

**CONTRACT DOCUMENTS AND SPECIFICATIONS  
FOR CONSTRUCTION OF**

**CITY OF UNALASKA**

**PYRAMID WATER TREATMENT PLANT MICROTURBINE  
PROJECT**

**DPW Project No. 17401**

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**FOR BID**  
**04-20-20**

**City of Unalaska**  
**Department of Public Works**  
**P.O. Box 610**  
**Unalaska, Alaska 99685**  
**907-581-1260**

City of Unalaska  
**PYRAMID WATER TREATMENT PLANT MICROTURBINE PROJECT**  
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**Part 1**

**BIDDING REQUIREMENTS**

**Section 00030  
INVITATION TO BID**

Sealed Bids for the City of Unalaska **PYRAMID WATER TREATMENT PLANT  
MICROTURBINE PROJECT**, addressed to the City of Unalaska, will be received at the following location:

City of Unalaska  
Office of the City Clerk  
P.O. Box 610  
43 Raven Way  
Unalaska, Alaska 99685  
Tel. 907-581-1251  
Fax 907-581-1417

Sealed bids will be received until 2:00 p.m., local time on **May 28, 2020** and then will be publicly opened and read aloud. Any bids received after the time and date specified will not be considered.

The work will include, but not be limited to, furnishing all labor, tools, equipment, and materials and performing all operations in connection with the **PYRAMID WATER TREATMENT PLANT  
MICROTURBINE PROJECT**. The Work includes installing two water turbine generators to utilize the excess head pressure provided by the elevation of Icy Creek reservoir to create usable electricity. The existing Pyramid Water Treatment Plant (PWTP) will be reconfigured to allow hydroelectric power generation; which will be tied into the existing water plant main distribution panel (MDP) to allow for distribution to the water plant's electric power system. This work includes the associated piping, pumps, and appurtenances; electrical equipment, conductors, and raceways; SCADA control system modifications; equipment anchors and pipe supports; and all work necessary for a complete and operable hydropower system as described in these contract documents.

1. Project Location: Pyramid Water Treatment Plant, Unalaska, Alaska 99685
2. Owner: City of Unalaska, Department of Public Utilities

Technical questions shall be directed in writing to the Engineer at the address shown below. An electronic copy of the Bidding Documents may be obtained from the City of Unalaska Website <http://www.ci.unalaska.ak.us/rfps>, for no charge.

Rentricity, Inc.  
c/o Taku Engineering  
P.O. Box 241386  
Anchorage, AK 99524  
Tel. 860-810-7455  
Attn: Al Spinell, COO  
Email: [als@rentricity.com](mailto:als@rentricity.com)

Each Bid must be submitted on the prescribed form and accompanied by submittal information as prescribed in the Instruction to Bidders. The successful bidder will be required to furnish the necessary bond(s) for the faithful performance of the Contract, as prescribed in the Bidding Documents.

**INVITATION TO BID**

00030-1

A prebid conference will be held on **May 14, 2020** at 2:00 p.m. at the City of Unalaska Department of Public Works. A site visit by all bidders is strongly recommended. The prebid conference may be attended by teleconference at 1-888-363-4734, Access Code 1258939.

The successful Bidder shall hold such Contractors and Business Licenses as required by State Statutes and City of Unalaska Municipal Code Section 9.04. The right is reserved to reject any or all Bids, to waive informalities or irregularities in the bidding, and to accept bids that are considered to be in the best interest of the City of Unalaska.

No bidder may withdraw its bid after the time set for opening thereof, unless the award of the contract is delayed for a period exceeding 60 days.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 2020.

**CITY OF UNALASKA, ALASKA**

By \_\_\_\_\_  
Tom Cohenour, Director of Public Works

**Section 00100**  
**INSTRUCTIONS TO BIDDERS**

**1. Defined Terms.**

Terms used in these Instructions to Bidders which are defined in the General Conditions of the Contract Documents have the meanings assigned to them in the General Conditions.

Certain additional terms used in the Bidding Documents have the meanings indicated below which are applicable to both the singular and plural thereof.

- A. Bidder - one who submits a Bid directly to Owner as distinct from a subbidder, who submits a bid to a Bidder.
- B. Bidding Documents - the Bidding Requirements and the proposed Contract Documents (including all Addenda issued prior to receipt of Bids).
- C. Bidding Requirements - the Invitation to Bid, Instructions to Bidders, and Bid Form, plus additional documents that may be submitted with the Bid.
- D. Issuing Office - the City Public Works Department, from which the Bidding Documents are to be issued and where the bidding procedures are to be administered.
- E. Low Bidder - Low Bidder will be determined on the basis of the lowest Amount for the total bid including Owner chosen Additive and/or Deductive Bid Items as described in the Bid Form. Award of the Additive or Deductive Bid Items will be made to the extent that construction funds are available, in such order as may suit the best interest of the Owner. The Deductive and Additive Bid items are not in any specific order and are not listed in order of preference. The Owner reserves the right to select the low bidder on the basis of the Base Bid plus any combination of Additive and/or Deductive Bid items. If the order of the bids is affected, the award will be made on the basis of the Base Bid plus any combination of the Deductive and Additive Bid items.
- F. Successful Bidder - the lowest, qualified, responsible and responsive Bidder to whom the City (on the basis of the City's evaluation as hereinafter provided) makes an Award.

**2. Copies of Bidding Documents.**

- A. Complete sets of the Bidding Documents for the sum stated in the Invitation to Bid may be obtained from the Issuing Offices.
- B. Complete sets of Bidding Documents must be used in preparing Bids; the City does not assume any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.

- C. The Drawings bound in the Contract Documents are at a scale indicated by a note or scale bar on the Drawings.
- D. The City, in making copies of Bidding Documents available on the above terms, does so only for the purpose of obtaining Bids for the work and does not confer a license or grant for any other use.

**3. Qualifications of Bidders.**

To demonstrate qualifications to perform the work, each Bidder must be prepared to submit within 5 days after Bid opening upon City's written request, information such as financial data, previous experience, present commitments, subcontractor names and qualifications, and other such data as may be called for below. Each Bid must contain evidence of Bidder's qualification to do business in Alaska. Bidders shall be eligible to obtain a business license from the City of Unalaska.

Nothing indicated herein should prejudice the right of Owner to seek additional pertinent information as provided in the General Conditions.

**4. License Requirements**

Contractors and subcontractors, in order to perform public work in the State of Alaska, are required to hold State of Alaska Contractor's licenses of the class required to perform the specified work. Contractors and subcontractors are also required to hold current Alaska Business Licenses and obtain a City of Unalaska business license in order to perform public work in the State of Alaska. Contractor's license and Alaska Business License numbers shall be inserted in the appropriate place on the Bid form. Evidence of subcontractor's compliance with the above shall be submitted to the City before starting subcontract work on public work contracts.

**5. Examination of Contract Documents and Site.**

- A. It is the responsibility of each Bidder before submitting a Bid:
  - 1. To examine thoroughly the Contract Documents and other related data identified in the Bidding Documents (including "technical data" referred to below);
  - 2. To visit the site to become familiar with and satisfy Bidder as to the general, local, and site conditions that may affect cost, progress, performance, or furnishing of the Work;
  - 3. To consider federal, state, and local Laws and Regulations that may affect cost, progress, performance, or furnishing of the Work;
  - 4. To study and carefully correlate Bidder's knowledge and observations with the Contract Documents and such other related data;



5. To promptly notify the City of all conflicts, errors, ambiguities or discrepancies which Bidder has discovered in or between the Contract Documents and such other related documents;
  6. To review applicability of the City of Unalaska sales tax to any purchases of materials or services related to the Work.
- B. Information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or contiguous to the site is based upon information and data furnished to the City by Owners of such Underground Facilities or others, and the City does not assume responsibility for the accuracy or completeness thereof unless it is expressly provided otherwise in the Supplementary Conditions.
  - C. Provisions concerning responsibility for the adequacy of data furnished to prospective Bidders on subsurface conditions, other physical conditions and Underground Facilities, and possible changes in the Contract Documents due to differing or unanticipated conditions appear in Article 4 of the General Conditions.
  - D. Before submitting a Bid, each Bidder will be responsible to make or obtain such examinations, investigations, explorations, tests, studies, and data concerning conditions (surface, subsurface, and Underground Facilities) at or contiguous to the site or otherwise which may affect cost, progress, performance, or furnishing of the Work or which relate to any aspect of the means, methods, techniques, sequences, or procedures of construction to be employed by Bidder and safety precautions and programs incident thereto or which Bidder deems necessary to determine its Bid for performing and furnishing the Work in accordance with the time, price, and other terms and conditions of the Contract Documents.
  - E. On request, the City will provide each Bidder access to the site to conduct such examinations, investigations, explorations, tests and studies as each Bidder deems necessary for submission of a Bid. Bidder shall fill all holes and clean up and restore the site to its former condition upon completion of any such explorations, investigations, test, and studies.
  - F. The submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article 5; that, without exception, the Bid is premised upon performing and furnishing the work required by the Contract Documents and applying the specific means, methods, techniques, sequences, or procedures of construction (if any) that may be shown or indicated or expressly required by the Contract Documents; that Bidder has given the Contracting Officer written notice of all conflicts, errors, ambiguities, and discrepancies that Bidder has discovered in the Contract Documents and the written resolution thereof by the City is acceptable to Bidder; and that the Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performing and furnishing the Work.

## **INSTRUCTIONS TO BIDDERS**

- G. The provisions of paragraph 5A through 5F above, inclusive, do not apply to asbestos, polychlorinated biphenyl (PCB), petroleum, hazardous waste, or radioactive material covered by the Supplementary Conditions.
- H. Nothing contained in the Bid Documents, any and all attachments thereto, or any and all addenda thereto, shall be interpreted by any party as requiring or allowing the Contractor to do anything that is not in compliance with all applicable codes and regulations, that is less than general standard industry quality, or that results in an unsafe, unstable or dangerous condition.

**6. Availability of Lands for Work, Etc.**

The lands upon which the work is to be performed, rights-of-way and easements for access thereto, and other lands designated for use by Contractor in performing the work are identified in the Contract Documents. All additional lands and access thereto required for temporary construction facilities, construction equipment, or storage of materials and equipment to be incorporated in the work are to be obtained and paid for by the Successful Bidder. Easements for permanent structures or for permanent changes in existing facilities are to be obtained and paid for by the City unless otherwise provided in the Contract Documents.

**7. Interpretations and Addenda.**

- A. All questions about the meaning or intent of the Bidding Documents are to be directed to the City of Unalaska. Interpretations or clarifications considered necessary by the City in response to such questions will be issued by Addenda mailed or delivered to all parties recorded by the Issuing Office as having received the Bidding Documents. Questions received less than 6 days prior to the date for opening of Bids may not be answered. Only questions answered by formal written Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.
- B. Addenda may also be issued to modify the Bidding Documents as deemed advisable by the City.

**8. Bid Security.**

- A. Each Bid must be accompanied by Bid security made payable to Owner for 5 percent of Bidder's Total Bid price and in the form of a certified bank check or a Bid Bond on form attached, issued by a Surety meeting the requirements of the General Conditions.
- B. The Bid security of a successful bidder will be retained until such Bidder has executed the Agreement, furnished the required Contract security, and met the other conditions of the Notice of Award, whereupon the Bid security will be returned. If the successful Bidder fails to execute and deliver the Agreement and furnish the required Contract security within 15 days after the Notice of Award, Owner may annul the Notice of Award and the Bid security of that Bidder will be forfeited. The bid security of the Bidders whom Owner believes to have a reasonable chance of

**INSTRUCTIONS TO BIDDERS**

receiving the award may be retained by Owner until the earlier of the 7th day after the Effective Date of the agreement or the 60th day after the Bid opening, whereupon Bid security furnished by such Bidders will be returned. Bid security submitted with bids which are not competitive will be returned within 15 days after the Bid opening.

9. **Contract Times.**

The number of days within which, or the dates by which, the work is to be completed and ready for final payment (the Contract Times as defined in Article 1 of the General Conditions) are set forth in the Agreement (or incorporated therein by reference to the attached Bid Form).

10. **Liquidated Damages.**

Provisions for liquidated damages, if any, are set forth in the Agreement.

11. **Bid Form.**

- A. The Bid Form is included with the Bidding Documents.
- B. All blanks on the Bid Form must be completed by printing in black ink or by typewriter.
- C. Bids by corporations must be executed in the corporate name by the president or a vice-president (or other corporate officer accompanied by evidence of authority to sign) and the corporate seal must be affixed and attested by the secretary or an assistant secretary. The corporate address and state of incorporation must be shown below the signature.
- D. Bids by partnerships must be executed in the partnership name and signed by a partner, whose title must appear under the signature and the official address of the partnership must be shown below the signature.
- E. All names must be typed or printed in black ink below the signature.
- F. The Bid shall contain an acknowledgment of receipt of all Addenda (the numbers of which must be filled in on the Bid Form).
- G. The address, telephone, email address, and FAX number for communications regarding the Bid must be shown.
- H. See Article 4 above, for required evidence of authority to conduct business as an out-of-state corporation in Alaska. State Contractor license number, if any, must also be shown.

12. **Submission of Bids.**

- A. Bids shall be submitted not later than the time prescribed, at the place, and in the manner set forth in the Invitation to Bid and shall be enclosed in an opaque sealed envelope, marked with the project title (and, if applicable, the designated portion of the project for which the Bid is submitted) and name and address of Bidder and accompanied by the other required documents. If the Bid is sent through the mail or other delivery system, the sealed envelope shall be enclosed in a separate envelope with the notation "**BID ENCLOSED**" on the face of it.
- B. Only one Bid from any individual, firm, partnership, or corporation, under the same or different names, will be considered. Should it appear to the City that any Bidder is interested in more than one Bid for work contemplated, all Bids in which such Bidder is interested will be rejected.
- C. Attachments.

Bidder shall complete and submit the following forms with its Bid:

Bid Form  
Addenda Acknowledgment  
Bid Bond (5% of Bid)  
Alaska Business and Contractor's License

13. **Modifications and Withdrawal of Bids.**

- A. Prior to the time and date designated for receipt of Bids, any Bid submitted may be withdrawn by notice to the party receiving Bids at the place designated for receipt of Bids. Such notice shall be in writing over the signature of the Bidder or by facsimile. If by facsimile, the modification received shall be over the signature of the Bidder and shall be received before the date and time set for receipt of Bids. Facsimile messages shall be worded as to not reveal the amount of the original or modified Bid. Facsimile telephone number is:

City of Unalaska                      (907) 581-1417

Bid modifications must be sent to the office to which the original proposal is delivered or sent.

- B. If, within 24 hours after Bids are opened, any Bidder files a duly signed, written notice with the City and promptly thereafter demonstrates to the reasonable satisfaction of the City that there was a material and substantial mistake in the preparation of its Bid, that Bidder may withdraw its Bid. Thereafter, that Bidder will be disqualified from further consideration on the Work to be provided under the Contract Documents.

14. **Opening of Bids.**

Bids will be opened and read aloud publicly at the place where Bids are to be submitted.

**INSTRUCTIONS TO BIDDERS**

15. **Bids to Remain Subject to Acceptance.**

All Bids will remain subject to acceptance for 60 days after the day of the Bid opening, but the City may, in its sole discretion, release any Bid and return the Bid security prior to that date.

16. **Award of Contract.**

- A. The City reserves the right to reject any or all Bids, including without limitation the rights to reject any or all nonconforming, non responsive, unbalanced or conditional Bids, and to reject the Bid of any Bidder if the City believes that it would not be in the best interest of the Project to make an award to that Bidder, whether because the Bid is not responsive or the Bidder is unqualified or of doubtful financial ability or fails to meet any other pertinent standard or criteria established by Owner. The City also reserves the right to waive all informalities not involving price, time, or changes in the Work and to negotiate Contract terms with the successful Bidder. Discrepancies in the multiplication of units of work and unit prices will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum. Discrepancies between words and figures will be resolved in favor of the words.
- B. In evaluating Bids, the City will consider the qualifications of Bidders, whether or not the Bids comply with the prescribed requirements, and such alternates, unit prices, and other data, as may be requested in the Bid Form or prior to the Notice of Award.
- C. The City may consider the qualifications and experience of subcontractors, suppliers, and other persons and organizations proposed for those portions of the Work as to which the identity of subcontractors, suppliers, and other persons and organizations must be submitted as provided in the Supplementary Conditions. The City also may consider the operating costs, maintenance requirements, performance data, and guarantees of major items of materials and equipment proposed for incorporation in the Work when such data are required to be submitted prior to the Notice of Award.
- D. The City may conduct such investigations as the City deems necessary to assist in the evaluation of any Bid and to establish the responsibility, qualifications, and financial ability of Bidders, proposed subcontractors, suppliers, and other persons and organizations to execute the work in accordance with the Contract Documents to the City's satisfaction within the prescribed time.
- E. If, at the time this Contract is to be awarded, the total of the lowest acceptable Bid exceeds the funds then estimated by the City as available, the City may reject all Bids or take such other action as best serves the City's interests.
- F. If the Contract is to be awarded, it will be awarded to lowest responsive, responsible Bidder as stated in Section 00100 Instructions To Bidders, whose evaluation by the City indicates to the City that the award will be in the best interests of the Project.

**INSTRUCTIONS TO BIDDERS**

- G. In the event of failure of the lowest responsive, responsible Bidder to sign the Contract and provide an acceptable Performance Bond, Payment Bond, and insurance certificate(s), the Owner may award the Contract to the next lowest responsive, responsible Bidder. Such award, if made, will be made within 60 days after the opening of Proposals.
- H. An Additive or Deductive Bid Item is an amount proposed by Bidders and stated on the Bid Form for certain construction activities defined in the Bidding Requirements that may be added to or deducted from the Base Bid amount if the Owner decides to accept a corresponding change in either the amount of construction to be completed, or in the products, materials, equipment, systems or installation methods described in the Contract Documents.
- I. Award of the Additive or Deductive Bid Items will be made to the extent that construction funds are available, in such order as may suit the best interest of the Owner. The Deductive and Additive Bid items are not in any specific order and are not listed in order of preference. The Owner reserves the right to select the Base Bid plus any combination of Additive and/or Deductive Bid items. If the order of the bids is affected, the award will be made on the basis of the Base Bid plus any combination of Additive or Deductive Bid items that the Owner selects at their option.

17. **Contract Security.**

Article 5 of the General Conditions sets forth Owner's requirements as to Performance and Payment Bonds. When the successful Bidder delivers the executed Agreement to Owner, it must be accompanied by the required Performance and Payment Bonds.

18. **Signing of Agreement.**

When the City gives a Notice of Award to the successful Bidder, it will be accompanied by the required number of unsigned counterparts of the Agreement, with all other written Contract Documents attached. Within 10 days thereafter, contractor shall sign and deliver the required number of counterparts of the Agreement and attached documents to the City with the required Bonds. Within 10 days thereafter, the City shall deliver one fully signed counterpart to Contractor.

19. **State Required Wage Rates.**

Contractor shall comply with all applicable State labor regulations, including State of Alaska Title 36, Public Contracts, otherwise known as the Little Davis-Bacon Act, and all labor regulations and minimum rates of pay contained therein.

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State Wage Rates can be obtained at <http://labor.alaska.gov/lss/pamp600.htm>. Use the higher of the state or federal wage rates that are in effect 10 days before Bid Opening.

NOTE TO BIDDER: Use BLACK ink or typewriter for completing this Bid Form.

**INSTRUCTIONS TO BIDDERS**

**Section 00300  
BID FORM**

To: **City of Unalaska, Department of Public Works**

Address: **P.O. Box 610, Unalaska, Alaska 99685**

Project Identification: **City of Unalaska PYRAMID WATER TREATMENT PLANT  
MICROTURBINE PROJECT**

DEFINITIONS

The terms used in this Bid which are defined in the General Conditions and Instructions to Bidders included as part of the Contract Documents are used with the same meaning in this Bid.

BIDDERS DECLARATION AND UNDERSTANDING

This Bid is genuine and not made in the interest of or on behalf of any undisclosed person, firm, or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization, or corporation; Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid; Bidder has not solicited or induced any person, firm, or corporation to refrain from bidding; and Bidder has not sought by collusion to obtain for itself any advantage over any other Bidder or over the City.

In submitting this Bid, Bidder represents, as more fully set forth in the Agreement, that Bidder has examined copies of all the Bidding Documents.

Bidder has familiarized itself with the nature and extent of the Contract Documents, work, site, locality, general nature of work to be performed by Owner or others at the site that relates to work for which this Bid is submitted as indicated in the Contract Documents, and all local conditions and all federal, state, and local Laws and Regulations that in any manner may affect cost, progress, performance, or furnishing of the work.

Bidder has reviewed and checked all information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or contiguous to the site and assumes responsibility for the accurate location of said Underground Facilities. No additional examinations, investigations, explorations, tests, reports, or similar information or data in respect of said Underground Facilities are or will be required by Bidder in order to perform and furnish the work at the Contract Price, within the Contract Time, and in accordance with the other terms and conditions of the Contract Documents, including specifically the provisions of paragraph 4.3 of the General Conditions.

Bidder has correlated information known to Bidder and the results of all such observations, examinations, investigations, explorations, tests, and studies with the Contract Documents.

Bidder has given the City written notice of all conflicts, errors, ambiguities or discrepancies that it has discovered in the Contract Documents and the written resolution thereof by the City is acceptable to Bidder, and the Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performing and furnishing the work for which this Bid is submitted.

## CONTRACT EXECUTION AND BONDS

The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an agreement with the City in the form included in the Contract Documents to perform and furnish all work as specified or indicated in the Contract Documents for the Contract price and within the Contract Time indicated in this Bid and in accordance with the other terms and conditions of the Contract Documents.

Bidder accepts all of the terms and conditions of the Invitation to Bid and Instructions to Bidders, including without limitation those dealing with the disposition of Bid security. This Bid will remain subject to acceptance for 60 days after the day of Bid opening. Bidder will sign and deliver the required number of counterparts of the Agreement with the Bonds and City of Unalaska business license and other documents required by the Bidding Requirements within 10 days after the date of Owner's Notice of Award.

## CERTIFICATE OF INSURANCE

Bidder agrees to furnish the City, before commencing any Physical Work related to this Contract and as required elsewhere, the certificates of insurance as specified in these Documents.

Bidder further agrees that the amount stated herein includes specific consideration for the insurance coverages, including contractual liability, specified in the Contract Documents.

## CONTRACT COMPLETION TIME

Bidder agrees that the work will be completed and ready for final payment in accordance with the number of calendar days or completion date indicated in the Agreement.

## LIQUIDATED DAMAGES

Bidder accepts the provisions of the Agreement as to liquidated damages in the event of failure to complete the work within the times specified in the Agreement.

## ADDENDA

The Bidder hereby acknowledges that it has received Addenda No's \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_ (Bidder shall insert No. of each Addendum received) and agrees that all addenda issued are hereby made part of the Contract Documents, and the Bidder further agrees that its Bid(s) includes all impacts resulting from said addenda.

## SALES AND USE TAXES

The Bidder agrees that all sales and use taxes are included in the stated bid prices for the work, unless provision is made herein for the Bidder to separately itemize the estimated amount of sales tax.

## SUBCONTRACTORS

The Bidder further agrees that if the bid is the apparent low bid, he shall submit, within 5 days after the bid opening, a listing of subcontracting firms or businesses that will be awarded subcontracts for work in excess of \$5,000 and a copy of the City of Unalaska business license for the Contractor and each Subcontractor.

## BID TABULATION AND SUMMARY

The Bidder further proposes to accept, as full payment for work proposed herein, the amount computed under provisions of the Contract Documents and based on the following Bid amounts, it being expressly

## **BID FORM**



understood that the unit quantities of work shown on the plans is independent of the exact quantities involved. The Bidder agrees that the bid amount represent(s) a true measure of the labor and materials required to furnish, install, or provide the item of Work, including all allowances for overhead and profit. The amount shall be shown in both words and figures. In case of a discrepancy, the amount shown in words shall govern.

Bidder agrees to perform all of the work described in the Documents including the specifications, special provisions, and as generally shown on the plans for the prices stated in the Bid Schedules. Bidder understands that the Owner reserves the right to reject any or all bids and to waive any informalities in the bidding. Bidder understands that the Owner reserves the right to pick and choose what bid items will be constructed as part of this work, recognizing that Mobilization and Demobilization will be common to the remaining items of Work.

City of Unalaska  
**PYRAMID WATER TREATMENT PLANT MICROTURBINE PROJECT**

**BONDING**

If the Bidder is awarded a construction Contract on this Proposal, the surety who provides the Performance Bond and Payment Bond will be \_\_\_\_\_

\_\_\_\_\_ whose address is \_\_\_\_\_,

\_\_\_\_\_ ,

Street

City

\_\_\_\_\_.

State

Zip

**BIDDER**

**An Individual**

By

\_\_\_\_\_ (SEAL)

(Individual's name)

doing business as

\_\_\_\_\_

Business

address: \_\_\_\_\_

\_\_\_\_\_

Phone No.: \_\_\_\_\_

Fax No.: \_\_\_\_\_

Email address: \_\_\_\_\_

A Partnership

By \_\_\_\_\_ (SEAL)  
(Firm name)

\_\_\_\_\_  
(general partner)

Business address: \_\_\_\_\_

\_\_\_\_\_

Phone No.: \_\_\_\_\_

Fax No.: \_\_\_\_\_

Email address: \_\_\_\_\_

A Corporation

By \_\_\_\_\_  
(Corporation name)

\_\_\_\_\_  
(state of incorporation)

By \_\_\_\_\_  
(name of person authorized to sign)

\_\_\_\_\_  
(Title)

(Corporate Seal)

Attest \_\_\_\_\_  
(Secretary)

Business address: \_\_\_\_\_

\_\_\_\_\_

Phone No.: \_\_\_\_\_

Fax No.: \_\_\_\_\_

Email address: \_\_\_\_\_

A Joint Venture

By \_\_\_\_\_  
(Name)

\_\_\_\_\_  
(Address)

By \_\_\_\_\_  
(Name)

\_\_\_\_\_  
(Address)

Phone Number and Address for receipt of official communications

Business address: \_\_\_\_\_

Phone No.: \_\_\_\_\_

Fax No.: \_\_\_\_\_

Email address: \_\_\_\_\_

(Each joint venturer must sign. The manner of signing for each individual, partnership, and corporation that is a party to the joint venture should be in the manner indicated above.)

**SUBMITTED** on \_\_\_\_\_, 20\_\_.

**BID PROPOSAL**  
 City of Unalaska  
**PYRAMID WATER TREATMENT PLANT MICROTURBINE PROJECT**

ITEM NO.	EST. QUANT.	DESCRIPTION ( Write Unit Bid Price in Words)	UNIT PRICE	TOTAL PRICE
1		All work necessary to complete the installation of the two water turbine generators and reconfigure the existing Water Treatment Plant as described in these documents.		
		_____ per lump sum		

TOTAL BASE BID (NUMERICAL) \_\_\_\_\_

TOTAL BASE BID (WRITTEN TEXT) \_\_\_\_\_

**BID AUTHORIZATION**

The undersigned represents (check appropriate boxes) that he/she operates as an  Individual,  Joint Venture,  Partnership, or  Corporation, incorporated in the State of \_\_\_\_\_

BIDDER: \_\_\_\_\_

Bidding Company: \_\_\_\_\_

Name (Printed): \_\_\_\_\_

Signature: Date: \_\_\_\_\_

Contractor's License No. Business License No. \_\_\_\_\_

CORPORATE SEAL (If Corporation) No. \_\_\_\_\_

**BID BOND**

KNOW ALL MEN BY THESE PRESENTS: that

\_\_\_\_\_  
(Name of Contractor)

\_\_\_\_\_  
(Address of Contractor)

as Principal, hereinafter called Principal, and

\_\_\_\_\_  
(Name of Surety)

\_\_\_\_\_  
(Address of Surety)

a corporation duly organized under the laws of the State of Alaska as Surety, hereinafter called Surety, are held and firmly bound unto

City of Unalaska \_\_\_\_\_  
(Name of Owner)

PO Box 610, Unalaska, Alaska 99685 \_\_\_\_\_  
(Address of Owner)

as Obligee, hereinafter called Obligee, in the sum of \_\_\_\_\_ Dollars, (\$ \_\_\_\_\_) for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for the City of Unalaska **PYRAMID WATER TREATMENT PLANT MICROTURBINE PROJECT**, located in Unalaska, Alaska.

NOW THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and Sealed this \_\_\_\_\_ day of \_\_\_\_\_ 2020

\_\_\_\_\_  
(Witness) \_\_\_\_\_  
(Principal) Seal

\_\_\_\_\_  
(Title) Seal

\_\_\_\_\_  
(Surety) Seal

\_\_\_\_\_  
(Witness) \_\_\_\_\_  
(Title) Seal

**Part 2**  
**CONTRACT FORMS**

**Section 00500**  
**STANDARD FORM OF AGREEMENT**  
**BETWEEN THE OWNER AND CONTRACTOR**

THIS AGREEMENT is dated as of the \_\_\_\_\_ day of \_\_\_\_\_ in the year 2020, by and between the City of Unalaska (hereinafter called OWNER) and \_\_\_\_\_  
\_\_\_\_\_ (hereinafter called CONTRACTOR).

OWNER and CONTRACTOR, in consideration of the mutual covenants hereinafter set forth, agree as follows:

**Article 1. WORK**

**CONTRACTOR** shall complete all work as specified or indicated in the Contract Documents. The work is generally described as follows:

The work will include, but not be limited to, furnishing all labor, tools, equipment, and materials and performing all operations in connection with the **PYRAMID WATER TREATMENT PLANT MICROTURBINE PROJECT**. The Work includes installing two water turbine generators to utilize the excess head pressure provided by the elevation of Icy Creek Reservoir to create usable electricity. The existing Pyramid Water Treatment Plant (PWTP) will be reconfigured to allow hydroelectric power generation; which will be tied into the existing water plant main distribution panel (MDP) to allow for distribution to the water plant's electric power system. This work includes the associated piping, turbines, and appurtenances; electrical equipment, conductors, and raceways; SCADA control system modifications; equipment anchors and pipe supports; and all work necessary for a complete and operable hydropower system as described in these contract documents.

1. Unalaska, Alaska 99685
2. Owner: City of Unalaska, Department of Public Utilities

The Contract Documents which comprise the entire agreement between OWNER and CONTRACTOR concerning the WORK consists of the following:

- Construction Drawings (Plan Sheets)
- Technical Specifications
- Agreement
- State of Alaska Title 36 Wage Rate Requirements
- Instructions to Bidders
- Bid Forms
- Performance Bond
- Payment Bond
- General Conditions



- Supplementary Conditions
- Permits
- Addenda numbers \_\_\_\_\_ to \_\_\_\_\_, inclusive.
- Change Orders which may be delivered or issued after Effective Date of the Agreement and not attached hereto.

## **Article 2. CONTRACT TIME**

- 2.1 All construction must be accomplished in one of two discrete, defined windows of time between processing seasons during periods of low domestic water demand and flow. Two options are provided. The first allowable window is after October 1, 2020 and before December 1, 2020 (Substantial Completion) to correspond with a low flow period. With this scenario, all Work shall be completed by December 15, 2020 (Final Completion). The Second allowable window is after April 15, 2021 and before June 1, 2021 (Substantial Completion). With this scenario, all Work shall be completed by June 15, 2021 (Final Completion). Contingent on successful submission of the Performance Bond, Payment Bond, and a Certificate of Insurance, the Owner will provide a Notice to Proceed no later than July 15, 2020. Besides staging and preparations, actual field Work is limited to only one of the windows defined above. Full shutdowns or outages are only allowed for limited periods in these construction windows as described below.
- 2.2 The CONTRACTOR is allowed a maximum duration of five days (120 hours) per each shutdown (*Outage*) to the plant. Notice for Outages must be presented to the OWNER 48 hours prior in writing. Outages must not exceed the maximum duration unless the OWNER extends the period in writing. A maximum of three shutdowns or Outages is allowed.
- 2.3 The CONTRACTOR is allowed to leave the water treatment plant in temporary duty configuration (*Temporary Configuration*) for a maximum duration of twenty-one days (504 hours) inside of the selected Work window. Temporary configuration must not exceed the maximum duration unless the OWNER extends the period in writing.
- 2.4 Liquidated Damages. The OWNER and CONTRACTOR recognize that time is of the essence of this Agreement and that the OWNER will suffer financial loss if the work is not completed within the times specified above, plus any extensions thereof allowed in accordance with Article 11 of the General Conditions. These losses include inconvenience to the City, administration and inspection costs, loss of efficiency, pumping costs, chemical costs, added operation and maintenance costs, and general inconvenience to the public. They also recognize the delays, expense, and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by the OWNER if the work is not completed on time. Accordingly, instead of requiring any such proof, the OWNER and CONTRACTOR agree that as liquidated damages for delay (but not as a penalty) CONTRACTOR shall pay the OWNER One Thousand Five Hundred Dollars (\$1,500.00) for each day that expires after the time specified above for *Final Completion* and readiness for final payment. In addition, the CONTRACTOR shall pay the OWNER One Thousand Five Hundred Dollars (\$1,500.00) for each day that expires after the allowable time specified above for *Outages*, or *Temporary Configuration*.

## **Article 3. CONTRACT PRICE**

- 3.1 The OWNER shall pay CONTRACTOR for completion of the work in accordance with the Contract Documents an amount equal to the lump sum price for each separately identified and selected bid item (herein referred to as the "Contract Sum"). The Contract sum is based upon the Bid Items which are set forth in the Contract Documents and which are hereby accepted by the OWNER.

#### **Article 4. PAYMENT PROCEDURES**

CONTRACTOR shall submit Applications for Payment in accordance with Article 13 of the General Conditions. Applications for Payment will be processed by the OWNER as provided in the General Conditions.

4.1. Progress Payments. The OWNER shall make progress payments on account of the Contract Price on the basis of CONTRACTOR's Applications for Payment on or about a day of the month mutually agreeable to the OWNER and CONTRACTOR as agreed to at the preconstruction conference. All progress payments will be on the basis of the progress of the work measured by the actual installed quantity of items, plus allowances for stockpiled materials.

4.1.1. Prior to Substantial Completion, progress payments will be made in an amount equal to the percentage indicated below, but, in each case, less the aggregate of payments previously made and less such amounts as the OWNER shall determine, or the OWNER may withhold, in accordance with Article 13 (paragraph 13.8) of the General Conditions and the Supplemental Conditions.

- a. Ninety percent of work completed.
- b. Once 50 percent of the work is complete as determined by the OWNER, and if the character and progress of the work have been satisfactory to the OWNER, the OWNER, may determine that, as long as the character and progress of the work remain satisfactory to them, there will be no additional retainage on account of work completed; in which case, the remaining progress payments prior to Substantial Completion will be in an amount equal to 100 percent of the work completed.

4.1.2. Upon Substantial Completion, in an amount sufficient to increase total payments to CONTRACTOR to 95 percent of the Contract Price, less such amounts as the OWNER shall determine, or the OWNER may withhold, in accordance with Article 13 of the General Conditions.

4.2. Final Payment. Upon final completion and acceptance of the work in accordance with the General Conditions; Affidavit of Payment of Debts and Claims; Affidavit of Release of Liens; and Receipt of Consent of Surety Company to Final Payment, the OWNER shall pay the remainder of the Contract Price as provided in said Article 13.

4.2.1 Deductions. The City may deduct from the amount of any payment made to Contractor any sums owed to City by Contractor including, but not limited to, past due sales tax, port and harbor fees, property tax, or rent. Before making any such deduction the City shall have provided Contractor written notice of the amount claimed by City to be due and owing from Contractor.

#### **Article 5. INTEREST ON RETAINAGE**

All retainage shall bear interest at the rate required by AS 36.90.250, if applicable.

#### **Article 6. CONTRACTOR'S REPRESENTATIONS**

In order to induce the OWNER to enter into this agreement, CONTRACTOR makes the following representations:

- 6.1. CONTRACTOR has familiarized itself with the nature and extent of the Contract Documents, work, site, locality, and all local conditions and Laws and Regulations that in any manner may affect cost, progress, performance, or furnishing of the work.
- 6.2. CONTRACTOR has obtained and carefully studied (or assumes responsibility for obtaining and carefully studying) all such examinations, investigations, explorations, tests, reports, and studies which pertain to the subsurface or physical conditions at or contiguous to the site or which otherwise may affect the cost, progress, performance, or furnishing of the work as CONTRACTOR considers necessary for the performance or furnishing of the work at the Contract Price, within the Contract Time, and in accordance with the other terms and conditions of the Contract Documents, including specifically the provisions of paragraph 4.2 of the General Conditions; and no additional examinations, investigations, explorations, tests, reports, studies, or similar information or data are or will be required by CONTRACTOR for such purposes.
- 6.3. CONTRACTOR has reviewed and checked all information and data shown or indicated on the Contract Documents with respect to existing Underground Facilities at or contiguous to the site and assumes responsibility for the accurate location of said Underground Facilities. No additional examinations, investigations, explorations, tests, reports, studies, or similar information or data in respect of said Underground Facilities are or will be required by CONTRACTOR in order to perform and furnish the work at the Contract Price, within the Contract Time, and in accordance with the other terms and conditions of the Contract Documents, including specifically the provisions of paragraph 4.4 of the General Conditions.
- 6.4. CONTRACTOR has correlated the results of all such observations, examinations, investigations, explorations, tests, reports, and studies with the terms and conditions of the Contract Documents.
- 6.5. CONTRACTOR has given the OWNER written notice of all conflicts, errors, or discrepancies that it has discovered in the Contract Documents and the written resolution thereof by the OWNER is acceptable to CONTRACTOR.

#### Article 7. MISCELLANEOUS

- 7.1. Terms used in this Agreement which are defined in Article 1 of the General Conditions will have the meanings indicated in the General Conditions.
- 7.2. The CONTRACTOR shall submit the Performance Bond, Labor and Material Payment Bonds, and Certification of Insurance and City of Unalaska business licenses and all Subcontractor City of Unalaska business licenses as required by the Contract Documents, prior to commencement of the Work. The Performance and Material Payment Bonds shall be in the amount of 100% of the contract bid price. **Contractor shall comply with all applicable State labor regulations, including State of Alaska Title 36, Public Contracts, otherwise known as the Little Davis-Bacon Act, and all labor regulations and minimum rates of pay contained therein.**
- 7.3. No assignment by a party hereto of any rights under or interests in the Contract Documents will be binding on another party hereto without the written consent of the party sought to be bound; and specifically but without limitation monies that may become due and monies that are due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.

- 7.4. OWNER and CONTRACTOR each binds itself, its partners, successors, assigns, and legal representatives to the other party hereto, its partners, successors, assigns, and legal representatives in respect of all covenants, agreements, and obligations contained in the Contract Documents.
- 7.5 Until Contractor receives notice from the City that project records need not be preserved, Contractor shall preserve, all non-identical copies of all documents, records, or other information (including documents, records, or other information in electronic form) in its or its subcontractors' or agents' possession or control, or that come into its or its subcontractors' or agents' possession or control that relate to the Work.

**IN WITNESS WHEREOF**, The OWNER and CONTRACTOR have signed all counterparts of this Agreement. All portions of the Contract Documents have been signed or identified by the OWNER and CONTRACTOR.

This Agreement will be effective on \_\_\_\_\_, 20\_\_.

**CONTRACTOR**

**CITY OF UNALASKA, ALASKA**

By: \_\_\_\_\_

By: \_\_\_\_\_

\_\_\_\_\_, Its \_\_\_\_\_

Erin Reinders, City Manager

State of Alaska )  
 ) ss.  
 Third Judicial District )

State of Alaska )  
 ) ss.  
 Third Judicial District )

The foregoing instrument was acknowledged before me on the \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by \_\_\_\_\_, the \_\_\_\_\_ of \_\_\_\_\_

The foregoing instrument was acknowledged before me on the \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by Erin Reinders, City Manager for the City of Unalaska, a First Class Alaska Municipal Corporation, on behalf of the City of Unalaska.

