,119.

In order to fully fund the project contingency, an additional \$1,100,000 will be needed after the first of the year. In addition, we have received some preliminary cost estimates from the contractor for the impacts associated with the improper sub-base blasting and the unexploded blasting materials search and handling, which we estimate to run between \$1.5-\$2 million dollars. The City will seek full reimbursement for these costs from the Civil Contractor.

ALTERNATIVES: The entire staff has worked hard through granting and loan organizations to create a budget for this project. Council could elect not to accept this grant, but doing so would force the City to use General Fund monies.

FINANCIAL IMPLICATIONS: As of November 2013, City has collected \$28,224,119, which includes this \$3,000,000 grant, to go toward the construction of this project.

Current Revenues:

2009 State Grant	\$1,375,000	
2012 Legislative Grant	\$4,000,000	
2013 Legislative Grant	\$3,970,874	
2014 ADEC Grant	\$3,000,000	
GF Designation	\$6,748,100	
GF & 1% Transfer	\$563,545	
ADEC Loan	\$8,566,600	
TOTAL REVENUE		\$28,224,119

LEGAL: N/A

STAFF RECOMMENDATION: Staff recommends adoption of Resolution 2013-79.

PROPOSED MOTION: "I move to adopt Resolution 2013-79." \

CITY MANAGER'S COMMENTS: I recommend accepting this grant increase.



THE STATE
of **ALASKA**
GOVERNOR SEAN PARNELL

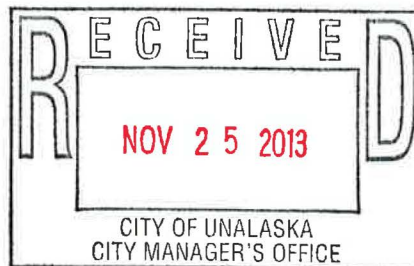
**Department of Environmental
Conservation**

DIVISION OF WATER

Post Office Box 111800
Juneau, Alaska 99811-1800
Main: 907.465.5300
Fax: 907.465.5177

November 18, 2013

Mr. Chris Hladick
City Manager
City of Unalaska
P.O. Box 610
Unalaska, AK 99685



Dear Mr. Hladick:

Enclosed for signature is Grant Amendment #1 for the City of Unalaska Wastewater Treatment Facility Upgrade Project # 87933. This amendment increases the grant award and updates one of the General Grant Conditions, Item 12 (Project End Date). The scope of work remains the same.

Please return the signed original of Amendment # 1 to the following address:

Alaska Department of Environmental Conservation
Division of Water, Municipal Matching Grants & Loans Program
Attn: MAT (Municipal Administrative Team)
P.O. Box 111800
410 Willoughby Avenue, Suite 303
Juneau, AK 99811-1800

Sincerely,

A handwritten signature in cursive script that reads "Michelle Bonnet Hale".

Michelle Bonnet Hale
Director

Enclosure: Amendment # 1 (MMG#87933)



THE STATE
of **ALASKA**
GOVERNOR SEAN PARNELL

**Department of Environmental
Conservation**

DIVISION OF WATER

Post Office Box 111800
Juneau, Alaska 99811-1800
Main: 907.465.5300
Fax: 907.465.5177

November 18, 2013

Mr. Chris Hladick
City Manager
City of Unalaska
P.O. Box 610
Unalaska, AK 99685

Grant Offer Amendment # 1: City of Unalaska Wastewater Treatment Facility Upgrade
(MMG#87933)

Dear Mr. Hladick:

In accordance with AS 46.03.030, the Department of Environmental Conservation (Department) is pleased to offer the City of Unalaska (Grantee) a grant increase of funds not to exceed \$3,000,000. This grant will provide for needed upgrades to the City of Unalaska's Wastewater Treatment Facility which will enable the City to meet the effluent limitations of its discharge permit. The design criteria includes: the headworks and screening, flash mix basin, flocculation tank, clarifiers, chlorine contact tanks, sludge storage tank, sludge belt filter press, and sludge drying with more detail to the final processes to be provided with the final design.

This increase, when added to the previous grant of \$3,970,874 brings the total grant on this project to \$6,970,874.

The estimated cost for this project, as provided by the Grantee, is \$24,533,059. However, pursuant to AS 46.03.030(e), the grant amount is limited to the available appropriation of \$6,970,874, and 70 percent of eligible project costs. To receive the full amount of grant funding available, eligible project costs must total at least \$9,958,391 (70 percent of this amount is equal to the funding available in this grant.)

This grant is administered by the Department using funds which were included in the State fiscal year 2013 capital budget bill (SB160) and State fiscal year 2014 capital budget bill (SB18).

This offer is expressly conditioned upon the terms and limitations contained herein, in the original September 11, 2012 grant offer, in 2 AAC 45.010 (enclosed), in 18 AAC 73 (enclosed), and is based upon estimated eligible project costs as itemized below:

Project Cost Summary	Estimated Eligible Project Costs <u>Original</u>	Estimated Eligible Project Costs <u>Amend # 1</u>
1. Administrative	\$0	\$0
2. Engineering Design	\$2,300,000	\$2,359,483
3. Engineering Construction	\$1,950,000	\$976,576
4. Construction	\$16,250,000	\$19,270,000
5. Equipment	\$0	\$0
6. Other	\$1,000,000	\$0
7. Project Contingencies	\$3,250,000	\$1,927,000
8. Total Estimated Eligible Project Costs	\$24,750,000	\$24,533,059
9. State Grant	\$3,970,874	\$6,970,874

Adjustment of expenditures within the budgeted cost items is allowed. If actual project costs are less than the total estimated eligible matching costs, the grant will be reduced to the extent necessary to comply with the percentage limitation set forth in this offer.

Grant payments will be made when expenses have been incurred and documentation has been provided to the Department along with the payment request form, unless a payment schedule is established as a special condition of this grant. Payments will normally be made monthly, but no more frequently than twice per month. All requests for payment must be submitted on the enclosed Request for Payment form. The Request for Payment form can also be found at:

<http://dec.alaska.gov/water/munigrant/forms.html>.

The Grantee should scan the Request for Payment Form and backup documentation and e-mail it both to the project engineer for eligibility review, and to the Municipal Administrative Team (MAT) at:

mike.phillips@alaska.gov
DEC.Water.MGL.MAT@alaska.gov

Mail the original signed Request for Payment Form to the address noted below; backup documentation to the pay request does not need to be mailed.

If the Grantee does not have scanning capability, the Request for Payment Form and backup documentation should be mailed to:

Alaska Department of Environmental Conservation
Division of Water, Municipal Matching Grants & Loans Program
Attn: MAT (Municipal Administrative Team)
P.O. Box 111800
410 Willoughby Avenue, Suite 303
Juneau, AK 99811-1800

Pay request(s) totaling less than one thousand dollars will be held for payment until the one thousand dollar threshold is met. Upon the threshold being met, the Department will proceed with payment.

GENERAL GRANT CONDITIONS:

Amendment # 1 amends General Grant Condition # 12 "Project End Date" to read as follows:

12. Funds made available to a grantee under a fully executed signed grant agreement by the Department shall be expended within three years from the start of the fiscal year in which the funds were appropriated. Up to two, one-year extensions may be granted by the Department upon written request and good cause shown by the grantee. The Department may cancel the project and seek to have the funds re-appropriated for other projects.

State Fiscal Year 2013 funds must be spent by June 30, 2015 and State Fiscal Year 2014 funds must be spent by June 30, 2016.

SPECIAL GRANT CONDITIONS

None.

By accepting this grant amendment, the Grantee agrees to comply with the procedures and requirements contained in the Construction Grants Regulations (18 AAC 73 appended), as well as all grant conditions contained herein and in the original grant offer dated September 11, 2012.

Please carefully review this grant amendment, the related grant conditions, and the enclosed regulations. If satisfactory, sign and return the original, along with a formal resolution accepting the grant enacted by the Municipality of Anchorage.

In the resolution the Grantee must agree to accept responsibility to operate and maintain the proposed water utility improvements and agree to the terms and conditions of this grant amendment.

Mail signed originals (grant amendment and resolution) to the following:

Alaska Department of Environmental Conservation
Division of Water, Municipal Matching Grants & Loans Program
Attn: MAT (Municipal Administrative Team)
P.O. Box 111800
410 Willoughby Avenue, Suite 303
Juneau, AK 99811-1800

Acceptance of the grant amendment is required within six months to prevent revocation of the offer. No progress payments can be made until this grant offer is signed by the Grantee and

returned to the Department. Nothing in this offer, whether or not accepted, may be deemed to constitute a contractual obligation on the part of the Department until a resolution of acceptance has been received.

The Department is pleased to offer this assistance to the people of Anchorage.

Sincerely,



Michelle Bonnet Hale
Director

Enclosures: 2 AAC 45.010
18 AAC 73.010 Construction Grant Project Eligibility
Request for Payment

cc: The Honorable Lyman Hoffman, Alaska State Senate
The Honorable Bryce Edgmon, Alaska State House of Representatives
Mike Phillips, ADEC Project Engineer

Accepted on behalf of the City of Unalaska by:

Chris Hladick
Typed Name

City Manager
Title

Date

Signature

CITY OF UNALASKA
UNALASKA, ALASKA

RESOLUTION 2013-80

A RESOLUTION OF THE UNALASKA CITY COUNCIL AUTHORIZING AN EXPENDITURE IN THE AMOUNT OF FIVE THOUSAND DOLLARS (\$5,000) FROM COUNCIL CONTINGENCY AS A DONATION TO AID THE VICTIMS OF TYPHOON HAIYAN

WHEREAS, Typhoon Haiyan, which entered the Philippine area of responsibility on November 6, 2013, was the strongest storm to make landfall in the Philippines since 1991; and

WHEREAS, nearly six thousand (6,000) people lost their lives and millions were left homeless or displaced in the Philippines alone; and

WHEREAS, the people of the Philippines continue to suffer from the widespread devastation, and lack of food and potable water; and

WHEREAS, the Unalaska City Council recognizes the suffering of the victims of Typhoon Haiyan and wishes to provide assistance to those in need on behalf of the community of Unalaska; and

WHEREAS, the Filipino American Bayanihan Association of Unalaska (FilAm) is a local non-profit organization whose name, "Bayanihan," identifies their mission which entails the spirit of communal unity to achieve a particular goal; and

WHEREAS, Catholic Relief Services is an internationally renowned non-profit agency that maintains strict standards of efficiency, accountability, and transparency;

NOW THEREFORE BE IT RESOLVED that the Unalaska City Council authorizes expenditure from Council Contingency in the amount of \$5,000 to be provided to Filipino American Bayanihan Association of Unalaska for distribution through Catholic Relief Services as a donation to aid the victims of Typhoon Haiyan.

PASSED AND ADOPTED BY A DULY CONSTITUTED QUORUM OF THE UNALASKA CITY COUNCIL THIS 17TH DAY OF DECEMBER 2013.

MAYOR

ATTEST:

CITY CLERK

MEMORANDUM TO COUNCIL

TO: MAYOR AND CITY COUNCIL MEMBERS
THROUGH: CHRIS HLADICK, CITY MANAGER
FROM: ELIZABETH MASONI, CITY CLERK
DATE: DECEMBER 10, 2013
RE: RESOLUTION 2013-80 FIL-AM CONTRIBUTION REQUEST

SUMMARY: Not long after Typhoon Haiyan hit the Philippines, the FilAm Bayanihan Association of Unalaska (FilAm) held a fundraiser to aid the victims of the super typhoon. The non-profit association has requested that the City Council consider contributing additional funds to help with the relief efforts in the Philippines.

PREVIOUS COUNCIL ACTION: None.

BACKGROUND: During the City Council meeting on November 12th, FilAm reported on their fundraiser and asked Council to consider a contribution toward the relief efforts in the Philippines from Typhoon Haiyan. City Council Members asked that the contribution request be placed on the November 26th agenda. At the November 26th meeting, Council asked that more information be provided on Catholic Relief Services and that a resolution be brought forward for the donation to victims of Typhoon Haiyan.

DISCUSSION: Typhoon Haiyan was the strongest storm to make landfall in the Philippines in more than twenty years. The National Disaster Risk Reduction and Management Council confirmed 5,956 fatalities in the Philippines alone. It is estimated that 1.9 million people are left homeless, and 6 million have been displaced by the super storm. In response to the great devastation, the Filipino-American Bayanihan Association of Unalaska (FilAm) held a fundraiser to help the victims of the storm, and they have asked that City of Unalaska make a contribution to help relieve the suffering.

Should Council choose to make a donation to the relief fund, FilAm asked that the funds be given to them, and they will turn the donation over to Catholic Relief Services, a non-profit organization that is very active in providing hands-on relief to the victims of the typhoon.

Catholic Relief Services was founded in 1943 by the Catholic Bishops of the United States to serve World War II survivors in Europe. Since then, they have expanded in size to reach more than 100 million people in 91 countries on 5 continents.

The mission of Catholic Relief Services is to assist impoverished and disadvantaged people overseas, working in the spirit of Catholic social teaching to promote the sacredness of human life and the dignity of the human spirit. Although their mission is rooted in the Catholic faith, their operations serve people based solely on need, regardless of race, religion, or ethnicity. Catholic Relief Services maintains strict standards of efficiency, accountability,

and transparency. Between 93% and 95% of their expenditures go directly to programs. (Please see the attached information sheet on Catholic Relief Services.)