\_\_\_\_\_, a \_\_\_\_\_ Corporation, on behalf of the corporation.

\_\_\_\_\_  
 Notary Public, State of Alaska  
 My Commission Expires \_\_\_\_\_

\_\_\_\_\_  
 Notary Public, State of Alaska  
 My Commission Expires \_\_\_\_\_

**Section 00610  
PERFORMANCE BOND**

KNOW ALL MEN BY THESE PRESENTS: that

\_\_\_\_\_  
(Name of Contractor)

\_\_\_\_\_  
(Address of Contractor)

as Principal, hereinafter called Principal, and

\_\_\_\_\_  
(Name of Surety)

\_\_\_\_\_  
(Address of Surety)

as Surety, hereinafter called Surety, are held and firmly bound unto

City of Unalaska  
(Name of Owner)

PO Box 610, Unalaska, Alaska 99685  
(Address of Owner)

as Obligee, hereinafter called Obligee, in the sum of \_\_\_\_\_

\_\_\_\_\_ Dollars, (\$ \_\_\_\_\_) for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Contractor has a written agreement dated \_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_, entered into a Contract with Owner for the

City of Unalaska  
**PYRAMID WATER TREATMENT PLANT MICROTURBINE PROJECT**

in accordance with the Specifications prepared by **Rentricity, Inc.** which Contract is by reference made a part hereof, and is hereinafter referred to as the Contract.

NOW THEREFORE, THE CONDITION OF THIS OBLIGATION is such that, if Contractor shall promptly and faithfully perform said Contract, then this obligation shall be null and void; otherwise it shall remain in full force and effect.

The Surety hereby waives notice of any alteration or extension of time made by the Owner.

Whenever Contractor shall be, and declared by Owner to be in default under the Contract, the Owner having performed Owner's obligations thereunder, the Surety may promptly remedy the default, or shall promptly

- 1) Complete the Contract in accordance with its terms and conditions, or
- 2) Obtain a bid or bids for completing the Contract in accordance with its terms and conditions, and upon determination by Surety of the lowest responsible bidder, or, if the Owner elects, upon determination by the Owner and the Surety jointly of the lowest responsible bidder, arrange for a contract between such bidder and Owner, and make available as the Work progresses (even though there should be a default or a succession of defaults under the contract or contracts completion arranged under this paragraph) sufficient funds to pay the cost of completion less the balance of the contract price; but not exceeding, including other costs and damages for which the Surety may be liable hereunder, the amount set forth in the first paragraph hereof. The term "balance of the Contract price", as used in this paragraph, shall mean the total amount payable by Owner to the Contractor under the Contract and any amendments thereto, less the amount properly paid by Owner to Contractor.

Any suit under this bond must be instituted before the expiration of six (6) years from the date on which final payment under the Contract falls due.

No right of action shall accrue on this bond to or for the use of any person or corporation other than the Owner named herein or the heirs, executors, administrators, or successors of the Owner.

Signed and Sealed this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_.

\_\_\_\_\_  
(Principal) Seal

\_\_\_\_\_  
(Witness)

\_\_\_\_\_  
(Title) Seal

\_\_\_\_\_  
(Surety) Seal

\_\_\_\_\_  
(Witness)

\_\_\_\_\_  
(Title) Seal

**Section 00620  
PAYMENT BOND**

KNOW ALL MEN BY THESE PRESENTS,

That \_\_\_\_\_ as Contractor, and  
\_\_\_\_\_ as Surety, are held and firmly bound unto  
City of Unalaska hereinafter called "OWNER", in the sum of \_\_\_\_\_ dollars, for the  
payment of which sum, well and truly made, we bind ourselves, our heirs, executors, administrators,  
successors, and assigns, jointly and severally, firmly by these presents.

WHEREAS, said CONTRACTOR has been awarded and is about to enter into the annexed  
Agreement with said OWNER to perform the WORK as specified or indicated in the Contract  
Documents entitled

City of Unalaska  
**PYRAMID WATER TREATMENT PLANT MICROTURBINE PROJECT**

NOW THEREFORE, if said CONTRACTOR, or subcontractor, fails to pay for any materials,  
equipment, or other supplies, or for rental of same, used in connection with the performance of work  
contracted to be done, or for amounts due under applicable State law for any work or labor thereon,  
said Surety will pay for the same in an amount not exceeding the sum specified above, and, in the  
event suit is brought upon this bond, a reasonable attorney's fee to be fixed by the court. This bond  
shall inure to the benefit of any persons, companies, or corporations entitled to file claims under  
applicable State law.

PROVIDED, that any alterations in the WORK to be done or the materials to be furnished, or  
changes in the time of completion, which may be made pursuant to the terms of said Contract  
Documents, shall not in any way release said CONTRACTOR or said surety thereunder, nor shall  
any extensions of time granted under the provisions of said Contract Documents release either said  
CONTRACTOR or said Surety thereunder, nor shall any extensions of time granted under the  
provisions of said Contract Documents release either said CONTRACTOR or said Surety, and notice  
of such alterations or extensions of the Agreement is hereby waived by said Surety.

SIGNED AND SEALED, this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

(SEAL)

\_\_\_\_\_  
(CONTRACTOR)

\_\_\_\_\_  
(Surety)

By: \_\_\_\_\_  
(Signature)

By: \_\_\_\_\_  
(Signature)

(SEAL AND NOTARIAL ACKNOWLEDGMENT OF SURETY)

**Part 3**

**GENERAL CONDITIONS**



# GENERAL CONDITIONS

## ARTICLE 1 - DEFINITIONS

## ARTICLE 2 - AUTHORITIES AND LIMITATIONS

- 2.1 Authorities and Limitations
- 2.2 Evaluations by Contracting Officer
- 2.3 Means and Methods
- 2.4 Visits to Site

## ARTICLE 3 - CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE

- 3.1 Incomplete Contract Documents
- 3.2 Copies of Contract Documents
- 3.3 Scope of Work
- 3.4 Intent of Contract Documents
- 3.5 Discrepancy in Contract Documents
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## ARTICLE 4 - LANDS AND PHYSICAL CONDI- TIONS

- 4.1 Availability of Lands
- 4.2 Visit to Site
- 4.3 Explorations and Reports
- 4.4 Utilities
- 4.5 Damaged Utilities
- 4.6 Utilities Not Shown or Indicated
- 4.7 Survey Control

## ARTICLE 5 - BONDS AND INSURANCE AND INDEMNIFICATION

- 5.1 Delivery of Bonds
- 5.2 Bonds
- 5.3 Replacement of Bond and Surety
- 5.4 Insurance Requirements
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- 6.1 Supervision of Work
- 6.2 Superintendence by CONTRACTOR
- 6.3 Character of Workers
- 6.4 CONTRACTOR to Furnish
- 6.5 Materials and Equipment
- 6.6 Anticipated Schedules
- 6.7 Finalizing Schedules
- 6.8 Adjusting Schedules
- 6.9 Substitutes of "Or-Equal" Items
- 6.10 Substitute Means and Methods
- 6.11 Evaluation of Substitution
- 6.12 Dividing the Work
- 6.13 Subcontractors
- 6.14 Use of Premises

- 6.15 Structural Loading
- 6.16 Record Documents
- 6.17 Safety and Protection
- 6.18 Safety Representative
- 6.19 Emergencies
- 6.20 Shop Drawings and Samples
- 6.21 Shop Drawings and Sample Review
- 6.22 Maintenance During Construction
- 6.23 Continuing the Work
- 6.24 Consent to Assignment
- 6.25 Use of Explosives
- 6.26 CONTRACTOR's Records

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- 7.1 Laws to be Observed
- 7.2 Permits, Licenses, and Taxes
- 7.3 Patented Devices, Materials and Processes
- 7.4 Compliance of Specifications and Drawings
- 7.5 Accident Prevention
- 7.6 Sanitary Provisions
- 7.7 Business Registration
- 7.8 Professional Registration and Certification
- 7.9 Local Building Codes
- 7.10 Air Quality Control
- 7.11 Archaeological or Paleontological Discoveries
- 7.12 Alaska Forest Products
- 7.13 Preferential Employment
- 7.14 Wages and Hours of Labor
- 7.15 Overtime Work Hours and Compensation
- 7.16 Covenant Against Contingent Fees
- 7.17 Officials Not to Benefit
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## ARTICLE 8 - OTHER WORK

- 8.1 Related Work at Site
- 8.2 Access, Cutting, and Patching
- 8.3 Defective Work by Others
- 8.4 Coordination

## ARTICLE 9 - CHANGES

- 9.1 CITY's Right to Change
- 9.2 Authorization of Changes within the General Scope
- 9.3 Directives
- 9.4 Change Order
- 9.5 Shop Drawing Variations
- 9.6 Changes Outside the General Scope;  
Supplemental Agreement
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- 9.8 Notification of Surety
- 9.9 Differing Site Conditions

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- 10.1 Contract Price
- 10.2 Claim for Price Change
- 10.3 Change Order Price Determination
- 10.4 Cost of the Work
- 10.5 Excluded Costs
- 10.6 CONTRACTOR's Fee
- 10.7 Cost Breakdown
- 10.8 Cash Allowances
- 10.9 Unit Price Work
- 10.10 Determinations for Unit Prices

**ARTICLE 11 - CONTRACT TIME; COMPUTATION AND CHANGE**

- 11.1 Commencement of Contract Time; Notice to Proceed
- 11.2 Starting the Work
- 11.3 Computation of Contract Time
- 11.4 Time Change
- 11.5 Extension Due to Delays
- 11.6 Essence of Contract
- 11.7 Reasonable Completion Time
- 11.8 Delay Damages

**ARTICLE 12 - QUALITY ASSURANCE**

- 12.1 Warranty and Guaranty
- 12.2 Access to Work
- 12.3 Tests and Inspections
- 12.4 Uncovering Work
- 12.5 CITY May Stop the Work
- 12.6 Correction of Removal of Defective Work
- 12.7 One Year Correction Period
- 12.8 Acceptance of Defective Work
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**ARTICLE 13 - PAYMENTS TO CONTRACTOR AND COMPLETION**

- 13.1 Schedule of Values
- 13.2 Preliminary Payments
- 13.3 Application for Progress Payment
- 13.4 Review of Applications for Progress Payments
- 13.5 Stored Materials and Equipment
- 13.6 CONTRACTOR's Warranty of Title
- 13.7 Withholding of Payments
- 13.8 Retainage
- 13.9 Request for Release of Funds
- 13.10 Substantial Completion
- 13.11 Access Following Substantial Completion
- 13.12 Final Inspection
- 13.13 Final Application for Payment
- 13.14 Final Payment and Final Completion
- 13.15 Final Acceptance
- 13.16 CONTRACTOR's Continuing Obligation
- 13.17 Waiver of Claims by CONTRACTOR

- 13.18 No Waiver of Legal Rights

**ARTICLE 14 - SUSPENSION OF WORK, DEFAULT, AND TERMINATION**

- 14.1 CITY May Suspend Work
- 14.2 Default of Contract
- 14.3 Rights or Remedies
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**ARTICLE 15 - CLAIMS AND DISPUTES**

- 15.1 Notification
- 15.2 Presenting Claim
- 15.3 Claim Validity, Additional Information & Project Manager's Action
- 15.4 Contracting Officer's Decision
- 15.5 Notice of Appeal
- 15.6 City Manager's Decision

## **GENERAL CONDITIONS**

### **ACKNOWLEDGMENT**

The City of Unalaska, "General Conditions" are based on the "Standard General Conditions of the Construction Contract" as published by the National Society of Professional Engineers (document number 1910-8, 1983 edition) on behalf of the Engineers Joint Construction Documents Committee. Portions of the NSPE General Conditions are reprinted herein by the express permission of NSPE to the State of Alaska, which supplied these General Conditions to the City of Unalaska. Modifications to the NSPE text are made to provide for State laws, regulations, and established procedures.

The granting of permission by NSPE to allow the State of Alaska to reprint portions of the NSPE document 1910-8, 1983 does not constitute approval of the State of Alaska General Conditions or the subsequently developed City of Unalaska General Conditions.

Insurance requirements were modified March, 2001. Brooks Chandler review comments were incorporated January, 2005 and March, 2008.

## **ARTICLE 1 - DEFINITIONS**

Wherever used in the Contract Documents the following terms, or pronouns in place of them, are used, the intent and meaning, unless a different intent or meaning is clearly indicated, shall be interpreted as set forth below.

The titles and headings of the Sections, Subsections and Articles herein are intended for convenience of reference and shall not be considered as having bearing on their interpretation.

Whenever used in the Specifications or other Contract Documents the following terms have the meaning indicated which are applicable to both the singular and plural thereof. Working titles which have a masculine gender, are intended to refer to persons of either sex.

Terms not defined below shall have their ordinary accepted meanings within the context in which they are used. "Webster's Third New International Dictionary of the English Language, Unabridged, Copyright 1961", or subsequent revision thereof; shall provide ordinarily accepted meanings. Words which have a well-known technical or trade meaning when used to describe work, materials or equipment shall be interpreted in accordance with such meaning. Words defined in Article 1 are capitalized throughout these General Conditions.

Addenda - All clarifications, corrections, or changes issued graphically or in writing by the CITY after the advertisement but prior to the opening of bids.

Advertisement - The public announcement, as required by law, inviting Bids for work to be performed or materials to be furnished.

Application for Payment - The form provided by the CITY which is used by the CONTRACTOR in requesting progress or final payments and which is to include such supporting documentation as is required by the Contract Documents.

Approved or Approval - Means written approval by Contracting Officer or his authorized representative as defined in Article 2.1.

A.S. - Initials which stand for Alaska Statute.

Award - The acceptance, by the City, of the successful Bid.

Bid - The offer of a bidder, on the prescribed form to perform the work at the prices quoted.

Bid Bond - A type of bid Guarantee.

Bid Guaranty - The security furnished with a bid to guarantee that the bidder will enter into a contract if his proposal is accepted by the Department.

Bidder - Any individual, firm, corporation or any acceptable combination thereof, or joint venture submitting a bid for the advertised Work.

Calendar Day - Every day shown on the calendar, beginning and ending at midnight.

Change Order - A written order by the CITY directing changes to the contract, within its general scope.

City - The City of Unalaska, Alaska. References to "owner" or "Contracting Agency" mean the city.

Conditions of the Contract - Those portions of the Contract Documents which define the rights and responsibilities of the contracting parties and of others involved in the Work. The Conditions of the Contract include General Conditions, Supplementary Conditions and other Conditions.

Contract - The written agreement between the CITY and the CONTRACTOR setting forth the obligations of the parties and covering the Work to be performed, all as required by the Contract Documents.

Contract Documents - The Contract Form, Addenda, the Bidding Requirements and CONTRACTOR's Bid (including all appropriate bid tender forms), the Bonds, the Conditions of the Contract and all other Contract Requirements, the Specifications, and the Drawings furnished by the CITY to the CONTRACTOR, together with all change orders and documents approved by the Contracting Officer for inclusion, modifications and supplements issued on or after the Effective Date of the Contract.

Contracting Officer - The person authorized to enter into and administer the contract on behalf of the CITY. He has authority to make findings, determinations and decisions with respect to the contract and, when necessary, to modify or terminate the contract. The Contracting Officer is identified on the Construction Contract.

Contractor - The individual, firm, corporation or any acceptable combination thereof, contracting with the CITY for performance of the Work.

Contract Price - The total moneys payable by the CITY to the CONTRACTOR under the terms of the Contract Documents.

Contract Time - The number of Calendar Days or the date specified in the Construction Contract and authorized time extensions which identify how much time the CONTRACTOR is allowed to achieve Final Completion.

Consultant - A person, firm, agency or corporation retained by the CITY to prepare Contract Documents, perform construction administration services, or other Project related services.

Defective - An adjective which refers to Work that is unsatisfactory, faulty or deficient, or does not conform to the Contract Documents, or does not meet the requirements of any inspection, reference standard, test or approval referred to in the Contract Documents, or has been damaged prior to the CITY's approval of final payment.

Directive - A written communication to the CONTRACTOR from the Contracting Officer interpreting or enforcing a contract requirement or ordering commencement of an item of work.

Drawings - The drawings which show the character and scope of the Work to be performed and which have been furnished by the CITY or the CITY's Consultant and are by reference made a part of the Contract Documents.

Effective Date of the Contract - The date on which the Contract is fully executed by both CONTRACTOR and the CITY.

Final Completion - The Work (or specified part thereof) has progressed to the point that all Work is complete as determined by the Contracting Officer.

General Requirements - Sections of Division 1 of the Specifications which contain administrative and procedural requirements as well as requirements for temporary facilities which apply to Specification Divisions 2 through 16.

Holidays - The City of Unalaska recognizes the following holidays:

1. New Years Day - January 1
2. President's Day - Third Monday in February
3. Memorial Day - Last Monday in May
4. Independence Day - July 4
5. Labor Day - First Monday in September
6. Veteran's Day - November 11
7. Thanksgiving Day - Fourth Thursday in November
8. Christmas Day - December 25

If any holiday listed above falls on a Saturday, Saturday and the preceding Friday are both legal holidays. If the holiday should fall on a Sunday, Sunday and the following Monday are both legal holidays.

Install - Means to build into the Work, ready to be used in complete and operable condition and in compliance with Contract Documents.

Invitation for Bids or Invitation to Bid - A portion of the Bidding Documents soliciting bids for the Work to be performed.

Notice of Intent to Award - The written notice by the CITY to all Bidders identifying the apparent successful Bidder and establishing the CITY's intent to execute the Contract when all conditions required for execution of the Contract are met.

Notice to Proceed - A written notice to the CONTRACTOR to begin the Work and establishing the date on which the Contract Time begins.

Payment Bond - The security furnished by the CONTRACTOR and his surety to guarantee payment of the debts covered by the bond.

Performance Bond - The security furnished by the CONTRACTOR and his surety to guarantee performance and completion of the work in accordance with the contract.

Project - The total construction, of which the Work performed under the Contract Documents is the whole or a part, where such total construction may be performed by more than one prime contractor.

Project Manager - The authorized representative of the Contracting Officer who is responsible for administration of the Contract.

Proposal - The offer of a bidder, on the prescribed form to perform the work at the prices quoted.

Proposal Guaranty - The security furnished with a proposal to guarantee that the bidder will enter into a contract if his proposal is accepted by the Department.

Regulatory Requirement - Laws, rules, regulations, ordinances, codes and/or orders of the United States, State of Alaska or City of Unalaska to the extent applicable to the Work.

Shop Drawings - All drawings, diagrams, illustrations, schedules and other data which are specifically prepared by or for the CONTRACTOR to illustrate some portion of the Work and all illustrations, brochures, standard schedules, performance charts, instructions, diagrams and other information prepared by a Supplier and submitted by the CONTRACTOR to illustrate material, equipment, fabrication, or erection for some portion of the Work.

Specification - Those portions of the Contract Documents consisting of written technical descriptions of materials, equipment, construction systems, standards and workmanship as applied to the Work and certain administrative and procedural details applicable thereto.

Subcontractor - An individual, firm, or corporation to whom the CONTRACTOR sublets part of the contract.

Substantial Completion - Although not fully completed, the Work (or a specified part thereof) has progressed to the point where, in the opinion of the CITY as evidenced by the CITY's written notice, it is sufficiently complete, in accordance with the Contract Documents, so that the Work (or specified part) can be utilized for the purposes for which it is intended. The terms "Substantially Complete" and "Substantially Completed" as applied to any Work refer to Substantial Completion thereof.

Supplemental Agreement - A written agreement between the CONTRACTOR and the CITY covering work that is not within the general scope of the contract.

Surety - The corporation, partnership, or individual, other than the CONTRACTOR, executing a bond furnished by the CONTRACTOR.

Unit Price Work - Work to be paid for on the basis of unit prices.

Using Agency - The entity who will occupy or use the completed Work.

Work - Work is the act of, and the result of, performing services, furnishing labor, furnishing and incorporating materials and equipment into the Project and performing other duties and obligations, all as required by the Contract Documents. Such Work, however incremental, will culminate in the entire completed Project, or the various separately identifiable parts thereof.

## **ARTICLE 2 - AUTHORITIES AND LIMITATIONS**

### 2.1 Authorities and Limitations:

- 2.1.1 The Contracting Officer alone, shall have the power to bind the CITY and to exercise the rights, responsibilities, authorities and functions vested in the Contracting Officer by the Contract Documents, except that the Contracting Officer shall have the right to designate in writing authorized representatives to act for him. Wherever any provision of the Contract Documents specifies an individual or organization, whether Governmental or private, to perform any act on behalf of or in the interests of the CITY that individual or organization shall be deemed to be the Contracting Officer's authorized representative under this Contract but only to the extent so specified. The Contracting Officer may, at any time during the performance of this Contract, vest in any such authorized representatives additional power and authority to act for the Contracting Officer or designate additional representatives, specifying the extent of their authority to act for the Contracting Officer; a copy of each document vesting additional authority in or removing that authority from an authorized representative or designating an additional authorized representative shall be furnished to the CONTRACTOR. The City Council reserves the right to appoint a new Contracting Officer without affecting any of the CONTRACTOR's obligations to the CITY under this Contract.
- 2.1.2 The CONTRACTOR shall perform the Work in accordance with any written order (including but not limited to instruction, direction, interpretation or determination) issued by an authorized representative in accordance with the authorized representative's authority to act for the Contracting Officer. The CONTRACTOR assumes all the risk and consequences of performing the Work in accordance with any order (including but not limited to instruction, direction, interpretation or determination) of anyone not authorized to issue such order, and of any order not in writing.
- 2.1.3 Should the Contracting Officer or his authorized representative designate Consultant(s) to act for the CITY as provided for in Paragraph 2.1.1, the performance or nonperformance of the Consultant under such authority to act, shall not give rise to any contractual obligation or duty of the Consultant to the CONTRACTOR, any Subcontractor, any Supplier, or any other organization performing any of the Work or any Surety representing them.
- 2.1.4 The term "Contracting Officer" when used in the text of these General Conditions or other Contract Documents following this section shall also mean any duly authorized representative of the Contracting Officer when authorized in accordance with Paragraph 2.1.1.

### 2.2 Evaluations by Contracting Officer:

- 2.2.1 The Contracting Officer will decide all questions which may arise as to;
- a. Quality and acceptability of materials furnished;
  - b. Quality and acceptability of Work performed;
  - c. Compliance with the Schedule of Progress;
  - d. Interpretation of Contract Documents;
  - e. Acceptable fulfillment of the Contract on the part of the CONTRACTOR.
- 2.2.2 In order to avoid cumbersome terms and confusing repetition of expressions in the Contract Documents whenever the terms "as ordered", "as directed", "as required", "as approved", or terms of like effect or import are used, or the adjectives "reasonable", "suitable", "acceptable", "proper" or "satisfactory" or adjectives of like effect or import are used it shall be understood as if the expression were followed by the words "the Contracting Officer". When such terms are used to describe a requirement, direction, review or judgment of the Contracting Officer as to the Work, it is intended that such requirement, direction, review or judgment will be solely to evaluate the Work for compliance with the Contract Documents (unless there is a specific statement indicating otherwise).

2.2.3 The use of any such term or adjective shall not be effective to assign to the CITY any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provision of paragraphs 2.3 or 2.4.

2.3 Means & Methods:

The means, methods, techniques, sequences or procedures of construction, or safety precautions and the program incident thereto, and the failure to perform or furnish the Work in accordance with the Contract Documents are the sole responsibility of the CONTRACTOR.

2.4 Visits to Site:

The Contracting Officer will make visits to the site and approved remote storage sites at intervals appropriate to the various stages of construction to observe the progress and quality of the executed Work and to determine, in general, if the Work is proceeding in accordance with the Contract Documents. Such observations or the lack of such observations shall in no way relieve the CONTRACTOR from his duty to perform the Work in accordance with the Contract Documents.

**ARTICLE 3 - CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE**

3.1 Incomplete Contract Documents:

The submission of a Bid by the Bidder is considered a representation that the Bidder examined the Contract Documents to make certain that all sheets and pages were provided and that the Bidder is satisfied as to the conditions to be encountered in performing the Work. The CITY expressly denies any responsibility or liability for a Bid submitted on the basis of an incomplete set of Contract Documents.

3.2 Copies of Contract Documents:

The CITY shall furnish to the CONTRACTOR up to ten copies of the Contract Documents. Additional copies will be furnished, upon request, at the cost of reproduction.

3.3 Scope of Work:

The Contract Documents comprise the entire Contract between the CITY and the CONTRACTOR concerning the Work. The Contract Documents are complementary; what is called for by one is as binding as if called for by all. The Contract Documents will be construed in accordance with the Regulatory Requirements.

It is specifically agreed between the parties executing this Contract that it is not intended by any of the provisions of the Contract to create in the public or any member thereof a third party benefit, or to authorize anyone not a party to this Contract to maintain a suit pursuant to the terms or provisions of the Contract.

3.4 Intent of Contract Documents:

3.4.1 It is the intent of the Contract Documents to describe a functionally complete Project to be constructed in accordance with the Contract Documents. Any Work, materials or equipment that may reasonably be inferred from the Contract Documents as being required to produce the intended result will be supplied, without any adjustment in Contract Price or Contract Time, whether or not specifically called for.

3.4.2 Reference to standard specifications, manuals or codes of any technical society, organization or association, or to the Regulatory Requirements, whether such reference be specific or by implication, shall mean the edition stated in the Contract Documents or if not stated the latest standard specification, manual, code or Regulatory Requirements in effect at the time of Advertisement for the Project (or, in the Effective Date of the Contract if there was no Advertisement). However, no provision of any referenced standard specification, manual or code (whether or not specifically incorporated by reference in the Contract Documents) shall be effective to change the duties and responsibilities of the CITY and the CONTRACTOR, or any of their consultants, agents or employees from those set forth in the Contract Documents, nor shall it be effective to assign to the CITY or any of the CITY's consultants, agents or employees, any duty or authority to supervise or direct the furnishing



or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraphs 2.3 or 2.4.

3.5 Discrepancy in Contract Documents:

3.5.1 Before undertaking the Work, the CONTRACTOR shall carefully study and compare the Contract Documents and check and verify pertinent figures, and dimensions shown thereon and all applicable field measurements. Work in the area by the CONTRACTOR shall imply verification of figures, dimensions and field measurements. If, during the above study or during the performance of the Work, the CONTRACTOR finds a conflict, error, discrepancy or omission in the Contract Document, or a discrepancy between the Contract Documents and any standard specification, manual, code, or Regulatory Requirement which affects the Work, The CONTRACTOR shall promptly report such discrepancy in writing to the Contracting Officer. The CONTRACTOR shall obtain a written interpretation or clarification from the Contracting Officer before proceeding with any Work affected thereby. Any adjustment made by the CONTRACTOR without this determination shall be at his own risk and expense. However, the CONTRACTOR shall not be liable to the CITY for failure to report any conflict, error or discrepancy in the Contract Documents unless the CONTRACTOR had actual knowledge thereof or should reasonably have knowledge thereof.

3.5.2 Discrepancy - Order of Precedence:

When conflicts, errors, or discrepancies within the Contract Documents exist, the order of precedence from most governing to least governing will be as follows:

- Supplementary Conditions
- General Conditions
- General Requirements
- Technical Specifications
- Drawings (recorded dimensions will govern over scaled dimensions, large details over small scale, schedules over plans, architectural drawings over structural drawings over mechanical and electrical drawings)

3.6 Clarifications and Interpretations:

The Contracting Officer will issue with reasonable promptness such written clarifications or interpretations of the requirements of the Contract Documents as the Contracting Officer may determine necessary, which shall be consistent with or reasonably inferable from the overall intent of the Contract Documents.

3.7 Reuse of Documents:

Neither the CONTRACTOR nor any Subcontractor, or other person or organization performing or furnishing any of the Work under a direct or indirect contract with the CITY shall have or acquire any title to or ownership rights in any of the Contract Documents (or copies thereof) prepared by or for the CITY and they shall not reuse any of the Contract Documents on extensions of the Project or any other project without written consent of the Contracting Officer.

Contract Documents prepared by the CONTRACTOR in connection with the Work shall become the property of the CITY.

**ARTICLE 4 - LANDS AND PHYSICAL CONDITIONS**

4.1 Availability of Lands:

The CITY shall furnish as indicated in the Contract Documents, the lands upon which the Work is to be performed, rights-of-way and easements for access thereto, and such other lands which are designated for use of the CONTRACTOR in connection with the Work. Easements for permanent structures or permanent changes in existing facilities will be obtained and paid for by the CITY, unless otherwise provided in the Contract Documents. The CONTRACTOR shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

#### 4.2 Visit to Site:

The submission of a Bid by the CONTRACTOR is considered a representation that the CONTRACTOR has visited and carefully examined the site and is satisfied as to the conditions to be encountered in performing the Work and as to the requirements of the Contract Documents.

#### 4.3 Explorations and Reports:

The Supplementary Conditions identify those reports of explorations and tests of subsurface conditions at the site that have been utilized by the CITY in preparation of the Contract Documents. The CONTRACTOR may rely upon the accuracy of the factual data contained in such reports, but not upon interpretations or opinions drawn from such factual data contained therein or for the completeness or sufficiency thereof. Except as indicated in the immediately preceding sentence and in paragraphs 4.4 and 9.9, CONTRACTOR shall have full responsibility with respect to surface and subsurface conditions at the site.

#### 4.4 Utilities:

4.4.1 The horizontal and vertical locations of known underground utilities as shown or indicated by the Contract Documents are approximate and are based on information and data furnished to the CITY by the owners of such underground utilities.

4.4.2 The CONTRACTOR shall have full responsibility for:

- a. Reviewing and checking all information and data concerning utilities.
- b. Locating all underground utilities shown or indicated in the Contract Documents which are affected by the Work.
- c. Coordination of the Work with the owners of all utilities during construction.
- d. Safety and protection of all utilities as provided in paragraph 6.17.
- e. Repair of any damage to utilities resulting from the Work in accordance with 4.4.4 and 4.5.

4.4.3 If Work is to be performed by any utility owner, the CONTRACTOR shall cooperate with such owners to facilitate the Work.

4.4.4 In the event of interruption to any utility service as a result of accidental breakage or as a result of being exposed or unsupported, the CONTRACTOR shall promptly notify the utility owner and the Contracting Officer. If service is interrupted repair work shall be continuous until the service is restored. No Work shall be undertaken around fire hydrants until provisions for continued service have been approved by the local fire authority.

#### 4.5 Damaged Utilities:

When utilities are damaged by the CONTRACTOR, the utility owner shall have the choice of repairing the utility or having the CONTRACTOR repair the utility. In the following circumstances, the CONTRACTOR shall reimburse the utility owner for repair costs or provide at no cost to the utility owner or the CITY, all materials, equipment and labor necessary to complete repair of the damage:

- a. When the utility is shown or indicated in the Contract Documents.
- b. When the utility has been located by the utility owner.
- c. When no locate was requested by the CONTRACTOR for utilities shown or indicated in the Contract Documents.
- d. All visible utilities.

- e. When the CONTRACTOR could have, otherwise, reasonably been expected to be aware of such utility.

#### 4.6 Utilities Not Shown or indicated.

If, while directly performing the Work, an underground utility is uncovered or revealed at the site which was not shown or indicated in the Contract Documents and which the CONTRACTOR could not reasonably have been expected to be aware of, the CONTRACTOR shall, promptly after becoming aware thereof and before performing any Work affected thereby (except in an emergency as permitted by paragraph 6.19) identify the owner of such underground facility and give written notice thereof to that owner and to the Contracting Officer. The Contracting Officer will promptly review the underground utility to determine the extent to which the Contract Documents and the Work should be modified to reflect the impacts of the discovered utility. The Contract Documents will be amended or supplemented to the extent necessary through the issuance of a change document by the Contracting Officer. During such time, the CONTRACTOR shall be responsible for the safety and protection of such underground utility as provided in paragraph 6.17. The CONTRACTOR may be allowed an increase in the Contract Price or an extension of the Contract Time, or both, to the extent that they are directly attributable to the existence of any underground utility that was not shown or indicated in the Contract Documents and which the CONTRACTOR could not reasonably have been expected to be aware of.

#### 4.7 Survey Control:

The CITY will identify sufficient horizontal and vertical control data to enable the CONTRACTOR to survey and layout the Work. All survey work shall be performed under the direct supervision of a registered Land Surveyor when required by paragraph 7.8.

### **ARTICLE 5 - BONDS, INSURANCE, AND INDEMNIFICATION**

#### 5.1 Delivery of Bonds:

When the CONTRACTOR delivers the executed Contract to the Contracting Officer, the CONTRACTOR shall also deliver to the Contracting Officer such bonds as the CONTRACTOR may be required to furnish in accordance with paragraph 5.2.

#### 5.2 Bonds:

The CONTRACTOR shall furnish Performance and Payment Bonds, each in an amount as shown on the Contract as security for the faithful performance and payment of all CONTRACTOR's obligations under the Contract Documents. These bonds shall remain in effect for one year after the date of Final Completion and until all obligations under this Contract, except special guarantees as per 12.7, have been met. All bonds shall be furnished on forms provided by the CITY (or copies thereof) and shall be executed by such Sureties as are authorized to do business in the State of Alaska. The contracting Officer may at his option copy the Surety with notice of any potential default or liability.

#### 5.3 Replacement of Bond and Surety:

If the Surety on any bond furnished in connection with this Contract is declared bankrupt or becomes insolvent or its right to do business is terminated in any state where any part of the Project is located or it ceases to meet the requirements of paragraph 5.2, or otherwise becomes unacceptable to the CITY, or if any such Surety fails to furnish reports as to his financial condition as requested by the CITY, the CONTRACTOR shall within five days thereafter substitute another bond and Surety, both of which must be acceptable to CITY.

#### 5.4 Insurance Requirements:

- 5.4.1. The contractor shall carry and maintain throughout the life of this contract, at its own expense, insurance not less than the amounts and coverage herein specified, and the City of Unalaska, its employees and agents shall be named as additional insured under the insurance coverage so specified and where allowed, with respect to the performance of the work. There shall be no right of subrogation against the City or its agents performing work in connection with the work, and this **waiver of subrogation** shall be endorsed upon the policies. Insurance shall be placed with companies acceptable to the City of Unalaska; and these policies providing coverage thereunder shall contain provisions that no cancellation or material changes in the policy relative to this project shall become effective except upon **30 days** prior written notice thereof to the City of Unalaska.

- 5.4.2. Prior to commencement of the work, the contractor shall furnish certificates to the City of Unalaska, in duplicate, evidencing that the Insurance policy provisions required hereunder are in force. Acceptance by the City of Unalaska of deficient evidence does not constitute a waiver of contract requirements.
- 5.4.3. The contractor shall furnish the City of Unalaska with certified copies of policies upon request. The minimum coverages and limits required are as follows:
1. **Workers' Compensation** insurance in accordance with the statutory coverages required by the State of Alaska and **Employers Liability** insurance with limits not less than **\$1,000,000** and, where applicable, insurance in compliance with any other statutory obligations, whether State or Federal, pertaining to the compensation of injured employees assigned to the work, including but not limited to Voluntary Compensation, Federal Longshoremen and Harbor Workers Act, Maritime and the Outer Continental Shelf's Land Act.
  2. **Commercial General Liability** with limits not less than **\$1,000,000** per Occurrence and **\$2,000,000** Aggregate for Bodily Injury and Property Damage, including coverage for Premises and Operations Liability, Products and Completed Operations Liability, Contractual Liability, Broad Form Property Damage Liability and Personal Injury Liability. Coverage shall not contain any exclusion of Explosion, Collapse, or Underground. Coverage is to be endorsed to include a per project aggregate. Additionally, such insurance shall be considered primary to any other insurance carried by the City of Unalaska and the insurer will endorse the policy accordingly.
  3. **Commercial Automobile Liability** on all owned, non-owned, hired and rented vehicles with limits of liability of not less than **\$1,000,000** Combined Single Limit for Bodily Injury and Property Damage per each accident or loss.
  4. If applicable, Contractor's Equipment insurance covering all of the contractor's equipment and machinery to be used in connection with the performance of the work specified in this contract. This coverage requirement may be waived at the discretion of the City of Unalaska if the Contractor self-insures the equipment and will waive all right of recovery against the City of Unalaska in writing.
  5. **Umbrella/Excess Liability** insurance coverage of not less than **\$1,000,000** per occurrence and annual aggregate providing coverage in excess of General Liability, Auto Liability, and Employers Liability.
  6. If work involves use of aircraft, Aircraft Liability insurance covering all owned and non-owned aircraft with a per occurrence limit of not less than \$1,000,000.
  7. If work involves use of watercraft, Protection and Indemnity insurance with limits not less than \$1,000,000 per occurrence. Hull and Machinery coverage is to be carried on the vessel for the full current market value. This coverage requirement may be waived at the discretion of the City of Unalaska if the contractor self-insures the equipment and will waive all rights of recovery against the City of Unalaska in writing.
  8. Where applicable, **Professional Liability** insurance with limits of not less than \$1,000,000 per claim and \$1,000,000 aggregate, subject to a maximum deductible of \$10,000 per claim. The City of Unalaska has the right to negotiate increase of deductibles subject to acceptable financial information of the policyholder.
  9. Where applicable, Pollution Liability insurance with a project limit of not less than \$1,000,000 subject to a maximum deductible of \$10,000 to include coverage for Asbestos, Hazardous Materials, Lead or other related environmental hazards. The City of Unalaska has the right to negotiate increase of deductibles subject to acceptable financial information of the policyholder.

In the event Asbestos, Hazardous Materials, Lead or other related environmental hazards are transported by vehicle and/or marine vessel, the operator of such vehicles and vessels shall provide a Certificate of Insurance for the transportation of such materials (including loading and unloading) with limits of not less than \$1,000,000.

10. **Builder's Risk Insurance:** Coverage shall be provided on an "All Risk" completed value basis and protect the interests of the City, the contractor and his subcontractors. Coverage shall include all materials, equipment and supplies that are intended for specific installation in the project while such materials, supplies and equipment are located at the project site and in transit from port of arrival to job site and while temporarily located away from the project site.
- 5.4.4. Any deductibles or self-insured retentions must be declared to and approved by the City. At the option of the City, either: the insurer shall reduce or eliminate such deductibles or self-insured retentions as respects the City, its officers, officials, employees and volunteers; or the contractor shall provide a financial guarantee satisfactory to the City guaranteeing payment of losses and related investigations, claim administration and defense expense.
- 5.4.5. All insurance policies as described above are required to be written on an "occurrence" basis. In the event occurrence coverage is not available, the contractor agrees to maintain "claims made" coverage for a minimum of two years after project completion.
- 5.4.6. If the contractor employs subcontractors to perform any work hereunder, the contractor agrees to require such subcontractors to obtain, carry, maintain, and keep in force during the time in which they are engaged in performing any work hereunder, policies of insurance which comply with the requirements as set forth in this section. This requirement is applicable to subcontractors of any tier.
- 5.4.7. The contractor is required to maintain all certificates of insurance during the course of the project and for a minimum of three (3) years following the completion of such project. It is further agreed, that upon request by the City of Unalaska, the Contractor will provide copies of any and all subcontractor certificates of insurance for review of compliance.
- 5.4.8. Failure by the Contractor to maintain the required insurance coverage or to comply with the above, may, at the option of the City of Unalaska, be deemed Defective Work and remedied in accordance with the contract.
- 5.5 Indemnification:
- 5.5.1 The CONTRACTOR and his Subcontractors will name the owner as "Additional Insured" and will provide a "Waiver of Subrogation" on all required policies of insurance.
- 5.5.2 The CONTRACTOR shall indemnify, save harmless, and defend the CITY and its agents and its employees from any and all claims or actions for injuries or damages sustained by any person or property arising directly or indirectly from the CONTRACTOR's performance of this contract; however, this provision has no effect if, but only if, the sole proximate cause of the injury or damage is the negligence of the City or its agents.