At the November 26th Council meeting, Council Member Robinson suggested a donation in the amount of \$5,000, and that is the amount that appears in the resolution. The Council has \$20,000 remaining in the Council Contingency line item.

ALTERNATIVES: Make a donation to relieve the suffering of the victims of Typhoon Haiyan in the amount suggested at the November 26th Council meeting, make a donation in another amount, or do not make a donation.

FINANCIAL IMPLICATIONS: The total funding available in the Council's Contingency is \$20,000.

LEGAL: N/A

STAFF RECOMMENDATION: Approve Resolution 2013-80

PROPOSED MOTION: Motion to approve Resolution 2013-80

CITY MANAGER'S COMMENTS: I support donating to the relief fund for victims of Typhoon Haiyan.

Attachments:

- Catholic Relief Services Summary

CATHOLIC RELIEF SERVICES

Catholic Relief Services was founded in 1943 by the Catholic Bishops of the United States to serve World War II survivors in Europe. Since then, they have expanded in size to reach more than 100 million people in 91 countries on 5 continents.

The mission of Catholic Relief Services is to assist impoverished and disadvantaged people overseas, working in the spirit of Catholic social teaching to promote the sacredness of human life and the dignity of the human spirit. Although their mission is rooted in the Catholic faith, their operations serve people based solely on need, regardless of race, religion, or ethnicity.

As the official international humanitarian agency of the Catholic community in the United States, Catholic Relief Services is governed by a board of directors comprised of clergy, primarily bishops elected by the United States Conference of Catholic Bishops, as well as religious and Catholic lay men and women.

Catholic Relief Services maintains strict standards of efficiency, accountability, and transparency. Between 93% and 95% of their expenditures go directly to programs.

Awards and Recognitions

Over the last ten years, CRS has received national and international acclaim for its charity work. CRS has been honored by Villanova University, the University of Notre Dame and other institutes of higher learning for the humanitarian work done in the US and around the world.

Among other international awards, in 2006, CRS was awarded the prestigious Sitara –i-Eisaar (Star of Sacrifice) for its comprehensive and timely response to the devastating Pakistan earthquake of October 2005. CRS was among the first agencies to respond, providing emergency supplies, shelter, education, water, and sanitation materials to victims of the earthquake.

In 2004, President Bush nominated CRS for the Millennium Challenge Corporation Award. The Millennium Challenge Corporation is charged with improving the accountability and impact of foreign disaster assistance. The nomination was unanimously approved by Congress.

Accountability Standards

In 2011, CRS was named a top-rated charity and given the rating of A+ by the American Institute of Philanthropy for efficiently using the majority of its donated funds for programming rather than for additional fundraising.

Also in 2011, the Better Business Bureau found that CRS met all 20 Standards for Charity Accountability, which take into account an organization's governance, financial accountability, truthfulness, and transparency. The September 2011 audit found that only 2% of the CRS's expenses were for administration, leaving 3% for fundraising and 95% for programs.

In November of 2011, the *Chronicle of Philanthropy* ranked CRS 51st out of 400 charities in its Annual Top 400 Philanthropy List.

Also in November of 2011, the *Non-Profit Times* ranked CRS as 23rd out of 100 best charities reviewed by the publication.

CITY OF UNALASKA
UNALASKA, ALASKA

RESOLUTION 2013-81

A RESOLUTION OF THE UNALASKA CITY COUNCIL AUTHORIZING THE CITY OF UNALASKA TO ACCEPT A LOAN FROM THE ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION IN AN AMOUNT NOT TO EXCEED \$8,788,200 TO FUND THE CONSTRUCTION OF THE LANDFILL EXPANSION PHASE II PROJECT

WHEREAS, the City of Unalaska seeks to obtain the necessary financial assistance to construct the Landfill Expansion Phase II Project; and

WHEREAS, the State of Alaska, Department of Environmental Conservation, is able to offer funding through the Alaska Clean Water Fund; and

WHEREAS, the City of Unalaska applied for a loan from the Alaska Clean Water Fund in an amount not to exceed \$8,788,200 for the construction of the Landfill Expansion Phase II Project; and

WHEREAS, the City of Unalaska accepts the responsibility for operating and maintaining the landfill improvements; and

WHEREAS, the City of Unalaska agrees to the terms and conditions of the loan offer.

NOW THEREFORE, BE IT RESOLVED that the City of Unalaska is authorized to accept the loan, in the amount of \$8,788,200, from the Alaska Department of Environmental Conservation, Alaska Clean Water Fund, for the construction of the Landfill Expansion Phase II Project.

PASSED AND ADOPTED BY A DULY CONSTITUTED QUORUM OF THE UNALASKA CITY COUNCIL THIS 17TH DAY OF DECEMBER 2013.

MAYOR

ATTEST:

CITY CLERK

MEMORANDUM TO COUNCIL

TO: MAYOR AND CITY COUNCIL MEMBERS
THRU: CHRIS HLADICK, CITY MANAGER
FROM: DAN WINTERS, DIRECTOR OF PUBLIC UTILITIES
DATE: DECEMBER 17, 2013
RE: RESOLUTION NO. 2013-81 A RESOLUTION AUTHORIZING THE CITY OF UNALASKA TO ACCEPT A LOAN FROM THE ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION IN AN AMOUNT NOT TO EXCEED \$8,788,200 TO FUND THE CONSTRUCTION OF THE LANDFILL EXPANSION PHASE II PROJECT

SUMMARY: This memo brings forward Resolution 2013-81, authorizing the City of Unalaska to accept a loan from the Alaska Department of Environmental Conservation Clean Water Fund for an amount not to exceed \$8,788,200. This loan will be used for the construction of Cells 2-1 and 2-2 at the Landfill and will produce an excess of revenue funds for this project. Accepting this loan does not require the City to use the full amount of the loan but the excess revenue will serve as a buffer if the actual cost of construction is higher than estimated.

PREVIOUS COUNCIL ACTION: Council has taken the following actions in regards to this project:

- Ordinance 2008-01 adopted January 29, 2008, transferred \$350,000 from the Landfill Cell 4 Project K0501 into the Landfill Expansion Phase II Project SW801.
- Ordinance 2008-16 adopted September 9, 2008, transferred \$250,000 from the Landfill Cell 4 Project K0501 to the Landfill Expansion Phase II Project SW801.
- Ordinance 2010-04 adopted on May 25, 2010, transferred \$425,000 from the Enterprise Fund to the Cell 2-1 and 2-2 Project for design.
- Resolution 2011-13 authorizing the City of Unalaska Staff to prepare a loan application to the Alaska Department of Environmental Conservation Clean Water Fund for the amount, not to exceed \$8,788,200.
- Ordinance 2013-12 Adopted FY2014 Operating Budget for the City of Unalaska May28, 2013.

BACKGROUND: The Landfill Expansion Phase II Project consists of the relocation of Summer Bay Road, the design and construction of the Leachate Management Facility, and the design and construction of Cells 2-1 and 2-2. The City of Unalaska has contracted with Bristol Environmental & Engineering Services Company for the Phase II Design work. To date, the Summer Bay Road relocation and the Leachate Management Facility construction have been completed. ADEC Solid Waste Division approval for the construction of Cells 2-1 and 2-2 and the USACOE permit for wetlands fill has been obtained. On November 15, 2013, the City received the final plans for Cells 2-1 and 2-2. The City solicited for bids for construction on December 10, 2013.

DISCUSSION: Now that design is complete for the Landfill Expansion Phase II project, staff has found it necessary to obtain a loan from ADEC Clean Water Fund for the construction of this project. The total cost for this project is approximately \$6.4 million. The proposed Resolution before the Council is to accept a low interest loan not to exceed \$8,788,200 for the Landfill Expansion Phase II. Accepting this loan for the full amount of \$8,788,200, does not obligate the City to use the full amount.

The schedule for the construction of Cells 2-1 and 2-2 is as follows:

- Solicit for bids on December 10, 2013.
- Pre bid conference will be held on January 6, 2014.
- The Bids will be due January 23, 2013.
- Bid award will be on February 11, 2014.
- Substantial completion on September 30, 2014.
- Final completion on October 30, 2014.

ALTERNATIVES: Staff has exhausted all avenues for outside funding for the Landfill Expansion Phase II Project short of using money from the general fund; staff believes this loan is the best financing source.

FINANCIAL IMPLICATIONS: The contract term for the subject loan, should the City decide to obligate itself, is five to twenty years. Accrual of finance charges begin one year after the date of the first payment to the City. The ADEC will assess a finance charge at a rate of 1.5% of the total amount of financial assistance disbursed, or 18.75% of the current bond rate as defined by the Municipal Bond Index, whichever is higher.

The latest engineering estimate for cost of construction of Cells 2-1 and 2-2 is \$4,927,000. With the addition of \$261,213 for design, 15% for contingency, and 15% for construction engineer inspection and design services during construction is added, the total estimated cost for this project to date is \$6,692,138. With the addition of the loan amount of \$8,788,200 to the available revenue, this projects budget will have an excess of \$2,763,112, as Table 1 exhibits. The City is not obligated to use the excess amount but it will serve as a buffer if the actual construction amount is higher than the engineer's estimate.

TABLE 1

CELL 2-1 & 2-2: SW101 PROJECT COST SUMMARY			
<u>Project Costs:</u>			
	Design	\$261,213	
	Construction	\$4,927,000	
	Permitting	\$25,825	
	Contingency (15%)	\$739,050	
	CEI/Eng. During Const. (15%)	\$739,050	
	TOTAL COST		\$6,692,138
<u>Current Funding:</u>			
	2009 State Grant	\$76,895	
	2012 Legislative Grant	\$0	
	Loan	\$8,788,200	
	*GF Designation	\$0	
	Enterprise Fund	\$425,000	
	TOTAL REVENUE		\$9,290,095
	TOTAL PROJECT NEED:		-\$2,597,957

LEGAL:

STAFF RECOMMENDATION: Staff recommends Council approve the subject Resolution in order to move forward with securing financing for the Landfill Expansion Phase II Project.

CITY MANAGER'S COMMENTS: I recommend approval of this resolution.

**CITY OF UNALASKA
UNALASKA, ALASKA**

RESOLUTION 2013-82

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF UNALASKA AUTHORIZING THE CITY MANAGER TO ENTER INTO A SETTLEMENT AGREEMENT WITH GRANITE CONSTRUCTION FOR RESOLVING THE DISPUTES WITH THE UNALASKA 2012 PAVEMENT RESURFACING PROJECT

WHEREAS, disputes exist between the City of Unalaska and Granite Construction Company regarding the City of Unalaska 2012 Pavement Resurfacing Contract; and

WHEREAS, the City and Granite Construction Company wish to resolve their disputes and have negotiated an agreement to settle the disputes and release each other from all claims; and

WHEREAS, the terms of the Settlement Agreement are detailed in the "Settlement Agreement and Release of Claims" document attached to this Resolution;

NOW, THEREFORE, BE IT RESOLVED that the City Council of the City of Unalaska authorizes the City Manager to enter into the Settlement Agreement and Release of Claims with Granite Construction for resolving the disputes with the Unalaska 2012 Pavement Resurfacing Project.

PASSED AND ADOPTED BY A DULY CONSTITUTED QUORUM OF THE UNALASKA CITY COUNCIL THIS 17TH DAY OF DECEMBER 2013.

MAYOR

ATTEST:

CITY CLERK

MEMORANDUM TO COUNCIL

TO: MAYOR AND CITY COUNCIL MEMBERS
FROM: PATRICK JORDAN, ASST. CITY MANAGER
THRU: CHRIS HLADICK, CITY MANAGER
FROM: DEPARTMENT OF ADMINISTRATION
DATE: DECEMBER 17, 2013
RE: GRANITE SETTLEMENT

SUMMARY: During the summer of 2012 Granite was contracted to perform paving for the City of Unalaska. The project consisted of milling and paving of 2 inches on Airport Beach Road as well as reconstruction and paving of East Broadway. New curb and gutter was not included in the Airport Beach portion. The roadway surface immediately showed signs of degradation. The City and Granite have been in negotiations to remedy the situation. Tonight council is being asked to approve a settlement agreement that will resolve the dispute. In January staff will bring forward an amendment to Knik's existing paving contract to complete the repairs in the summer of 2014.

PREVIOUS COUNCIL ACTION: The Council approved the contract for paving with Granite back in the spring of 2012. Staff has updated the council several times on the apparent failure of the paving and ongoing negotiations with Granite to date.

BACKGROUND: No outside influences on this decision such as EPA etc.

DISCUSSION: The project has been showing signs of deformation and degradation since work stopped in the fall of 2012. The City has not issued Granite a notice of substantial completion due to many factors. City Staff and representatives of Granite have been in discussions and have performed testing of the existing pavement for certain characteristics. We both hired asphalt experts and they have offered their opinions as to the causation of failure. And, as to be expected, both have come up with different theories as to why the pavement is not performing to specifications in the contract. The City has been driving on the road since work stopped in 2012. The legal arguments, if the case proceeded to court, would eventually get around to the question of, "how long will the road last?" Rather than spend \$500,000 on legal fees my recommendation has been to settle.