## **ARTICLE 6 - CONTRACTOR'S RESPONSIBILITIES**

### 6.1 Supervision of Work:

The CONTRACTOR shall supervise and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. All Work under this Contract shall be performed in a skillful and workmanlike manner. The CONTRACTOR shall be solely responsible for the means, methods, techniques, sequences and procedures of construction.

### 6.2 Superintendence by CONTRACTOR:

The CONTRACTOR shall keep on the Work at all times during its progress a competent resident superintendent. The Contracting Officer shall be advised in writing of the superintendent's name, local address, and telephone number. This written advice is to be kept current until Final Acceptance by the CITY. The superintendent will be the CONTRACTOR's representative at the site and shall have full authority to act and sign documents on behalf of the CONTRACTOR.

All communications given to the superintendent shall be as binding as if given to the CONTRACTOR. The CONTRACTOR shall cooperate with the Contracting Officer in every way possible.

6.3 Character of Workers:

The CONTRACTOR shall provide a sufficient number of competent, suitable qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. The CONTRACTOR shall at all times maintain good discipline and order at the site. The Contracting Officer may, in writing, require the CONTRACTOR to remove from the Work any employee the Contracting Officer deems incompetent, careless, or otherwise detrimental to the progress of the Work, but the Contracting Officer shall have no duty to exercise this right.

6.4 CONTRACTOR to Furnish:

Unless otherwise specified in the General Requirements, the CONTRACTOR shall furnish and assume full responsibility for all materials, equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities and all other facilities and incidentals necessary for the furnishing, performance, testing, start-up and completion of the Work.

6.5 Materials and Equipment:

All materials and equipment shall be of specified quality and new, except as otherwise provided in the Contract Documents. If required by the Contracting Officer, the CONTRACTOR shall furnish satisfactory evidence (including reports of required tests) as to the kind and quality of materials and equipment. All materials and equipment shall be applied, installed, connected, erected, used, cleaned, and conditioned in accordance with the instructions of the applicable Supplier except as otherwise provided in the Contract Documents; but no provision of any such instructions will be effective to assign to the CITY or any of the CITY's Consultants, agents or employees, any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraphs 2.3 or 2.4.

6.6 Anticipated Schedules:

6.6.1 Within reasonable time prior to the preconstruction conference the CONTRACTOR shall submit to the Contracting Officer for review an anticipated progress schedule indicating the starting and completion dates of the various stages of the Work.

6.6.2 Within fifteen days after the date of the Notice to Proceed, the CONTRACTOR shall submit to the Contracting Officer for review:

Anticipated schedule of Shop Drawing submissions; and Anticipated Schedule of Values for all of the Work which will include quantities and prices of items aggregating the Contract Price and will subdivide the Work into component parts in sufficient detail to serve as the basis for progress payments during construction. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work which will be confirmed in writing by the CONTRACTOR at the time of submission.

6.7 Finalizing Schedules:

Prior to processing the first Application for Payment the Contracting Officer and the CONTRACTOR will finalize schedules required by paragraph 6.6.

Acceptance by the CITY of the progress schedule, will neither impose on the CITY nor relieve the CONTRACTOR from full responsibility for the progress or scheduling of the Work. If accepted, the finalized schedule of Shop Drawing and other required submissions will be acceptable to the CITY as providing a workable arrangement for processing the submissions. If accepted the finalized Schedule of Values will be acceptable to the CITY as an approximation of anticipated value of Work accomplished over the anticipated Contract Time. Receipt and acceptance of a schedule submitted by the CONTRACTOR shall not be construed to assign responsibility for performance or contingencies to the CITY or relieve the CONTRACTOR of his responsibility to adjust his forces, equipment, and work schedules as may be necessary to insure completion of the Work within prescribed Contract Time. Should the progress of the Work be discontinued for any reason, the CONTRACTOR shall notify the Contracting Officer at least 24 hours in advance of resuming operations.

## 6.8 Adjusting Schedules:

Upon substantial changes to the schedule or upon request, the CONTRACTOR shall submit to the Contracting Officer for acceptance (to the extent indicated in paragraph 6.7 and the General Requirements) adjustments in the schedules to reflect the actual present and anticipated progress of the Work.

## 6.9 Substitutes or "Or-Equal" Items:

- 6.9.1 Whenever materials or equipment are specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the naming of the item is intended to establish the type, function and quality required. Unless the name is followed by words indicating that no substitution is permitted, materials or equipment of other Suppliers may be accepted by the Contracting Officer only if sufficient information is submitted by the CONTRACTOR which clearly demonstrates to the Contracting Officer that the material or equipment proposed is equivalent or equal in all aspects to that named. The procedure for review by the Contracting Officer will include the following as supplemented in the General Requirements.
- 6.9.2 Requests for review of substitute items of material and equipment will not be accepted by the Contracting Officer from anyone other than the CONTRACTOR.
- 6.9.3 If the CONTRACTOR wishes to furnish or use a substitute item of material or equipment, the CONTRACTOR shall make written application to the Contracting Officer for acceptance thereof, certifying that the proposed substitute will perform adequately the functions and achieve the results called for by the general design, be similar and of equal substance to that specified and be suited to the same use as that specified. The application will state that the evaluation and acceptance of the proposed substitute will not delay the CONTRACTOR's achievement of Substantial Completion on time, whether or not acceptance of the substitute for use in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with the CITY for work on the Project) to adapt the design to the proposed substitute and whether or not incorporation or use of the substitute in connection with the Work is subject to payment of any license fee or royalty.
- 6.9.4 All variations of the proposed substitute from that specified will be identified in the application and available maintenance, repair and replacement service will be indicated. The application will also contain an itemized estimate of all costs that will result directly or indirectly from acceptance of such substitute, including costs of redesign and claims of other contractors affected by the resulting change, all of which shall be considered by the Contracting Officer in evaluating the proposed substitute. The Contracting Officer may require the CONTRACTOR to furnish at the CONTRACTOR's expense additional data about the proposed substitute. The Contracting Officer may reject any substitution request which the Contracting Officer determines is not in the best interest of the CITY.

## 6.10 Substitute Means and Methods:

If a specific means, method, technique, sequence or procedure of construction is indicated in or required by the Contract Documents, the CONTRACTOR may furnish or utilize a substitute means, method, sequence, technique or procedure of construction acceptable to the Contracting Officer, if the CONTRACTOR submits sufficient information to allow the Contracting Officer to determine that the substitute proposed is equivalent to that indicated or required by the Contract Documents. The procedure for review by the Contracting Officer will be similar to that provided in paragraph 6.9 as applied by the Contracting Officer and as may be supplemented in the General Requirements.

## 6.11 Evaluation of Substitution:

The Contracting Officer will be allowed a reasonable time within which to evaluate each proposed substitute. The Contracting Officer will be the sole judge of acceptability, and no substitute will be ordered, installed or utilized without the Contracting Officer's prior written acceptance which will be evidenced by either a Change Order or a Shop Drawing approved in accordance with Sections 6.20 and 6.21. The Contracting Officer may require the CONTRACTOR to furnish at the CONTRACTOR's expense a special performance guarantee or other surety with respect to any substitute.

6.12 Dividing the Work:

The divisions and sections of the Specifications and the identifications of any Drawings shall not control the CONTRACTOR in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.

6.13 Subcontractors:

The CONTRACTOR may utilize the services of licensed specialty Subcontractors on those parts of the Work which, under normal contracting practices, are performed by licensed specialty Subcontractors, in accordance with the following conditions:

- 6.13.1 The CONTRACTOR shall not award any Work to any Subcontractor without prior written approval of the Contracting Officer. This approval will not be given until the CONTRACTOR submits to the Contracting Officer a written statement concerning the proposed award to the Subcontractor which shall contain required E.E.O. documents, evidence of insurance, and a copy of the proposed subcontract executed by the subcontractor. No acceptance by the Contracting Officer of any such Subcontractor shall constitute a waiver of any right of the CITY to reject Defective Work.
- 6.13.2 The CONTRACTOR shall be fully responsible to the CITY for all acts and omissions of the Subcontractors, Suppliers and other persons and organizations performing or furnishing any of the Work under a direct or indirect contract with CONTRACTOR just as CONTRACTOR is responsible for CONTRACTOR's own acts and omissions.
- 6.13.3 All Work performed for CONTRACTOR by a Subcontractor will be pursuant to an appropriate written agreement between CONTRACTOR and the Subcontractor which specifically binds the Subcontractor to the applicable terms and conditions of the Contract Documents for the benefit of the CITY and contains waiver provisions as required by paragraph 13.17 and termination provisions as required by Article 14.
- 6.13.4 Nothing in the Contract Documents shall create any contractual relationship between the CITY and any such Subcontractor, Supplier or other person or organization, nor shall it create any obligation on the part of the CITY to pay or to see to the payment of any moneys due any such Subcontractor, Supplier or other person or organization except as may otherwise be required by Regulatory Requirements. The CITY will not undertake to settle any differences between or among the CONTRACTOR, Subcontractors, or Suppliers.
- 6.13.5 The CONTRACTOR and Subcontractors shall coordinate their work and facilitate general progress of Work. Each trade shall afford other trades every reasonable opportunity for installation of their work and storage of materials. If cooperative work of one trade must be altered due to lack of proper supervision, or failure to make proper provisions in time by another trade, such conditions shall be remedied by the CONTRACTOR with no change in Contract Price or Contract Time.
- 6.13.6 The CONTRACTOR shall include on his own payrolls any person or persons working on the contract who are not covered by written subcontract, and shall ensure that all Subcontractors include on their payrolls all persons performing work under the direction of the Subcontractor.

6.14 Use of Premises:

The CONTRACTOR shall confine construction equipment, the storage of materials and equipment and the operations of workers to the Project limits and approved remote storage sites and lands and areas identified in and permitted by Regulatory Requirements, rights-of-way, permits and easements, and shall not unreasonably encumber the premises with construction equipment or other materials or equipment. The CONTRACTOR shall assume full responsibility for any damage to any such land or area, or to the owner or occupant thereof or of any land or areas contiguous thereto, resulting from the performance of the Work. Should any claim be made against the CITY by any such owner or occupant because of the performance of the Work, the CONTRACTOR shall hold the CITY and its agencies harmless.



#### 6.15 Structural Loading:

The CONTRACTOR shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall the CONTRACTOR subject any part of the Work or adjacent property to stresses or pressures that will endanger it.

#### 6.16 Record Documents:

The CONTRACTOR shall maintain in a safe place at the site one record copy of all Drawings, Specifications, Addenda, Field Memos, Work Orders, Change Orders, Supplemental Agreements, and written interpretations and clarifications (issued pursuant to paragraph 3.6) in good order and annotated to show all changes made during construction. These record documents together with all approved samples and a counterpart of all approved Shop Drawings will be available to the Contracting Officer for reference and copying. Upon completion of the Work, the annotated record documents, samples and Shop Drawings will be delivered to the Contracting Officer. Record documents shall accurately record variations in the Work which vary from requirements shown or indicated in the Contract Documents.

#### 6.17 Safety and Protection:

The CONTRACTOR alone shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work. The CONTRACTOR shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury or loss to:

- 6.17.1 All employees on the Work and other persons and organizations who may be affected thereby;
- 6.17.2 All the Work and materials and equipment to be incorporated therein, whether in storage on or off the site; and
- 6.17.3 Other property at the site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation or replacement in the course of construction.
- 6.17.4 The CONTRACTOR shall comply with all applicable Regulatory Requirements enacted for the safety of persons or property or to protect them from damage, injury or loss; and shall erect and maintain all necessary safeguards for such safety and protection. The CONTRACTOR shall notify owners of adjacent property and utility owners when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation and replacement of their property. All damage, injury or loss to any property caused, directly or indirectly, in whole or in part, by the Contractor, any Subcontractor, Supplier or any other person or organization directly or indirectly employed by any of them to perform or furnish any of the Work or anyone for whose acts any of them may be liable, shall be remedied by the CONTRACTOR with no change in Contract Price or Contract Time except as stated in 4.6, except damage or loss attributable to unforeseeable causes beyond the control of and without the fault or negligence of the CONTRACTOR, including but not restricted to acts of God or the public enemy. The CONTRACTOR's duties and responsibilities for the safety and protection of the Work shall continue until Final Acceptance (except as otherwise expressly provided in connection with Substantial Completion).

#### 6.18 Safety Representative:

The CONTRACTOR shall designate a responsible safety representative at the site. This person shall be the CONTRACTOR's superintendent unless otherwise designated in writing by the CONTRACTOR to the Contracting Officer.

#### 6.19 Emergencies:

In emergencies affecting the safety or protection of persons or the Work or property at the site or adjacent thereto, the CONTRACTOR, without special instruction or authorization from the CITY, is obligated to act to prevent threatened damage, injury or loss. The CONTRACTOR shall give the Contracting Officer prompt written notice if the CONTRACTOR believes that any significant changes in the Work or variations from the Contract Documents is required because of the action taken in response to an emergency, a change will be authorized by one of the methods indicated in Paragraph 9.2, as determined appropriate by the Contracting Officer.

6.20 Shop Drawings and Samples:

- 6.20.1 After checking and verifying all field measurements and after complying with applicable procedures specified in the General Requirements, the CONTRACTOR shall submit to the Contracting Officer for review and approval in accordance with the accepted schedule of Shop Drawing submissions the required number of all Shop Drawings, which will bear a stamp or specific written indication that the CONTRACTOR has satisfied CONTRACTOR's responsibilities under the Contract Documents with respect to the review of the submission. All submissions will be identified as the Contracting Officer may require. The data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials and similar data to enable the Contracting Officer to review the information as required.
- 6.20.2 The CONTRACTOR shall also submit to the Contracting Officer for review and approval with such promptness as to cause no delay in Work, all samples required by the Contract Documents. All samples will have been checked by and accompanied by a specific written indication that the CONTRACTOR has satisfied CONTRACTOR's responsibilities under the Contract Documents with respect to the review of the submission and will be identified clearly as to material, Supplier, pertinent data such as catalog numbers and the use for which intended.
- 6.20.3 Before submission of each Shop Drawing or sample the CONTRACTOR shall have determined and verified all quantities, dimensions, specified performance criteria, installation requirements, materials, catalog numbers and similar data with respect thereto and reviewed or coordinated each Shop Drawing or sample with other Shop Drawings and samples and with the requirements of the Work and the Contract Documents.
- 6.20.4 At the time of each submission the CONTRACTOR shall give the Contracting Officer specific written notice of each variation that the Shop Drawings or samples may have from the requirements of the Contract Documents, and, in addition, shall cause a specific notation to be made on each Shop Drawing submitted to the Contracting Officer for review and approval of each such variation. All variations of the proposed shop drawing from that specified will be identified in the submission and available maintenance, repair and replacement service will be indicated. The submittal will also contain an itemized estimate of all costs that will result directly or indirectly from acceptance of such variation, including costs of redesign and claims of other contractors affected by the resulting change, all of which shall be considered by the CITY in evaluating the proposed variation. If the variation may result in a change of Contract Time or Price, or contract responsibility, and is not minor in nature; the CONTRACTOR must submit a written request for Change Order with the variation to notify the CITY of his intent. The CITY may require the CONTRACTOR to furnish at the CONTRACTOR's expense additional data about the proposed variation. The Contracting Officer may reject any variation request which the Contracting Officer determines is not in the best interest of the CITY.

6.21 Shop Drawing and Sample Review:

- 6.21.1 The Contracting Officer will review with reasonable promptness Shop Drawings and samples, but the Contracting Officer's review will be only for conformance with the design concept of the Project and for compliance with the information given in the Contract Documents and shall not extend to means, methods, techniques, sequences or procedures of construction (except where a specific means, method, technique, sequence or procedure of construction is indicated in or required by the Contract Documents) or to safety precautions or programs incident thereto. The review of a separate item as such will not indicate acceptance of the assembly in which the item functions. The CONTRACTOR shall make corrections required by the Contracting Officer and shall return the required number of corrected copies of Shop Drawings and submit as required new samples for review. The CONTRACTOR shall direct specific attention in writing to revisions other than the corrections called for by the Contracting Officer on previous submittals.
- 6.21.2 The Contracting Officer's review of Shop Drawings or samples shall not relieve CONTRACTOR from responsibility for any variation from the requirements of the Contract Documents unless the CONTRACTOR has in writing advised the Contracting Officer of each such variation at the time of submission as required by paragraph 6.20.4. The Contracting Officer if he so determines, may give written approval of each such variation by Change Order, except that, if the variation is minor and no Change Order has been requested a specific written notation thereof incorporated in or accompanying the Shop Drawing or sample review comments shall suffice as a modification. No approval by the Contracting Officer will relieve the

CONTRACTOR from responsibility for errors or omissions in the Shop Drawings or from responsibility for having complied with the provisions of paragraph 6.20.3.

- 6.21.3 Where a Shop Drawing or sample is required by the Specifications, any related Work performed prior to the Contracting Officer's review of the pertinent submission will be the sole expense and responsibility of the CONTRACTOR.

6.22 Maintenance During Construction:

The CONTRACTOR shall maintain the Work during construction and until Substantial Completion, at which time the responsibility for maintenance shall be established in accordance with paragraph 13.10.

6.23 Continuing the Work:

The CONTRACTOR shall carry on the Work and adhere to the progress schedule during all disputes or disagreements with the CITY. No work shall be delayed or postponed pending resolution of any disputes, disagreements, or claims except as the CONTRACTOR and the Contracting Officer may otherwise agree in writing.

6.24 Consent to Assignment:

The CONTRACTOR shall obtain the prior written consent of the Contracting Officer to any proposed assignment of any interest in, or part of this Contract. The consent to any assignment or transfer shall not operate to relieve the CONTRACTOR or his Sureties of any of his or its obligations under this Contract or the Performance Bonds. Nothing herein contained shall be construed to hinder, prevent, or affect an assignment of monies due, or to become due hereunder, made for the benefit of the CONTRACTOR's creditors pursuant to law.

6.25 Use of Explosives:

- 6.25.1 When the use of explosives is necessary for the prosecution of the Work, the CONTRACTOR shall exercise the utmost care not to endanger life or property, including new Work and shall follow all Regulatory Requirements applicable to the use of explosives. The CONTRACTOR shall be responsible for all damage resulting from the use of explosives.
- 6.25.2 All explosives shall be stored in a secure manner in compliance with all Regulatory Requirements, and all such storage places shall be clearly marked. Where no Regulatory Requirements apply, safe storage shall be provided not closer than 1,000 feet from any building, camping area, or place of human occupancy.
- 6.25.3 The CONTRACTOR shall notify each public utility owner having structures in proximity to the site of his intention to use explosives. Such notice shall be given sufficiently in advance to enable utility owners to take such steps as they may deem necessary to protect their property from injury. However, the CONTRACTOR shall be responsible for all damage resulting from the use of the explosives, whether or not, utility owners act to protect their property.

6.26 CONTRACTOR's Records:

- 6.26.1 Records of CONTRACTOR and Subcontractors relating to personnel, payrolls, invoices of materials, and any and all other data relevant to the performance of the Contract, must be kept on a generally recognized accounting system. Such records must be available during normal work hours to the Contracting Officer for purposes of investigation to ascertain compliance with Regulatory Requirements and provision of the Contract Documents.
- 6.26.2 Payroll records must contain the name and address of each employee, his correct classification, rate of pay, daily and weekly number of hours of work, deductions made, and actual wages paid. The CONTRACTOR and Subcontractors shall make employment records available for inspection by the Contracting Officer and representatives of the State of Alaska Department of Labor and Workforce Development and will permit such representatives to interview employees during working hours on the Project.
- 6.26.3 Records of all communications between the CITY and the CONTRACTOR and other parties, where such communications affected performance of this Contract, must be kept by the CONTRACTOR and maintained

for a period of three years from Final Acceptance. The CITY or its assigned representative may perform an audit of these records during normal work hours after written notice to the CONTRACTOR.

## **ARTICLE 7 - LAWS AND REGULATIONS**

### 7.1 Laws to be Observed:

The CONTRACTOR shall keep fully informed of all Regulatory Requirements and all orders and decrees of bodies or tribunals having any jurisdiction or authority, which in any manner affect those engaged or employed on the Work, or which in any way affect the conduct of the Work. The CONTRACTOR shall at all times observe and comply with all such Regulatory Requirements, orders and decrees; and shall protect and indemnify the CITY and its representatives against claim or liability arising from or based on the violation of any such Regulatory Requirement, order, or decree whether by the CONTRACTOR, Subcontractor, or any employee of either. Except where otherwise expressly required by applicable Regulatory Requirements, the CITY shall not be responsible for monitoring CONTRACTOR's compliance with any Regulatory Requirements.

### 7.2 Permits, Licenses, and Taxes:

7.2.1 The CONTRACTOR shall procure all permits and licenses, pay all charges, fees and taxes, and give all notices necessary and incidental to the due and lawful prosecution of the Work. As a condition of performance of this Contract, the CONTRACTOR shall pay all Federal, State and local taxes incurred by the CONTRACTOR, in the performance of the Contract. Proof of payment of these taxes is a condition precedent to final payment by the CITY under this Contract.

7.2.2 The CONTRACTOR's certification that taxes have been paid (as contained in the Release of Contract) may be verified with the Department of Revenue and Department of Labor and Workforce Development and Unalaska City Clerk, prior to final payment.

7.2.3 If any Federal, State or local tax is imposed, charged, or repealed after the date of Bid opening and is made applicable to and paid by the CONTRACTOR on the articles or supplies herein contracted for, then the Contract shall be increased or decreased accordingly by a Change Order.

7.2.4 The Contractor shall require all Subcontractors to obtain a City of Unalaska Business License.

### 7.3 Patented Devices, Materials and Processes:

If the CONTRACTOR employs any design, device, material, or process covered by letters of patent, trademark or copyright, the CONTRACTOR shall provide for such use by suitable legal agreement with the patentee or owner. The CONTRACTOR and the Surety shall indemnify and save harmless the CITY and its agents, any affected third party, from any and all claims for infringement by reason of the use of any such patented design, device, material or process, or any trademark or copyright, and shall indemnify the CITY for any costs, expenses, and damages which it may be obliged to pay by reason of any infringement, at any time during the prosecution or after the completion of the Work.

### 7.4 Compliance of Specifications and Drawings:

If the CONTRACTOR observes that the Specifications and Drawings supplied by the CITY are at variance with any Regulatory Requirements, CONTRACTOR shall give the Contracting Officer prompt written notice thereof, and any necessary changes will be authorized by one of the methods indicated in paragraph 9.2. as determined appropriate by the Contracting Officer. If the CONTRACTOR performs any Work knowing or having reason to know that it is contrary to such Regulatory Requirements, and without such notice to the Contracting Officer, the CONTRACTOR shall bear all costs arising therefrom; however, it shall not be the CONTRACTOR's primary responsibility to make certain that the Specifications and Drawings supplied by the CITY are in accordance with such Regulatory Requirements.

### 7.5 Accident Prevention:

The CONTRACTOR shall comply with AS 18.60.075 and all pertinent provisions of the Construction Code Occupational Safety and Health Standards issued by the Alaska Department of Labor.

7.6 Sanitary Provisions:

The CONTRACTOR shall provide and maintain in a neat and sanitary condition such accommodations for the use of his employees and CITY representatives as may be necessary to comply with the Regulatory requirements.

7.7 Business Registration:

The Contractor shall comply with AS 08.18.011 which states, as follows: "it is unlawful for a person to submit a bid or work as a contractor until he has been issued a certificate of registration by the Department of Commerce. A partnership or joint venture shall be considered registered if one of the general partners or ventures whose name appears in the name under which the partnership or venture does business is registered." The Contractor shall obtain a City of Unalaska Business License prior to commencement of the Work to the extent required by the City of Unalaska Code of Ordinances section 9.30.101.

7.8 Professional Registration and Certification:

All craft trades, architects, engineers and land surveyors, electrical administrators, explosive handlers, and welders employed under the Contract shall specifically comply with applicable provisions of AS 08.18, 08.48, 08.40, 08.52, and 08.99. Provide copies of individual licenses within seven days following a request from the Contracting Officer.

7.9 Local Building Codes:

The CONTRACTOR shall comply with AS 35.10.025 which requires construction in accordance with applicable local building codes including the obtaining of required permits. City of Unalaska permits required for the work are identified in the Supplemental Conditions.

7.10 Air Quality Control:

The CONTRACTOR shall comply with all applicable provision of AS 46.03.04 as pertains to Air Pollution Control.

7.11 Archaeological or Paleontological Discoveries:

When the CONTRACTOR's operation encounters prehistoric artifacts, burials, remains of dwelling sites, or paleontological remains, such as shell heaps, land or sea mammal bones or tusks, the CONTRACTOR shall cease operations immediately and notify the Contracting Officer. No artifacts or specimens shall be further disturbed or removed from the ground and no further operations shall be performed at the site until so directed. Should the Contracting Officer order suspension of the CONTRACTOR's operations in order to protect an archaeological or historical finding, or order the CONTRACTOR to perform extra work, such shall be covered by an appropriate Contract change document.

7.12 Not used.

7.13 Preferential Employment:

To the fullest extent allowed by law, the CONTRACTOR shall comply with AS 36.10, as amended, which provides for preferential employment of Alaska residents.

7.14 Wages and Hours of Labor:

- 7.14.1 One certified copy of all payrolls shall be submitted weekly to the State Department of Labor to assure compliance with AS 36.05.040, Filing Schedule of Employees Wages Paid and Other Information. The prime CONTRACTOR shall be responsible for the submission of certified copies of payrolls of all Subcontractors. The certification shall affirm that the payrolls are current and complete, that the wage rates contained therein are not less than the applicable rates referenced in these Contract Documents, and that the classification set forth for each laborer or mechanic conforms with the work he performed. The CONTRACTOR and his Subcontractors shall attend all hearings and conferences and produce such books, papers, and documents all as requested by the Department of Labor. Should Federal funds be involved, the Contracting Agency shall also receive a copy of the CONTRACTOR's certified payrolls.

7.14.2 The following Labor provisions shall also apply to this Contract:

- a. The CONTRACTOR and his Subcontractors shall pay all employees unconditionally and not less than once a week;
- b. Wages may not be less than those stated in the advertised specifications, regardless of the contractual relationship between the CONTRACTOR or Subcontractors and laborers, mechanics, or field surveyors;
- c. The scale of wages to be paid shall be posted by the CONTRACTOR in a prominent and easily accessible place at the site of the work;
- d. The CITY shall withhold so much of the accrued payments as is necessary to pay laborers, mechanics, or field surveyors employed by the CONTRACTOR or Subcontractors the difference between
  1. the rates of wages required by the contract to be paid laborers, mechanics, or field surveyors on the work, and
  2. the rates of wages in fact received by laborers, mechanics or field surveyors.

7.15 Overtime Work Hours and Compensation:

Pursuant to 40 U.S.C. 327-330 and AS 23.10.060, the CONTRACTOR shall not require nor permit any laborer or mechanic in any workweek in which he is employed on any work under this Contract to work in excess of eight hours in any Calendar Day or in excess of forty hours in such workweek on work subject to the provisions of the Contract Work Hours and Safety Standards Act unless such laborer or mechanic receives compensation at a rate not less than one and one half times his basic rate of pay for all such hours worked in excess of eight hours in any Calendar Day or in excess of forty hours in such workweek whichever is the greater number of overtime hours. In the event of any violation of this provision, the CONTRACTOR shall be liable to any affected employee for any amounts due and penalties and to the CITY for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic employed in violation of this provision in the sum of \$10.00 for each Calendar Day on which such employee was required or permitted to be employed on such work in excess of eight hours or in excess of the standard workweek of forty hours without payment of the overtime wages required by this paragraph.

7.16 Covenant Against Contingent Fees:

The CONTRACTOR warrants that no person or selling agent has been employed or retained to solicit or secure this Contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee. For breach or violation of this warranty, the CITY shall have the right to annul this Contract without liability or, in its discretion, to deduct price of consideration from the Contract or otherwise recover the full amount of such commission, percentage, brokerage, or contingent fee.

7.17 Officials Not to Benefit:

No member of or delegate to the U.S. Congress, the State Legislature, Unalaska City Council or other State or City Officials shall be admitted to any share or part of this Contract, nor to any benefit that may arise there from. However, this provision shall not be construed to extend to this Contract if made with a corporation for its general benefits.

7.18 Personal Liability of Public Officials:

In carrying out any of the provisions thereof, or in exercising any power or authority granted to the Contracting Officer by the Contract, there will be no liability upon the City nor upon its agents or authorized as its representatives, either personally or as officials of the City of Unalaska, it being always understood that in such matters they act as agents and representatives of the CITY.

## **ARTICLE 8 - OTHER WORK**

### 8.1 Related Work at Site:

- 8.1.1 The CITY reserves the right at any time to contract for and perform other or additional work on or near the Work covered by the Contract.
- 8.1.2 When separate contracts are let within the limits of the Project, the CONTRACTOR shall conduct his Work so as not to interfere with or hinder the work being performed by other contractors. The CONTRACTOR shall join his work with that of the others in an acceptable manner and shall perform it in proper sequence to that of others.
- 8.1.3 If the fact that other such work to be performed is identified or shown in the Contract Documents, the CONTRACTOR shall assume all liability, financial or otherwise, in connection with this Contract and indemnify and save harmless the City of Unalaska and its agents from any and all damages or claims that may arise because of inconvenience, delay, or loss experienced by the CONTRACTOR because of the presence and operations of other contractors.
- 8.1.4 If the fact that such other work to be performed was not identified or shown in the Contract Documents, written notice thereof will be given to the CONTRACTOR prior to starting any such other work. If the CONTRACTOR believes that such performance will require an increase in Contract Price or Contract Time, the CONTRACTOR shall notify the Contracting Officer of such required increase within fifteen (15) calendar days following receipt of the Contracting Officer's notice. Should the Contracting Officer find such increase(s) to be justified, a Change Order will be executed.

### 8.2 Access, Cutting, and Patching:

The CONTRACTOR shall afford each utility owner and any other contractor who is a party to such a direct contract with the CITY (or the CITY, if the CITY is performing the additional work with the CITY's employees) proper and safe access to the site and a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such work and shall properly connect and coordinate the Work with the work of others. The CONTRACTOR shall do all cutting, fitting and patching of the Work that may be required to make its several parts come together properly and integrate with such other work, the CONTRACTOR shall not endanger any work of others by cutting, excavating or otherwise altering their work and will only cut or alter such other work with the written consent of the Contracting Officer. The duties and responsibilities of the CONTRACTOR under this paragraph are for the benefit of other contractors to the extent that there are comparable provisions for the benefit of the CONTRACTOR in said direct contracts between the CITY and other contractors.

### 8.3 Defective Work by Others:

If any part of the CONTRACTOR's Work depends for proper execution or results upon the work of any such other contractor, utility owner, or the CITY, the CONTRACTOR shall inspect and promptly report to the Contracting Officer in writing any delays, defects or deficiencies in such work that render it unavailable or unsuitable for such proper execution and results. The CONTRACTOR's failure to so report will constitute an acceptance of the other work as fit and proper for integration with CONTRACTOR's Work except for latent or non apparent defects and deficiencies in the other work.

### 8.4 Coordination:

If the CITY contracts with others for the performance of other work at the site, Contracting Officer will have authority and responsibility for coordination of the activities among the various prime contractors.

## **ARTICLE 9 - CHANGES**

### 9.1 CITY's Right to Change:

Without invalidating the Contract and without notice to any Surety, the CITY may, at any time or from time to time, order additions, deletions or revisions in the Work within the general scope of the Contract, including but not limited to changes:

- 9.1.1 In the Contract Documents;
- 9.1.2 In the method or manner of performance of the Work;
- 9.1.3 In City-furnished facilities, equipment, materials, services, or site;
- 9.1.4 Directing acceleration in the performance of the Work.

9.2 Authorization of Changes within the General Scope:

Additions, deletions, or revisions in the Work within the general scope of the Contract as specified in 9.1 shall be authorized by one or more of the following ways:

- 9.2.1 Directive (pursuant to paragraph 9.3)
- 9.2.2 A Change Order (pursuant to paragraph 9.5)
- 9.2.3 CITY's acceptance of Shop Drawing variations from the Contract Documents as specifically identified by the CONTRACTOR as required by paragraph 6.20.4.

9.3 Directives:

- 9.3.1 The Contracting Officer shall provide written clarification or interpretation of the contract documents (pursuant to paragraph 3.6).
- 9.3.2 The Contracting Officer may authorize minor variations in the Work from the requirements of the Contract Documents which do not involve an adjustment in the Contract Price or the Contract Time and are consistent with the overall intent of the Contract Documents.
- 9.3.3 The Contracting Officer may order the Contractor to correct Defective Work or methods which are not in conformance with the Contract Documents.
- 9.3.4 The Contracting Officer may direct the commencement or suspension of Work or emergency related work (as provided in paragraph 6.19).
- 9.3.5 Upon the issuance of a Directive to the CONTRACTOR by the Contracting Officer, the CONTRACTOR shall immediately proceed with the performance of the work as prescribed by such Directive.
- 9.3.6 If the CONTRACTOR believes that the changes noted in a Directive may cause an increase in the Contract Price or an extension of Contract Time, the CONTRACTOR shall immediately provide written notice to the Contracting Officer depicting such increases before proceeding with the Directive, except in the case of an emergency. If the Contracting Officer finds the increase in Contract Price or the extension of Contract Time justified, a Change Order will be issued. If however, the Contracting Officer does not find that a Change Order is justified, the Contracting Officer may direct the CONTRACTOR to proceed with the work. The CONTRACTOR shall cooperate with the Contracting Officer in keeping complete daily records of the cost of such work. If a Change Order is ultimately determined to be justified, in the absence of agreed prices and unit prices, payment for such work will be made on a cost of the work basis as provided in 10.4.

9.4 Change Order:

A change in Contract Time, Contract Price, or responsibility may be made for changes within the scope of the Work only by Change Order. Upon receipt of an executed Change Order, the CONTRACTOR shall promptly proceed with the work involved which will be performed under the applicable conditions of the Contract Documents except as otherwise specifically provided. Changes in Contract Price and Contract Time shall be made in accordance with Article 10 and 11.



9.5 Shop Drawing Variations:

Variations by shop drawings shall only be eligible for consideration under 9.4 when the conditions affecting the price, time, or responsibility are identified by the CONTRACTOR in writing and a request for a Change Order is submitted as per 6.20.4.

9.6 Changes Outside the General Scope; Supplemental Agreement:

Any change which is outside the general scope of the Contract, as determined by the Contracting Officer, must be authorized by the appropriate representatives of the CITY and the CONTRACTOR.

9.7 Unauthorized Work:

The CONTRACTOR shall not be entitled to an increase in the Contract Price or an extension of the Contract Time with respect to any work performed that is not required by the Contract Documents as amended, modified and supplemented as provided in this Article 9, except in the case of an emergency as provided in paragraph 6.19 and except in the case of uncovering Work as provided in paragraph 12.4.2.

9.8 Notification of Surety:

If notice of any change affecting the general scope of the Work or the provisions of the Contract Documents including, but not limited to, Contract Price or Contract Time is required by the provisions of any Bond to be given to a Surety, the giving of any such notice will be the CONTRACTOR's responsibility, and the amount of each applicable Bond will be adjusted accordingly.

9.9 Differing Site Conditions:

9.9.1 The CONTRACTOR shall promptly, and before such conditions are disturbed (except in an emergency as permitted by paragraph 6.19), notify the Contracting Officer in writing of: (1) subsurface or latent physical conditions at the site differing materially from those indicated in the Contract, and which could not have been discovered by a careful examination of the site, or (2) unknown physical conditions at the site, or an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in work of the character provided for in this Contract. The Contracting Officer shall promptly investigate the conditions, and if the Contracting Officer finds that such conditions do materially so differ and cause an increase or decrease in the CONTRACTOR's cost of, or time required for, performance of this Contract, an equitable adjustment shall be made and the Contract modified in writing accordingly.

9.9.2 Any claim for additional compensation by the CONTRACTOR under this clause shall be made in accordance with Article 15 and shall not be allowed unless the CONTRACTOR has first given the notice required by this Contract. In the event that the Contracting Officer and the CONTRACTOR are unable to reach an agreement concerning an alleged differing site condition, the CONTRACTOR will be required to keep an accurate and detailed record which will indicate the actual cost of the work done under the alleged differing site condition. Failure to keep such a record shall be a bar to any recovery by reason of such alleged differing site conditions. The Contracting Officer shall be given the opportunity to supervise and check the keeping of such records.

**ARTICLE 10 - CONTRACT PRICE; COMPUTATION AND CHANGE**

10.1 Contract Price:

The Contract Price constitutes the total compensation (subject to authorized adjustments) payable to the CONTRACTOR for performing the Work. All duties, responsibilities and obligations assigned to or undertaken by the CONTRACTOR shall be at his expense without change in the Contract Price. The Contract Price may only be changed by a Change Order or Supplemental Agreement.

10.2 Claim for Price Change:

Any claim for an increase or decrease in the Contract Price shall be submitted in accordance with the terms of Article 15, and shall not be allowed unless notice requirements of this Contract have been met.

### 10.3 Change Order Price Determination:

The value of any work covered by a Change Order for an increase or decrease in the Contract Price shall be determined in one of the following ways:

- 10.3.1 Where the work involved is covered by unit prices contained in the Contract Documents, by application of unit prices to the quantities of the items involved (subject to the provisions of paragraphs 10.9.1
- 10.3.2 By mutual acceptance of a lump sum price which includes overhead and profit.
- 10.3.3 When 10.3.1 and 10.3.2 are inapplicable, on the basis of the Cost of the Work (determined as provided in paragraphs 10.4 and 10.5) plus a CONTRACTORS's fee for overhead and profit (determined as provided in paragraph 10.6).

### 10.4 Cost of the Work:

The term Cost of the Work means the sum of all costs necessarily incurred and paid by the CONTRACTOR in the proper performance of the work. Except as otherwise may be agreed to in writing by the CITY, such costs shall be in amount no higher than those prevailing in the locality of the Project, shall include only the following items and shall not include any of the costs itemized in paragraph 10.5:

- 10.4.1 Payroll costs for employees in the direct employ of the CONTRACTOR in the performance of the work under schedules of job classifications agreed upon by the CITY and the CONTRACTOR. Payroll costs for employees not employed full time on the work shall be apportioned on the basis of their time spent on the work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits which shall include social security contributions, unemployment, excise and payroll taxes, workers' or workmen's compensation, health and retirement benefits, bonuses, sick leave, vacation and holiday pay applicable thereto. Such employees shall include superintendents and foremen at the site. The expenses of performing work after regular working hours, on Saturday, Sunday or legal holidays, shall be included in the above to the extent authorized by the CITY.
- 10.4.2 Cost of all materials and equipment furnished and incorporated in the work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to the CONTRACTOR unless the CITY deposits funds with the CONTRACTOR with which to make payments, in which case the cash discounts shall accrue to the CITY. All trade discounts, rebates and refunds and all returns from sale of surplus materials and equipment shall accrue to the CITY, and the CONTRACTOR shall make provisions so that they may be obtained.
- 10.4.3 Payments made by the CONTRACTOR to Subcontractors for work performed by Subcontractors. If required by the CITY, CONTRACTOR shall obtain competitive quotes from Subcontractors or Suppliers acceptable to the CONTRACTOR and shall deliver such quotes to the CITY who will then determine which quotes will be accepted. If a subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work Plus a Fee, the Subcontractor's Cost of the Work shall be determined in the same manner as the CONTRACTOR's Cost of Work. All subcontracts shall be subject to the other provisions of the Contract Documents insofar as applicable.
- 10.4.4 Costs of special consultants (including but not limited to engineers, architects, testing laboratories, and surveyors) employed for services necessary for the completion of the work.
- 10.4.5 Supplemental costs including the following:
  - a. The proportion of necessary transportation, travel and subsistence expenses of the CONTRACTOR's employees incurred in discharge of duties connected with the work.
  - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office and temporary facilities at the site and hand tools not owned by the workers, which are consumed in the performance of the work, and cost less market value of such items used but not consumed which remain the property of the CONTRACTOR.