The offer on the table before you represents a settlement agreement as negotiated by Public Works Director Nancy Peterson and myself. The settlement includes a payment from Granite for basically half of what it will cost to repave the road from Airport Beach to east Broadway. Additionally we will keep the millings. I believe this is a fair settlement for the following reasons.

1. The cost to bring this case to trial will be expensive not to mention staff time, PN&D staff time, additional testing costs and asphalt expert time.
2. Will the road last 7 years or five or another number, it would be an educated guess at best and an endless source of argument.
3. A scenario exists where money is spent to prepare for trial and we come to the same result which is 50% or maybe even less. Trial is not a good bet in my professional estimation.

The City has been working with Knik on a repaving plan. We can amend their current contract and have them complete the repaving this coming summer. Their estimate is \$3.2 million dollars for the project which includes putting a 3% crown in the road to ensure water drainage.

The important part of the settlement agreement follows. The first five points are lifted from the agreement and placed here for discussion (my comments in italics). Brooks Chandler is the author of the agreement and Granite's attorney has also reviewed and approved the entire agreement.

1. City shall issue final payment to GCCO in the amount of one-million, three-hundred ninety four thousand eight hundred twelve dollars and seventy-nine cents Dollars (\$1,394,812.79) on or before December 31, 2013. *The City pays Granite what we have withheld.*
2. GCCO shall pay the City one million six hundred fifty two thousand eight hundred eighteen dollars (\$1,652,818) on or before December 31, 2013. *Granite pays us this sum as settlement of the dispute.*
3. At the time the payments required by this Agreement are made and because it is in the best interest of the City and not due to the fault of either party:
 - A. City shall terminate the Contract for the convenience of the owner.
 - B. GCCO and City shall execute a mutual Release of All Claims in the form attached hereto.
4. City shall be solely responsible for any future repairs to work performed under the Contract.
4. City shall retain ownership of all asphalt placed by GCCO under the Contract.

The remainder to the agreement is important but mostly boiler plate language.

ALTERNATIVES: Go to court and take our chances

FINANCIAL IMPLICATIONS: It will cost the City about \$1.6 million plus inspection costs to repave the project.

LEGAL: Before a final decision is made to go to trial we would ask Brooks Chandler to write an opinion letter concerning the costs for trial preparation through taking the case to

trial, the legal approach to be taken, and an estimate of time it would take for the entire process.

STAFF RECOMMENDATION: Approve the settlement agreement.

RELEASE OF CLAIMS

GRANITE CONSTRUCTION COMPANY ("GCCO") and The CITY OF UNALASKA ("City") (collectively "Releasors") executed Contract #11103, dated June 29, 2012, for City of Unalaska 2012 Pavement Resurfacing, located in Unalaska, Alaska ("The Contract"). During the term of the Contract, City identified claimed deficiencies in the work, withheld payment for previously performed work and directed GCCO to correct the alleged deficiencies. GCCO disputed the claims of deficient work and disputed the City's right to withhold payment. The parties have agreed to resolve their disputes, including an exchange of payments and a termination of the Contract for the convenience of the City as reflected in the Settlement Agreement signed concurrently herewith.

THEREFORE, in consideration of the settlement of the disputes between GCCO and the City described above, GCCO and City hereby mutually **RELEASE** each other and their respective officers, officials, employees, agents, sureties and insurers and their successors and assigns from any and all claims, demands, actions and causes of action, lawsuits and controversies of every kind and nature for all damages and losses of every kind and nature, whether presently known or unknown, suspected or unsuspected, disclosed or undisclosed, arising directly or indirectly from the Contract.

It is fully understood that the consideration given for this Release is for the purpose of terminating further controversy and settling disputed claims. City and GCCO admit no liability whatsoever to each other, but, on the contrary, expressly deny any negligence, breach of duty or unlawful or inappropriate conduct of any kind or nature and any liability therefor.

Releasors warrant that they will not, by themselves, or in concert with others, maintain or cause to be maintained, any further demands, actions, lawsuits, arbitrations or similar

RELEASE OF ALL CLAIMS

proceedings in any capacity whatsoever against each other as a result of or pertaining to any of the claims or damages which are the subject of the foregoing or to which this Release pertains.

DATED this 10 day of December 2013.

GRANITE CONSTRUCTION, COMPANY

CITY OF UNALASKA

By: 
Derek Betts
Vice President/Regional Manager

By: _____
Chris Hladick
City Manager

RELEASE OF ALL CLAIMS

**SETTLEMENT AGREEMENT
AND RELEASE OF CLAIMS**

City of Unalaska and Granite Construction, Company

This Settlement Agreement and Release of Claims ("Agreement"), effective as of December 12, 2013, is by and among the CITY OF UNALASKA, an Alaska municipal corporation ("City"), and GRANITE CONSTRUCTION COMPANY, a California corporation ("GCCO").

WHEREAS, disputes exist between the parties regarding; 1) the legal rights of the City to reject work performed by GCCO under the City of Unalaska 2012 Pavement Resurfacing Contract ("the Contract"), 2) the amount owed to GCCO for work performed under the Contract and 3) the obligations of GCCO to correct previously performed work under the Contract ("the Claims").

WHEREAS, the parties desire to resolve the Claim.

NOW, THEREFORE, the parties agree as follows:

1. City shall issue final payment to GCCO in the amount of one-million, three-hundred ninety four thousand eight hundred twelve dollars and seventy-nine cents Dollars (\$1,394,812.79) on or before December 31, 2013.
2. GCCO shall pay the City one million six hundred fifty two thousand eight hundred eighteen dollars (\$1,652,818) on or before December 31, 2013.
3. At the time the payments required by this Agreement are made and because it is in the best interest of the City and not due to the fault of either party:
 - A. City shall terminate the Contract for the convenience of the owner.
 - B. GCCO and City shall execute a mutual Release of All Claims in the form attached hereto.
4. City shall be solely responsible for any future repairs to work performed under the Contract.
5. City shall retain ownership of all asphalt placed by GCCO under the Contract.
6. This Agreement has been drafted by the parties through the efforts of their respective legal counsel. The rule of construction that an agreement shall be construed against the drafting party is therefore inapplicable to this Agreement.
7. Each party warrants that the terms of this Agreement have been carefully reviewed and

that each party understands its contents and has been advised as to the legal effect of this Agreement by legal counsel obtained by that party. Each party further agrees this Agreement is voluntarily accepted; the parties are not executing this Agreement as a result of financial disadvantage; no promise or inducement has been offered or made except as set forth in this Agreement; and this Agreement is executed without reliance upon a statement or representation by any other party or its agents or attorneys concerning the nature and extent of any injuries, damages, or legal liability of any party.

8. GCCO and City shall each be responsible for all of their own costs and attorney's fees related to resolution of this dispute.