- c. Rentals of all construction equipment and machinery and the parts thereof whether rented from the CONTRACTOR or others in accordance with rental agreements approved by the CITY and the costs of transportation, loading, unloading, installation, dismantling and removal thereof - all in accordance with terms of said rental agreements. The rental of any such equipment, machinery or parts shall cease when the use thereof is no longer necessary for the work.
- d. Sales, consumer, use or similar taxes related to the work, and for which the CONTRACTOR is liable, imposed by Regulatory Requirements.
- e. Deposits lost for causes other than negligence of the CONTRACTOR, any Subcontractor or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
- f. Losses and damages (and related expenses), not compensated by insurance or otherwise, to the Work or otherwise sustained by the CONTRACTOR in connection with the performance and furnishing of the Work provided they have resulted from causes other than the negligence of the CONTRACTOR, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of the CITY. No such losses, damages and expenses shall be included in the Cost of the Work for the purpose of determining the CONTRACTOR's Fee. If, however, any such loss or damage requires reconstruction and the CONTRACTOR is placed in charge thereof, the CONTRACTOR shall be paid for services a fee proportionate to that stated in paragraphs 10.6.2.a and 10.6.2.b.
- g. The cost of utilities, fuel and sanitary facilities at the site.
- h. Minor expenses such as long distance telephone calls, telephone service at the site, expressage and similar petty cash items in connection with the work.
- i. Cost of premiums for additional bonds and insurance required because of changes in the work and premiums for property insurance coverage within the limits of the deductible amounts established by the CITY in accordance with Article 5.

10.5 Excluded Costs:

The term Cost of the Work shall not include any of the following:

- 10.5.1 Payroll costs and other compensation of CONTRACTOR's officer, executives, principals (of partnership and sole proprietorships), general managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agency, expeditors, timekeepers, clerks and other personnel employed by CONTRACTOR whether at the site or in CONTRACTOR's principal or a branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in paragraph 10.4.1 or specifically covered by paragraph 10.4.4 - all of which are to be considered administrative costs covered by the CONTRACTOR's Fee.
- 10.5.2 Expenses of CONTRACTOR's principal and branch offices other than CONTRACTOR's office at the site.
- 10.5.3 Any part of CONTRACTOR's capital expenses including interest on CONTRACTOR's capital employed for the Work and charges against CONTRACTOR for delinquent payments.
- 10.5.4 Cost of premiums for all bonds and for all insurance whether or not CONTRACTOR is required by the Contract Documents to purchase and maintain the same (except for the cost of premiums covered by subparagraph 10.4.5.i above).
- 10.5.5 Costs due to the negligence of CONTRACTOR, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of Defective Work, disposal of materials or equipment wrongly supplied and making good any damage to property.

10.5.6 Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in paragraph 10.4.

10.6 CONTRACTOR's Fee:

The CONTRACTOR's Fee allowed to CONTRACTOR for overhead and profit shall be determined as follows:

10.6.1 A mutually acceptable fixed fee; or if none can be agreed upon.

10.6.2 A fee based on the following percentages of the various portions of the Cost of the Work:

- a. For costs incurred under paragraphs 10.4.1 and 10.4.2, the CONTRACTOR's Fee shall be twenty percent;
- b. For costs incurred under paragraph 10.4.3, the CONTRACTOR's Fee shall be fifteen percent; and if a sub-contract is on the basis of Cost of the Work Plus a Fee, the maximum allowable to CONTRACTOR on account of overhead and profit of all subcontractors shall be fifteen percent;
- c. No fee shall be payable on the basis of costs itemized under paragraphs 10.4.4, 10.4.5 and 10.5;
- d. The amount of credit to be allowed by the CONTRACTOR to the CITY for any such change which results in a net decrease in cost will be the amount of the actual net decrease plus a deduction in CONTRACTOR's Fee by an amount equal to ten percent of the net decrease; and
- e. When both additions and credits are involved in any one change, the adjustment in CONTRACTOR's Fee shall be computed on the basis of the net change in accordance with paragraphs 10.6.2.a through 10.6.2.d, inclusive.

10.7 Cost Breakdown:

Whenever the cost of any work is to be determined pursuant to paragraphs 10.4 and 10.5, the CONTRACTOR will submit in form acceptable to the CITY an itemized cost breakdown together with supporting data.

10.8 Cash Allowances:

It is understood the CONTRACTOR has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be done by such Subcontractors or Suppliers and for such sums within the limit of the allowances as may be acceptable to the Contracting Officer. CONTRACTOR agrees that:

10.8.1 The allowances include the cost to CONTRACTOR (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the site, and all applicable taxes; and

10.8.2 CONTRACTOR's cost for unloading and handling on the site, labor, installation costs, overhead, profit and other expenses contemplated for the allowances have been included in the Contract Price and not in the allowances. No demand for additional payment on account of any thereof will be valid.

Prior to final payment, an appropriate Change Order will be issued to reflect actual amounts due the CONTRACTOR on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

10.9 Unit Price Work:

10.9.1 Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the established unit prices for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Contract. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Determinations of the actual quantities and classifications of Unit Price Work performed by the CONTRACTOR will be made by the CITY in accordance with paragraph 10.9.3.

10.9.2 Each unit price will be deemed to include an amount considered by the CONTRACTOR to be adequate to cover the CONTRACTOR's overhead and profit for each separately identified item. If the "Basis of Payment" clause in the Contract Documents relating to any unit price in the bid schedule requires that the said unit price cover and be considered compensation for certain work or material essential to the item, this same work or material will not also be measured or paid for under any other pay item which may appear elsewhere in the Contract Documents.

10.9.3 Payment to the CONTRACTOR shall be made only for the actual quantities of work performed and accepted or materials furnished, in conformance with the Contract Documents. When the accepted quantities of work or materials vary from the quantities stated in the bid schedule, or change documents, the CONTRACTOR shall accept as payment in full, payment at the stated unit prices for the accepted quantities of work and materials furnished, completed and accepted; except as provided below:

- a. When the quantity of work to be done or material to be furnished under any item, for which the total cost of the item exceeds 10% of the total Contract Price, is increased by more than 25 per cent of the quantity stated in the bid schedule, or change documents, either party to the Contract, upon demand, shall be entitled to an equitable unit price adjustment on the portion of the work above 125 per cent of the quantity stated in the bid schedule.
- b. When the quantity of work to be done or material to be furnished under any major item, for which the total cost of the item exceeds 10% of the total Contract Price, is decreased by more than 25 per cent of the quantity stated in the bid schedule, or change documents either party to the contract, upon demand, shall be entitled to an equitable price adjustment for the quantity of work performed or material furnished, limited to a total payment of not more than 75 per cent of the amount originally bid for the item.

10.10 Determinations for Unit Prices:

The Contracting Officer will determine the actual quantities and classifications of Unit Price Work performed by the CONTRACTOR. The Contracting Officer will review with the CONTRACTOR preliminary determinations on such matters before certifying the prices on the Bid Schedule. The Contracting Officer's certification thereon will be final and binding on the CONTRACTOR, unless, within ten days after the date of any such decisions, the CONTRACTOR delivers to the Contracting Officer written notice of intention to appeal from such a decision.

**ARTICLE 11 - CONTRACT TIME; COMPUTATION AND CHANGE**

11.1 Commencement of Contract Time; Notice to Proceed:

The Contract Time will commence to run on the day indicated in the Notice to Proceed.

11.2 Starting the Work:

No work on contract items shall be performed before the effective date of the Notice to Proceed. The CONTRACTOR shall notify the Contracting Officer at least 24 hours in advance of the time actual construction operations will begin. The CONTRACTOR may request a limited Notice to Proceed after award has been made, to permit him to order long lead materials which could cause delays in project completion. However, granting is within the sole discretion of the Contracting Officer, and refusal or failure to grant a limited Notice to Proceed shall not be a basis for claiming for delay, extension of time, or alteration of price.

11.3 Computation of Contract Time:

11.3.1 When the contract time is specified on a calendar days basis, all work under the contract shall be completed within the number of calendar days specified. The count of contract time begins on the day following receipt of the Notice to Proceed by the CONTRACTOR, if no starting day is stipulated therein. Calendar days shall continue to be counted against contract time until and including the date of Final Completion of the Work.

11.3.2 When the Contract completion time is specified as a fixed calendar date, it shall be the date of Final Completion.

#### 11.4 Time Change:

The Contract Time may only be changed by a Change Order or Supplemental Agreement.

#### 11.5 Extension Due to Delays:

The right of the CONTRACTOR to proceed shall not be terminated nor the CONTRACTOR charged with liquidated or actual damages because of any delays to the completion of the Work due to unforeseeable causes beyond the control and without the fault or negligence of the CONTRACTOR, including, but not restricted to the following: acts of God or of the public enemy, acts of the CITY in contractual capacity, acts of another contractor in the performance of a contract with the CITY, floods, fires, epidemics, quarantine restrictions, strikes, freight embargoes, unusually severe weather and delays of Subcontractors or Suppliers due to such causes. Any delay in receipt of materials on the site, caused by other than one of the specifically mentioned occurrences above, does not of itself justify a time extension. Provided, that the CONTRACTOR shall within twenty four (24) hours from the beginning of any such delay (unless the Contracting Officer shall grant a further period of the time prior to the date of final settlement of the Contract) notify the Contracting Officer in writing of the cause of delay. The Contracting Officer shall ascertain the facts and the extent of the delay and extend the time for completing the Work when the findings of fact justify such an extension.

#### 11.6 Essence of Contract:

All time limits stated in the Contract Documents are of the essence of the Contract.

#### 11.7 Reasonable Completion Time:

It is expressly understood and agreed by and between the CONTRACTOR and the CITY that the date of beginning and the time for Final Completion of the Work described herein are reasonable times for the completion of the Work.

#### 11.8 Delay Damages:

Whether or not the CONTRACTOR's right to proceed with the Work is terminated, he and his sureties shall be liable for damages resulting from his refusal or failure to complete the Work within the specified time. Liquidated damages for delay shall be paid by the CONTRACTOR or his Surety to the City in the amount as specified in the Agreement or the Supplementary Conditions for each Calendar Day the completion of the Work or any part thereof is delayed beyond the Contract Time required by the Contract, or any extension thereof. If such amount of liquidated damages is not established by the Contract Documents, then the CONTRACTOR and his Surety shall be liable to the City for any actual damages occasioned by such delay. The CONTRACTOR acknowledges that the liquidated damages established herein are not a penalty but rather constitute an estimate of damages that the City will sustain by reason of delayed completion. These liquidated damages are intended as compensation for losses difficult to estimate, and include those items enumerated in the Supplementary Conditions or elsewhere in the Contract Documents. These damages will continue to run both before and after termination in the event of default termination. These liquidated damages do not cover excess costs of completion or the CITY's costs, fees, and charges related to reprocurement. If a default termination occurs, the Contractor or his Surety shall pay in addition to these damages, all excess costs and expenses related to completion as provided by Article 14.2.5.

### **ARTICLE 12 - QUALITY ASSURANCE**

#### 12.1 Warranty and Guaranty:

The CONTRACTOR warrants and guarantees to the CITY that all Work will be in accordance with the Contract Documents and will not be Defective. Prompt notice of all defects shall be given to the CONTRACTOR. All Defective Work, whether or not in place, may be rejected, corrected or accepted as provided for in this Article.

#### 12.2 Access to Work:

The CITY and the CITY's representatives, testing agencies and governmental agencies with jurisdiction interests will have access to the Work at reasonable times for their observation, inspecting and testing. The CONTRACTOR shall provide proper and safe conditions for such access.

### 12.3 Tests and Inspections:

- 12.3.1 The CONTRACTOR shall give the Contracting Officer timely notice of readiness of the Work for all required inspections, tests or approvals.
- 12.3.2 If Regulatory Requirements require any Work (or part thereof) to specifically be inspected, tested or approved, the CONTRACTOR shall assume full responsibility therefor, pay all costs in connection therewith and furnish the Contracting Officer the required certificates of inspection, testing or approval. The CONTRACTOR shall also be responsible for and shall pay all costs in connection with any inspection or testing required in connection with CITY's acceptance of a Supplier of materials or equipment proposed to be incorporated in the Work, or of materials or equipment submitted for approval prior to the CONTRACTOR's purchase thereof for incorporation in the Work. The cost of all inspections, tests and approvals in addition to the above which are required by the Contract Documents shall be paid by the CONTRACTOR. The CITY may perform additional tests and inspections which it deems necessary to insure quality control. All such failed tests or inspections shall be at the CONTRACTOR's expense.
- 12.3.3 If any Work (including the work of others) that is to be inspected, tested or approved is covered without written concurrence of the Contracting Officer, it must, if requested by the Contracting Officer, be uncovered for observation. Such uncovering shall be at the CONTRACTOR's expense unless the CONTRACTOR has given the Contracting Officer timely notice of CONTRACTOR's intention to cover the same and the Contracting Officer has not acted with reasonable promptness in response to such notice.
- 12.3.4 Neither observations nor inspections, test or approvals by the CITY of others shall relieve the CONTRACTOR from the CONTRACTOR's obligations to perform the Work in accordance with the Contract Documents.

### 12.4 Uncovering Work:

- 12.4.1 If any Work is covered contrary to the written request of the Contracting Officer, it must, if requested by the Contracting Officer, be uncovered for the contracting Officer's observation and replaced at the CONTRACTOR's expense.
- 12.4.2 If the Contracting Officer considers it necessary or advisable that covered Work be observed, inspected or tested, the CONTRACTOR, at the Contracting Officer's request, shall uncover, expose or otherwise make available for observation, inspection or testing as the Contracting Officer may require, that portion of the Work in question, furnishing all necessary labor, material and equipment. If it is found that such Work is Defective, the CONTRACTOR shall bear all direct, indirect and consequential costs of such uncovering, exposure, observation, inspection and testing and of satisfactory reconstruction, (including but not limited to fees and charges of engineers, architects, attorneys and other professionals) and the CITY shall be entitled to an appropriate decrease in the Contract Price. If, however, such Work is not found to be Defective, the CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Time, or both, directly attributable to such uncovering, exposure, observation, inspection, testing and reconstruction.

### 12.5 CITY May Stop the Work:

If the Work is Defective, or the CONTRACTOR fails to supply suitable materials or equipment, or fails to furnish or perform the Work in such a way that the completed Work will conform to the Contract Documents, the Contracting Officer may order the CONTRACTOR to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of the Contracting Officer to stop the Work shall not give rise to any duty on the part of the Contracting Officer to exercise this right for the benefit of the CONTRACTOR.

### 12.6 Correction or Removal of Defective Work:

If required by the Contracting Officer, the CONTRACTOR shall promptly, as directed, either correct all Defective Work, whether or not fabricated, installed or completed, or, if the Work has been rejected by the Contracting Officer, remove it from the site and replace it with Work which conforms to the requirements of the Contract Documents. The

CONTRACTOR shall bear all direct, indirect and consequential costs of such correction or removal (including but not limited to fees and charges of engineers, architects, attorneys and other professionals) made necessary thereby.

#### 12.7 One Year Correction Period:

If within one year after the date of Final Completion or such longer period of time as may be prescribed by Regulatory Requirements or by the terms of any applicable special guarantee required by the Contract Documents or by any specific provision of the Contract Documents, any Work is found to be Defective, the CONTRACTOR shall promptly, without cost to the CITY and in accordance with the Contracting Officer's written instructions, either correct such Defective Work, or, if it has been rejected by the Contracting Officer, remove it from the site and replace it with conforming Work. If the CONTRACTOR does not promptly comply with the terms of such instructions, or in an emergency where delay would cause serious risk of loss or damage, the CITY may have the Defective Work corrected or the rejected Work removed and replaced, and all direct, indirect and consequential costs of such removal and replacement (including but not limited to fees and charges of engineers, architects, attorneys and other professionals) will be paid by the CONTRACTOR. In special circumstances where a particular item of equipment is placed in continuous service for the benefit of the CITY before Substantial Completion of all the Work, the correction period for the item may begin on an earlier date if so provided in the Specifications or by Change Order. Provisions of this paragraph are not intended to shorten the Statute of Limitations for bringing an action.

#### 12.8 Acceptance of Defective Work:

Instead of requiring correction or removal and replacement of Defective Work, the Contracting Officer may accept Defective Work, the CONTRACTOR shall bear all direct, indirect and consequential costs attributable to the Contracting Officer's evaluation of and determination to accept such Defective Work (costs to include but not be limited to fees and charges of engineers, architects, attorneys and other professionals). If any such acceptance occurs prior to final payment, a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and the CITY shall be entitled to an appropriate decrease in the Contract Price. If the CITY has already made final payment to the CONTRACTOR, an appropriate amount shall be paid by the CONTRACTOR or his Surety to the CITY.

#### 12.9 CITY May Correct Defective Work:

If the CONTRACTOR fails within a reasonable time after written notice from the Contracting Officer to proceed to correct Defective Work or to remove and replace rejected Work as required by the Contracting Officer in accordance with paragraph 12.6, or if the CONTRACTOR fails to perform the Work in accordance with the Contract Documents, or if the CONTRACTOR fails to comply with any other provision of the Contract Documents, the CITY may, after seven days' written notice to the CONTRACTOR, correct and remedy any such deficiency. In exercising the rights and remedies under this paragraph the CITY shall proceed expeditiously. To the extent necessary to complete corrective and remedial action, the Contracting Officer may exclude the CONTRACTOR from all or part of the site, take possession of all or part of the Work, and suspend the CONTRACTOR's services related thereto, take possession of the CONTRACTOR's tool, appliances, construction equipment and machinery at the site and incorporate in the Work all materials and equipment stored at the site or approved remote storage sites or for which the CITY has paid the CONTRACTOR but which are stored elsewhere, the CONTRACTOR shall allow the Contracting Officer and his authorized representatives such access to the site as may be necessary to enable the Contracting Officer to exercise the rights and remedies under this paragraph. All direct, indirect and consequential costs of the CITY or its agents in exercising such rights and remedies will be charged against the CONTRACTOR, and a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and the CITY shall be entitled to an appropriate decrease in the Contract Price. Such direct, indirect and consequential costs will include but not be limited to fees and charges of engineers, architects, attorneys and other professionals, all court and arbitration costs and all cost of repair and replacement of work of others destroyed or damaged by correction, removal or replacement of the CONTRACTOR's Defective Work. The CONTRACTOR shall not be allowed an extension of the Contract Time because of any delay in performance of the Work attributable to the exercise by the Contracting Officer of the CITY's rights and remedies hereunder.



## **ARTICLE 13 - PAYMENTS TO CONTRACTOR AND COMPLETION**

### 13.1 Schedule of Values:

The Schedule of Values established as provided in paragraph 6.6 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to the Contracting Officer. Progress payments on account of Unit Price Work will be based on the number of units completed.

### 13.2 Preliminary Payments:

Upon approval of the Schedule of Values the CONTRACTOR may be paid for direct costs substantiated by paid invoices and other prerequisite documents required by the General Requirements. Direct costs shall include the cost of Bonds, insurance, approved materials stored on the site or at approved remote storage sites, deposits required by a Supplier prior to fabricating materials, and other approved direct mobilization costs substantiated as indicated above. These payments shall be included as a part of the total Contract Price as stated in the Contract.

### 13.3 Application for Progress Payment:

The CONTRACTOR shall submit to the Contracting Officer for review an Application for Payment filled out and signed by the CONTRACTOR covering the Work completed as of the date of the Application for Payment and accompanied by such supporting documentation as is required by the Contract Documents. Progress payments will be made as the Work progresses on a monthly basis or twice a month when requested by the CONTRACTOR, but only when the approved invoice exceeds \$10,000.00.

### 13.4 Review of Applications for Progress Payments:

Contracting Officer will, either indicate in writing a recommendation of payment, or return the Application for Payment to the CONTRACTOR indicating in writing the Contracting Officer's reasons for refusing to recommend payment. If the latter case, the CONTRACTOR may make the necessary corrections and resubmit the Application for Payment.

### 13.5 Stored Materials and Equipment:

If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice or other documentation warranting that the CITY has received the materials and equipment free and clear of all charges, security interests and encumbrances and evidence that the materials and equipment are covered by appropriate property insurance and other arrangements to protect the CITY's interest therein, all of which will be satisfactory to the Contracting Officer. No payment will be made for perishable materials that could be rendered useless because of long storage periods. No progress payment will be made for living plant materials until planted. The payment may be reduced by an amount equal to transportation and handling cost if the materials are stored offsite, in a remote location, or will require special handling.

### 13.6 CONTRACTOR's Warranty of Title:

The CONTRACTOR warrants and guarantees that title to all Work, materials and equipment covered by any Application for Payment, whether incorporated in the Project or not, will pass to the CITY no later than the time of payment free and clear of any claims, liens, security interests and further obligations.

### 13.7 Withholding of Payments:

The CITY may withhold or refuse payment for any of the reasons listed below provided it gives written notice of its intent to withhold and of the basis for withholding:

13.7.1 The Work is Defective, or completed Work has been damaged requiring correction or replacement, or has been installed without approval of Shop Drawing, or by an unapproved Subcontractor.

13.7.2 The Contract Price has been reduced by Change Order.

- 13.7.3 The CITY has been required to correct Defective Work or complete Work in accordance with paragraph 12.9.
- 13.7.4 The CITY's actual knowledge of the occurrence of any of the events enumerated in paragraphs 14.2.1.a through 14.2.1.k inclusive.
- 13.7.5 Claims have been made against the CITY or against the funds held by the CITY on account of the CONTRACTOR's actions or inactions in performing this Contract, or there are other items entitling the CITY to a set off.
- 13.7.6 Subsequently discovered evidence or the results of subsequent inspections or test, nullify any previous payments for reasons stated in subparagraphs 13.7.1 through 13.7.5.
- 13.7.7 The CONTRACTOR has failed to fulfill or is in violation of any of his obligations under any provision of this Contract.

13.8 Retainage:

At any time the CITY finds that satisfactory progress is not being made it may in addition to the amounts withheld under 13.7 retain a maximum amount equal to 10% of the total amount earned on all subsequent progress payments. This retainage may be released at such time as the Contracting Officer finds that satisfactory progress is being made.

13.9 Request for Release of Funds:

If the CONTRACTOR believes the basis for withholding is invalid or no longer exists, immediate written notice of the facts and Contract provisions on which the CONTRACTOR relies, shall be given to the CITY, together with a request for release of funds and adequate documentary evidence proving that the problem has been cured. In the case of withholding which has occurred at the request of the Department of Labor and Workforce Development, the CONTRACTOR shall provide a letter from the Department of Labor stating that withholding is no longer requested. Following such a submittal by the CONTRACTOR, the CITY shall have a reasonable time to investigate and verify the facts and seek additional assurances before determining whether release of withheld payments is justified.

13.10 Substantial Completion:

When the CONTRACTOR considers the Work ready for its intended use the CONTRACTOR shall notify the Contracting Officer in writing that the Work or a designated portion thereof is substantially complete (except for items specifically listed by the CONTRACTOR as incomplete) and request that the CITY issue a certificate of Substantial Completion. Within a reasonable time thereafter, the Contracting Officer, the CONTRACTOR and appropriate Consultant(s) shall make an inspection of the Work to determine the status of completion. If the Contracting Officer does not consider the Work substantially complete, the Contracting Officer will notify the CONTRACTOR in writing giving the reasons therefor. If the Contracting Officer considers the Work substantially complete, the Contracting Officer will within fourteen days execute and deliver to the CONTRACTOR a certificate of Substantial Completion with tentative list of items to be completed or corrected. At the time of delivery of the certificate of Substantial Completion the Contracting Officer will deliver to the CONTRACTOR a written division of responsibilities pending Final Completion with respect to security, operation, safety, maintenance, heat, utilities, insurance and warranties which shall be consistent with the terms of the Contract Documents. The CITY shall be responsible for all CITY costs resulting from the initial inspection and the first re-inspection, the CONTRACTOR shall pay all costs incurred by the CITY resulting from re-inspections, thereafter.

13.11 Access Following Substantial Completion:

The CITY shall have the right to exclude the CONTRACTOR from the Work after the date of Substantial Completion, but the CITY shall allow CONTRACTOR reasonable access to complete or correct items on the tentative list.

13.12 Final Inspection:

Upon written notice from the CONTRACTOR that the entire Work or an agreed portion thereof is complete, the Contracting Officer will make a final inspection with the CONTRACTOR and appropriate Consultants and will notify the CONTRACTOR in writing of all particulars in which this inspection reveals that the Work is incomplete or Defective.

The CONTRACTOR shall immediately take such measures as are necessary to remedy such deficiencies. The CONTRACTOR shall pay for all costs incurred by the CITY resulting from re-inspections.

#### 13.13 Final Application for Payment:

After the CONTRACTOR has completed all such corrections to the satisfaction of the Contracting Officer and delivered all maintenance and operating instructions, schedules, guarantees, bonds, certificates of payment to all laborers, Subcontractors and Suppliers, certificates of inspection, marked-up record documents and other documents - all as required by the Contract Documents, and after the Contracting Officer has indicated that the Work is acceptable (subject to the provisions of paragraph 13.17), the CONTRACTOR may make application for final payment following the procedure for progress payments. The final Application for Payment shall be accompanied by all certificates, warranties, guaranties, releases, affidavits, and other documentation required by the Contract Documents.

#### 13.14 Final Payment and Final Completion:

13.14.1 If on the basis of the Contracting Officer's observation of the Work during construction and final inspection, and the Contracting Officer's review of the final Application for Payment and accompanying documentation - all as required by the Contract Documents, the Contracting Officer is satisfied that the Work has been completed and the CONTRACTOR's other obligations under the Contract Documents have been fulfilled, the CITY will process final Application for Payment. Otherwise, the Contracting Officer will return the Application for Payment to the CONTRACTOR, indicating in writing the reasons for refusing to process final payment, in which case the CONTRACTOR shall make the necessary corrections and resubmit the final Application for Payment.

13.14.2 If, through no fault of the CONTRACTOR, Final Completion of the Work is significantly delayed, the Contracting Officer shall, upon receipt of the CONTRACTOR's final Application for Payment, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance to be held by the CITY for Work not fully completed or corrected is less than the retainage provided for in paragraph 13.8, and if Bonds have been furnished as required in paragraph 5.1, the written consent of the Surety to the payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the CONTRACTOR to the CITY with the application for such payment. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of claims.

13.14.3 In addition to other requirements, final payment shall not be due until CITY's receipt of verification from the State of Alaska Department of Labor and Workforce Development ("the Department") that (i) Contractor has complied with AS 36.05.045(a) and (ii) the Department is not conducting an investigation and (iii) the Department has not issued a notice of violation of AS 36.05 to Contractor or to any subcontractor.

#### 13.15 Final Acceptance:

Following receipt of the CONTRACTOR's Release with no exceptions, and certification that laborers, Subcontractors and materialmen have been paid, certification of payment of payroll and sales taxes and revenue taxes, and final payment to the CONTRACTOR, the CITY will issue a letter of Final Acceptance, releasing the CONTRACTOR from further obligations under the Contract, except as provided in paragraph 13.16.

#### 13.16 CONTRACTOR's Continuing Obligation:

The CONTRACTOR's obligation to perform and complete the Work and pay all laborers, Subcontractors, and materialmen in accordance with the Contract Documents shall be absolute. Neither any progress or final payment by the CITY, nor the issuance of a certificate of Substantial Completion, nor any use or occupancy of the Work or any part thereof by the CITY, nor any act of acceptance by the CITY nor any failure to do so, nor any review and approval of a Shop Drawing or sample submission, nor any correction of Defective Work by the CITY will constitute an acceptance of Work not in accordance with the Contract Documents or a release of the CONTRACTOR's obligation to perform the Work in accordance with the Contract Documents.

13.17 Waiver of Claims by CONTRACTOR:

The making and acceptance of final payment will constitute a waiver of all claims by the CONTRACTOR against the CITY other than those previously made in writing and still unsettled.

13.18 No Waiver of Legal Rights:

The CITY shall not be precluded or be stopped by any payment, measurement, estimate, or certificate made either before or after the completion and acceptance of the Work and payment therefor, from showing the true amount and character of the Work performed and materials furnished by the CONTRACTOR, nor from showing that any payment, measurement, estimate or certificate is untrue or is incorrectly made, or that the Work or materials are Defective. The CITY shall not be precluded or stopped, notwithstanding any such measurement, estimate, or certificate and payment in accordance therewith, from recovering from the CONTRACTOR or his Sureties, or both, such damages as it may sustain by reason of his failure to comply with requirements of the Contract Documents. Neither the acceptance by the CITY, or any representative of the CITY, nor any payment for or acceptance of the whole or any part of the Work, nor any extension of the Contract Time, nor any possession taken by the CITY, shall operate as a waiver of any portion of the Contract, or of the power herein reserved, or of any right to damages. A waiver by the CITY of any breach of the Contract shall not be held to be a waiver of any other subsequent breach.

**ARTICLE 14 - SUSPENSION OF WORK, DEFAULT AND TERMINATION**

14.1 CITY May Suspend Work:

14.1.1 The CITY may, at any time suspend the Work or any portion thereof by notice in writing to the CONTRACTOR. If the Work is suspended without cause the CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Time, or both, directly attributable to any suspension if the CONTRACTOR makes an approved claim therefor as provided in Article 15. However, no adjustment shall be made under this clause for any suspension, delay, or interruption to the extent that suspension is due to the fault or negligence of the CONTRACTOR, or that suspension is necessary for Contract compliance, or that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or negligence of the CONTRACTOR.

14.1.2 In case of suspension of Work, the CONTRACTOR shall be responsible for preventing damage to or loss of any of the Work already performed and of all materials whether stored on or off the site or approved remote storage sites.

14.2 Default of Contract:

14.2.1 If the CONTRACTOR:

- a. Fails to begin the Work under the Contract within the time specified in the "Proposal", or
- b. Fails to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workmen or suitable materials or equipment or failure to adhere to the progress schedule established under paragraph 6.6 as revised from time to time), or
- c. Performs the Work unsuitably or neglects or refuses to remove materials or to correct Defective Work.
- d. Discontinues the prosecution of the Work, or
- e. Fails to resume Work which has been discontinued within a reasonable time after notice to do so, or
- f. Becomes insolvent or is declared bankrupt, or commits any act of bankruptcy or insolvency except as prohibited by 11 U.S.C. 363e, or
- g. Allows any final judgment to stand against him unsatisfied for period of 60 days, or
- h. Makes an assignment for the benefit of creditors without the consent of the Contracting Officer, or

- i. Disregards Regulatory Requirements, or
- j. Otherwise violates in any substantial way any provisions of the Contract Documents, or
- k. For any cause whatsoever, fails to carry on the Work in an acceptable manner, the Contracting Officer may give notice in writing to the CONTRACTOR and his Surety of such delay, neglect, or default.

If the CONTRACTOR or Surety, within the time specified in the above Notice of Default, shall not proceed in accordance therewith, then the CITY may, upon written notification from the Contracting Officer of the fact of such delay, neglect or default and the CONTRACTOR's failure to comply with such notice, have full power and authority without violating the Contract, to take the prosecution of the Work out of the hands of the CONTRACTOR. The CITY may terminate the services of the CONTRACTOR, exclude the CONTRACTOR from the site and take possession of the Work and of all the CONTRACTOR's tools, appliances, construction equipment and machinery at the site and use the same to the full extent they could be used by the CONTRACTOR (without liability to the CONTRACTOR for trespass or conversion), incorporate in the Work all materials and equipment stored at the site or for which the CITY has paid the CONTRACTOR but which are stored elsewhere, and finish the Work as the CITY may deem expedient. The CITY may enter into an agreement for the completion of said Contract according to the terms and provisions thereof, or use such other methods that in the opinion of the Contracting Officer are required for the completion of said Contract in an acceptable manner.

- 14.2.3 The Contracting Officer may, by written notice to the CONTRACTOR and his Surety or his representative, transfer the employment of the Work from the CONTRACTOR to the Surety, or if the CONTRACTOR abandons the Work undertaken under the Contract, the Contracting Officer may, at his option with written notice to the Surety and without any written notice to the CONTRACTOR, transfer the employment for said Work directly to the Surety. The Surety shall submit its plan for completion of the Work, including any contracts or agreements with third parties for such completion, to the CITY for approval prior to beginning completion of the Work. Approval of such contracts shall be in accordance with all applicable requirements and procedures for approval of subcontracts as stated in the Contract Documents.
- 14.2.4 Upon receipt of the notice terminating the services of the CONTRACTOR, the Surety shall enter upon the premises and take possession of all materials, tools, and appliances thereon for the purpose of completing the Work included under the Contract and employ by contract or otherwise any person or persons to finish the Work and provide the materials therefor, without termination of the continuing full force and effect of this Contract. In case of such transfer of employment to the Surety, the Surety shall be paid in its own name on estimates covering Work subsequently performed under the terms of the Contract and according to the terms thereof without any right of the CONTRACTOR to make any claim for the same or any part thereof.
- 14.2.5 If the Contract is terminated for default, the CONTRACTOR and the Surety shall be jointly and severally liable for damages for delay as provided by Article 11.8, and for the excess cost of completion, and all costs and expenses incurred by the CITY in completing the Work or arranging for completion of the Work, including but not limited to costs of assessing the Work to be done, costs associated with advertising, soliciting or negotiating for bids or proposals for completion, and other procurement costs. Following termination the CONTRACTOR shall not be entitled to receive any further balance of the amount to be paid under the contract until the work is fully finished and accepted, at which time if the unpaid balance exceeds the amount due the CITY and any amounts due to persons for whose benefit the CITY has withheld funds, such excess shall be paid by the CITY to the CONTRACTOR. If the damages, costs, and expenses due the CITY exceed the unpaid balance, the CONTRACTOR and his Surety shall pay the difference.
- 14.2.6 If, after notice of termination of the CONTRACTOR's right to proceed under the provisions of this clause, it is determined for any reason that the CONTRACTOR was not in default under the provisions of this clause, or that the delay was excusable under the provisions of this clause, or that termination was wrongful, the rights and obligations of the parties shall be determined in accordance with the clause providing for convenience termination.

### 14.3 Rights or Remedies:

Where the CONTRACTOR's services have been so terminated by the CITY, the termination will not affect any rights or remedies of the CITY against the CONTRACTOR then existing or which may thereafter accrue. Any retention or payment of moneys due the CONTRACTOR by the CITY will not release the CONTRACTOR from liability.

### 14.4 Convenience Termination:

14.4.1 The performance of the Work may be terminated by the CITY in accordance with this section in whole or in part, whenever, for any reason the Contracting Officer shall determine that such termination is in the best interest of the CITY. Any such termination shall be effected by delivery to the CONTRACTOR of a Notice of Termination, specifying termination is for the convenience of the CITY the extent to which performance of Work is terminated, and the date upon which such termination becomes effective. Immediately upon receipt of a Notice of Termination and except as otherwise directed by the Contracting Officer the CONTRACTOR shall:

- a. Stop Work on the date and to the extent specified in the Notice of Termination;
- b. Place no further orders or subcontracts for materials, services, or facilities except as may be necessary for completion of such portion of the Work as is not terminated;
- c. Terminate all orders and subcontracts to the extent that they relate to the performance of Work terminated by the Notice of Termination;
- d. With the written approval of the Contracting Officer, to the extent he may require, settle all outstanding liabilities and all claims arising out of such termination of orders and subcontracts, the cost of which would be reimbursable, in whole, or in part, in accordance with the provisions of the Contract;
- e. Submit to the Contracting Officer a list, certified as to quantity and quality, of any or all items of termination inventory exclusive of items the disposition of which had been directed or authorized by the Contracting Officer;
- f. Transfer to the Contracting Officer the completed or partially completed record drawings, Shop Drawings, information, and other property which, if the Contract had been completed, would be required to be furnished to the CITY;
- g. Take such action as may be necessary, or as the Contracting Officer may direct, for the protection and preservation of the property related to the Contract which is in the possession of the CONTRACTOR and in which the CITY has or may acquire any interest. The CONTRACTOR shall proceed immediately with the performance of the above obligations.

14.4.2 When the CITY orders termination of the Work effective on a certain date, all Work in place as of that date will be paid for in accordance with the Basis of Payment clause of the Contract. Materials required for completion and on hand but not incorporated in the Work will be paid for at cost plus 15% with materials becoming the property of the CITY - or the CONTRACTOR may retain title to the materials and be paid an agreed upon lump sum. Materials on order shall be canceled, and the CITY shall pay reasonable factory cancellation charges with the option of taking delivery of the materials in lieu of payment of cancellation charges. The CONTRACTOR shall be paid 10% of the cost, freight not included, of materials canceled, and direct expenses only for CONTRACTOR chartered freight transport which cannot be canceled without charges, to the extent that the CONTRACTOR can establish them. The extra costs due to cancellation of Bonds and insurance and that part of job start-up and phase-out costs not amortized by the amount of Work accomplished shall be paid by the CITY. Charges for loss of profit or consequential damages shall not be recoverable except as provided above.

14.4.3 The termination claim shall be submitted promptly, but in no event later than 90 days from the effective date of termination, unless one or more extensions in writing are granted by the Contracting Officer upon request of the CONTRACTOR made in writing within the 90-day period. Upon failure of the CONTRACTOR to submit his termination claim within the time allowed, the Contracting Officer may determine, on the basis of

information available to him, the amount, if any, due to the CONTRACTOR by reason of the termination and shall thereupon pay to the CONTRACTOR so determined.

14.4.4 The CONTRACTOR and the Contracting Officer may agree upon whole or any part of the amount or amounts to be paid to the CONTRACTOR by reason of the total or partial termination of the Work pursuant to this section. The Contract shall be amended accordingly, and the CONTRACTOR shall be paid the agreed amount. In the event of the failure of the CONTRACTOR and the Contracting Officer to agree in whole or in part, as provided heretofore, as to the amounts with respect to costs to be paid to the CONTRACTOR in connection with the termination of the Work the Contracting Officer shall determine, on the basis of information available to him, the amount, if any, due to the CONTRACTOR by reason of the termination and shall pay to the CONTRACTOR the amount determined as follows:

- a. All costs and expenses reimbursable in accordance with the Contract not previously paid to the CONTRACTOR for the performance of the Work prior to the effective date of the Notice of Termination;
- b. So far as not included under "a" above, the cost of settling and paying claims arising out of the termination of the Work under subcontracts or orders which are properly chargeable to the terminated portions of the Contract;
- c. The reasonable costs of settlement with respect to the terminated portion of the Contract heretofore, to the extent that these costs have not been covered under the payment provisions of the Contract.

14.4.5 The CONTRACTOR shall have the right of appeal under the CITY's claim procedures, as defined in Article 15, for any determination made by the Contracting Officer, except if the CONTRACTOR has failed to submit his claim within the time provided and has failed to request extension of such time, CONTRACTOR shall have no such right of appeal. In arriving at the amount due the CONTRACTOR under this section, there shall be deducted:

- a. All previous payments made to the CONTRACTOR for the performance of Work under the Contract prior to termination;
- b. Any claim which the CITY may have against the CONTRACTOR;
- c. The agreed price for, or the proceeds of sale of, any materials, supplies, or other things acquired by the CONTRACTOR or sold pursuant to the provisions of this section and not otherwise recovered by or credited to the CITY; and,
- d. All progress payments made to the CONTRACTOR under the provisions of this section.