9. All parties acknowledge that this Agreement is given with consideration and is for the purpose of terminating further controversy among the parties, and that none of the parties admits liability of any sort arising out of this dispute. This Agreement shall be binding on the heirs, successors and assigns of the parties to this Agreement.

10. This Agreement embodies the full and complete understanding of the parties and on execution, supersedes and renders null and void any and all prior understandings and oral agreements between the parties. Any modification or amendment of this Agreement shall be deemed null and void unless made in writing and signed by all parties or their legal counsel.

11. This Agreement shall be governed and construed by the laws of the State of Alaska, without regard to conflicts of law principles.

12. This Agreement may be executed in any number of counterparts and by the different parties hereto on separate counterparts, including facsimile or email transmittals, each of which when so executed shall be deemed an original, but all such counterparts, when taken together, shall constitute but one and the same agreement.

IN WITNESS WHEREOF, the parties have respectively executed this Agreement on the date first written above.

GRANITE CONSTRUCTION, COMPANY

CITY OF UNALASKA

By: _____



Derek Betts
Vice President/Regional Manager

By: _____

Chris Hladick
City Manager

Attachment:
Release of All Claims

SETTLEMENT AGREEMENT -2-

CITY OF UNALASKA
UNALASKA, ALASKA

RESOLUTION 2013-86

A RESOLUTION OF THE UNALASKA CITY COUNCIL AUTHORIZING AN EXPENDITURE IN THE AMOUNT OF \$_____ FROM COUNCIL CONTINGENCY TO SPONSOR THE ALASKA FISHERIES DEVELOPMENT FOUNDATION'S ANNUAL ALASKA SYMPHONY OF SEAFOOD CONTEST

WHEREAS, Alaska Fisheries Development Foundation (AFDF) is in its 35th year of working on behalf of the fishing industry in Alaska; and

WHEREAS, AFDF collaborates with individuals, organizations, public officials, and government agencies at all levels to stimulate and encourage new developments that help make Alaska's seafood industry more environmentally, economically, and technologically sound; and

WHEREAS, for the past 20 years, AFDF, in partnership with other industry supporters, has sponsored the Alaska Symphony of Seafood annual contest to encourage new product development from seafood harvested in Alaska; and

WHEREAS, the City of Unalaska has been asked to be a sponsor of the Alaska Symphony of Seafood contest;

NOW THEREFORE BE IT RESOLVED that the Unalaska City Council authorizes expenditure from Council Contingency in the amount of \$_____ to be provided to the Alaska Fisheries Development Foundation for _____ level sponsorship of the 2013 Alaska Symphony of Seafood.

PASSED AND ADOPTED BY A DULY CONSTITUTED QUORUM OF THE UNALASKA CITY COUNCIL THIS 17TH DAY OF DECEMBER 2013.

MAYOR

ATTEST:

CITY CLERK

SEAFOOD in Alaska is **BIG** business.

The fishing industry is Alaska's largest employer. Additionally, sixty percent of America's seafood products come from Alaskan waters.

It is truly mind boggling to think of how many different kinds of businesses participate in Alaska's fishing industry. In addition to fishers and processors, there are transporters of both people and product, shipyards, gear and equipment manufacturers, insurers, banks, legal firms, medical service providers, chandlers, naval architects, marketers and brokers, web site developers, accountancy firms and government regulators, all building lives and making mortgage payments based on Alaskan fish.



For over 30 years, Alaska Fisheries Development Foundation has been a major supporter of fisheries development in the North Pacific. Collaborating with individuals, organizations, public officials, and government agencies at all levels, we stimulate and encourage new developments that help make Alaska's seafood industry more environmentally, economically and technologically sound.

For the past 20 years AFDF, in partnership with other industry supporters, has sponsored the Alaska Symphony of Seafood. Thank you for joining us in this celebration of creativity and innovation in Alaska Seafood.

Thank you to our Sponsors

MAESTRO



CONCERTMASTER



SOLOIST



AFICIANADO



Alaska Symphony of Seafood
431 West 7th Ave., Ste. 106, Anchorage, AK 99501

2013 Alaska Symphony of Seafood



New Products Contest **WINNERS!**

2013 New Products Contest Winners!

FOOD SERVICE

- 1st Alaskan Sablefish Unagi Style**
Triad Fisheries Ltd. www.triadfisheries.com
- 2nd Seafest™ Alaskan Salmon Loins**
Trident Seafoods Corporation www.tridentseafoods.com
- 3rd Sealectables Cod Fish 'n Sticks**
Chang International

SMOKED

- 1st Aqua Cuisine Lit'l Sammies**
Aqua Cuisine www.aquacuisine.com
- 2nd Smoked Sockeye Salmon: Sea Salt Nova Style**
Trident Seafoods Corporation www.tridentseafoods.com

RETAIL

- 1st Zesty Grill Sockeye Salmon**
Copper River Seafoods www.copperriverseafoods.com
- 2nd Roasted Garlic Alaskan Cod**
Copper River Seafoods www.copperriverseafoods.com
- 3rd Teriyaki Style Sockeye Salmon Seafood Burger**
Orca Bay Seafoods www.orcabayseafoods.com

PEOPLE'S CHOICE

- Seattle Alaskan Sablefish Unagi Style**
Triad Fisheries Ltd. www.triadfisheries.com
- Anchorage Zesty Grill Sockeye Salmon**
Copper River Seafoods www.copperriverseafoods.com



Zesty Grill Sockeye Salmon

Copper River Seafoods
www.copperriverseafoods.com

10 Years of Grand Prize Winners





Enter the 2013
Alaska Symphony of Seafood

NEW PRODUCTS CONTEST

Deadline is January 16, 2013



Inspiring better use of Alaska's natural seafood resource

Dear Seafood Industry Representative:

If your company currently has wild Alaska seafood products appearing in the market, your innovative seafood item is requested for a command performance in the 20th **annual** Alaska Symphony of Seafood!

Since 1994, the Alaska Fisheries Development Foundation has conducted the Alaska Symphony of Seafood celebrating creative and innovative seafood products by bringing together many exciting food ideas before a distinguished panel of judges who represent various market segments related to the seafood industry. The purpose of the event is to encourage the development of new products made from our rich, wild fisheries resources taken from the pristine waters of Alaska. Innovation and new thinking are the desired outcomes.

The 20th **annual** Alaska Symphony of Seafood performance begins with the private judging of qualified entrants in Seattle on Wednesday, February 13, 2013. All products will be prepared and displayed by professional culinary staff. Judging criteria are based on packaging/presentation, overall eating experience, and potential for commercial success. A first, second, and third place winner will be selected from each category: retail, foodservice and smoked products. Following the judging in Seattle we will have an Open House for all contest entrants, special seafood industry invitees, sponsors, the press and the judges. In addition to the Seattle events, we will hold our Gala Soirée and Awards Ceremony in Anchorage, Alaska, on Saturday, February 23, 2013. Results of the judging will be announced at this event.

In order to participate in the Alaska Symphony of Seafood, your product must be market ready—in commercial production by the date of the event. First place winners from each category are sent to the International Boston Seafood Show in March 2013 and, if your product wins at any level, you will be able to market the distinction of being an Alaska Symphony of Seafood winner, helping move your product into a commercial winners' circle. After the contest is over, we will also send you the judges' scores and comments about your product. This kind of feedback is invaluable to any new product development effort.

The Alaska Symphony of Seafood enjoys excellent coverage by national and international seafood trade publications. For anyone interested in Alaska seafood, the renowned Alaska Symphony of Seafood is a great way to learn about the latest in new product development and about the extraordinary efforts to develop value-added alternatives for Alaska's abundant resource.

From start to finish, the Alaska Symphony of Seafood offers a means to publicize new seafood products, promote a healthy source of protein, and help expand the worldwide markets for wild Alaska seafood. We look forward to having your products participate in this exciting event!

Sincerely,

James Browning
Executive Director, Alaska Fisheries Development Foundation





Alaska Symphony of Seafood 2013

RULES & GUIDELINES

Purpose of the Alaska Symphony of Seafood

The Alaska Symphony of Seafood was created to encourage new product development for seafood harvested in Alaska waters. Its three goals are:

- To promote innovative product development for salmon, white fish and shellfish harvested in Alaska waters;
- To publicize new products, new ideas, and the untapped opportunities that remain in the area of new-product development and market expansion for Alaska seafoods;
- To encourage participation and sponsorship by a wide variety of companies and organizations that together are building the future of the fishing industry.

Who can participate in the Alaska Symphony of Seafood contest?

Any company, domestic or foreign, that is commercially producing value-added products made from wild salmon, white fish or shellfish harvested in Alaska waters. Products must have been produced within the last two years, be market ready, and fit into one of the three contest categories listed below. A product that has been submitted to the Symphony of Seafood in the last two years may re-enter as long as the product did not take first, second, third or the grand prize or the people's choice award.

Product Categories

Your product must fit into one of the following three contest categories:

- **Retail**
- **Foodservice**
- **Smoked Products**

Choose the category that best fits your product. If your product is marketed as both a retail and a foodservice item, indicate this information on the entry form, but enter the product in only one category. Your company may enter more than one product in any category. Each product must be entered separately with its own entry form and entry fee.

How to enter

1. Make sure your product qualifies.
2. Select the appropriate product category.
3. Fill out the entry form completely. Submit one entry form per product entered.
4. Include a \$150, non-refundable entry fee for each product submitted.
5. Follow the contest entry checklist provided. Any incomplete entries will be disqualified.
6. Arrange shipping for a representative sample of your product to arrive at AFDF along with application and entry fee, by Wednesday, January 16, 2013. Sorry, late entries will not be accepted.

About the entry form

Please provide clear and precise information about your product for all questions on the application. The details will be used to determine the eligibility of your product. Please also provide as much information as possible for the judging criteria. We ask for serving suggestions that will represent your product in an advantageous way, e.g. "slightly grill with olive oil and basil," "serve hot on a bed of lettuce," or "thaw and serve with drawn butter." No complex recipes, please! The purpose is to present your product, not a meal.

What's next?

Your company will be notified by Friday, January 25, 2013 if your product qualifies for participation in the New Products Contest. If your product qualifies, you will need to arrange for shipment of enough product to serve 300 sample size portions to the event venue in Seattle. (A sample size is approximately 2 ounces or larger, at the discretion of the manufacturer.) Product will be used for the official judging and for the public tasting at the Open House.

In addition, you will need to arrange for shipping of enough product to serve 450 sample size portions at the Gala Soiree & Awards Ceremony in Anchorage.

Eligible applicants must provide a representative sample of the product's packaging that will be displayed and judged, along with the product. You are also welcome to send copies of company brochures, product specification sheets or other relevant handouts for display during the event.

We reserve the right to limit the number of products.

RULES & GUIDELINES

RULES & GUIDELINES

PAGE 2

About the contest judging

Each product entered in the Alaska Symphony of Seafood New Products Contest will be evaluated individually by a panel of expert judges during a private judging session on Wednesday, February 13, 2013. Each product will be prepared and displayed by professional culinary staff. Each product will be judged on criteria such as its packaging/presentation; overall eating experience that includes aroma, flavor and texture; perceived value for its niche in the market; and its potential for commercial success. Products will be judged with respect to the market category in which it is entered. Results will be tabulated and held in confidence by a third party for announcement on Saturday, February 23, 2013 at the Awards Ceremony in Anchorage. A first, second, and third place winner will be selected from each contest category. The product receiving the highest overall score will be awarded the 2013 Grand Prize.

About the Awards Ceremony

On February 23, 2013 the Symphony of Seafood will open their doors to a very special Gala Soirée & Awards Ceremony in Anchorage, Alaska. All contest entrants, special seafood industry invitees, sponsors, the press and the interested public will join the festivities to taste and evaluate each contest entry and vote for the coveted "Peoples Choice" award. This event culminates with the announcements of the winners of the Alaska Symphony of Seafood contest. All entrants are encouraged to attend. This event presents an opportunity to talk with judges, media and potential buyers, and to get additional feedback from the people tasting your new product.

And then there are the winners!

First place winners from each product category will receive free booth space at the International Boston Seafood Show in March 2013. Airfare for one company representative to attend the show will be provided by our airline sponsor. All first place products will be photographed by a professional photographer, featured on the Symphony website winners' page and made available for marketing and sales efforts. Winners will also have the use of a contest category, winner's sticker that can be featured on the winning product's packaging and used for other marketing efforts. The media opportunities associated with the Alaska Symphony of Seafood are endless. Many post

event articles and editorials will be written featuring the event and it's winners. It's a great promotional boost for a new wild Alaska seafood product!

Acceptance of the rules

By entering the Alaska Symphony of Seafood New Products Contest, each contestant agrees to the rules, accepts the limitations of a dynamic competitive environment, and waives all claims against the Alaska Symphony of Seafood, its organizers, judges or sponsors, for the outcome of the contest. All judges' decisions are final. The Alaska Symphony of Seafood is not liable for any inconvenience, expense, or damages associated with participation in this contest.

Complaints

Complaints about the contest or its outcome should be submitted to the Alaska Symphony of Seafood primary organizer, the Alaska Fisheries Development Foundation (AFDF). If such communication is unsatisfactory, further complaints may be made to the Board of Directors of the Alaska Fisheries Development Foundation, 431 West Seventh Avenue, Suite 106, Anchorage, AK 99501. AFDF is a non-profit industry group, representing harvesters, processors and others interested in the seafood industry of the North Pacific, working together to increase the value of Alaska's fisheries to the nation.