14.4.6 Where the Work has been terminated by the CITY said termination shall not affect or terminate any of the rights of the CITY against the CONTRACTOR or his Surety then existing or which may thereafter accrue because of such default. Any retention or payment of monies by the CITY due to the CONTRACTOR under the terms of the Contract shall not release the CONTRACTOR or his Surety from liability. Unless otherwise provided for in the Contract Documents, or by applicable statute, the CONTRACTOR, from the effective date of termination and for a period of three years after final settlement under this Contract, shall preserve and make available to the CITY at all reasonable times at the office of the CONTRACTOR, all its books, records, documents, and other evidence bearing on the cost and expenses of the CONTRACTOR under his Contract and relating to the Work terminated hereunder.

## **ARTICLE 15 - CLAIMS AND DISPUTES**

### **15.1 Notification:**

In addition to the notice requirements set out elsewhere in this Contract, if the CONTRACTOR becomes aware of any act or occurrence which may form the basis of a claim by the CONTRACTOR for additional compensation or an extension of time for performance, or if any dispute arises regarding a question of fact or interpretation of the contract, the CONTRACTOR shall immediately inform the Project Manager. If the matter cannot be resolved by agreement within 7 days, the CONTRACTOR shall, within the next 14 days, submit an Intent to Claim in writing to the Project Manager. The

Claim, if not resolved, shall be presented to the Project Manager, in writing, within 60 days following receipt of the Intent to Claim. Receipt of the Claim will be acknowledged in writing by the Project Manager. The CONTRACTOR agrees that unless these written notices are provided, the CONTRACTOR will have no entitlement to additional time or compensation for such act, event or condition. The CONTRACTOR shall in any case continue diligent performance of the Contract.

#### 15.2 Presenting Claim:

The Claim shall specifically include the following:

- 15.2.1 The act, event or condition giving rise to the claim.
- 15.2.2 The Contract provisions which apply to the claim and under which relief is provided.
- 15.2.3 The item or items of Contract Work affected and how they are affected.
- 15.2.4 The specific relief requested, including contract time if applicable, and the basis upon which it was calculated.

#### 15.3 Claim Validity, Additional Information, and Project Manager's Actions:

The Claim, in order to be valid, must not only show that the CONTRACTOR suffered damages or delay but that those conditions were actually a result of the act, event or condition complained of and that the Contract provides entitlement to relief to the CONTRACTOR for such act, event, or condition. The Project Manager reserves the right to make written request to the CONTRACTOR at any time for additional information which the CONTRACTOR may possess relative to the Claim. The CONTRACTOR agrees to provide the Project Manager such additional information within 30 days of receipt of such a request. Failure to furnish such additional information may be regarded as a waiver of the Claim. The Claim, if not resolved by agreement within 60 days of its receipt, will automatically be forwarded to the Contracting Officer for formal written decision.

#### 15.4 Contracting Officer's Decision:

The CONTRACTOR will be furnished the Contracting Officer's Decision within the next 90 days, unless additional information is requested by the Contracting Officer. The Contracting Officer's Decision is final and conclusive unless fraudulent as to the Claim.

#### 15.5 Notice of Appeal:

Within 30 days of receipt of the Decision, the CONTRACTOR may deliver a Notice of Appeal to the City Manager of Unalaska, Alaska. The Notice of Appeal shall include specific exceptions to the Contracting Officer's Decision, including specific provisions of the contract, which the CONTRACTOR intends to rely upon in the appeal. General assertions that the Contracting Officer's decision is contrary to law or fact are not sufficient.

#### 15.6 City Manager's Decision:

The decision of the City Manager will be rendered within 120 days of Notice of Appeal. This decision constitutes the exhaustion of contractual and administrative remedies. The time limits given above may only be extended by mutual consent. The decision of the City Manager shall be final and conclusive unless the CONTRACTOR commences action through the court within 120 days from receipt thereof.



**Section 00800**  
**SUPPLEMENTARY CONDITIONS**

REFERENCE: 1. "GENERAL CONDITIONS OF THE CONTRACT", constitutes the General Conditions of this Contract and is further revised and supplemented by the provisions of these Supplementary Conditions to the Contract, hereinafter called the "Supplementary Conditions." The General Conditions and the Supplementary Conditions are applicable to all of the Work under this Contract and shall apply to the Contractor and all Subcontractors.

SUPPLEMENTS: 2. The following supplements modify, change, delete, or add to the General Conditions. Where any article of the General Conditions is modified or any paragraph deleted, subparagraph or clause thereof is modified, or deleted by these supplements, the unaltered provisions of such article, paragraph, subparagraph or clause shall remain in effect.

**SC-1 ARTICLE 1 - DEFINITIONS, Add the following:**

OWNER - The OWNER and CONTRACTING ENGINEER - The ENGINEER is further defined  
OFFICER are further defined as: as:

City of Unalaska  
Department of Public Works  
P.O. Box 610  
Unalaska, Alaska 99685-0610  
Tel. (907) 581-1260  
FAX (907) 581-2187  
Attn: Tom Cohenour, Director of Public Works  
Email: [tcohenour@ci.unalaska.ak.us](mailto:tcohenour@ci.unalaska.ak.us)

Rentricity, Inc.  
P.O. Box 1021  
New York, NY 10024  
Tel. 860-810-7455  
Attn: Al Spinell, COO  
Email: [als@rentricity.com](mailto:als@rentricity.com)

**SC-2 ARTICLE 3 – CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE**

3.2 Copies of Contract Documents:

Change the paragraph to read: “The CITY shall furnish the CONTRACTOR up to four copies of the Contract Documents. Additional copies will be furnished, upon request, at the cost of reproduction.”

**SC-3 ARTICLE 6 – CONTRACTOR’S RESPONSIBILITIES**

6.17 Safety and Protection; Add the following:

6.17.4 The Contractor shall do whatever work is necessary for overall project safety and be solely and completely responsible for conditions of the job site, including safety of all persons (including employees) and property during the Contract period. This requirement shall apply continuously and not limited to normal working hours.

Safety provisions shall conform to Federal and State Departments of Labor Occupational Safety and Health Act (OSHA), and other applicable federal, state, county, and local laws, ordinances, codes, requirements set forth herein, and regulations that may be specified in other parts of these Contract Documents. Where these are in conflict, the more stringent requirements shall apply. Contractor

shall become thoroughly familiar with governing safety provisions and shall comply with the obligations set forth therein.

The Contractor shall develop and maintain for the duration of the Contract, a safety program that will effectively incorporate and implement required safety provisions. Contractor shall appoint a qualified employee who is authorized to supervise and enforce compliance with the safety program.

The Engineer's duty to conduct construction review of the Contractor's performance does not include a review or approval of the adequacy of Contractor's safety supervisor, safety program, or safety measures taken in, on, or near the construction site.

As part of safety program, Contractor shall maintain at its office or other well-known location at the job site, safety equipment applicable to the Work as prescribed by governing safety authorities, and articles necessary for giving first aid to the injured. Establish procedures for the immediate removal to a hospital or a doctor's care of persons who may be injured on the job site.

Contractor shall do all work necessary to protect the general public from hazards, including but not limited to, surface irregularities, trenches, excavations, and blasting. Barricades, lanterns, and proper signs shall be furnished in sufficient amount to safeguard the public and the work. Construct and maintain satisfactory and substantial fencing, railings, barricades, or steel plates, as applicable, at all openings, obstructions, or other hazards. Such barricades shall have adequate warning lights as necessary or required for safety.

#### **SC-4 ARTICLE 5 – BONDS, INSURANCE, AND INDEMNIFICATION**

Item 5.4 *Insurance Requirements*, 5.4.3; Delete item 10 from the General Conditions. Builders Risk insurance is not required for this project.

#### **SC-5 ARTICLE 13 – PAYMENTS TO CONTRACTOR AND COMPLETION**

Item 13.14 Final Payment and Final Completion, add the following:

13.14.4 The Contractor shall furnish the attached forms fully executed prior to the City making final payment. These forms include the Affidavit of Release of Liens by the Contractor; Lien Release Form; and the Lien Release General to City. The forms will be made available to the contractor in electronic format upon request.

#### **SC-6 ARTICLE 13 – PAYMENTS TO CONTRACTOR AND COMPLETION**

Item 13.3 Application for Progress Payment, add the following:

Red-lined as-built/record drawings current at the time of the application shall accompany the pay application or be made available for review at the time of application. The as-builts shall accurately depict the installed work accomplished to-date and requested for payment. Payment will not be made for more than 75% of the requested amount for any installed work until as-built documents are made available and determined to be satisfactory and meet the requirements of the Contract Documents.

**AFFIDAVIT OF RELEASE OF LIENS BY THE CONTRACTOR**

TO ALL WHOM IT MAY CONCERN:

WHEREAS, the undersigned has been employed by City of Unalaska to furnish labor and materials under a contract dated \_\_\_\_\_ for the \_\_\_\_\_, in the Unalaska, Alaska of which the City of Unalaska is the Owner.

NOW, THEREFORE, this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, the undersigned, as the Contractor for the above-named Contract pursuant to the Conditions of the Contract, hereby certifies that to the best of his knowledge, information and behalf, except as listed below, the Releases or Waivers of Lien\* attached hereto include the Contractor, all subcontractors, all suppliers of materials and equipment, and all performers of work, labor or services, who have or may have liens against any property of the Owner and on the monies or other considerations due or to become due from the Owner arising in any manner out of the performance of the Contract referenced above.

EXCEPTIONS: (If none, write "None." If required by the Owner, the Contractor shall furnish bond satisfactory to the Owner for each Exception.) \_\_\_\_\_.

ATTACHMENTS:

1. Contractor's Release or Waiver of Liens, conditional upon receipt of final payment.
2. Separate Release or Waiver of Liens from Subcontractors and material and equipment suppliers.

\_\_\_\_\_  
Contractor (Name of sole ownership corporation or partnership)

\_\_\_\_\_  
(Signature of Authorized Representative)

\_\_\_\_\_  
Title

\* The word lien as used herein shall include Stop Orders, Stop Notices, or Freeze Orders on the monies other consideration of the Owner that are due or to become due on the Contract referenced above.

**[INSERT GENERAL CONTRACTOR NAME].**  
**(“Contractor”)**

*Unconditional Waiver and Release of  
Lien/Claim for Subcontractor Upon  
Final Payment*

Project Name and Location:

Company Name:

\_\_\_\_\_

[INSERT SUB NAME]

Project # \_\_\_\_\_

(“Payee”)

\_\_\_\_\_

Unalaska, Alaska

AMOUNT OF PAYMENT: \_\_\_\_\_

WHEREAS,

\_\_\_\_\_, being first duly sworn, states that he is  
[INSERT TITLE]\_\_\_ of Payee which has a contract with **Contractor** on the above-  
referenced project.

NOW, THEREFORE, in **FINAL PAYMENT**, the undersigned, for and in consideration of the amount of payment identified above received or to be received and other good and valuable consideration , the receipt of which is hereby acknowledged, does hereby waive and release any and all liens or right of liens or claims on the aforementioned property and all improvements thereon, and on monies or other consideration due or to become due on account of labor or services, materials, fixtures, or apparatus heretofore furnished, or which may be furnished at anytime hereafter, and do hereby further release and forever discharge the City of Unalaska and Contractor, and any payment and performance bond surety, of and from all manner of debts, claims, demands or other sums due or claimed to be due or owed on account of the above-referenced project and/or the above-referenced contract.

THIS WAIVER AND RELEASE IS UNCONDITIONAL, save and except only the receipt of payment and final bank clearance of said remittance in the above-stated amount.

THE UNDERSIGNED further warrant that; 1) no other sums are claimed, 2) that all laborers, subcontractors, and suppliers employed by Payee. have been paid all sums previously due, and will be paid all current sums due out of this payment, 3) that none of such laborers, subcontractors or suppliers is or will be entitled to claim or assert any claim against the above-described real estate or the improvements thereon for labor or materials furnished to or for the account of Contractor; 4) there are no federal, state or municipal taxes or other charges unpaid or delinquent.

EXCEPT as set forth below:

**EXCEPTIONS:**

Person	Amount
_____	_____
_____	_____
_____	_____

Upon request, Payee shall list the names of each of its subcontractors and suppliers, with contract and payment status, and furnish waivers from said parties.

THE PERSONS SIGNING below, by signing, do hereby certify that he/she is fully authorized and empowered to execute this instrument and to bind the Company hereto.

DATED: \_\_\_\_\_

\_\_\_\_\_

By:

\_\_\_\_\_  
\_\_\_\_\_

DATED: \_\_\_\_\_

\_\_\_\_\_

By:

\_\_\_\_\_

STATE OF ALASKA            )  
  ) ss.  
THIRD JUDICIAL DISTRICT    )

THIS IS TO CERTIFY that on this \_\_\_\_ day of \_\_\_\_\_, 201\_, before me appeared \_\_\_\_\_, who acknowledged being the \_\_\_\_\_, an Alaska corporation, and voluntarily signing and sealing the foregoing instrument on behalf of said Corporation, and being authorized so to do.

\_\_\_\_\_

Notary Public in and for Alaska  
My Commission Expires: \_\_\_\_\_

STATE OF ALASKA            )  
  ) ss.  
THIRD JUDICIAL DISTRICT    )

SUBSCRIBED AND SWORN to before me this \_\_ day of \_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
Notary Public For Alaska  
My Commission Expires:  
\_\_\_\_\_

**CITY OF UNALASKA**

*Receipt and Waiver and Release of  
Claim for Contractor Upon Final Payment*

Project Name and Location:

\_\_\_\_\_  
\_\_\_\_\_

Company Name:

**[INSERT CONTRACTOR  
NAME]  
"CONTRACTOR"**

Unalaska, Alaska

PAYMENT AMOUNT: \_\_\_\_\_

WHEREAS, \_\_\_\_\_, being first duly sworn, states that he is [INSERT TITLE] of CONTRACTOR, general contractor to the City of Unalaska for the above-referenced project.

NOW, THEREFORE, in **FINAL PAYMENT**, the undersigned, for and in consideration of the PAYMENT AMOUNT identified above and other good and valuable consideration paid or to be paid to CONTRACTOR, the receipt of which is hereby acknowledged, CONTRACTOR does hereby waive and release any and all liens or right of liens or claims on the aforementioned property and all improvements thereon, and on monies or other consideration due or to become due on account of labor or services, materials, fixtures, or apparatus heretofore furnished, or which may be furnished at any time hereafter, and does hereby further release and forever discharge the City of Unalaska of and from all manner of debts, claims, demands or other sums due or claimed to be due or owed on account of the above-referenced project and/or the above-referenced contract.

THIS WAIVER AND RELEASE IS UNCONDITIONAL, save and except only; 1) the receipt of payment and final bank clearance of said remittance in the above-stated amount; and 2) the Exceptions identified below or in the Contractor's affidavit attached hereto.

**EXCEPTIONS:**

Person	Amount
_____	_____
_____	_____

THE UNDERSIGNED further warrants that; 1) no other sums are claimed, 2) that all laborers, subcontractors, and suppliers employed by Contractor have been paid all sums

previously due, and will be paid all current sums due out of this payment, 3) that none of such laborers, subcontractors or suppliers is or will be entitled to claim or assert any claim against the above-described real estate or the improvements thereon or any surety bond related to the Project for labor or materials furnished to or for the account of Contractor; and 4) there are no federal, state or municipal taxes or other charges unpaid or delinquent.

THIS WAIVER AND RELEASE IS UNCONDITIONAL, save and except only the receipt of payment and final bank clearance of said remittance in the above-stated amount

THE PERSONS SIGNING below, by signing, do hereby certify that he/she is fully authorized and empowered to execute this instrument and to bind Contractor hereto.

CONTRACTOR.

DATED: \_\_\_\_\_ BY: \_\_\_\_\_  
\_\_\_\_\_, [insert title]

STATE OF ALASKA )

) ss.

THIRD JUDICIAL DISTRICT )

THIS IS TO CERTIFY that on this \_\_\_\_ day of \_\_\_\_\_, 201\_, before me appeared \_\_\_\_\_, who acknowledged to me that he was the \_\_\_\_\_ of \_\_\_\_\_, and he acknowledged to me that he had, in his official capacity aforesaid, executed the foregoing documents as the free act and deed of said Corporation, for the uses stated therein.

\_\_\_\_\_  
Notary Public in and for Alaska  
My Commission Expires: \_\_\_\_\_



#### **Part 4**

#### **MINIMUM RATES OF PAY**

Contractor shall comply with all State labor regulations, including State of Alaska Title 36, Public Contracts, otherwise known as the Little Davis-Bacon Act, and all labor regulations and minimum rates of pay contained therein.

#### **State Wage Rates**

State Wage Rates can be obtained at <http://labor.alaska.gov/lss/pamp600.htm>. Use the State wage rates that are in effect 10 days before Bid Opening.

**Part 5**

**TECHNICAL SPECIFICATIONS**

**General Requirements**

- 011000 – Project Summary
- 013000 – Administrative Requirements
- 014000 – Quality Requirements
- 014216 – Definitions
- 015000 – Temporary Facilities and Controls
- 016000 – Product Requirements
- 017000 – Execution Requirements
- 017800 – Closeout Submittals
- 019113 – General Commissioning Requirements

## SECTION 011000 – PROJECT SUMMARY

### PART 1 GENERAL

#### 1.1 PROJECT

- A. Project Name: City of Unalaska Pyramid WTP Microturbine Project.
- B. Owner's Name: City of Unalaska.
- C. Engineer's Name: Taku Engineering, LLC / Rentricity, Inc.
- D. The Project consists of installing, testing, and commissioning two water turbine generators and all ancillary equipment for a fully functional electric power generation system within the City of Unalaska's water treatment plant.

#### 1.2 CONTRACT DESCRIPTION

- A. Contract Type: A single prime contract based on a Stipulated Price.

#### 1.3 GENERAL INFORMATION

- A. All on-site construction storage will require coordination with the Owner and shall ensure emergency access to all parts of the building at any time.
- B. The Contractor's use of equipment owned by the City of Unalaska shall not be allowed for this project.

#### 1.4 DESCRIPTION OF ALTERATIONS WORK

- A. Scope of demolition and removal work is shown on drawings.
- B. Scope of alterations work is shown on drawings.

#### 1.5 OWNER OCCUPANCY

- A. Owner intends to continue to occupy necessary portions of the existing building during the entire construction period. Except for allowed shutdowns, this treatment facility will remain in operation during the entire construction period. City workers will occupy the building as necessary for continued operations and maintenance.
- B. Owner intends to continue to occupy the Project upon Substantial Completion.

- C. Cooperate with Owner to minimize conflict and to facilitate Owner's operations.
- D. Schedule the Work to accommodate Owner occupancy.
- E. Notification shall be provided to the Owner a minimum of 7 days in advance for any work located in the existing portion of the building that will conflict with the Owner's operations or for scheduled shutdowns.

#### 1.6 CONTRACTOR USE OF SITE AND PREMISES

- A. Construction Operations: Limited to areas noted on Drawings.
- B. Arrange use of site and premises to allow:
  - 1. Owner occupancy and use of emergency equipment.
- C. Provide access to and from site as required by law and by Owner:
  - 1. Emergency Building Exits During Construction: Keep all exits required by code open during construction period; provide temporary exit signs if exit routes are temporarily altered.
  - 2. Do not obstruct roadways or other public ways without permit.
- D. Existing building spaces may not be used for storage unless approved and designated by the Owner.
- E. Utility Outages and Shutdown:
  - 1. Do not disrupt or shut down utilities, including but not limited to fire alarm system, without 7 days' notice to Owner and authorities having jurisdiction.
  - 2. Prevent accidental disruption of utility services to other facilities.

PART 2 PRODUCTS – NOT USED

PART 3 EXECUTION – NOT USED

END OF SECTION 011000

SECTION 01 30 00 – ADMINISTRATIVE REQUIREMENTS

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Electronic document submittal service.
- B. Preconstruction meeting.
- C. Progress meetings.
- D. Construction progress schedule.
- E. Progress photographs.
- F. Submittals for review, information, and project closeout.
- G. Number of copies of submittals.
- H. Submittal procedures.

1.2 RELATED REQUIREMENTS

- A. General Conditions
- B. Section 01 10 00 - Summary.
- C. Section 01 70 00 - Execution Requirements: Additional coordination requirements.
- D. Section 01 78 00 - Closeout Submittals: Project record documents.

PART 2 PRODUCTS - NOT USED

PART 3 EXECUTION

3.1 PRECONSTRUCTION MEETING

- A. Owner will schedule a meeting after Notice to Proceed.
- B. Attendance Required:
  - 1. Owner.

2. Engineer.
3. Contractor.
4. Subcontractors as required.

C. Agenda:

1. Execution of Notice to Proceed.
2. Execution of Owner-Contractor Agreement.
3. Submission of executed bonds and insurance certificates.
4. Submission of list of Subcontractors, schedule of values, and progress schedule.
5. Designation of personnel representing the parties to Contract, Owner and Engineer.
6. Procedures and processing of field decisions, submittals, substitutions, applications for payments, proposal request, Change Orders, and Contract closeout procedures.
7. Scheduling.

- D. Record minutes and distribute copies within three days after meeting to participants, with two copies to Engineer, Owner, participants, and those affected by decisions made.

3.2 PROGRESS MEETINGS

- A. Schedule and administer meetings throughout progress of the Work at weekly intervals.
- B. Make arrangements for meetings, prepare agenda with copies for participants, preside at meetings.
- C. Attendance Required: Job superintendent, major Subcontractors and suppliers, Owner, Engineer, as appropriate to agenda topics for each meeting.

D. Agenda:

1. Review minutes of previous meetings.
2. Review of Work progress, including photographs of the site and construction progress.
3. Field observations, problems, and decisions.
4. Identification of problems that impede, or will impede, planned progress.
5. Review of submittals schedule and status of submittals.
6. Review of off-site fabrication and delivery schedules.
7. Maintenance of progress schedule.
8. Corrective measures to regain projected schedules.
9. Planned progress during succeeding work period.
10. Coordination of projected progress.
11. Maintenance of quality and work standards.
12. Effect of proposed changes on progress schedule and coordination.
13. Other business relating to Work.

- E. Record minutes and distribute copies within three days after meeting to participants, with copies to Engineer, Owner, participants, and those affected by decisions made.

### 3.3 CONSTRUCTION PROGRESS SCHEDULE

- A. Within 7 days after date established in Notice to Proceed, submit preliminary schedule.
  - 1. C.P.M., P.E.R.T., Gantt Chart, or Equivalent Format; condensed to a minimum number of pages possible and still able to clearly convey the schedule information.
- B. If preliminary schedule requires revision after review, submit revised schedule within 7 days of reviewed date.
- C. Within 14 days after review of preliminary schedule, submit draft of proposed complete schedule for review.
- D. Submit updated schedule with each Application for Payment.

### 3.4 PROGRESS PHOTOGRAPHS

- A. Photography Type: Digital; electronic files.
  - 1. Include Date/Time Stamp.
- B. Provide photographs of site and construction throughout progress of Work.
- C. Views:
  - 1. Consult with Engineer for instructions on views required.
  - 2. Provide factual presentation.
  - 3. Provide correct exposure and focus, high resolution and sharpness, maximum depth of field, and minimum distortion.
- D. Digital Photographs: 24 bit color, minimum resolution of 1024 by 768, in JPG format; provide files unaltered by photo editing software.
  - 1. Delivery Medium: Electronic Document Submittal Service.
  - 2. File Naming: Include project identification, date and time of view, and view identification.
  - 3. Provide a minimum of 6 photographs per day for the duration of time actual construction is ongoing.

### 3.5 SUBMITTALS FOR REVIEW

- A. When the following are specified in individual sections, submit them for review:
  - 1. Product data.
  - 2. Shop drawings.
  - 3. Samples for selection.



4. Samples for verification.
- B. Submit to Engineer for review and approval a schedule of submittals based on the contract documents after Notice to Proceed.
- C. Submit individual submittals to Engineer for review for the limited purpose of checking for conformance with information given and the design concept expressed in the contract documents.
- D. Samples will be reviewed only for aesthetic, color, or finish selection.

### 3.6 SUBMITTALS FOR INFORMATION

- A. When the following are specified in individual sections, submit them for information:
  1. Design data.
  2. Certificates.
  3. Test reports.
  4. Inspection reports.
  5. Manufacturer's instructions.
  6. Manufacturer's field reports.
  7. Other types indicated.
- B. Submit for Engineer's and Owner information. No action will be taken.

### 3.7 SUBMITTALS FOR PROJECT CLOSEOUT

- A. Submit Correction Punch List with request for Substantial Completion inspection. See General Conditions section 13.10.
- B. When the following are specified in individual sections, submit them at project closeout:
  1. Project record documents.
  2. Operation and maintenance data.
    - a. Submit Operation and Maintenance manuals in a manner to allow for review, corrections, and approval. The approved Operation and Maintenance manuals shall be delivered to the project site no less than seven (7) working days prior to Substantial Completion.
  3. Warranties.
  4. Completed Contractor's Release.
  5. Other types as indicated or as may be required in accordance with the General Conditions.
- C. Submit to Engineer for Owner's benefit during and after project completion.

### 3.8 NUMBER OF COPIES OF SUBMITTALS

- A. Documents for Project Closeout: Make one reproduction of submittal originally reviewed. Submit one extra of submittals for information.
- B. Samples: Submit the number specified in individual specification sections; one of which will be retained by Engineer.
  - 1. After review, produce duplicates.
  - 2. Retained samples will not be returned to Contractor unless specifically so stated.

### 3.9 SUBMITTAL PROCEDURES

- A. Transmit each submittal with approved form.
- B. Sequentially number the transmittal form. Revise submittals with original number and a sequential alphabetic suffix.
- C. Identify Project, Contractor, Subcontractor or supplier; pertinent drawing and detail number, and specification section number, as appropriate on each copy.
- D. Apply Contractor's stamp, signed or initialed certifying that review, approval, verification of Products required, field dimensions, adjacent construction Work, and coordination of information is in accordance with the requirements of the Work and Contract Documents.
- E. Schedule submittals to expedite the Project, and coordinate submission of related items.
- F. For each submittal for review, allow 15 days excluding delivery time to and from the Contractor.
- G. Identify variations from Contract Documents and Product or system limitations that may be detrimental to successful performance of the completed Work.
- H. Provide space for Contractor and Engineer review stamps.
- I. When revised for resubmission, identify all changes made since previous submission.
- J. Distribute reviewed submittals as appropriate. Instruct parties to promptly report any inability to comply with requirements.
- K. Submittals not requested will not be recognized or processed.

### 3.10 SHOP DRAWINGS

- A. General:
  - 1. Shop drawings, as defined herein, consist of all drawings, diagrams, illustrations,

schedules, and other data which are specifically prepared by or for Contractor to illustrate some portion of the work; and all illustrations, brochures, standard schedules, performance charts, instructions, diagrams, and other information prepared by a manufacturer and submitted by the Contractor to illustrate material or equipment for distinct portions of the work.

2. Submittal of incomplete or unchecked shop drawings will not be acceptable. Shop drawing submittals which do not clearly show Contractor's review stamp or specific written indication of Contractor review will be returned to the Contractor for resubmission.
3. Submittal of shop drawings not required under these Contract Documents and not shown on the schedule of submittals will be returned to Contractor unreviewed and unstamped by the Engineer.
4. Shop drawings taken from reproduced Construction Documents are not acceptable and will be returned to the Contractor.

END OF SECTION 013000

SECTION 01 40 00 – QUALITY REQUIREMENTS

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. References and standards.
- B. Quality assurance submittals.
- C. Control of installation.
- D. Tolerances.
- E. Manufacturers' field services.

1.2 REFERENCE STANDARDS

- A. ASTM E329 - Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection.
- B. ASTM E543 - Standard Specification for Agencies Performing Nondestructive Testing.

1.3 SUBMITTALS

- A. Testing Agency Qualifications:
  - 1. Prior to start of Work, submit agency name, address, and telephone number, and names of full time specialist and responsible officer if applicable.
- B. Test Reports: After each test/inspection, promptly submit two copies of report to Engineer and to Contractor.
  - 1. Include:
    - a. Date issued.
    - b. Project title and number.
    - c. Name of inspector.
    - d. Date and time of sampling or inspection.
    - e. Identification of product and specifications section.
    - f. Location in the Project.
    - g. Type of test/inspection.
    - h. Date of test/inspection.
    - i. Results of test/inspection.
    - j. Conformance with Contract Documents.

2. Test report submittals are for Engineer's knowledge as contract administrator for the limited purpose of assessing conformance with information given and the design concept expressed in the contract documents, or for Owner's information.
- C. Certificates: When specified in individual specification sections, submit certification by the manufacturer and Contractor or installation/application subcontractor to Engineer, in quantities specified for Product Data.
1. Indicate material or product conforms to or exceeds specified requirements. Submit supporting reference data, affidavits, and certifications as appropriate.
- D. Manufacturer's Instructions: When specified in individual specification sections, submit printed instructions for delivery, storage, assembly, installation, start-up, adjusting, and finishing, for the Owner's information. Indicate special procedures, perimeter conditions requiring special attention, and special environmental criteria required for application or installation.
- E. Manufacturer's Field Reports: Submit reports for Engineer's benefit as contract administrator or for Owner.
1. Submit report in duplicate within 30 days of observation to Engineer for information.
  2. Submit for information for the limited purpose of assessing conformance with information given and the design concept expressed in the contract documents.

#### 1.4 REFERENCES AND STANDARDS

- A. For products and workmanship specified by reference to a document or documents not included in the Project Manual, also referred to as reference standards, comply with requirements of the standard, except when more rigid requirements are specified or are required by applicable codes.
- B. Conform to reference standard of date of issue current on date of Contract Documents, except where a specific date is established by applicable code.
- C. Obtain copies of standards where required by product specification sections.
- D. Maintain copy at project site during submittals, planning, and progress of the specific work, until Substantial Completion.
- E. Should specified reference standards conflict with Contract Documents, request clarification from Engineer before proceeding.
- F. Neither the contractual relationships, duties, or responsibilities of the parties in Contract nor those of Engineer shall be altered from the Contract Documents by mention or inference otherwise in any reference document.

#### PART 2 PRODUCTS - NOT USED

## PART 3 EXECUTION

### 3.1 CONTROL OF INSTALLATION

- A. Monitor quality control over suppliers, manufacturers, products, services, site conditions, and workmanship, to produce Work of specified quality.
- B. Comply with manufacturers' instructions, including each step in sequence.
- C. Should manufacturers' instructions conflict with Contract Documents, request clarification from Engineer before proceeding.
- D. Comply with specified standards as minimum quality for the Work except where more stringent tolerances, codes, or specified requirements indicate higher standards or more precise workmanship.
- E. Have Work performed by persons qualified to produce required and specified quality.
- F. Verify that field measurements are as indicated on shop drawings or as instructed by the manufacturer.
- G. Secure products in place with positive anchorage devices designed and sized to withstand stresses, vibration, physical distortion, and disfigurement.

### 3.2 TOLERANCES

- A. Monitor fabrication and installation tolerance control of products to produce acceptable Work. Do not permit tolerances to accumulate.
- B. Comply with manufacturers' tolerances. Should manufacturers' tolerances conflict with Contract Documents, request clarification from Engineer before proceeding.
- C. Adjust products to appropriate dimensions; position before securing products in place.

### 3.3 MANUFACTURERS' FIELD SERVICES

- A. When specified in individual specification sections, require material or product suppliers or manufacturers to provide qualified staff personnel to observe site conditions, conditions of surfaces and installation, quality of workmanship, start-up of equipment, as applicable, and to initiate instructions when necessary.
- B. Report observations and site decisions or instructions given to applicators or installers that are supplemental or contrary to manufacturers' written instructions.

### 3.4 DEFECT ASSESSMENT

- A. Replace Work or portions of the Work not conforming to specified requirements.
- B. If, in the opinion of Engineer, it is not practical to remove and replace the Work, Engineer will direct an appropriate remedy or adjust payment.

END OF DOCUMENT 014000

SECTION 01 42 16 – DEFINITIONS

PART 1 GENERAL

1.1 SUMMARY

- A. This section supplements the definitions contained in the General Conditions.
- B. Other definitions are included in individual specification sections.

1.2 DEFINITIONS

- A. Furnish: To supply, deliver, unload, and inspect for damage.
- B. Product: Material, machinery, components, equipment, fixtures, and systems forming the work result. Not materials or equipment used for preparation, fabrication, conveying, or erection and not incorporated into the work result. Products may be new, never before used, or re-used materials or equipment.
- C. Project Manual: The book-sized volume that includes the procurement requirements (if any), the contracting requirements, and the specifications.
- D. Provide: To furnish and install.
- E. Supply: Same as Furnish.

PART 2 PRODUCTS - NOT USED

PART 3 EXECUTION - NOT USED

END OF SECTION 014216



SECTION 01 50 00 – TEMPORARY FACILITIES AND CONTROLS

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Temporary utilities.
- B. Temporary sanitary facilities.
- C. Vehicular access and parking.
- D. Waste removal facilities and services.

1.2 RELATED REQUIREMENTS

- A. General Conditions
- B. Section 01 10 00 – Project Summary: General Information.

1.3 TEMPORARY UTILITIES

- A. Existing facilities may be used.

1.4 TEMPORARY SANITARY FACILITIES

- A. Use of existing facility's toilets is permitted.
- B. Maintain daily in clean and sanitary condition.
- C. At end of construction, return facilities to same or better condition as originally found.

1.5 VEHICULAR ACCESS AND PARKING

- A. Comply with regulations relating to use of streets and sidewalks, access to emergency facilities, and access for emergency vehicles.
- B. Coordinate access with Owner.
- C. Provide and maintain access to fire hydrants, free of obstructions.
- D. Existing parking areas may be used for construction parking. Parking and storage of materials locations shall be designated by the Owner.

1.6 WASTE REMOVAL

- A. Provide waste removal facilities and services as required to maintain the site in clean and orderly condition. A location for a construction dumpster will be designated by the Owner.
- B. Properly dispose of waste at the local landfill. Contractor to pay all disposal fees.
- C. Provide containers with lids. Remove trash from site periodically.

1.7 REMOVAL OF UTILITIES, FACILITIES, AND CONTROLS

- A. Remove temporary utilities, equipment, facilities, materials, prior to Substantial Completion inspection.
- B. Remove underground installations to a minimum depth of 2 feet. Grade site as indicated.
- C. Clean and repair damage caused by installation or use of temporary work.
- D. Restore existing facilities used during construction to original condition.
- E. Restore new permanent facilities used during construction to specified condition.

PART 2 PRODUCTS - NOT USED

PART 3 EXECUTION - NOT USED

END OF SECTION 015000

## SECTION 01 60 00 – PRODUCT REQUIREMENTS

### PART 1 GENERAL

#### 1.1 SECTION INCLUDES

- A. General product requirements.
- B. Re-use of existing products.
- C. Transportation, handling, storage and protection.
- D. Product option requirements.
- E. Substitution limitations and procedures.
- F. Maintenance materials, including extra materials, spare parts, tools, and software.

#### 1.2 SUBMITTALS

- A. Product Data Submittals: Submit manufacturer's standard published data. Mark each copy to identify applicable products, models, options, and other data. Supplement manufacturers' standard data to provide information specific to this Project.
- B. Shop Drawing Submittals: Prepared specifically for this Project, as called out in the Project specifications.

### PART 2 PRODUCTS

#### 2.1 EXISTING PRODUCTS

- A. Do not use materials and equipment removed from existing premises unless specifically required or permitted by the Contract Documents.
- B. Existing materials and equipment indicated to be removed, but not to be re-used, relocated, reinstalled, delivered to the Owner, or otherwise indicated as to remain the property of the Owner, become the property of the Contractor; remove from site.
- C. Specific Products to be Reused: The reuse of certain materials and equipment already existing on the project site is required.
  - 1. See drawings for list of items required to be salvaged for reuse and relocation.

## 2.2 NEW PRODUCTS

- A. Provide new products unless specifically required or permitted by the Contract Documents.
- B. DO NOT USE products having any of the following characteristics:
  - 1. Made using or containing CFC's or HCFC's.

## 2.3 PRODUCT OPTIONS

- A. Products Specified by Reference Standards or by Description Only: Use any product meeting those standards or description.
- B. Products Specified by Naming One or More Manufacturers: Use a product of one of the manufacturers named and meeting specifications, no options or substitutions allowed.
- C. Products Specified by Naming One or More Manufacturers with a Provision for Substitutions: Submit a request for substitution for any manufacturer not named.

## 2.4 MAINTENANCE MATERIALS

- A. Furnish extra materials, spare parts, tools, and software of types and in quantities specified in individual specification sections.
- B. Deliver and place in location as directed; obtain receipt prior to final payment.

## PART 3 EXECUTION

### 3.1 SUBSTITUTION PROCEDURES – General Conditions Section 6.9

- A. Engineer will consider requests for substitutions only within 15 days after date of Agreement.
- B. Document each request with complete data substantiating compliance of proposed substitution with Contract Documents.
- C. A request for substitution constitutes a representation that the submitter:
  - 1. Has investigated proposed product and determined that it meets or exceeds the quality level of the specified product.
  - 2. Will provide the same warranty for the substitution as for the specified product.
  - 3. Will coordinate installation and make changes to other Work that may be required for the Work to be complete with no additional cost to Owner.

4. Waives claims for additional costs or time extension that may subsequently become apparent.
5. Will reimburse Owner and Engineer for review or redesign services associated with re-approval by authorities.

D. Substitution Submittal Procedure:

1. Submit one copy of request for substitution for consideration. Limit each request to one proposed substitution.
2. Submit shop drawings, product data, and certified test results attesting to the proposed product equivalence. Burden of proof is on proposer.
3. The Engineer will notify Contractor in writing of decision to accept or reject request.

### 3.2 TRANSPORTATION AND HANDLING

- A. Package products for shipment in manner to prevent damage; for equipment, package to avoid loss of factory calibration.
- B. If special precautions are required, attach instructions prominently and legibly on outside of packaging.
- C. Coordinate schedule of product delivery to designated prepared areas in order to minimize site storage time and potential damage to stored materials.
- D. Transport and handle products in accordance with manufacturer's instructions.
- E. Transport materials in covered trucks to prevent contamination of product and littering of surrounding areas.
- F. Promptly inspect shipments to ensure that products comply with requirements, quantities are correct, and products are undamaged.
- G. Provide equipment and personnel to handle products by methods to prevent soiling, disfigurement, or damage, and to minimize handling.
- H. Arrange for the return of packing materials, such as wood pallets, where economically feasible.

### 3.3 STORAGE AND PROTECTION

- A. Designate receiving/storage areas for incoming products so that they are delivered according to installation schedule and placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication.
- B. Store and protect products in accordance with manufacturers' instructions.

- C. Store with seals and labels intact and legible.
- D. Store sensitive products in weather tight, climate controlled, enclosures in an environment favorable to product.
- E. For exterior storage of fabricated products, place on sloped supports above ground.
- F. Protect products from damage or deterioration due to construction operations, weather, precipitation, humidity, temperature, sunlight and ultraviolet light, dirt, dust, and other contaminants.
- G. Comply with manufacturer's warranty conditions, if any.
- H. Cover products subject to deterioration with impervious sheet covering. Provide ventilation to prevent condensation and degradation of products.
- I. Store loose granular materials on solid flat surfaces in a well-drained area. Prevent mixing with foreign matter.
- J. Prevent contact with material that may cause corrosion, discoloration, or staining.
- K. Provide equipment and personnel to store products by methods to prevent soiling, disfigurement, or damage.
- L. Arrange storage of products to permit access for inspection. Periodically inspect to verify products are undamaged and are maintained in acceptable condition.

END OF SECTION 016000

## SECTION 01 70 00 – EXECUTION REQUIREMENTS

### PART 1 GENERAL

#### 1.1 SECTION INCLUDES

- A. Examination, preparation, and general installation procedures.
- B. Pre-installation meetings.
- C. Cutting and patching.
- D. Cleaning and protection.
- E. Starting of systems and equipment.
- F. Demonstration and instruction of Owner personnel.
- G. Closeout procedures, including Contractor's Correction Punch List, except payment procedures.

#### 1.2 RELATED REQUIREMENTS

- A. General Conditions
- B. Section 01 10 00 – Project Summary: Limitations on working in existing building; continued occupancy; work sequence; identification of salvaged and relocated materials.
- C. Section 01 30 00 - Administrative Requirements: Submittals procedures, Electronic document submittal service.
- D. Section 01 40 00 - Quality Requirements: Testing and inspection procedures.
- E. Section 01 50 00 - Temporary Facilities and Controls: Temporary exterior enclosures.
- F. Section 01 74 19 - Construction Waste Management and Disposal: Disposing of hazardous, nonhazardous, and construction waste.
- G. Section 01 78 00 - Closeout Submittals: Project record documents, operation and maintenance data, warranties and bonds.

#### 1.3 REFERENCE STANDARDS

- A. NFPA 241 - Standard for Safeguarding Construction, Alteration, and Demolition Operations.

#### 1.4 SUBMITTALS

- A. See Section 01 30 00 - Administrative Requirements, for submittal procedures.
- B. Cutting and Patching: Unless detailed in the design drawings, submit a written request in advance of cutting or alteration that affects:
  - 1. Structural integrity of any element of Project.
  - 2. Integrity of weather exposed or moisture resistant element.
  - 3. Efficiency, maintenance, or safety of any operational element.
  - 4. Visual qualities of sight exposed elements.
  - 5. Work of Owner or separate Contractor.
  - 6. Include in request:
    - a. Identification of Project.
    - b. Location and description of affected work.
    - c. Necessity for cutting or alteration.
    - d. Description of proposed work and products to be used.
    - e. Alternatives to cutting and patching.
    - f. Effect on work of Owner or separate Contractor.
    - g. Written permission of affected separate Contractor.
    - h. Date and time work will be executed.
- C. Project Record Documents: Accurately record actual locations of capped and active utilities.

#### 1.5 PROJECT CONDITIONS

- A. Use of explosives is not permitted.
- B. Ventilate enclosed areas to assist cure of materials, to dissipate humidity, and to prevent accumulation of dust, fumes, vapors, or gases.

#### 1.6 COORDINATION

- A. See Section 01 10 00 – Project Summary for occupancy-related requirements.
- B. Coordinate scheduling, submittals, and work of the various sections of the Project Manual to ensure efficient and orderly sequence of installation of interdependent construction elements, with provisions for accommodating items installed later.
- C. Coordinate space requirements, supports, and installation of mechanical and electrical work that are indicated diagrammatically on Drawings. Follow routing shown for pipes, ducts, and conduit, as closely as practicable; place runs parallel with lines of building. Utilize spaces efficiently to maximize accessibility for other installations, for maintenance, and for repairs.
- D. Coordinate completion and clean-up of work of separate sections.



- E. After Owner occupancy of premises, coordinate access to site for correction of defective work and work not in accordance with Contract Documents, to minimize disruption of Owner's activities.

## PART 2 PRODUCTS

### 2.1 PATCHING MATERIALS

- A. New Materials: As specified in product sections; match existing products and work for patching and extending work.
- B. Type and Quality of Existing Products: Determine by inspecting and testing products where necessary, referring to existing work as a standard.
- C. Product Substitution: For any proposed change in materials, submit request for substitution described in Section 01 60 00 - Product Requirements.

## PART 3 EXECUTION

### 3.1 EXAMINATION

- A. Verify that existing site conditions and substrate surfaces are acceptable for subsequent work. Start of work means acceptance of existing conditions.
- B. Verify that existing substrate is capable of structural support or attachment of new work being applied or attached.
- C. Examine and verify specific conditions described in individual specification sections.
- D. Take field measurements before confirming product orders or beginning fabrication, to minimize waste due to over-ordering or misfabrication.
- E. Verify that utility services are available, of the correct characteristics, and in the correct locations.
- F. Prior to Cutting: Examine existing conditions prior to commencing work, including elements subject to damage or movement during cutting and patching. After uncovering existing work, assess conditions affecting performance of work. Beginning of cutting or patching means acceptance of existing conditions.

### 3.2 PREPARATION

- A. Clean substrate surfaces prior to applying next material or substance.
- B. Seal cracks or openings of substrate prior to applying next material or substance.
- C. Apply manufacturer required or recommended substrate primer, sealer, or conditioner

prior to applying any new material or substance in contact or bond.

### 3.3 PREINSTALLATION MEETINGS

- A. When required in individual specification sections, convene a preinstallation meeting at the site prior to commencing work of the section.
- B. Require attendance of parties directly affecting, or affected by, work of the specific section.
- C. Notify Engineer 14 days in advance of meeting date.
- D. Prepare agenda and preside at meeting:
  - 1. Review conditions of examination, preparation and installation procedures.
  - 2. Review coordination with related work.
- E. Record minutes and distribute copies within two days after meeting to participants, with two copies to Engineer, Owner, participants, and those affected by decisions made.

### 3.4 GENERAL INSTALLATION REQUIREMENTS

- A. Install products as specified in individual sections, in accordance with manufacturer's instructions and recommendations, and so as to avoid waste due to necessity for replacement.
- B. Make vertical elements plumb and horizontal elements level, unless otherwise indicated.
- C. Install equipment and fittings plumb and level, neatly aligned with adjacent vertical and horizontal lines, unless otherwise indicated.
- D. Make consistent texture on surfaces, with seamless transitions, unless otherwise indicated.
- E. Make neat transitions between different surfaces, maintaining texture and appearance.

### 3.5 CUTTING AND PATCHING

- A. Whenever possible, execute the work by methods that avoid cutting or patching.
- B. Perform whatever cutting and patching is necessary to:
  - 1. Complete the work.
  - 2. Fit products together to integrate with other work.
  - 3. Provide openings for penetration of mechanical, electrical, and other services.
  - 4. Match work that has been cut to adjacent work.
  - 5. Repair areas adjacent to cuts to required condition.
  - 6. Repair new work damaged by subsequent work.

7. Remove samples of installed work for testing when requested.
  8. Remove and replace defective and non-conforming work.
- C. Execute work by methods that avoid damage to other work and that will provide appropriate surfaces to receive patching and finishing. In existing work, minimize damage and restore to original condition.
- D. Cut rigid materials using masonry saw or core drill. Pneumatic tools not allowed without prior approval.
- E. Restore work with new products in accordance with requirements of Contract Documents.
- F. Fit work air tight to pipes, sleeves, ducts, conduit, and other penetrations through surfaces.
- G. At penetrations of fire rated walls, partitions, ceiling, or floor construction, completely seal voids with fire rated material, to full thickness of the penetrated element.
- H. Patching:
1. Finish patched surfaces to match finish that existed prior to patching. On continuous surfaces, refinish to nearest intersection or natural break. For an assembly, refinish entire unit.
  2. Match color, texture, and appearance.
  3. Repair patched surfaces that are damaged, lifted, discolored, or showing other imperfections due to patching work. If defects are due to condition of substrate, repair substrate prior to repairing finish.

### 3.6 PROGRESS CLEANING

- A. Maintain areas free of waste materials, debris, and rubbish. Maintain site in a clean and orderly condition.
- B. Remove debris and rubbish from pipe chases, plenums, attics, crawl spaces, and other closed or remote spaces, prior to enclosing the space.
- C. Broom and vacuum clean interior areas prior to start of surface finishing and continue cleaning to eliminate dust.
- D. Collect and remove waste materials, debris, and trash/rubbish from site periodically and dispose off-site; do not burn or bury.

### 3.7 PROTECTION OF INSTALLED WORK

- A. Protect installed work from damage by construction operations.
- B. Provide special protection where specified in individual specification sections.

- C. Control activity in immediate work area to prevent damage.

### 3.8 SYSTEM STARTUP

- A. Coordinate schedule for start-up of various equipment and systems.
- B. Notify Engineer and Owner 14 days prior to start-up of each item.
- C. Verify tests, meter readings, and specified electrical characteristics agree with those required by the equipment or system manufacturer.
- D. Verify that wiring and support components for equipment are complete and tested.
- E. Execute start-up under supervision of applicable Contractor personnel and manufacturer's representative in accordance with manufacturers' instructions.
- F. When specified in individual specification Sections, require manufacturer to provide authorized representative to be present at site to inspect, check, and approve equipment or system installation prior to start-up, and to supervise placing equipment or system in operation.
- G. Submit a written report that equipment or system has been properly installed and is functioning correctly.

### 3.9 DEMONSTRATION AND INSTRUCTION

- A. Demonstrate start-up, operation, control, adjustment, trouble-shooting, servicing, maintenance, and shutdown of each item of equipment at scheduled time, at equipment location.
- B. Provide a qualified person who is knowledgeable about the Project to perform demonstration and instruction of owner personnel.

### 3.10 ADJUSTING

- A. Adjust operating products and equipment to ensure smooth and unhindered operation.

### 3.11 CLOSEOUT PROCEDURES

- A. Make submittals that are required by governing or other authorities.
  - 1. Provide copies to Engineer.
- B. Accompany Project Coordinator on preliminary inspection to determine items to be listed for completion or correction in the Contractor's Correction Punch List for Contractor's Notice of Substantial Completion.

- C. Notify Engineer when work is considered ready for Substantial Completion. Submit a written request for inspection at least 14 days prior to the requested date of inspection. On receipt of request, the Engineer will either proceed with inspection or notify Contractor of unfulfilled requirements. See General Conditions Section 13.10.
- D. Submit written certification containing Contractor's Correction Punch List, that Contract Documents have been reviewed, work has been inspected, and that work is complete in accordance with Contract Documents and ready for Engineer's Substantial Completion inspection.
- E. Owner will occupy all of the building as specified in Section 01 10 00 – Project Summary.
- F. Conduct Substantial Completion inspection and create Final Correction Punch List containing Engineer's and Contractor's comprehensive list of items identified to be completed or corrected and submit to Engineer.
- G. Correct items of work listed in Final Correction Punch List and comply with requirements for access to Owner-occupied areas.
- H. Notify Engineer when work is considered finally complete and ready for Engineer's Substantial Completion final inspection.
- I. Complete items of work determined by Engineer listed in executed Certificate of Substantial Completion.

END OF SECTION 017000

## SECTION 01 78 00 – CLOSEOUT SUBMITTALS

### PART 1 GENERAL

#### 1.1 SECTION INCLUDES

- A. Project Record Documents.
- B. Operation and Maintenance Data.
- C. Warranties and Bonds.

#### 1.2 RELATED REQUIREMENTS

- A. General Conditions
- B. Section 01 30 00 - Administrative Requirements: Submittals procedures, shop drawings, product data, and samples.
- C. Section 01 70 00 - Execution Requirements: Contract closeout procedures.
- D. Individual Product Sections: Specific requirements for operation and maintenance data.
- E. Individual Product Sections: Warranties required for specific products or Work.

#### 1.3 SUBMITTALS

- A. Project Record Documents: Submit documents to Engineer with claim for final Application for Payment.
- B. Operation and Maintenance Data:
  - 1. Submit two copies of preliminary draft or proposed formats and outlines of contents before start of Work. Engineer will review draft and return one copy with comments.
  - 2. For equipment, or component parts of equipment put into service during construction and operated by Owner, submit completed documents within ten days after acceptance.
  - 3. Submit one copy of completed documents 15 days prior to final inspection. This copy will be reviewed and returned, with Engineer comments. Revise content of all document sets as required prior to final submission.
  - 4. Submit two sets of revised final documents in final form within seven (7) calendar days before Substantial Completion inspection.
- C. Warranties and Bonds:

1. For equipment or component parts of equipment put into service during construction with Owner's permission, submit documents within 10 days after acceptance.
2. Make other submittals within 10 days after Date of Substantial Completion, prior to final Application for Payment.
3. For items of Work for which acceptance is delayed beyond Date of Substantial Completion, submit within 10 days after acceptance, listing the date of acceptance as the beginning of the warranty period.

## PART 2 PRODUCTS - NOT USED

## PART 3 EXECUTION

### 3.1 PROJECT RECORD DOCUMENTS

- A. Maintain on site one set of the following record documents; record actual revisions to the Work:
  1. Drawings.
  2. Specifications.
  3. Addenda.
  4. Change Orders and other modifications to the Contract.
  5. Reviewed shop drawings, product data, and samples.
  6. Manufacturer's instruction for assembly, installation, and adjusting.
- B. Ensure entries are complete and accurate, enabling future reference by Owner.
- C. Store record documents separate from documents used for construction.
- D. Record information concurrent with construction progress.
- E. Specifications: Legibly mark and record at each product section description of actual products installed, including the following:
  1. Manufacturer's name and product model and number.
  2. Product substitutions or alternates utilized.
  3. Changes made by Addenda and modifications.
- F. Record Drawings and Shop Drawings: Legibly mark each item to record actual construction including:
  1. Field changes of dimension and detail.
  2. Details not on original Contract drawings.

### 3.2 OPERATION AND MAINTENANCE DATA

- A. Source Data: For each product or system, list names, addresses and telephone numbers of Subcontractors and suppliers, including local source of supplies and replacement parts.
- B. Product Data: Mark each sheet to clearly identify specific products and component parts, and data applicable to installation. Delete inapplicable information.
- C. Drawings: Supplement product data to illustrate relations of component parts of equipment and systems, to show control and flow diagrams. Do not use Project Record Documents as maintenance drawings.
- D. Typed Text: As required to supplement product data. Provide logical sequence of instructions for each procedure, incorporating manufacturer's instructions.

### 3.3 OPERATION AND MAINTENANCE DATA FOR EQUIPMENT AND SYSTEMS

- A. For Each Item of Equipment and Each System:
  - 1. Description of unit or system, and component parts.
  - 2. Identify function, normal operating characteristics, and limiting conditions.
  - 3. Include performance curves, with engineering data and tests.
  - 4. Complete nomenclature and model number of replaceable parts.
- B. Where additional instructions are required, beyond the manufacturer's standard printed instructions, have instructions prepared by personnel experienced in the operation and maintenance of the specific products.
- C. Panelboard Circuit Directories: Provide electrical service characteristics, controls, and communications; typed.
- D. Include color coded wiring diagrams as installed.
- E. Operating Procedures: Include start-up, break-in, and routine normal operating instructions and sequences. Include regulation, control, stopping, shut-down, and emergency instructions. Include summer, winter, and any special operating instructions.
- F. Maintenance Requirements: Include routine procedures and guide for preventative maintenance and troubleshooting; disassembly, repair, and reassembly instructions; and alignment, adjusting, balancing, and checking instructions.
- G. Provide servicing and lubrication schedule, and list of lubricants required.
- H. Include manufacturer's printed operation and maintenance instructions.
- I. Include sequence of operation by controls manufacturer.
- J. Provide original manufacturer's parts list, illustrations, assembly drawings, and diagrams required for maintenance.



- K. Provide control diagrams by controls manufacturer as installed.
- L. Provide Contractor's coordination drawings, with color coded piping diagrams as installed.
- M. Provide charts of valve tag numbers, with location and function of each valve, keyed to flow and control diagrams.
- N. Provide list of original manufacturer's spare parts, current prices, and recommended quantities to be maintained in storage.
- O. Include test and balancing reports.
- P. Additional Requirements: As specified in individual product specification sections.

#### 3.4 ASSEMBLY OF OPERATION AND MAINTENANCE MANUALS

- A. Assemble operation and maintenance data into durable manuals for Owner's personnel use, with data arranged in the same sequence as, and identified by, the specification sections.
- B. Where systems involve more than one specification section, provide separate tabbed divider for each system.
- C. Prepare instructions and data by personnel experienced in maintenance and operation of described products.
- D. Prepare data in the form of an instructional manual.
- E. Binders: Commercial quality, 8-1/2 by 11-inch three D side ring binders with durable plastic covers; 2-inch maximum ring size. When multiple binders are used, correlate data into related consistent groupings.
- F. Cover: Identify each binder with typed or printed title OPERATION AND MAINTENANCE INSTRUCTIONS; identify title of Project; identify subject matter of contents.
- G. Project Directory: Title and address of Project; names, addresses, and telephone numbers of Engineer, Consultants, Contractor and subcontractors, with names of responsible parties.
- H. Tables of Contents: List every item separated by a divider, using the same identification as on the divider tab; where multiple volumes are required, include all volumes Tables of Contents in each volume, with the current volume clearly identified.
- I. Dividers: Provide tabbed dividers for each separate product and system; identify the contents on the divider tab; immediately following the divider tab include a description of product and major component parts of equipment.

- J. Text: Manufacturer's printed data, or typewritten data on 24-pound paper.
- K. Drawings: Provide with reinforced punched binder tab. Bind in with text; fold larger drawings to size of text pages.
- L. Arrange content by systems under section numbers and sequence of Table of Contents of this Project Manual.
- M. Contents: Prepare a Table of Contents for each volume, with each product or system description identified, in three parts as follows:
  - 1. Part 1: Directory, listing names, addresses, and telephone numbers of Engineer, Contractor, Subcontractors, and major equipment suppliers.
  - 2. Part 2: Operation and maintenance instructions, arranged by system and subdivided by specification section. For each category, identify names, addresses, and telephone numbers of Subcontractors and suppliers. Identify the following:
    - a. List of equipment.
    - b. Parts list for each component.
    - c. Operating instructions.
    - d. Maintenance instructions for equipment and systems.
  - 3. Part 3: Project documents and certificates, including the following:
    - a. Shop drawings and product data.
    - b. Photocopies of warranties and bonds.
- N. Provide a listing in Table of Contents for design data, with tabbed dividers and space for insertion of data.
- O. Table of Contents: Provide title of Project; names, addresses, and telephone numbers of Engineer, Consultants, and Contractor with name of responsible parties; schedule of products and systems, indexed to content of the volume.

### 3.5 WARRANTIES AND BONDS

- A. Obtain warranties and bonds, executed in duplicate by responsible Subcontractors, suppliers, and manufacturers, within 10 days after completion of the applicable item of work. Except for items put into use with Owner's permission, leave date of beginning of time of warranty until the Date of Substantial completion is determined.
- B. Verify that documents are in proper form, contain full information, and are notarized.
- C. Co-execute submittals when required.
- D. Retain warranties and bonds until time specified for submittal.
- E. Include originals of each in operation and maintenance manuals, indexed separately on Table of Contents.

CITY OF UNALASKA PYRAMID WTP  
MICROTURBINE PROJECT

SECTION 017800 –  
CLOSEOUT SUBMITTALS

END OF SECTION 017800

## SECTION 019113 - GENERAL COMMISSIONING REQUIREMENTS

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions apply to this Section.
- B. Owner's Project Requirements and Basis-of-Design Document are included by reference for information only.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. General requirements for coordinating and scheduling commissioning activities.
  - 2. Use of commissioning process test equipment, instrumentation, and tools.
  - 3. Construction checklists, including, but not limited to, installation checks, startup, performance tests, and performance test demonstration.
  - 4. Commissioning tests and commissioning test demonstration.
- B. Related Requirements:
  - 1. General Conditions
  - 2. Section 017700 "Closeout Procedures" for Certificate of Construction-Phase Commissioning Process Completion submittal requirements
  - 3. Section 260810 "Testing of Electrical Systems."

#### 1.3 DEFINITIONS

- A. Acceptance Criteria: Threshold of acceptable work quality or performance specified for a commissioning activity, including, but not limited to, construction checklists, performance tests, performance test demonstrations, commissioning tests, and commissioning test demonstrations.
- B. Design Package Document: A document prepared by Engineer that records concepts, calculations, decisions, and product selections used to comply with Owner's Project Requirements and to suit applicable regulatory requirements, standards, and guidelines.
- C. Commissioning: A quality-focused process for verifying and documenting that the facility and all of its systems and assemblies are planned, designed, installed, and tested to comply with Owner's Project Requirements. The requirements specified here are limited to the construction phase commissioning activities.

- D. Construction-Phase Commissioning-Process Completion: The stage of completion and acceptance of commissioning process when resolution of deficient conditions and issues discovered during commissioning process and retesting until acceptable results are obtained has been accomplished. Owner will establish in writing the date construction-phase commissioning-process completion is achieved. See Section 017700 "Closeout Procedures" for Completion of Construction-Phase Commissioning Process submittal requirements.
1. Commissioning process is complete when the Work specified of this Section and related Sections has been completed and accepted, including, but not limited to, the following:
    - a. Completion of tests and acceptance of test results.
    - b. Resolution of issues, as verified by retests performed and documented with acceptance of retest results.
    - c. Comply with requirements in Section 017900 "Demonstration and Training."
    - d. Completion and acceptance of submittals and reports.
- E. Owner's Project Requirements: The Design Package details the functional requirements of project and the expectations of how it will be used and operated, including Project goals, measurable performance criteria, cost considerations, benchmarks, success criteria, and supporting information.
- F. Owner's Witness: Owner's Engineer, Project Manager, or Onsite Technician authorized to authenticate test demonstration data and to sign completed test data forms.
- G. "Systems," "Assemblies," "Subsystems," "Equipment," and "Components": Where these terms are used together or separately, they shall mean "as-built" systems, assemblies, subsystems, equipment, and components.
- H. Test: Performance tests, performance test demonstrations, commissioning tests, and commissioning test demonstrations.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. List test instrumentation, equipment, and monitoring devices. Include the following information:
1. Make, model, serial number, and application for each instrument, equipment, and monitoring device.
  2. Brief description of intended use.
  3. Calibration record showing the following:
    - a. Calibration agency, including name and contact information.
    - b. Last date of calibration.
    - c. Certification for calibration equipment traceable to NIST.
    - d. Due date of the next calibration.
- B. Test Reports:

1. Test Data Reports: At the end of each day in which tests are conducted, submit test data for tests performed.
2. Commissioning Issue Reports: Daily, at the end of each day in which tests are conducted, submit commissioning issue reports for tests for which acceptable results were not achieved.

C. Construction Checklists:

1. Material checks.
2. Installation checks.
3. Startup procedures, where required.

1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For proprietary test equipment, instrumentation, and tools to include in operation and maintenance manuals.

PART 2 - PRODUCTS

2.1 TEST EQUIPMENT, INSTRUMENTATION, AND TOOLS

- A. Test equipment and instrumentation required to perform the commissioning process shall remain the property of Contractor unless otherwise indicated.
- B. Test equipment and instrumentation required to perform commissioning process shall comply with the following criteria:
1. Be manufactured for the purpose of testing and measuring tests for which they are being used and have an accuracy to test and measure system performance within the tolerances required to determine acceptable performance.
  2. Calibrated and certified.
    - a. Calibration performed and documented by a qualified calibration agency according to national standards applicable to the tools and instrumentation being calibrated. Calibration shall be current according to national standards or within test equipment and instrumentation manufacturer's recommended intervals, whichever is more frequent, but not less than within six months of initial use on Project. Calibration tags shall be permanently affixed.
    - b. Repair and recalibrate test equipment and instrumentation if dismantled, dropped, or damaged since last calibrated.
  3. Maintain test equipment and instrumentation.
  4. Use test equipment and instrumentation only for testing or monitoring Work for which they are designed.

## 2.2 REPORT FORMAT AND ORGANIZATION

### A. General Format and Organization:

1. Bind report in three-ring binders.
2. Label the front cover and spine of each binder with the report title, volume number, project name, Contractor's name, and date of report.
3. Record report on compact disk.
4. Electronic Data: Portable document format (PDF); a single file with outline-organized bookmarks for major and minor tabs and tab contents itemized for specific reports.

## PART 3 - EXECUTION

### 3.1 PREPARATION

- A. Review preliminary construction checklists and preliminary test procedures and data forms.

### 3.2 CONSTRUCTION CHECKLISTS

- A. Construction checklists cannot modify or conflict with the Contract Documents.
- B. Create construction checklists based on actual systems and equipment to be included in Project.
- C. Material Checks: Compare specified characteristics and approved submittals with materials as received. Include factory tests and other evaluations, adjustments, and tests performed prior to shipment if applicable.
1. Service connection requirements, including configuration, size, location, and other pertinent characteristics.
  2. Included optional features.
  3. Delivery Receipt Check: Inspect and record physical condition of materials and equipment on delivery to Project site, including agreement with approved submittals, cleanliness, and lack of damage.
  4. Installation Checks:
    - a. Location according to Drawings and approved Shop Drawings.
    - b. Configuration.
    - c. Compliance with manufacturers' written installation instructions.
    - d. Attachment to structure.
    - e. Access clearance to allow for maintenance, service, repair, removal, and replacement without the need to disassemble or remove other equipment or building elements. Access coordinated with other building elements and equipment, including, but not limited to, ceiling and wall access panels, in a manner consistent with OSHA fall-protection regulations and safe work practices.
    - f. Correct labeling and identification.

- g. Startup Checks: Verify readiness of equipment to be energized. Include manufacturer's standard startup procedures and forms.
- D. Startup: Perform and document initial operation of equipment to prove that it is installed properly and operates as intended according to manufacturer's standard startup procedures, at minimum.
- E. Performance Tests:
  1. Static Tests: As specified elsewhere, including, but not limited to, duct and pipe leakage tests, insulation-resistance tests, and water-penetration tests.
  2. Component Performance Tests: Tests evaluate the performance of an input or output of components under a full range of operating conditions.
  3. Equipment and Assembly Performance Tests: Test and evaluate performance of equipment and assemblies under a full range of operating conditions and loads.
  4. System Performance Tests: Test and evaluate performance of systems under a full range of operating conditions and loads.
  5. Intersystem Performance Tests: Test and evaluate the interface of different systems under a full range of operating conditions and loads.

### 3.3 GENERAL EXECUTION REQUIREMENTS

- A. Schedule and coordinate commissioning process with the Construction Schedule.
- B. Perform activities identified in construction checklists, including tests, and document results of actions as construction proceeds.
- C. Report test data and commissioning issue resolutions.
- D. Schedule personnel to participate in and perform Commissioning-Process Work.
- E. Installing contractors' commissioning responsibilities include, but are not limited to, the following:
  1. Operating the equipment and systems they install during tests.
  2. In addition, installing contractors may be required to assist in tests of equipment and systems with which their work interfaces.

### 3.4 COMMISSIONING TESTING

- A. Quality Control: Construction checklists, including tests, are quality-control tools designed to improve the functional quality of Project. Test demonstrations evaluate the effectiveness of Contractor's quality-control process.
- B. Owner's witness will be present to witness commissioning work requiring the signature of an owner's witness, including, but not limited to, test demonstrations. Owner's project manager will coordinate attendance by Owner's witness with Contractor's published Commissioning Schedule. Owner's witness will provide no labor or materials in the commissioning work. The only function



of Owner's witness will be to observe and comment on the progress and results of commissioning process.

C. Construction Checklists:

1. Complete construction checklists as Work is completed.
2. Distribute construction checklists to installing contractors before they start work.
3. Installers:
  - a. Verify installation using approved construction checklists as Work proceeds.

D. Installation Compliance Issues: Record as an installation compliance issue Work found to be incomplete, inaccessible, at variance with the Contract Documents, nonfunctional, or that does not comply with construction checklists. Record installation compliance issues on the construction checklist at the time they are identified. Record corrective action and how future Work should be modified before signing off the construction checklist.

E. Pre-Startup Audit: Prior to executing startup procedures, review completed installation checks to determine readiness for startup and operation. Report conditions, which, if left uncorrected, adversely impact the ability of systems or equipment to operate satisfactorily or to comply with acceptance criteria. Prepare pre-startup report for each system.

F. Test Procedures and Test Data Forms:

1. Test procedures shall define the step-by-step procedures to be used to execute tests and test demonstrations.
2. Test procedures shall be specific to the make, model, and application of the equipment and systems being tested.
3. Completed test data forms are the official records of the test results.

G. Performance of Tests:

1. The sampling rate for tests is 100 percent. The sampling rate for test demonstrations is 100 percent unless otherwise indicated.
2. Perform and complete each step of the approved test procedures in the order listed.
3. Record data observed during performance of tests on approved data forms at the time of test performance and when the results are observed.
4. Record test results that are not within the range of acceptable results on commissioning issue report forms in addition to recording the results on approved test procedures and data forms according to the "Commissioning Compliance Issues" Paragraph in this Article.
5. On completion of a test, sign the completed test procedure and data form. Tests for which test procedures and data forms are incomplete, not signed, or which indicate performance that does not comply with acceptance criteria will be rejected. Tests for which test procedures and data forms are rejected shall be repeated and results resubmitted.

H. Performance of Test Demonstration:

1. Perform test demonstrations on a sample of tests after test data submittals are approved. The sampling rate for test demonstrations shall be 100 percent unless otherwise indicated in the individual test specification.
2. Notify Owner's witness at least three days in advance of each test demonstration.
3. Perform and complete each step of the approved test procedures in the order listed.
4. Record data observed during performance of test demonstrations on approved data forms at the time of demonstration and when the results are observed.
5. Provide full access to Owner's witness to directly observe the performance of all aspects of system response during the test demonstration. On completion of a test demonstration, sign the completed data form and obtain signature of Owner's witness at the time of the test to authenticate the reported results.

I. Commissioning Compliance Issues:

1. Test results that are not within the range of acceptable results are commissioning compliance issues.
2. Track and report commissioning compliance issues until resolution and retesting are successfully completed.
3. If a test demonstration fails, determine the cause of failure. Direct timely resolution of issue and then repeat the demonstration. If a test demonstration must be repeated due to failure caused by Contractor work or materials, reimburse Owner for billed costs for the participation in the repeated demonstration.
4. Test Results: If a test demonstration fails to meet the acceptance criteria, perform the following:
  - a. Complete a commissioning compliance issue report form promptly on discovery of test results that do not comply with acceptance criteria.
  - b. Determine the cause of the failure.
  - c. Establish responsibility for corrective action if the failure is due to conditions found to be Contractor's responsibility.
5. Commissioning Compliance Issue Report: Provide a commissioning compliance issue report for each issue. Do not report multiple issues on the same commissioning compliance issue report.
  - a. Exception: If an entire class of devices is determined to exhibit the identical issue, they may be reported on a single commissioning compliance issue report. (For example, if all return-air damper actuators that are specified to fail to the open position are found to fail to the closed position, they may be reported on a single commissioning issue report. If a single commissioning issue report is used for multiple commissioning compliance issues, each device shall be identified in the report, and the total number of devices at issue shall be identified.
  - b. Complete and submit Part 1 of the commissioning compliance issue report immediately when the condition is observed.
  - c. Record the commissioning compliance issue report number and describe the deficient condition on the data form.
  - d. Resolve commissioning compliance issues promptly. Complete and submit Part 2 of the commissioning compliance issue report when issues are resolved.

6. Diagnose and correct failed test demonstrations as follows:
  - a. Perform diagnostic tests and activities required to determine the fundamental cause of issues observed.
  - b. Record each step of the diagnostic procedure prior to performing the procedure. Update written procedure as changes become necessary.
  - c. Record the results of each step of the diagnostic procedure.
  - d. Record the conclusion of the diagnostic procedure on the fundamental cause of the issue.
  - e. Determine and record corrective measures.
  - f. Include diagnosis of fundamental cause of issues in commissioning compliance issue report.
  
7. Retest:
  - a. Schedule and repeat the complete test procedure for each test demonstration for which acceptable results are not achieved. Obtain signature of Owner's witness on retest data forms. Repeat test demonstration until acceptable results are achieved. Except for issues that are determined to result from design errors or omissions, or other conditions beyond Contractor's responsibility, compensate Owner for direct costs incurred as the result of repeated test demonstrations to achieve acceptable results.
  - b. For each repeated test demonstration, submit a new test data form, marked "Retest."
  
8. Do not correct commissioning compliance issues during test demonstrations.
  - a. Exceptions will be allowed if the cause of the issue is obvious and resolution can be completed in less than five minutes. If corrections are made under this exception, note the deficient conditions on the test data form and issue a commissioning compliance issue report. A new test data form, marked "Retest," shall be initiated after the resolution has been completed.

### 3.5 SEQUENCING

- A. Sequencing of Commissioning Verification Activities: For a particular material, item of equipment, assembly, or system, perform the following in the order listed unless otherwise indicated:
  1. Construction Checklists:
    - a. Material checks.
    - b. Installation checks.
    - c. Startup, as appropriate. Some startup may depend on component performance. Such startup may follow component performance tests on which the startup depends.
    - d. Performance Tests:
      - 1) Static tests, as appropriate.

- 2) Component performance tests. Some component performance tests may depend on completion of startup. Such component performance tests may follow startup.
- 3) Equipment and assembly performance tests.
- 4) System performance tests.
- 5) Intersystem performance tests.

2. Commissioning tests.

- B. Before performing commissioning tests, verify that materials, equipment, assemblies, and systems are delivered, installed, started, and adjusted to perform according to construction checklists.
- C. Verify readiness of materials, equipment, assemblies, and systems by performing tests prior to performing test demonstrations. Notify Engineer if acceptable results cannot be achieved due to conditions beyond Contractor's control or responsibility.
- D. Commence tests as soon as installation checks for materials, equipment, assemblies, or systems are satisfactorily completed. Tests of a particular system may proceed prior to completion of other systems, provided the incomplete work does not interfere with successful execution of test.

3.6 SCHEDULING

- A. Commence commissioning process as early in the construction period as possible.
- B. Commissioning Schedule: Integrate commissioning activities into Construction Schedule. See Section 013200 "Construction Progress Documentation."
  1. Include detailed commissioning activities in monthly updated Construction Schedule and short-interval schedule submittals.
  2. Schedule the start date and duration for the following commissioning activities:
    - a. Submittals.
    - b. Preliminary operation and maintenance manual submittals.
    - c. Installation checks.
    - d. Startup, where required.
    - e. Performance tests.
    - f. Performance test demonstrations.
    - g. Commissioning tests.
    - h. Commissioning test demonstrations.
  3. Schedule shall include a line item for each installation check, startup, and test activity specific to the equipment or systems involved.
  4. Determine milestones and prerequisites for commissioning process. Show commissioning milestones, prerequisites, and dependencies in monthly updated critical-path-method construction schedule and short-interval schedule submittals.

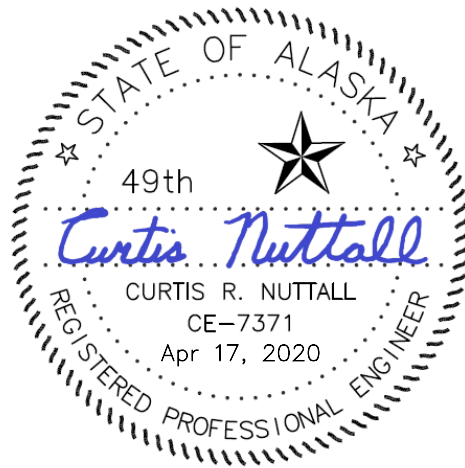
3.7 CERTIFICATE OF CONSTRUCTION-PHASE COMMISSIONING PROCESS  
COMPLETION

- A. When Contractor considers that construction-phase commissioning process, or a portion thereof which Owner agrees to accept separately, is complete, Contractor shall prepare and submit to Owner through Engineer a comprehensive list of items to be completed or corrected. Failure to include an item on such list does not alter Contractor's responsibility to complete commissioning process.
- B. On receipt of Contractor's list, Owner's Engineer will make an inspection to determine whether the construction-phase commissioning process or designated portion thereof is complete. If Engineer's inspection discloses items, whether included on Contractor's list, which is not sufficiently complete as defined in "Construction-Phase Commissioning Process Completion" Paragraph in the "Definitions" Article, Contractor shall, before issuance of the Certificate of Construction-Phase Commissioning Process Completion, complete or correct such items on notification by Engineer. In such case, Contractor shall then submit a request for another inspection by Engineer to determine construction-phase commissioning process completion.
- C. Contractor shall promptly correct deficient conditions and issues discovered during commissioning process. Costs of correcting such deficient conditions and issues, including additional testing and inspections, the cost of uncovering and replacement, and compensation for Engineer's services and expenses made necessary thereby, shall be at Contractor's expense.
- D. When construction-phase commissioning process or designated portion is complete, Engineer will prepare a Certificate of Construction-Phase Commissioning Process Completion that shall establish the date of completion of construction-phase commissioning process. Certificate of Construction-Phase Commissioning Process Completion shall be submitted prior to requesting inspection for determining date of Substantial Completion.

END OF SECTION 019113

**Civil Specifications**

- 033053 – Miscellaneous Cast-In-Place Concrete
- 051200 – Structural Steel Framing
- 055000 – Metal Fabrications
- 078413 – Penetration Firestopping



These documents were prepared under the supervision of a registered Professional Engineer

SECTION 033053 - MISCELLANEOUS CAST-IN-PLACE CONCRETE

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes cast-in-place concrete, including reinforcement, concrete materials, mixture design, placement procedures, and finishes for equipment pads and foundations.

1.3 ACTION SUBMITTALS

- A. Product Data: Submit manufacturer's product data and installation instructions for each material and product used. Include manufacturer's Safety Data Sheets.
- B. Design Mixtures: For each concrete mixture.
- C. Drilled-in Anchor Certifications: ICC ES Evaluation Report indicating conformance with current applicable ICC ES Acceptance Criteria.

1.4 QUALITY ASSURANCE

- A. Ready-Mix-Concrete Manufacturer Qualifications: A firm experienced in manufacturing ready-mixed concrete products and that complies with ASTM C94/C94M requirements for production facilities and equipment.
- B. Packaged Concrete Dry Mix Manufacturer Qualifications: A company with at least fifteen years of experience in the manufacturer and marketing of cementitious dry packaged concrete materials.
- C. Drilled-in anchors and reinforcing steel shall be installed by a trained installer with at least three years of experience performing similar installations.

1.5 DELIVERY, STORAGE AND HANDLING

- A. Deliver products in packaging, labeled with product identification, manufacturer, batch number and shelf life.
- B. Transport and store products in a dry area. Protect from freezing and damage.
- C. Handle products in accordance with manufacturer's written recommendations.

## PART 2 - PRODUCTS

### 2.1 CONCRETE, GENERAL

- A. Comply with the following sections of ACI 301 unless modified by requirements in the Contract Documents:
  - 1. "General Requirements."
  - 2. "Formwork and Formwork Accessories."
  - 3. "Reinforcement and Reinforcement Supports."
  - 4. "Concrete Mixtures."
  - 5. "Handling, Placing, and Constructing."
- B. Comply with ACI 117.

### 2.2 STEEL REINFORCEMENT

- A. Reinforcing Bars: ASTM A615/A615M, Grade 60, deformed.
- B. Plain-Steel Wire: ASTM A1064/A 064M, as drawn.
- C. Welded-Wire Reinforcement: ASTM A1064/A1064M, plain, flat sheets.

### 2.3 CONCRETE MATERIALS

- A. Source Limitations: Obtain each type or class of cementitious material of the same brand from the same manufacturer's plant, obtain aggregate from single source, and obtain admixtures from single source from single manufacturer.
- B. Packaged Concrete Dry Mix: Crack Resistant Concrete Mix conforming to ASTM C387.
  - 1. Where Concrete Dry Mix manufacturer is not indicated, subject to compliance with requirements and acceptance by the Engineer, provide the following:
    - a. QUIKRETE, Crack Resistant Concrete Mix, Product No. 1006-80.
- C. Cementitious Materials:
  - 1. Portland Cement: ASTM C150/C150M, Type I or Type III.
- D. Normal-Weight Aggregate: ASTM C33/C33M, 1-1/2-inch nominal maximum aggregate size.
- E. Air-Entraining Admixture: ASTM C260/C260M.
- F. Chemical Admixtures: Certified by manufacturer to be compatible with other admixtures and that do not contribute water-soluble chloride ions exceeding those permitted in hardened concrete. Do not use calcium chloride or admixtures containing calcium chloride.
  - 1. Water-Reducing Admixture: ASTM C494/C494M, Type A.