IMPORTANT DATES

Wednesday, January 16, 2013

Contest entry deadline

Friday, January 25, 2013

Contest entrants notified

Friday, February 8, 2013

Product due - Seattle

Wednesday, February 13, 2013

Private Judging & Open House - Seattle

Wednesday, February 20, 2013

Product Due - Anchorage

Saturday, February 23, 2013

Gala Soirée & Awards Ceremony - Anchorage

Alaska Symphony of Seafood 2013

ENTRY FORM

Fill out one Entry Form per product



Please answer all questions to the best of your knowledge. Judging criteria is based on information provided by entrants from this questionnaire. Any information you choose to leave out may negatively affect the final score of your product. Information will be confidential to other entrants. By entering this contest you guarantee that your product uses Alaska seafood.

Product name: _____

Company name: _____

Address: _____

Phone: _____ **Fax:** _____ **E-mail:** _____

Contact name: _____ **Title:** _____

Product's market category (select only one):

☐ Foodservice ☐ Retail ☐ Smoked Products

Product ingredients: _____

Nutritional information: _____

Product description (please be clear, concise, and complete): _____

What is innovative or cutting edge about this product? _____

Preparation and serving suggestion: _____

ENTRY FORM

ENTRY FORM

PAGE 2

Describe any requirement for storage and handling of the shipped product: _____

How long has your product been commercially manufactured? _____

In what other varieties or flavors is this product available? Be specific: _____

List methods of distribution (where or how can your product be purchased? / region or regions): _____

What is your product's annual sales volume? _____

Product pricing (If actual price is not known, please estimate.) _____

Retail / Smoked Products Categories:

Serving / unit size: _____

Serving / unit price: _____

OR:

Foodservice Category:

Cost per serving / unit: _____

Serving / unit size: _____

Use the **CONTEST ENTRY CHECKLIST to make sure your entry is complete.**

Return entries to: Alaska Symphony of Seafood
Alaska Fisheries Development Foundation
431 W. 7th Ave., Suite 106
Anchorage, Alaska 99501

Entry deadline: January 16, 2013

Include \$150.00 per entry. Make check payable to Alaska Fisheries Development Foundation (AFDF)



Alaska Symphony of Seafood 2013

CHECK LIST

*Please make sure your contest submission is complete, and that it arrives in time.
Late submissions will be disqualified.*

TO ENTER:

- _____ Fill out the Official Contest Entry Form completely.
- _____ Are your preparation instructions clear and complete?
- _____ Does your product information sheet tell everything you want the judges to know about your product? This is your chance to make sure they fully understand your product.
- _____ Attach \$150 entry fee (non-refundable) for each product entered.
Checks made out to: Alaska Fisheries Development Foundation (AFDF).
- _____ Provide a representative sample of your product, in its commercial packaging.
You may include any product brochures, spec sheets, company brochure, or other literature that would provide more information about your product.
- _____ **We must receive your entry by 5:00 PM on Wednesday, January 16, 2013.**
- _____ Mail to: Alaska Symphony of Seafood
Alaska Fisheries Development Foundation
431 W. 7th Ave., Suite 106
Anchorage, Alaska 99501

FOR THE CONTEST:

- _____ We must receive the 300 sample-sized portions of your product by 3:00 PM on Friday, February 8, 2013 for the Seattle event.
- _____ We must receive the 450 sample-sized portions of your product by 3:00 PM on Wednesday, February 20, 2013 for the Anchorage event.
- _____ Include the product's commercial packaging.
- _____ Send along any brochures, handouts, product spec sheets that you would like distributed at the public Alaska Symphony of Seafood event.
- _____ Please plan to attend the Alaska Symphony of Seafood 2013 in Seattle and Anchorage.

See you at the Symphony!

CHECK LIST

CELEBRATE WITH US!

Alaska Fisheries Development Foundation

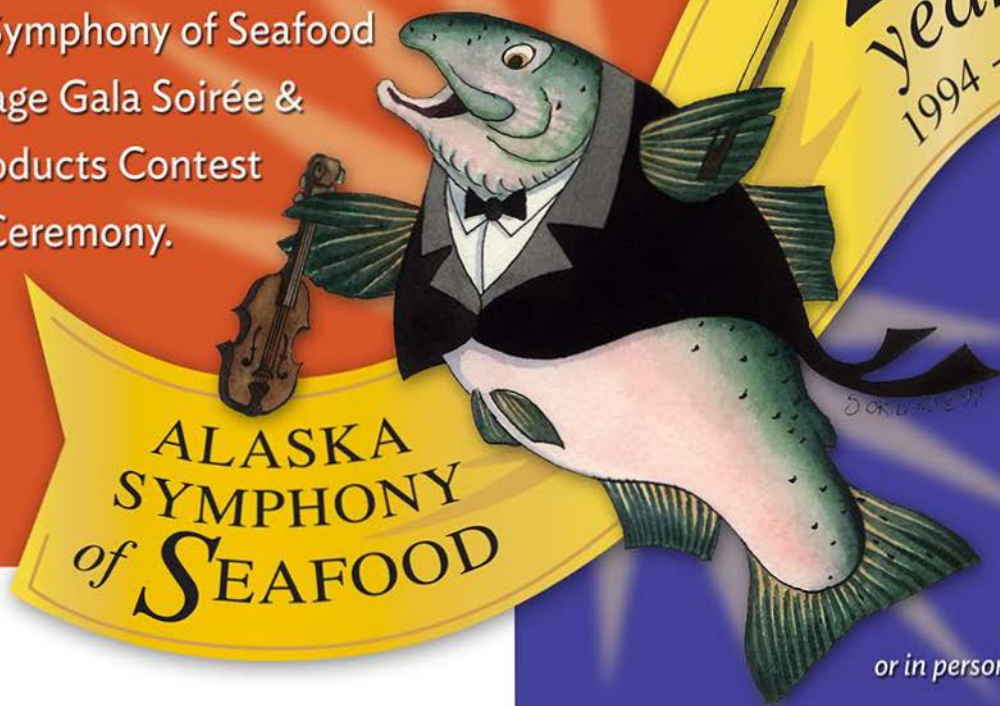
invites you to attend the 20th Annual

Alaska Symphony of Seafood

Anchorage Gala Soirée &

New Products Contest

Award Ceremony.



Feb 23, 2013

5:30 pm

**Hilton Anchorage
Alaska Ballroom**

500 W. 3rd Ave., Anchorage, AK

\$45 per ticket

Tickets available
online at www.centertix.net
by calling 907-263-ARTS (2787)
or in person at 621 W. 6th Ave. in Anchorage

AFDF
Alaska Fisheries Development Foundation, Inc.