2. Retarding Admixture: ASTM C494/C494M, Type B.
3. Water-Reducing and Retarding Admixture: ASTM C494/C494M, Type D.
4. Plasticizing and Retarding Admixture: ASTM C1017/C1017M, Type II.

G. Water: ASTM C94/C94M.

#### 2.4 FIBER REINFORCEMENT

- A. Synthetic Fiber: Polypropylene micro-fibers or polyolefin macro-fibers engineered and designed for use in concrete, complying with ASTM C1116/C1116M, Type III, 1 to 2-1/4 inches long.

#### 2.5 RELATED MATERIALS

- A. Bonding Agent: One component bonding adhesive conforming to ASTM C1059 Type II.
- B. Adhesive Grout: HILTI HIT-HY200-A adhesive or equal.

#### 2.6 DRILLED-IN REINFORCING STEEL AND ANCHORS

- A. Wedge Anchors: Wedge type, torque-controlled, with impact section to prevent thread damage complete with required nuts and washers. Provide anchors with length identification markings conforming to ICC ES AC01 or ICC ES AC193. Type and size as indicated on Drawings.
2. Material: AISI Type 304 stainless steel provided with stainless steel nuts and washers of matching alloy group and minimum proof stress equal to or greater than the specified minimum full-size tensile strength of the externally threaded fastener. Stainless steel nuts shall conform to ASTM F594 unless otherwise specified. Avoid installing stainless steel anchors in contact with galvanically dissimilar metals.
  3. Where anchor manufacturer is not indicated, subject to compliance with requirements and acceptance by the Engineer, provide the following:
    - b. Hilti Kwik Bolt TZ, ICC ESR-1917 (AISI Type 304 Stainless Steel).
- B. Cartridge Injection Adhesive Anchors: Threaded steel rod inserts, complete with nuts, washers, polymer or hybrid mortar adhesive injection system, and manufacturer's installation instructions. Type and size as indicated on Drawings.
1. Material: Unless otherwise indicated on the Drawings, provide carbon steel threaded rods conforming to ASTM F1554 Grade 55 with hot-dip zinc coating in accordance with ASTM A153 or ASTM F2329.
  2. Drilled-in reinforcing steel shall be ASTM A615 Grade 60, deformed.
  3. Where anchor manufacturer is not indicated, subject to compliance with requirements and acceptance by the Engineer, provide the following:
    - a. Hilti HAS threaded rods with HIT-HY 200 Safe Set System using Hilti Hollow Drill Bit and VC 150/300 vacuum System for anchor and rebar anchorage to concrete, ICC ESR-3187.

2.7 CURING MATERIALS

- A. Clear, Waterborne, Membrane-Forming Curing Compound: ASTM C309.

2.8 CONCRETE MIXTURES

- A. Comply with ACI 301.
- B. Normal-Weight Concrete:
1. Minimum Compressive Strength: 4000 psi at 28 days.
  2. Maximum W/C Ratio: 0.45.
  3. Cementitious Materials: Use fly ash, pozzolan, slag cement, and silica fume as needed to reduce the total amount of portland cement, which would otherwise be used, by not less than 40 percent.
  4. Slump Limit: 3 inches, plus or minus 1 inch.
  5. Air Content: Maintain within 4 to 8 percent or within range permitted by ACI 301.
- C. Synthetic Fiber: Uniformly disperse in concrete mix at manufacturer's recommended rate.

2.9 CONCRETE MIXING

- A. Ready-Mixed Concrete: Measure, batch, mix, and deliver concrete according to ASTM C94/C94M and ASTM C1116/C1116M and furnish batch ticket information.
- B. Project-Site Mixing: Measure, batch, and mix concrete materials and concrete according to ASTM 94/C 94M. Mix concrete materials in appropriate drum-type batch machine mixer.
1. For mixer capacity of 1 cu. yd. or smaller, continue mixing at least 1-1/2 minutes, but not more than 5 minutes after ingredients are in mixer, before any part of batch is released.
  2. For mixer capacity larger than 1 cu. yd., increase mixing time by 15 seconds for each additional 1 cu. yd.
  3. Provide batch ticket for each batch discharged and used in the Work, indicating Project identification name and number, date, mix type, mix time, quantity, and amount of water added. Record approximate location of final deposit in structure.
- C. Packaged Concrete Dry Mix: Add water and mix packaged concrete materials in a barrel-type concrete mixer in accordance with manufacturer's written installations.

PART 3 - EXECUTION

3.1 FORMWORK INSTALLATION

- A. Design, construct, erect, brace, and maintain formwork according to ACI 301.

### 3.2 EMBEDDED ITEM INSTALLATION

- A. Place and secure anchorage devices and other embedded items required for adjoining work that is attached to or supported by cast-in-place concrete. Use setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.

### 3.3 STEEL REINFORCEMENT INSTALLATION

- A. Comply with CRSI's "Manual of Standard Practice" for fabricating, placing, and supporting reinforcement.

### 3.4 CONCRETE PLACEMENT

- A. Comply with ACI 301 for placing concrete. Follow manufacturer's written instructions for placement of packaged dry mix concrete.
- B. Fill forms completely working from one end to the other. Avoid partial depth lifts which could result in cold joints.
- C. Before test sampling and placing ready-mix concrete, water may be added at Project site, subject to limitations of ACI 301.
- D. Consolidate concrete with mechanical vibrating equipment according to ACI 301.
- E. Equipment Pads and Foundations:
  - 1. Coordinate sizes and locations of concrete pads with actual equipment provided.
  - 2. Construct concrete pads flat, plumb and level as indicated on Drawings.
  - 3. Minimum Compressive Strength: 2500 psi at 7 days and 4000 psi at 28 days.
  - 4. Drill and adhesive grout reinforcing into concrete floor as indicated. Locate existing slab reinforcing prior to drilling to avoid hitting existing reinforcing.
  - 5. Mechanically roughen and clean existing concrete slab in accordance with ICRI #310.2R and apply bonding agent prior to placing new concrete.

### 3.5 FINISHING FORMED SURFACES

- A. Smooth-Formed Finish: As-cast concrete texture imparted by form-facing material, arranged in an orderly and symmetrical manner with a minimum of seams. Repair and patch tie holes and defective areas. Remove fins and other projections exceeding 1/8 inch.

### 3.6 FINISHING UNFORMED SURFACES

- A. General: Comply with ACI 302.1R for screeding, restraightening, and finishing operations for concrete surfaces. Do not wet concrete surfaces.

- B. Screed surfaces with a straightedge and strike off. Begin initial floating using bull floats or darbies to form a uniform and open-textured surface plane before excess moisture or bleed-water appears on surface.
  - 1. Do not further disturb surfaces before starting finishing operations.
- C. Trowel Finish: Apply a hard trowel finish to surfaces.

### 3.7 CONCRETE PROTECTING AND CURING

- A. General: Protect freshly placed concrete from premature drying and excessive cold or hot temperatures.
- B. Begin curing after finishing concrete, but not before free water has disappeared from concrete surface. The surface may be damp, but not wet, when applying curing compound.
- C. Curing Methods: Cure formed and unformed concrete for at least seven days by the following method:
  - 1. Curing Compound: Apply uniformly in continuous operation by spray, brush or roller according to manufacturer's written instructions. Do not apply more than the recommended amount.

### 3.8 DRILLED-IN REINFORCING STEEL AND ANCHOR INSTALLATION

- A. Drill holes with rotary impact hammer drills using hollow drill bit system. Drill bits shall be of diameters as specified by the anchor manufacturer. Unless otherwise shown on the Drawings, all holes shall be drilled perpendicular to the concrete surface.
- B. Embedded Items: Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Exercise care in coring or drilling to avoid damaging existing reinforcing or embedded items. Notify the Engineer if reinforcing steel or other embedded items are encountered during drilling. Take precautions as necessary to avoid damaging prestressing tendons, electrical and telecommunications conduit, and gas lines.
- C. Base Material Strength: Unless otherwise specified, do not drill holes in concrete until concrete or grout has achieved full design strength.
- D. Perform anchor installation in accordance with manufacturer instructions.
- E. Wedge Anchors: Protect threads from damage during anchor installation. Set anchors to manufacturer's recommended torque, using a torque wrench. Following attainment of 10% of the specified torque, 100% of the specified torque shall be reached within 7 or fewer complete turns of the nut. If the specified torque is not achieved within the required number of turns, the anchor shall be removed and replaced unless otherwise directed by the Engineer.
- F. Cartridge Injection Adhesive Anchors and Rebar: Clean all holes per manufacturer instructions to remove loose material and drilling dust prior to installation of adhesive. Inject adhesive into

holes proceeding from the bottom of the hole and progressing toward the surface in such a manner as to avoid introduction of air pockets in the adhesive. Follow manufacturer recommendations to ensure proper mixing of adhesive components. Sufficient adhesive shall be injected in the hole to ensure that the annular gap is filled to the surface. Remove excess adhesive from the surface. Shim anchors with suitable device to center the anchor in the hole. Do not disturb or load anchors before manufacturer specified cure time has elapsed.

3.9 FIELD QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
- B. Inspect drilled-in anchor and reinforcing steel installation.
- C. Tests: Perform according to ACI 301.
  - 1. Testing Frequency: Obtain one composite sample for each equipment pad.

END OF SECTION 033053

SECTION 051200 - STRUCTURAL STEEL FRAMING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Structural Steel Pipe Rack.
- 2. Structural Steel Trolley Frame Assembly.
- 3. Non-Shrink Grout.

- B. Related Requirements:

- 1. Section 055000 "Metal Fabrications" for pipe supports and other steel items not defined as structural steel.

1.3 DEFINITIONS

- A. Structural Steel: Elements of the structural frame indicated on Drawings and as described in AISC 303, "Code of Standard Practice for Steel Buildings and Bridges."

1.4 COORDINATION

- A. Coordinate selection of shop primers with topcoats to be applied over them. Comply with paint and coating manufacturers' written recommendations to ensure shop primers and topcoats are compatible with one another.
- B. Coordinate installation of anchorage items to be embedded in or attached to other construction without delaying the Work. Provide setting diagrams, sheet metal templates, instructions, and directions for installation.
- C. Coordinate and field verify existing dimensions and conditions prior to fabrication.

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: Show fabrication of structural-steel components.

1. Include details of cuts, connections, splices, camber, holes, and other pertinent data.
  2. Include embedment Drawings.
  3. Indicate welds by standard AWS symbols, distinguishing between shop and field welds, and show size, length, and type of each weld. Show backing bars that are to be removed and supplemental fillet welds where backing bars are to remain.
  4. Indicate type, size, and length of bolts, distinguishing between shop and field bolts. Identify pretensioned and slip-critical, high-strength bolted connections.
- C. Welding Procedure Specifications (WPSs) and Procedure Qualification Records (PQRs): Provide according to AWS D1.1/D1.1M, "Structural Welding Code - Steel," for each welded joint whether prequalified or qualified by testing, including the following:
1. Power source (constant current or constant voltage).
  2. Electrode manufacturer and trade name, for demand critical welds.

#### 1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For fabricator and inspection agency.
- B. Welding certificates.
- C. Mill test reports for structural steel, including chemical and physical properties.
- D. Product Data Sheets and Test Reports for the following:
  1. Bolts, nuts, and washers including mechanical properties and chemical analysis.
  2. Direct-tension indicators.
  3. Tension-control, high-strength, bolt-nut-washer assemblies.
  4. Shop coatings.
  5. Non-shrink grout.
- E. Source quality-control reports.
- F. Field quality-control and special inspection reports.

#### 1.7 QUALITY ASSURANCE

- A. Fabricator Qualifications: A qualified fabricator that participates in the AISC Quality Certification Program and is designated an AISC-Certified Plant, Category STD.
- B. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."
- C. Comply with applicable provisions of the following specifications and documents:
  1. AISC 303.
  2. AISC 341 and AISC 341s1.
  3. AISC 360.
  4. RCSC's "Specification for Structural Joints Using High-Strength Bolts."

## 1.8 DELIVERY, STORAGE, AND HANDLING

- A. Store materials to permit easy access for inspection and identification. Keep steel members off ground and spaced by using pallets, dunnage, or other supports and spacers. Protect steel members and packaged materials from corrosion and deterioration.
  - 1. Do not store materials on structure in a manner that might cause distortion, damage, or overload to members or supporting structures. Repair or replace damaged materials or structures as directed.
- B. Store fasteners in a protected place in sealed containers with manufacturer's labels intact.
  - 1. Fasteners may be repackaged provided testing and inspecting agency observes repackaging and seals containers.
  - 2. Clean and relubricate bolts and nuts that become dry or rusty before use.
  - 3. Comply with manufacturers' written recommendations for cleaning and lubricating ASTM F1852 fasteners and for retesting fasteners after lubrication.

## PART 2 - PRODUCTS

### 2.1 STRUCTURAL-STEEL MATERIALS

- A. W-Shapes: ASTM A572/A572M, Grade 50
- B. S-Shapes, Channels and Angles: ASTM A36/A36M.
- C. Plate and Bar: ASTM A36/A36M.
- D. Hollow Structural Sections: ASTM A500/A500M, Grade B, structural tubing.
- E. Steel Pipe: ASTM A53/A53M, Type E or Type S, Grade B.
- F. Steel Castings: ASTM A216/A216M, Grade WCB with supplementary requirement S11.
- G. Steel Forgings: ASTM A668/A668M.
- H. Welding Electrodes: Comply with AWS requirements. E70 minimum.

### 2.2 BOLTS, CONNECTORS, AND ANCHORS

- A. High-Strength Bolts, Nuts and Washers: ASTM F3125, Grade A325, Type 1 heavy-hex steel structural bolts; ASTM A563 Grade DH heavy-hex carbon-steel nuts; and ASTM F436 Type 1 hardened carbon-steel washers.
  - 1. Finish: Hot-dip zinc coating, ASTM F2329/F2329M.
  - 2. Direct-Tension Indicators: ASTM F959 Type 325 compressible-washer type with mechanically deposited zinc coating finish.



- B. Unheaded Anchor Rods: ASTM F1554, Grade 55.
  - 1. Configuration: Straight.
  - 2. Nuts: ASTM A563 heavy-hex carbon steel.
  - 3. Washers: ASTM F436, Type 1, hardened carbon steel.
  - 4. Finish: Hot-dip zinc coating, ASTM F2329/F2329M.
- C. Threaded Rods: ASTM A572/A572M, Grade 50.
  - 1. Nuts: Heavy hex carbon steel.
  - 2. Washers: ASTM F436 Type 1 hardened carbon steel.
  - 3. Finish: Hot-dip zinc coating, ASTM F2329/F2329M.
- D. Clevises and Turnbuckles: ASTM A668 Class F. Hot-dip zinc coating, ASTM F2329/F2329M.
- E. Eye Bolts: ASTM A354, Grade BC.
- F. Sleeve Nuts: ASTM A563 Grade C. Hot-dip zinc coating, ASTM F2329/F2329M.

## 2.3 COATINGS

- A. Primer: SSPC Paint 20, Type II, organic zinc-rich epoxy, RCSC Class B slip coefficient, gray.
- B. Topcoat: Abrasion resistant, epoxy polyamide, compatible with primer, safety yellow and gray.

## 2.4 NON-SHRINK GROUT

- A. Nonmetallic, Shrinkage-Resistant Grout: ASTM C 1107/C 1107M, factory-packaged, nonmetallic aggregate grout, non-corrosive and non-staining, mixed with water to consistency suitable for application and a 25-minute working time.

## 2.5 FABRICATION

- A. Structural Steel: Fabricate and assemble in shop to greatest extent possible. Fabricate according to AISC 303, "Code of Standard Practice for Steel Buildings and Bridges," and to AISC 360.
  - 1. Fabricate beams with rolling camber up.
  - 2. Identify high-strength structural steel according to ASTM A 6/A 6M and maintain markings until structural steel has been erected.
  - 3. Mark and match-mark materials for field assembly.
  - 4. Complete structural-steel assemblies, including welding of units, before starting shop-priming operations.
- B. Thermal Cutting: Perform thermal cutting by machine to greatest extent possible.
  - 1. Plane thermally cut edges to be welded to comply with requirements in AWS D1.1/D1.1M.
- C. Bolt Holes: Cut, drill, or punch standard bolt holes perpendicular to metal surfaces.

- D. Finishing: Accurately finish ends of columns and other members transmitting bearing loads.
- E. Holes: Provide holes required for securing other work to structural steel and for other work to pass through steel members.
  - 1. Cut, drill, or punch holes perpendicular to steel surfaces.
  - 2. Baseplate Holes: Cut, drill, mechanically thermal cut, or punch holes perpendicular to steel surfaces.
  - 3. Weld threaded nuts to framing and other specialty items indicated to receive other work.

## 2.6 SHOP COATING

- A. Shop prime steel surfaces except the following:
  - 1. Surfaces embedded in concrete or mortar at a depth more than 2-inches.
  - 2. Surfaces to be field welded.
  - 3. Galvanized surfaces.
- B. Surface Preparation: Clean and degrease surfaces to be painted. Remove loose rust and mill scale and spatter, slag, or flux deposits. Prepare surfaces according to the following specifications and standards:
  - 1. SSPC-SP 1, "Solvent Cleaning."
  - 2. SSPC-SP 10/NACE No. 2, "Near White Metal", 2-mil surface profile.
- C. Priming: Immediately after surface preparation, apply primer according to manufacturer's written instructions and at rate recommended by SSPC to provide a dry film thickness of 3.0 to 5.0 mils. Use priming methods that result in full coverage of joints, corners, edges, and exposed surfaces. Stripe coat corners, crevices, bolts, welds, and sharp edges.
- D. Topcoat: Trolley beams to receive safety yellow topcoat. Bottom half of columns to receive gray topcoat with 4-inch safety yellow band at 48-inch height. After priming apply mist coat and topcoat according to manufacturer's written instructions at rate recommended to provide a topcoat dry film thickness of 4.0 to 6.0 mils.

## 2.7 SOURCE QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to perform shop tests and inspections.
- B. Bolted Connections: Inspect bolted connections for correct hole size, spacing, location and condition in accordance to RCSC's "Specification for Structural Joints Using High-Strength Bolts."
- C. Welded Connections: Visually inspect shop-welded connections according to AWS D1.1/D1.1M and the following inspection procedures, at testing agency's option:
  - 1. Liquid Penetrant Inspection: ASTM E 165.
  - 2. Magnetic Particle Inspection: ASTM E 709; performed on root pass and on finished weld. Cracks or zones of incomplete fusion or penetration are not accepted.
  - 3. Ultrasonic Inspection: ASTM E 164.

4. Radiographic Inspection: ASTM E 94.
  - D. Review Mill Test Reports to verify steel materials conform to specifications.
  - E. Inspect shop coating surface preparation and application, and test coating mill thickness for compliance with specifications and manufacturer's instructions.
  - F. Inspect steel fabrication for compliance with dimensional tolerances in accordance with specified standards.
  - G. Prepare test and inspection reports.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Verify, with steel erector present, elevations of concrete bearing surfaces and locations of anchor rods, bearing plates, and other embedments for compliance with requirements.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 PREPARATION

- A. Provide temporary shores, guys, braces, and other supports during erection to keep structural steel secure, plumb, and in alignment against temporary construction loads and loads equal in intensity to design loads. Remove temporary supports when permanent structural steel, connections, and bracing are in place unless otherwise indicated.

#### 3.3 ERECTION

- A. Set structural steel accurately in locations and to elevations indicated and according to AISC 303 and AISC 360.
- B. Baseplates: Clean concrete bearing surfaces of bond-reducing materials and roughen surfaces prior to setting plates. Clean bottom surface of plates.
  1. Set plates for structural members on wedges, shims and/or leveling nuts as required.
  2. Snug-tighten anchor rods after supported members have been positioned and plumbed. Do not remove wedges or shims but, if protruding, cut off flush with edge of plate before packing with grout.
  3. Promptly pack grout solidly between bearing surfaces and plates so no voids remain. Neatly finish exposed surfaces; protect grout and allow to cure. Comply with manufacturer's written installation instructions for shrinkage-resistant grouts.
- C. Maintain erection tolerances of structural steel within AISC 303, "Code of Standard Practice for Steel Buildings and Bridges."

- D. Align and adjust various members that form part of complete frame or structure before permanently fastening. Before assembly, clean bearing surfaces and other surfaces that are in permanent contact with members. Perform necessary adjustments to compensate for discrepancies in elevations and alignment.
  - 1. Level and plumb individual members of structure.
  - 2. Make allowances for difference between temperature at time of erection and mean temperature when structure is completed and in service.
- E. Splice members only where indicated.
- F. Do not use thermal cutting during erection.
- G. Do not enlarge unfair holes in members by burning or using drift pins. Ream holes that must be enlarged to admit bolts.

### 3.4 FIELD CONNECTIONS

- A. High-Strength Bolts: Install high-strength bolts according to RCSC's "Specification for Structural Joints Using High-Strength Bolts" for type of bolt and type of joint specified.
  - 1. Joint Type: Slip Critical (SC)
- B. Weld Connections: Comply with AWS D1.1/D1.1M and AWS D1.8/D1.8M for tolerances, appearances, welding procedure specifications, weld quality, and methods used in correcting welding work.
  - 1. Comply with AISC 303 and AISC 360 for bearing, alignment, adequacy of temporary connections, and removal of paint on surfaces adjacent to field welds.
  - 2. Remove backing bars or runoff tabs where indicated, back gouge, and grind steel smooth.
  - 3. Assemble and weld built-up sections by methods that maintain true alignment of axes without exceeding tolerances in AISC 303, "Code of Standard Practice for Steel Buildings and Bridges," for mill material.

### 3.5 FIELD QUALITY CONTROL

- A. Special Inspections: Engage a qualified special inspector to perform the following special inspections:
  - 1. Verify structural-steel materials and inspect steel frame joint details.
  - 2. Verify weld materials and inspect welds.
  - 3. Verify connection materials and inspect high-strength bolted connections.
- B. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
- C. Bolted Connections: Inspect bolted connections according to RCSC's "Specification for Structural Joints Using High-Strength Bolts."
- D. Welded Connections: Visually inspect field welds according to AWS D1.1/D1.1M.

3.6 REPAIRS AND PROTECTION

- A. Touchup Painting: Immediately after erection, clean exposed areas where coating is damaged or missing and paint with the same material as used for shop painting to comply with SSPC-PA 1 for touching up shop-painted surfaces.
  - 1. Clean and prepare surfaces by SSPC-SP 3 power-tool cleaning.

END OF SECTION 051200

SECTION 055000 - METAL FABRICATIONS

PART 1 – GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Fabricated stainless steel pipe supports.
- 2. Manufactured adjustable roller guides and flange supports for stainless steel piping.
- 3. Stainless steel leveling plates, shims and wedges.
- 4. Non-Shrink Grout.

- B. Products furnished, but not installed, under this Section include the following:

- 1. Wedge Anchors installed under Section 033053 “Miscellaneous Cast-in-Place Concrete.”

- C. Related Requirements:

- 1. Section 033053 - "Miscellaneous Cast-in-Place Concrete" for installing anchor bolts, adhesive anchors, wedge anchors, and other items into concrete.
- 2. Section 051200 - "Structural Steel Framing."
- 3. Section 260529 - “Hangers and Supports for Electrical Systems.”

1.3 DELIVERY, STORAGE AND HANDLING

- A. Deliver products in packaging, labeled with product identification, manufacturer, batch number and shelf life. Protect from weather and damage during transportation.
- B. Store materials in original packaging and in clean dry space; protect from weather and construction traffic.
- C. Handle products to prevent damage and in accordance with manufacturer’s written recommendations.

1.4 COORDINATION

- A. Coordinate installation of metal fabrications that are anchored to or that receive other work.

### 1.5 ACTION SUBMITTALS

- A. Product Data: Submit manufacturer's product data and installation instructions for each material and product used. Include Safety Data Sheets when applicable.
  - 1. Manufactured adjustable pipe roller guides
  - 2. Manufactured pipe flange supports
  - 3. Non-shrink grout (if not submitted under Section 05100.)
  - 4. Wedge anchors (if not submitted under Section 033053.)
- B. Shop Drawings: Show fabrication and installation details. Provide Shop Drawings for the following:
  - 1. Fabricated stainless steel pipe supports.
- C. Welding Procedure Specifications (WPSs) and Procedure Qualification Records (PQRs) for fabricated metals (excluding manufactured items): Provide according to AWS D1.6/D1.6M, "Structural Welding Code – Stainless Steel," for each welded joint, whether prequalified or qualified by testing, including the following:
  - 1. Power source (constant current or constant voltage).
  - 2. Electrode manufacturer and trade name, for demand critical welds.

### 1.6 INFORMATIONAL SUBMITTALS

- A. Mill Certificates: Signed by stainless-steel manufacturers, certifying that products furnished comply with requirements.
- B. Welding certificates.

### 1.7 QUALITY ASSURANCE

- A. Pipe Hanger and Support Manufacturer: A member of the Manufacturers Standardization Society of the Valve and Fitting Industry (MSS) with at least ten years of experience manufacturing pipe hangers and supports.
- B. Stainless Steel Fabricator: A firm experienced with structural stainless-steel fabrication and welding in accordance with AWS D1.6/D1.6M.
- C. Manufactured items shall have the manufacturer's name, part number, material designator and size for identification and traceability.
- D. Welding Qualifications: Qualify procedures and personnel according to the following:
  - 1. AWS D1.6/D1.6M, "Structural Welding Code - Stainless Steel."

1.8 FIELD CONDITIONS

- A. Field Measurements: Verify actual locations of supports and other construction contiguous with metal fabrications by field measurements before fabrication.

PART 2 - PRODUCTS

2.1 STAINLESS STEEL MATERIALS

- A. Stainless Steel Sheet, Strip, and Plate: ASTM A240 or ASTM A666, Type 304/304L.
- B. Stainless Steel Bars and Shapes: ASTM A276, Type 304/304L.
- C. Stainless Steel Tubing: ASTM A269 or ASTM A554, Type 304/304L.
- D. Stainless Steel Structural Pipe: ASTM A312, Type 304/304L
- E. Stainless Steel Electrode: Comply with AWS D1.6 requirements. E308L minimum.

2.2 FINISHES, GENERAL

- A. Stainless Steel: Mill Finish.
- B. Finish exposed surfaces to remove tool and die marks and stretch lines, and to blend into surrounding surface.

2.3 LOOSE LEVELING PLATES, SHIMS AND WEDGES

- A. Provide loose leveling plates, shims and/or wedges for stainless steel items bearing on concrete. Drill plates for grouting as required.
- B. Material: Stainless Steel Sheet, Strip and Plate; ASTM A240 or ASTM A666, Type 304/304L.

2.4 NON-SHRINK GROUT

- A. Nonmetallic, Shrinkage-Resistant Grout: ASTM C 1107/C 1107M, factory-packaged, nonmetallic aggregate grout, non-corrosive and non-staining, mixed with water to consistency suitable for application and a 25-minute working time.

2.5 FASTENERS

- A. General: Unless otherwise indicated, provide stainless-steel fasteners for fastening stainless-steel; and galvanized fasteners for fastening mild steel and iron. Avoid installing stainless-steel in contact with galvanically dissimilar metals. Select fasteners for type, grade, and class required.



- B. Steel Bolts and Nuts: Galvanized hexagon-head ASTM Grade A325 bolts, ASTM A563 hex nuts and, where indicated, flat washers.
- C. Stainless-Steel Bolts and Nuts: Regular hexagon-head annealed stainless-steel bolts, ASTM F593; with hex nuts, ASTM F594; and, where indicated, flat washers; Alloy Group 1.
- D. Wedge Anchors: Wedge type, torque-controlled, with impact section to prevent thread damage complete with required nuts and washers. Provide anchors with length identification markings conforming to ICC ES AC01 or ICC ES AC193. Type and size as required.
  - 1. Material: AISI Type 304 stainless steel provided with stainless steel nuts and washers of matching alloy group and minimum proof stress equal to or greater than the specified minimum full-size tensile strength of the externally threaded fastener. Stainless steel nuts shall conform to ASTM F594 unless otherwise specified.
  - 2. Where anchor manufacturer is not indicated, subject to compliance with requirements and acceptance by the Engineer, provide Hilti Kwik Bolt TZ, ICC ESR-1917 (AISI Type 304 Stainless Steel).

## 2.6 FABRICATION, GENERAL

- A. Shop Assembly: Preassemble items in the shop to greatest extent possible. Disassemble units only as necessary for shipping and handling limitations. Use connections that maintain structural value of joined pieces.
- B. Cut, drill, and punch metals cleanly and accurately. Remove burrs and ease edges to a radius of approximately 1/32 inch unless otherwise indicated. Remove sharp or rough areas on exposed surfaces.
- C. Do not use carbon steel tools to cut, drill, grind or punch stainless steel. Thoroughly clean equipment and tools prior to stainless steel fabrication.
- D. Form bent-metal corners to smallest radius possible without causing grain separation or otherwise impairing work.
- E. Form exposed work with accurate angles and surfaces and straight edges.
- F. Weld and fabricate stainless steel in accordance with AWS D1.6 and AISC DG 27.
- G. Thoroughly clean all surfaces before welding to remove oil, grease, paint, other metals, salts, carbon contamination, dirt, moisture and other contaminants.
- H. Weld corners and seams continuously to comply with the following:
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.
  - 3. Remove welding flux immediately.
  - 4. At exposed connections, finish exposed welds and surfaces smooth and blended so no roughness shows after finishing and contour of welded surface matches that of adjacent surface.

- I. Form exposed connections with hairline joints, flush and smooth, using welds where possible. Locate joints where least conspicuous.
- J. Fabricate seams and other connections that are exposed to weather in a manner to exclude water. Provide weep holes where water may accumulate.
- K. Cut, reinforce, drill, and tap metal fabrications as indicated to receive finish hardware, screws, and similar items.
- L. Provide for anchorage of type indicated; coordinate with supporting structure. Space anchoring devices to secure metal fabrications rigidly in place and to support indicated loads.
- M. Stainless steel shall be cleaned, descaled and passivated after welding and fabrication in accordance with ASTM A380 and ASTM A967.

## 2.7 ADJUSTABLE PIPE ROLLER GUIDE

- A. Provide factory fabricated adjustable pipe roller guides at locations indicated on Drawings.
- B. Fabricate to sizes, shapes, and profiles indicated and as necessary to support pipe sizes shown.
- C. Comply with Manufacturers Standardization Society ANSI/MSS SP-69 and SP-58, Type 41
- D. Furnish with rollers, sockets, support rods, nuts and other items required for a complete assemble.
- E. Fabricate units from cast iron, steel and non-metallic materials:
  - 1. Rollers: Non-metallic polyurethane.
  - 2. Sockets: Hot dip galvanized.
  - 3. Hardware: Hot dip galvanized.
- F. Where manufacturer is not indicated, subject to compliance with requirements and acceptance by the Engineer, provide the following:
  - 1. Eaton B-Line Series B3122ANM, Adjustable Double Roller Guide, non-metallic polyurethane rollers.

## 2.8 ADJUSTABLE PIPE FLANGE SUPPORTS

- A. Provide manufactured adjustable pipe flange supports at locations indicated on Drawings.
- B. Manufacture to sizes, shapes and profiles indicated and as necessary to support flange sizes and pipe heights shown.
- C. Comply with Manufacturers Standardization Society ANSI/MSS SP-69 and SP-58, Type 37
- D. Furnish with flange support, pipe adjuster and base stand for a complete assemble.
- E. Fabricate units from AISI Type 304/304L stainless steel materials:

1. Flange Support: Designed to support Class 150 stainless steel flange.
  2. Pipe Adjuster: Straight threaded shank that permits adjustment after installation.
  3. Base Stand: Threaded seismic base stand with four concrete anchors.
- F. Where manufacturer is not indicated, subject to compliance with requirements and acceptance by the Engineer, provide the following:
1. Eaton B-Line Series B3094, Flange Support, stainless steel.
  2. Eaton B-Line Series B3089, Pipe Adjuster, stainless steel
  3. Eaton B-Line Series B3088ST, Threaded Seismic Base Stand, stainless steel

### PART 3 - EXECUTION

#### 3.1 INSTALLATION, GENERAL

- A. Set metal fabrications accurately in location, alignment, and elevation; with edges and surfaces level, plumb, true, and free of rack; and measured from established lines and levels.
- B. Install in accordance with manufacturer's recommendations and with recognized industry standards.
- C. Fastening to In-Place Construction: Provide anchorage devices and fasteners where metal fabrications are required to be fastened to in-place construction. Provide bolts and threaded fasteners when connecting to structural steel, wedge anchors when connecting to concrete, screws when connecting to metal or wood, toggle bolts when connecting to drywall, and other connectors as required.
- D. Tighten all nuts and bolts to the manufacturer's recommended torque.
- E. Provide temporary bracing or anchors in formwork for items that are to be built into concrete, masonry, or similar construction.

#### 3.2 INSTALLING LEVELING PLATES

- A. Clean concrete bearing surfaces of bond-reducing materials and roughen to improve bond to surfaces. Clean bottom surface of plates.
- B. Set leveling plates on wedges or shims. Position and plumb item being supported. Do not remove wedges or shims but, if protruding, cut off flush with edge of bearing plate before packing with non-shrink grout. Pack grout solidly between bearing surfaces and plates to ensure that no voids remain.

END OF SECTION 055000

SECTION – 07 84 13 PENETRATION FIRESTOPPING

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Penetration firestopping materials

1.2 RELATED REQUIREMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division-1 Specification Section, apply to work specified in this section.

1.3 REFERENCES

- A. Test Requirements: ASTM E 814, "Standard Method of Fire Tests of Through Penetration Fire Stops"
- B. Test Requirements: UL 1479, "Fire Tests of Through-Penetration Firestops"
- C. Underwriters Laboratories (UL) of Northbrook, IL publishes tested systems in their "FIRE RESISTANCE DIRECTORY" that is updated annually.
  - 1. UL Fire Resistance Directory:
    - a. Firestop Devices (XHJI)
    - b. Fire Resistance Ratings (BXRH)
    - c. Through-Penetration Firestop Systems (XHEZ)
    - d. Fill, Voids, or Cavity Material (XHHW)
    - e. Forming Materials (XHKU)
- D. International Firestop Council Guidelines for Evaluating Firestop Systems Engineering Judgments
- E. Inspection Requirements: ASTM E 2174, "Standard Practice for On-site Inspection of Installed Fire Stops."
- F. ASTM E 84, "Standard Test Method for Surface Burning Characteristics of Building Materials."
- G. International Building Code (IBC 2009)
- H. NFPA 101 - Life Safety Code
- I. NFPA 70 - National Electric Code

#### 1.4 QUALITY ASSURANCE

- A. Submit Product Data: Manufacturer's specifications and technical data for each material including the composition and limitations, documentation of UL firestop systems to be used and manufacturer's installation instructions to comply with Section 1300.
- B. Manufacturer's engineering judgment identification number and drawing details when no UL system is available for an application. Engineering judgment must include both project name and contractor's name who will install firestop system as described in drawing.

#### 1.5 SUBMITTALS

- A. Submit Product Data: Manufacturer's specifications and technical data for each material including the composition and limitations, documentation of UL firestop systems to be used and manufacturer's installation instructions to comply with Section 1300.
- B. Manufacturer's engineering judgment identification number and drawing details when no UL system is available for an application. Engineering judgment must include both project name and contractor's name who will install firestop system as described in drawing.
- C. Submit material safety data sheets provided with product delivered to job-site.

### PART 2 PRODUCTS

#### 2.1 FIRESTOPPING GENERAL

- A. Provide firestopping composed of components that are compatible with each other, the substrates forming openings, and the items, if any, penetrating the firestopping under conditions of service and application, as demonstrated by the firestopping manufacturer based on testing and field experience.
- B. Provide components for each firestopping system that are needed to install fill material. Use only components specified by the firestopping manufacturer and approved by the qualified testing agency for the designated fire-resistance-rated systems.
- C. Penetrations in Fire Resistance Rated Walls: Provide firestopping with ratings determined in accordance with UL 1479 or ASTM E 814.
  - 1. F-Rating: Not less than the fire-resistance rating of the wall construction being penetrated
- D. Penetrations in Horizontal Assemblies: Provide firestopping with ratings determined in accordance with UL 1479 or ASTM E 814

1. F-Rating: Minimum of 1-hour rating, but not less than the fire-resistance rating of the floor construction being penetrated
  2. T-Rating: when penetrant is located outside of a wall cavity, minimum of 1-hour rating, but not less than the fire-resistance rating of the floor construction being penetrated
  3. W-Rating: Class 1 rating in accordance with water leakage test per UL 1479
- E. Penetrations in Smoke Barriers: Provide firestopping with ratings determined in accordance with UL 1479 or ASTM E 814.
1. L-Rating: Not exceeding 5.0 cfm/sq. ft. of penetration opening at both ambient and elevated temperatures.
  2. Mold Resistance: Provide penetration firestopping with mold and mildew resistance rating of 0 as determined by ASTM G21.

## 2.2 MATERIALS

- A. Use only firestop products that have been UL 1479 or ASTM E 814 tested for specific fire-rated construction conditions conforming to construction assembly type, penetrating item type, annular space requirements, and fire-rating involved for each separate instance.
- B. Pre-installed firestop devices for use with noncombustible and combustible pipes (closed and open systems), conduit, and/or cable bundles penetrating concrete floors and/or gypsum walls.
- C. Sealants, foams or caulking materials for use with non-combustible items including rigid steel conduit and electrical metallic tubing (EMT).
- D. Intumescent sealants, caulking materials for use with combustible items (penetrants consumed by high heat and flame) including PVC jacketed, flexible cable or cable bundles, and plastic pipe.
- E. Foams, intumescent sealants, or caulking materials for use with flexible cable or cable bundles.
- F. Non curing, re-penetrable intumescent putty or foam materials for use with flexible cable or cable bundles.
- G. Wall opening protective materials for use with U.L. listed metallic and specified nonmetallic outlet boxes.
- H. Materials used for large openings and complex penetrations made to accommodate cable trays and bundles, multiple steel and copper pipes, electrical busways in raceways.
- I. Non curing, re-penetrable materials used for large openings and complex penetrations made to accommodate cable trays and bundles, multiple steel and copper pipes, electrical busways in raceways.

- J. For blank openings made in fire-rated wall or floor assemblies, where future penetration of pipes, conduits, or cables is expected.
- K. Provide a firestop system with a "F" Rating as determined by UL 1479 or ASTM E 814 which is equal to the time rating of construction being penetrated.

## PART 3 EXECUTION

### 3.1 PREPARATION

- A. Verification of Conditions: Examine areas and conditions under which work is to be performed and identify conditions detrimental to proper or timely completion.
  - 1. Verify penetrations are properly sized and in suitable condition for application of materials.
  - 2. Surfaces to which firestop materials will be applied shall be free of dirt, grease, oil, rust, laitance, release agents, water repellents, and any other substances that may affect proper adhesion.
  - 3. Provide masking and temporary covering to prevent soiling of adjacent surfaces by firestopping materials.
  - 4. Comply with manufacturer's recommendations for temperature and humidity conditions before, during and after installation of firestopping.
  - 5. Do not proceed until unsatisfactory conditions have been corrected.

### 3.2 COORDINATION

- A. Coordinate location and proper selection of cast-in-place Firestop Devices with trade responsible for the work. Ensure device is installed before placement of concrete.

### 3.3 INSTALLATION

- A. Regulatory Requirements: Install firestop materials in accordance with UL Fire Resistance Directory
- B. Manufacturer's Instructions: Comply with manufacturer's instructions for installation of through-penetration materials
  - 1. Seal all holes or voids made by penetrations to ensure an air and water resistant seal. Ensure entries are complete and accurate, enabling future reference by Owner.
  - 2. Protect materials from damage on surfaces subjected to traffic.

### 3.4 FIELD QUALITY CONTROL

- A. Examine sealed penetration areas to ensure proper installation before concealing or

enclosing areas

- B. Keep areas of work accessible until inspection by applicable code authorities Store record documents separate from documents used for construction.
- C. Inspection of through-penetration firestopping shall be performed in accordance with ASTM E 2174, "Standard Practice for On-Site Inspection of Installed Fire Stops" or other recognized standard.
- D. Perform under this section patching and repairing of firestopping caused by cutting or penetrating of existing firestop systems already installed by other trades.

### 3.5 ADJUSTING AND CLEANING

- A. Remove equipment, materials and debris, leaving area in undamaged, clean condition.
- B. Clean all surfaces adjacent to sealed holes and joints to be free of excess firestop materials and soiling as work progresses.

END OF SECTION 078413



**Mechanical Specifications**

- 220523.12 – Ball Valves for Process Piping
- 220523.13 – Butterfly Valves for Process Piping
- 221113 – Facility Water Distribution Piping
- 221119 – Piping Specialties
- 230923.11 – Pressure Reducing Valves
- 232123 – Chlorine Pumps



SECTION 220523.12 - BALL VALVES FOR PROCESS PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Stainless Steel ball valves.

1.3 DEFINITIONS

- A. CWP: Cold working pressure.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of valve.
  - 1. Product data sheets
  - 2. Certification that products comply with NSF 61 and NSF 372.

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Prepare valves for shipping as follows:
  - 1. Protect internal parts against rust and corrosion.
  - 2. Protect threads, flange faces, and soldered ends.
  - 3. Set ball valves open to minimize exposure of functional surfaces.
- B. Use the following precautions during storage:
  - 1. Maintain valve end protection.
  - 2. Store valves indoors and maintain at higher-than-ambient-dew-point temperature. If outdoor storage is necessary, store valves off the ground in watertight enclosures.
- C. Use sling to handle large valves; rig sling to avoid damage to exposed parts. Do not use operating handles or stems as lifting or rigging points.

## PART 2 - PRODUCTS

### 2.1 GENERAL REQUIREMENTS FOR VALVES

- A. Source Limitations for Valves: Obtain each type of valve from single source from single manufacturer.
- B. ASME Compliance:
  - 1. ASME B1.20.1 for threads for threaded end valves.
  - 2. ASME B16.1 for flanges on iron valves.
  - 3. ASME B16.5 for flanges on steel valves.
  - 4. ASME B16.10 and ASME B16.34 for ferrous valve dimensions and design criteria.
  - 5. ASME B16.18 for solder-joint connections.
  - 6. ASME B31.9 for building services piping valves.
- C. NSF Compliance: NSF 61 and NSF 372 for valve materials for potable-water service.
- D. Valve Pressure-Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.
- E. Valve Sizes: Same as upstream piping unless otherwise indicated.
- F. Valve Actuator Types:
  - 1. Gear Actuator: For quarter-turn valves NPS 4 and larger.
  - 2. Handlever: For quarter-turn valves smaller than NPS 4 .

### 2.2 STAINLESS STEEL BALL VALVES

- A. Stainless Steel Ball Valves with Full Port, Class 150:
  - 1. Description:
    - a. Standard: MSS SP-72.
    - b. CWP Rating: 285 psig.
    - c. Body Design: One-Piece.
    - d. Body Material: Stainless Steel, ASTM A351, CF8M
    - e. Ends: Flanged or threaded.
    - f. Seats: PTFE.
    - g. Stem: Stainless steel.
    - h. Ball: Stainless steel, vented.
    - i. Port: Full.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.
- B. Operate valves in positions from fully open to fully closed. Examine guides and seats made accessible by such operations.
- C. Examine threads on valve and mating pipe for form and cleanliness.
- D. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Verify that gasket is of proper size, that its material composition is suitable for service, and that it is free from defects and damage.
- E. Do not attempt to repair defective valves; replace with new valves.

#### 3.2 VALVE INSTALLATION

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Locate valves for easy access and provide separate support where necessary.
- C. Install valves in horizontal piping with stem at or above center of pipe.
- D. Install valves in position to allow full stem movement.
- E. Install valve tags.

#### 3.3 GENERAL REQUIREMENTS FOR VALVE APPLICATIONS

- A. If valves with specified CWP ratings are unavailable, the same types of valves with higher CWP ratings may be substituted.
- B. Select valves with the following end connections:
  - 1. For Steel Piping, NPS 2 and Smaller: Threaded ends.
  - 2. For Steel Piping, NPS 2-1/2 to NPS 4: Flanged ends except where threaded valve-end option is indicated in valve schedules below.
  - 3. For Steel Piping, NPS 5 and Larger: Flanged ends.

END OF SECTION 220523.12

SECTION 220523.13 - BUTTERFLY VALVES FOR PROCESS PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Steel butterfly valves.

1.3 DEFINITIONS

- A. CWP: Cold working pressure.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of valve.
  - 1. Product data sheets
  - 2. Certification that products comply with NSF 61 and NSF 372.

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Prepare valves for shipping as follows:
  - 1. Protect internal parts against rust and corrosion.
  - 2. Protect threads, flange faces, and soldered ends.
  - 3. Set ball valves open to minimize exposure of functional surfaces.
- B. Use the following precautions during storage:
  - 1. Maintain valve end protection.
  - 2. Store valves indoors and maintain at higher-than-ambient-dew-point temperature. If outdoor storage is necessary, store valves off the ground in watertight enclosures.
- C. Use sling to handle large valves; rig sling to avoid damage to exposed parts. Do not use operating handles or stems as lifting or rigging points.

## PART 2 - PRODUCTS

### 2.1 GENERAL REQUIREMENTS FOR VALVES

- A. Source Limitations for Valves: Obtain each type of valve from single source from single manufacturer.
- B. ASME Compliance:
  - 1. ASME B1.20.1 for threads for threaded end valves.
  - 2. ASME B16.1 for flanges on iron valves.
  - 3. ASME B16.5 for flanges on steel valves.
  - 4. ASME B16.10 and ASME B16.34 for ferrous valve dimensions and design criteria.
  - 5. ASME B16.18 for solder-joint connections.
  - 6. ASME B31.9 for building services piping valves.
- C. NSF Compliance: NSF 61 and NSF 372 for valve materials.
- D. Valve Pressure-Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.
- E. Valve Sizes: Same as upstream piping unless otherwise indicated.

### 2.2 PIPE NPS 2-1/2 AND LARGER:

- A. Manufactured in accordance with AWWA C504, certified to NSF Standard 61.
- B. Body: Ductile Iron, ASTM A536. Thickness in accordance with AWWA C504
- C. End Connections: Flanged, Class 125
- D. Shaft: Replaceable seals, “V” type packing. Bearings shall be stainless steel back PTFE.
- E. Disc: On center shaft and symmetrical design, Ductile Iron ASTM A536, Disc edge shall be stainless steel 316.
- F. Disc Seat: Buna-N or EPDM, one-piece construction.
- G. Manual Actuator: Raveling nut, self-locking type to prevent fluttering or creeping at any intermediate position
- H. Manufacturer: Pratt, No Substitutions Permitted

### 2.3 MODULATING ACTUATORS

- A. Voltage: 3-ph, 480V, 60Hz
- B. Actuator shall be self-locking or mechanical anti-back drive
- C. 4-20mA input control signal
- D. Handwheel shall be provided for manual operation
  - 1. Handwheel shall automatically disengage when electric motor is energized
- E. Bearings shall be self-lubricating
- F. Manufacturer: Auma, No Substitutions Permitted

#### 2.4 FAIL-SAFE ACTUATORS

- A. During loss of power or emergency shutdown signal, actuator moves valve to safe position
- B. Mechanically would spring shall be the method to return valve to safe position
- C. Electric motor shall act as a brake, allowing various running and closing speeds
- D. During last 30% of travel, the closing speed shall be reduced to prevent casing blows
- E. Manufacturer: Auma, No Substitutions Permitted

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.
- B. Operate valves in positions from fully open to fully closed. Examine guides and seats made accessible by such operations.
- C. Examine threads on valve and mating pipe for form and cleanliness.
- D. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Verify that gasket is of proper size, that its material composition is suitable for service, and that it is free from defects and damage.
- E. Do not attempt to repair defective valves; replace with new valves.

### 3.2 VALVE INSTALLATION

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Locate valves for easy access and provide separate support where necessary.
- C. Install valves in horizontal piping with stem at or above center of pipe.
- D. Install valves in position to allow full stem movement.
- E. Install valve tags per the equipment schedule.

### 3.3 GENERAL REQUIREMENTS FOR VALVE APPLICATIONS

- A. If valves with specified CWP ratings are unavailable, the same types of valves with higher CWP ratings may be substituted.
- B. Select valves with the following end connections:
  - 1. For Steel Piping, NPS 2 and Smaller: Threaded ends.
  - 2. For Steel Piping, NPS 2-1/2 to NPS 4: Flanged ends except where threaded valve-end option is indicated in valve schedules below.
  - 3. For Steel Piping, NPS 5 and Larger: Flanged ends.

END OF SECTION 220523.13



## SECTION 221113 - FACILITY PIPING

### 1.1 SUMMARY

- A. Process Piping in Water Service, non-potable and potable
- B. Chlorine Treatment Piping
- C. Air Vent Piping
- D. Fire Water Piping

### 1.2 SUBMITTALS

- A. Shop Drawings/Weld Map Drawings identifying welders
- B. Welding Documentation
  - 1. PQR – Procedure Qualification Records of the weld procedure complying with ASME Section IX shall be submitted and approved by the owner for each weld type performed for this project
  - 2. WPQ – Welder Performance Qualifications shall be submitted for each welder, performed to the PQR, and approved by the owner prior to the commencement of welding activities
  - 3. NDE Records – Visual Examination (VT) and Radiographic Examination (RT) results
  - 4. Hydrostatic Test Results
  - 5. Welding Inspector Qualifications – AWS CWI certification
- C. Material Documentation
  - 1. MTR – For all process piping and fittings, material test reports shall be provided showing the materials comply with ASTM A312/A312M.

### 1.3 QUALITY ASSURANCE

- A. Quality Standard for Electrical Components, Devices, and Accessories: NFPA 70.
- B. Quality Standard for Water-Service Piping and Specialties for Domestic Water: NSF 61 Annex G.
- C. Inspectors/NDE personnel shall be certified per AWS QC1 and ASNT TC-1A. Radiographic (RT) film interpreters shall be level II at a minimum.

### 1.4 MATERIALS

- A. General

1. Piping shall be schedule 40 unless otherwise noted. Piping can be reused where available.
2. Studs shall be A320 Gr B8M, nuts shall be A194 Gr 8M. Flange stud bolts and nuts shall not be reused.
3. All miscellaneous fasteners shall be 316 stainless steel in the treatment room.
4. Gaskets shall be SBR or EPDM, NSF 61 Certified. Gaskets shall not be reused.
5. When dissimilar metals are joined with flanges, isolation gasket kits shall be used. Pikotek VCS or equivalent.

A. Process Piping in Water Service

1. PIPE - Stainless steel, 304L, schedule 40, welded or seamless, ASTM A312/A312M
  - a. All pipe and fittings shall be joined by full penetration, butt welding with ends beveled prior to weld up
2. FITTINGS - Stainless steel, 150# Raise Face Flanges, Schedule 40 Bore, ASTM A182 and ASME B16.5

B. Chlorine Treatment Piping

1. Inside Chlorine Room
  - a. PIPE - Kynar/PVDF, schedule 40 and schedule 80, 1-1/2"
    - 1) Pipe, tees, and elbows fused by butt weld, IR fusion, or beadles welding. Socket shall not be allowed
  - b. FITTINGS – Unions, valves, and flange adapters shall be butt weld, IR fusion, beadles welding, or socket connections, with bore to match piping
2. Outside Chlorine Room
  - a. PIPE - Stainless steel, 304L, schedule 40, welded or seamless, ASTM A312/312M
    - 1) All pipe and fittings shall be joined by full penetration, butt welding with ends beveled prior to weld up, socket welding shall not be allowed
  - b. FITTINGS – Stainless steel, 150# Raise Face Flanges, Schedule 40 Bore, ASTM A182 and ASME B16.5

C. Air Vent Piping

1. PIPE - Stainless steel, 304L, schedule 40, welded or seamless, ASTM A312/312M
  - a. All pipe and fittings shall be joined by full penetration, butt welding with ends beveled prior to weld up
2. FITTINGS - Stainless steel, 150# Raise Face Flanges, Schedule 40 Bore, ASTM A182 and ASME B16.5

D. Fire Water Piping

1. PIPE – Galvanized carbon steel pipe, ASTM A-53, schedule 40, ends grooved.
2. FITTINGS – Grooved end fittings, Victualic or equal. Flange adapters shall be 150# raise face, schedule 40, ASME B16.5.

1.5 TESTING

A. Water Service Piping

1. All welds will be visually inspected (VT) by an inspector with an ASNT TC-1A level 1 certification
2. 10% or three welds, whichever is greater, shall be inspected by RT. Radiography shall be in accordance with API STD 1104.
  - a. Each welder shall have a minimum of one weld inspected by RT.
3. Hydrostatic Pressure Testing – Each spool, prior to be installed in service, shall undergo hydrostatic pressure testing with documented results. The test shall last not less than two hours at 150% of design pressure with chlorine-free water. The pressure shall be monitored and logged every 10 minutes.

B. Chlorine Treatment Piping – Kynar/PVDF

1. All connections will be visually inspected by the owner or owner's rep for approval prior to entering service.
2. Leak Testing – The piping shall be tested with water at 120% of design pressure for a minimum of two hours. Each connection will be snooped with soapy water to investigate for leaks. The pressure shall be monitored and logged every 10 minutes.

C. Chlorine Treatment Piping - Stainless Piping

1. All welds will be visually inspected (VT) by an inspector with an ASNT TC-1A level 1 certification
2. 10% or three welds, whichever is greater, shall be inspected by RT. Radiography shall be in accordance with API STD 1104.
  - a. Each welder shall have a minimum of one weld inspected by RT.
3. Hydrostatic Pressure Testing – Each spool, prior to be installed in service, shall undergo hydrostatic pressure testing with documented results. The test shall last not less than two hours at 150% of design pressure with chlorine-free water. The pressure shall be monitored and logged every 10 minutes.

D. Air Vent Piping

1. All welds shall be visually inspected (VT) and approved by the owner prior to entering service.

E. Fire Water Piping

1. Hydrostatic Pressure Testing – Each spool, prior to be installed in service, shall undergo hydrostatic pressure testing with documented results. The test shall last not less than two hours at 150% of design pressure with chlorine-free water. The pressure shall be monitored and logged every 10 minutes.

END OF SECTION 221113

SECTION 221119 - PIPING SPECIALTIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Air relief valves
  - 2. Vacuum relief valves

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of valve
  - 1. Product data sheets
  - 2. Certifications that products comply with NSF 61 and NSF 372

1.4 DELIVERY, STORAGE, AND HANDLING

- A. Prepare valves for shipping as follows:
  - 1. Protect internal parts against rust and corrosion.
  - 2. Protect threads, flange faces, and soldered ends.
- B. Use the following precautions during storage:
  - 1. Maintain valve end protection.
  - 2. Store valves indoors and maintain at higher-than-ambient-dew-point temperature. If outdoor storage is necessary, store valves off the ground in watertight enclosures.
- C. Use sling to handle large valves; rig sling to avoid damage to exposed parts. Do not use operating handles or stems as lifting or rigging points.

PART 2 - PRODUCTS

2.1 AIR RELIEF VALVE

- A. Function
  - 1. Air relief valves shall be fully automatic float operated valves designed to exhaust air during filling of the piping system and close upon liquid entry.

B. Standards, Approvals, and Verification

1. Valves shall be manufactured and tested in accordance with American Water Works Association (AWWA) Standard C512.
2. Valves used in potable water service shall be certified to NSF/ANSI 61 Drinking Water System Components – Health Effects.
3. Manufacturer shall have a quality management system that is certified to ISO 9001 by an accredited, certifying body.

C. Connections

1. Valve sizes 3 in. (76 mm) and smaller shall have full size NPT inlets and outlets equal to the nominal valve size. The body inlet connection shall be hexagonal for a wrench connection.

D. Materials

1. The valve body, cover, and baffle shall be constructed of ASTM A351 Grade CF8 for Class 125 valves.
2. The float, guide shafts, and bushings shall be constructed of Type 316 stainless steel. Seats shall be Buna-N.

E. Manufacture

1. The manufacturer shall demonstrate a minimum of five (5) years experience in the manufacture of air valves. The manufacturer shall provide test certificates, dimensional drawings, parts list drawings, and operation and maintenance manuals.

2.2 VACUUM RELIEF VALVE

A. Function

1. Vacuum relief valves shall be fully automatic float operated valves designed to open during draining or if negative gauge pressure occurs.

B. Standards, Approvals, and Verification

1. Valves shall be manufactured and tested in accordance with American Water Works Association (AWWA) Standard C512.
2. Valves used in potable water service shall be certified to NSF/ANSI 61 Drinking Water System Components – Health Effects.
3. Manufacturer shall have a quality management system that is certified to ISO 9001 by an accredited, certifying body.

C. Connections

1. Valve sizes 4 in. (100 mm) and larger shall have bolted flange inlets with threaded or plain outlets and protective hoods to prevent debris from entering the valve. Flanges shall be in accordance with ANSI B16.5 for Class 150 steel flanges.

D. Materials

1. The valve body, cover, and baffle shall be constructed of ASTM A351 Grade CF8 for Class 125 valves.
2. The float, guide shafts, and bushings shall be constructed of Type 316 stainless steel. Seats shall be Buna-N.

E. Manufacture

1. The manufacturer shall demonstrate a minimum of five (5) years experience in the manufacture of air valves. The manufacturer shall provide test certificates, dimensional drawings, parts list drawings, and operation and maintenance manuals.
- 2.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.
- B. Operate valves in positions from fully open to fully closed. Examine guides and seats made accessible by such operations.
- C. Examine threads on valve and mating pipe for form and cleanliness.
- D. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Verify that gasket is of proper size, that its material composition is suitable for service, and that it is free from defects and damage.
- E. Do not attempt to repair defective valves; replace with new valves.

3.2 VALVE INSTALLATION

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Locate valves for easy access and provide separate support where necessary.
- C. Install valve tags.

END OF SECTION 221119

SECTION 229001 – PRESSURE REDUCING CONTROL VALVES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this section.

1.2 SUMMARY

- A. Section Includes
  - 1. Pressure Reducing Control Valves

1.3 ACTION SUBMITTALS

- A. Product Data
  - 1. Product Data Sheets
  - 2. Certifications that products comply with NSF 61 and NSF 372

1.4 DELIVERY, STORAGE, AND HANDLING

- A. Prepare valves for shipping as follows:
  - 1. Protect internal parts against rust and corrosion.
  - 2. Protect threads, flange faces, and soldered ends.
- B. Use the following precautions during storage:
  - 1. Maintain valve end protection.
  - 2. Store valves indoors and maintain at higher-than-ambient-dew-point temperature. If outdoor storage is necessary, store valves off the ground in watertight enclosures.
- C. Use sling to handle large valves; rig sling to avoid damage to exposed parts. Do not use operating handles or stems as lifting or rigging points.

PART 2 - PRODUCTS

2.1 PRESSURE REDUCING CONTROL VALVES

- A. Function

1. The pressure reducing control valve shall automatically throttle to reduce a higher incoming pressure and maintain an accurate and constant lower downstream pressure regardless of changing flow rate and/or inlet pressure. If downstream pressure increases above the pilot spring setting, the valve shall close.

B. Materials

1. Body and Cover – Cast Steel, ASTM A216-WCB
2. Main Valve Trim – Stainless Steel
3. Seat – Stainless Steel
4. Stem, Nut and Spring – Stainless Steel
5. Seal Disc – Buna-N
6. Diaphragm – Nylon Reinforced Buna-N
7. Internal Trim – Stainless Steel, Bronze, and Brass
8. End Connection – Flanged, 150# Class
9. Pressure Rating – 250 psi max
10. Temperature Range – 180°F
11. Coating – Fusion Bonded Epoxy Coating (Interior and Exterior); ANSI / NSF 61 Approved / AWWA coating specification C116-03.

C. Manufacture

1. The main valve shall be hydraulically operated, single diaphragm actuated, globe pattern. The valve shall consist of three major components; the body with seat installed, the cover with bearing installed and the diaphragm assembly. The diaphragm assembly shall be the only moving part and shall form a sealed chamber in the upper portion of the valve, separating the operating pressure from line pressure. Main valve shall comply with NSF/ANSI Standard 61 and certified lead free to NSF/ANSI 372 as a safe drinking water system component.
2. Main Valve End Connections – Flanged per ASME B16.5, class 150.
3. Main Valve Body – The valve shall contain a resilient, synthetic rubber disc forming a tight seal against a seat. No o-ring type discs shall be permitted as the seating surface. The disc retainer shall be of a sturdy one-piece design capable of withstanding opening and closing shocks. The valve seat shall be a solid, one-piece design with a minimum five degree taper on the seating surface. The main valve seat and stem bearing in the valve cover shall be removable.
4. Pilot Control System – The pressure reducing pilot control shall be a direct-acting, adjustable, spring-loaded, normally open, diaphragm valve designed to permit flow when the controlled pressure is less than the spring setting. The pilot control system shall include a strainer, a fixed orifice closing speed and all required control accessories, equipment, control tubing and fittings. Pilot shall comply with NSF/ANSI 61 and certified lead free to NSF/ANSI 372 as a safe drinking water system component.

PART 3 - EXECUTION



3.1 EXAMINATION

- A. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.
- B. Operate valves in positions from fully open to fully closed. Examine guides and seats made accessible by such operations.
- C. Examine threads on valve and mating pipe for form and cleanliness.
- D. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Verify that gasket is of proper size, that its material composition is suitable for service, and that it is free from defects and damage.
- E. Do not attempt to repair defective valves; replace with new valves.

3.2 VALVE INSTALLATION

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Locate valves for easy access and provide separate support where necessary.
- C. Install valve tags.

END OF SECTION 230923.11

SECTION 232123 - CHLORINE PUMPS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings, equipment schedules, and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Close Coupled, vertically mounted, turbine centrifugal pumps.

1.3 DEFINITIONS

- A. ECM: Electronically commutated motor.
- B. EPDM: Ethylene propylene diene monomer.
- C. EPR: Ethylene propylene rubber.
- D. FKM: Fluoroelastomer polymer.
- E. HI: Hydraulic Institute.
- F. NBR: Nitrile rubber or Buna-N.

1.4 SUBMITTALS

- A. Product Data: For each type of pump.
  - 1. Include certified performance curves and rated capacities, operating characteristics, furnished specialties, final impeller dimensions, and accessories for each type of product indicated.
  - 2. NSF 61 Certification

1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For pumps to include in emergency, operation, and maintenance manuals.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Seismic Performance: Pumps shall withstand the effects of earthquake motions determined in accordance with ASCE/SEI 7.
  - 1. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified and the unit will be fully operational after the seismic event."
  - 2. Component Importance Factor: 1.1

### 2.2 CLOSE COUPLED, VERTICALLY MOUNTED, TURBINE CENTRIFUGAL PUMPS

- A. Source Limitations: Obtain pumps from single source from single manufacturer. See pump schedule on drawing package for manufacturer selection.
- B. Description: Factory-assembled and -tested, multistage, centrifugal, impeller-between-bearings, end-suction pump as defined in HI 2.1-2.2 and HI 2.3; designed for installation with pump and motor shafts mounted vertically and projecting into a sump.
- C. Pump Construction:
  - 1. Pump Bowl: Cast iron, with basket strainer, replaceable bronze wear ring, and suction bell.
  - 2. Impeller: ASTM B584, cast bronze; statically and dynamically balanced and keyed to shaft. For pumps that are not frequency-drive controlled, trim impeller to match specified performance.
  - 3. Pump Shaft: Carbon steel sized in accordance with manufacturer's written instructions.
  - 4. Pump Bearings: Water-lubricated bronze and rubber sleeve bearings in cast-iron housing.
  - 5. Pump Column: ASTM A53/A53M, Grade B steel pipe.
  - 6. Seal, Mechanical Type: Mechanical seal consisting of carbon rotating ring against a ceramic seat held by a stainless steel spring, and EPDM bellows and gasket. Include water slinger on shaft between motor and seal.
  - 7. Seal, Packing Type: Packing seal consisting of stuffing box with a minimum of four rings of graphite-impregnated braided yarn with bronze lantern ring between center two graphite rings, and bronze packing gland.
- D. Shaft Coupling: Keyed with locking collets.
- E. Discharge Head: ASME B16.1, Class 125 discharge flange with threaded gauge tapping. Top of discharge head shall have a registered fit to accurately locate the driver.
- F. Motor: Comply with NEMA designation, temperature rating, service factor, and efficiency requirements for motors specified in Section 230513 "Common Motor Requirements for HVAC Equipment."

1. Enclosure: Totally enclosed, fan cooled.
2. NEMA Premium Efficient motors as defined in NEMA MG 1.
3. Motor Sizes: Minimum size as indicated. If not indicated, large enough so driven load will not require motor to operate in service factor range above 1.0.
4. Controllers, Electrical Devices, and Wiring: Comply with requirements for electrical devices and connections specified in electrical Sections.
5. Inverter-Duty Motor.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine equipment foundations and anchor-bolt locations for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for piping systems to verify actual locations of piping connections before pump installation. The contractor is responsible for final fit up.
- C. Examine foundations and inertia bases for suitable conditions where pumps will be installed.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 PUMP INSTALLATION

- A. Install pumps to provide access for periodic maintenance including removing motors, impellers, couplings, and accessories.
- B. Independently support pumps and piping so weight of piping is not supported by pumps and weight of pumps is not supported by piping.
- C. Equipment Mounting:
  1. Install base-mounted pumps on existing concrete equipment bases.

#### 3.3 PIPING CONNECTIONS

- A. Drawings indicate general arrangement of piping, fittings, and specialties. The contractor is responsible for final fit up of pump to piping.
- B. Where installing piping adjacent to pump, allow space for service and maintenance.
- C. Connect piping to pumps. Install valves that are same size as piping connected to pumps.
- D. Install suction and discharge pipe sizes equal to or greater than diameter of pump nozzles.

3.4 ELECTRICAL CONNECTIONS

- A. Connect wiring in accordance with Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- B. Ground equipment in accordance with Section 260526 "Grounding and Bonding for Electrical Systems."
- C. Install electrical devices furnished by manufacturer, but not factory mounted, in accordance with NFPA 70 and NECA 1.
- D. Install nameplate for each electrical connection, indicating electrical equipment designation and circuit number feeding connection.
  - 1. Nameplate shall be laminated acrylic or melamine plastic signs with a black background and engraved white letters at least 1/2 inch high.

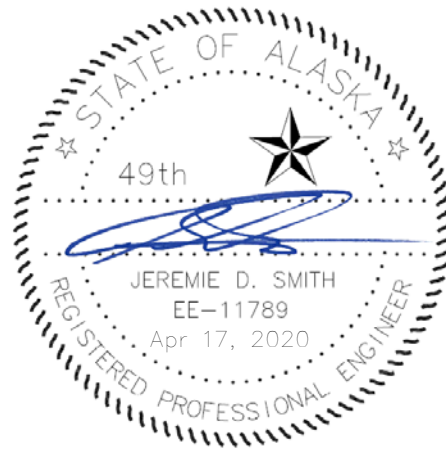
3.5 CONTROL CONNECTIONS

- A. Install control and electrical power wiring to field-mounted control devices.

END OF SECTION 232123

### Electrical Specifications

- 260519 – Low-Voltage Electrical Power Conductors
- 260523 – Control-Voltage Electrical Power Cables
- 260526 – Grounding and Bonding for Electrical Systems
- 260529 – Hangers and Supports for Electrical Systems
- 260533 – Raceways and Boxes for Electrical Systems
- 260553 – Identification for Electrical Systems
- 260805 – General Requirements for Electrical Work
- 260810 – Testing Electrical Systems
- 262726 – Wiring Devices
- 262816 – Enclosed Switches and Circuit Breakers
- 264313 – Surge Protective Devices



These documents were prepared under the supervision of a registered Professional Engineer.

SECTION 260519 - LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Copper building wire rated 600 V or less.
  - 2. Connectors, splices, and terminations rated 600 V and less.
- B. Related Requirements:
  - 1. Section 260523 "Control-Voltage Electrical Power Cables" for control systems communications cables and Classes 1, 2, and 3 control cables.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Verification of NRTL listing for product.

1.4 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.

1.5 QUALITY ASSURANCE

- A. Products shall be NRTL listed and labeled.

PART 2 - PRODUCTS

2.1 COPPER BUILDING WIRE

- A. Description: Flexible, insulated and uninsulated, drawn copper current-carrying conductor with an overall insulation layer or jacket, or both, rated 600 V or less.
  - 1. Manufacturers: Okonite or approved equal.

B. Standards:

1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
2. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."

C. Circuits:

1. Single circuit with color-coded conductors.
2. Power-Limited Fire-Alarm Circuits: Comply with UL 1424.

D. Conductors: Copper, complying with ASTM B3 for bare annealed copper and with ASTM B8 for stranded conductors

E. Ground Conductor: Insulated with green color.

F. Conductor Insulation

1. Type XHHW-2: Comply with UL 44.

2.2 CONNECTORS

A. Description: Factory-fabricated connectors, and lugs of size, ampacity rating, material, type, and class for application and service indicated; listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.

B. Burndy, or equal.

C. Jacketed Cable Connectors: For aluminum jacketed cables, zinc die-cast with set screws, designed to connect conductors specified in this Section.

D. Lugs: One piece, seamless, designed to terminate conductors specified in this Section.

1. Material: Copper.
2. Type: Two hole with standard or long barrels.
3. Termination: Compression.

PART 3 - EXECUTION

3.1 CONDUCTOR MATERIAL APPLICATIONS

A. Feeders: Copper; solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.

B. Branch Circuits: Copper. Solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.



3.2 CONDUCTOR INSULATION AND MULTICONDUCTOR CABLE APPLICATIONS AND WIRING METHODS

- A. Exposed Feeders: Type XHHW-2, single conductors in raceway.
- B. Branch Circuits in Cable Tray: Type XHHW-2, single conductors in raceway
- C. Cord Drops and Portable Connections: Type SO, hard service cord with stainless-steel, wire-mesh, strain relief device at terminations requiring support.

3.3 INSTALLATION OF CONDUCTORS AND CABLES

- A. Complete raceway installation between conductor and cable termination points according to Section 260533 "Raceways and Boxes for Electrical Systems" prior to pulling conductors and cables.
- B. Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
- C. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips, that will not damage cables or raceway.
- D. Install exposed cables parallel and perpendicular to surfaces of exposed structural members and follow surface contours where possible.
- E. Support cables according to Section 260529 "Hangers and Supports for Electrical Systems" and in accordance with the NEC.

3.4 CONNECTIONS

- A. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A-486B or contact the manufacturer.
- B. Make splices, terminations, and taps that are compatible with conductor material and that possess equivalent or better mechanical strength and insulation ratings than unspliced conductors.
- C. Wiring at Outlets: Install conductor at each outlet, with at least 6 inches of slack.

3.5 IDENTIFICATION

- A. Identify and color-code conductors and cables according to Section 260553 "Identification for Electrical Systems."

- B. Identify each spare conductor at each end with identity number and location of other end of conductor, and identify as spare conductor.

### 3.6 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

- A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies per the manufacturer instructions. Insulate for the cold exterior as required by the NEC 300.7.

### 3.7 FIRESTOPPING

- A. Apply firestopping to electrical penetrations of fire-rated floor and wall assemblies to restore original fire-resistance rating of assembly according to Section 078413 "Penetration Firestopping."

### 3.8 FIELD QUALITY CONTROL

- A. Owner QA/QC: contact the Owner's QA/QC before the testing and inspection of components, assemblies, and equipment installations, including connections.
  - 1. Perform tests and inspections.
  - 2. After installing conductors and cables and before electrical circuitry has been energized, test conductors and cables for compliance with requirements.
  - 3. Perform each of the following visual and electrical tests:
    - a. Inspect exposed sections of conductor and cable for physical damage and correct connection according to the single-line diagram.
    - b. Test bolted connections for high resistance using one of the following:
      - 1) A low-resistance ohmmeter.
      - 2) Calibrated torque wrench.
      - 3) Thermographic survey.
    - c. Inspect compression-applied connectors for correct cable match and indentation.
    - d. Inspect for correct identification.
    - e. Inspect cable jacket and condition.
    - f. Insulation-resistance test on each conductor for ground and adjacent conductors. Apply a potential of 500-V dc for 300-V rated cable and 1000-V dc for 600-V rated cable for a one-minute duration.
    - g. Continuity test on each conductor and cable.
    - h. Uniform resistance of parallel conductors.
  - 4. Initial Infrared Scanning: After Substantial Completion, but before Final Acceptance, perform an infrared scan of each splice in conductors No. 3 AWG and larger. Remove box

and equipment covers so splices are accessible to portable scanner. Correct deficiencies determined during the scan.

- a. Instrument: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
  - b. Record of Infrared Scanning: Prepare a certified report that identifies switches checked and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.
5. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan of each switch 11 months after date of Substantial Completion.
- B. Conductors and cables will be considered defective if they do not pass tests and inspections.
- C. Prepare test and inspection reports to record the following:
1. Procedures used.
  2. Results that comply with requirements.
  3. Results that do not comply with requirements, and corrective action taken to achieve compliance with requirements.

END OF SECTION 260519

SECTION 260523 - CONTROL-VOLTAGE ELECTRICAL POWER CABLES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Category 6 balanced twisted pair cable.
  - 2. Low-voltage control cable.
  - 3. Instrumentation cable.
  - 4. Control-circuit conductors.
  - 5. Identification products.

1.3 DEFINITIONS

- A. EMI: Electromagnetic interference.
- B. Low Voltage: As defined in NFPA 70 for circuits and equipment operating at less than 50 V or for remote-control and signaling power-limited circuits.
- C. Plenum: A space forming part of the air distribution system to which one or more air ducts are connected. An air duct is a passageway, other than a plenum, for transporting air to or from heating, ventilating, or air-conditioning equipment.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1.5 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Products shall be NRTL listed and labeled.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Flame Travel and Smoke Density in Plenums: As determined by testing identical products according to NFPA 262, by a qualified testing agency. Identify products for installation in plenums with appropriate markings of applicable testing agency.
  - 1. Flame Travel Distance: 60 inches or less.
  - 2. Peak Optical Smoke Density: 0.5 or less.
  - 3. Average Optical Smoke Density: 0.15 or less.
- C. Flame Travel and Smoke Density for Riser Cables in Non-Plenum Building Spaces: As determined by testing identical products according to UL 1666.
- D. Flame Travel and Smoke Density for Cables in Non-Riser Applications and Non-Plenum Building Spaces: As determined by testing identical products according to UL 1685.
- E. RoHS compliant.

### 2.2 CATEGORY 6 BALANCED TWISTED PAIR CABLE

- A. Description: Four-pair, balanced-twisted pair cable, certified to meet transmission characteristics of Category 6 cable at frequencies up to 250MHz.
- B. Standard: Comply with NEMA WC 66/ICEA S-116-732 and TIA-568-C.2 for Category 6 cables.
- C. Conductors: 100-ohm, 23 AWG solid copper.
- D. Shielding/Screening: Screened twisted pairs (F/UTP).
- E. Cable Rating: Riser
- F. Jacket: Blue thermoplastic.

### 2.3 LOW-VOLTAGE CONTROL CABLE

- A. Paired Cable: NFPA 70, Type CMP.
  - 1. Twisted pair, No. 18 AWG, stranded, tinned-copper conductors.
  - 2. Shielded.
  - 3. PVC insulation.

4. PVC jacket.
5. Flame Resistance: Comply with NFPA 262.

#### 2.4 CONTROL-CIRCUIT CONDUCTORS

- A. Class 1 Control Circuits: Stranded copper, Type THHN/THWN-2, complying with UL 83 in raceway.
- B. Class 2 Control Circuits: Stranded copper, Type THHN/THWN-2, complying with UL 83 in raceway.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Test cables upon receipt at Project site.
  1. Test each pair of cable for open and short circuits.

#### 3.2 INSTALLATION OF RACEWAYS AND BOXES

- A. Comply with requirements in Section 260533 "Raceways and Boxes for Electrical Systems" for raceway selection and installation requirements for boxes, conduits, and wireways as supplemented or modified in this Section.
  1. Outlet boxes shall be no smaller than 2 inches wide, 3 inches high, and 2-1/2 inches deep.
  2. Outlet boxes for cables shall be no smaller than 4 inches square by 2-1/8 inches deep with extension ring sized to bring edge of ring to within 1/8 inch of the finished wall surface.
  3. Flexible metal conduit shall not be used.
- B. Comply with TIA-569-D for pull-box sizing and length of conduit and number of bends between pull points.
- C. Install manufactured conduit sweeps and long-radius elbows if possible.

#### 3.3 INSTALLATION OF CONDUCTORS AND CABLES

- A. Comply with NECA 1.
- B. General Requirements for Cabling:
  1. Comply with TIA-568-C Series of standards.
  2. Comply with BICSI ITSIMM, Chapter. 5, "Copper Structured Cabling Systems."

3. Terminate all conductors; no cable shall contain unterminated elements. Make terminations only at indicated outlets, terminals, and cross-connect and patch panels.
4. Cables may not be spliced and shall be continuous from terminal to terminal. Do not splice cable between termination, tap, or junction points.
5. Cables serving a common system may be grouped in a common raceway. Install network cabling and control wiring and cable in separate raceway from power wiring. Do not group conductors from different systems or different voltages.
6. Secure and support cables at intervals not exceeding 30 inches and not more than 6 inches from cabinets, boxes, fittings, outlets, racks, frames, and terminals.
7. Bundle, lace, and train conductors to terminal points without exceeding manufacturer's limitations on bending radii, but not less than radii specified in BICSI ITSIMM, Ch. 5, "Copper Structured Cabling Systems." Install lacing bars and distribution spools.
8. Do not install bruised, kinked, scored, deformed, or abraded cable. Remove and discard cable if damaged during installation and replace it with new cable.
9. Cold-Weather Installation: Bring cable to room temperature before de-reeling. Do not use heat lamps for heating.
10. Pulling Cable: Comply with BICSI ITSIMM, Ch. 5, "Copper Structured Cabling Systems." Monitor and record cable pull tensions.
11. Support: Do not allow cables to lie on removable ceiling tiles.
12. Secure: Fasten securely in place with hardware specifically designed and installed so as to not damage cables.
13. Provide strain relief.
14. Keep runs short. Allow extra length for connecting to terminals. Do not bend cables in a radius less than 10 times the cable OD. Use sleeves or grommets to protect cables from vibration at points where they pass around sharp corners and through penetrations.
15. Ground wire shall be copper, and grounding methods shall comply with IEEE C2. Demonstrate ground resistance.

C. Balanced Twisted Pair Cable Installation:

1. Comply with TIA-568-C.2.
2. Provide shielded, modular plugs for 600V cables terminated in field.
3. Do not untwist balanced twisted pair cables more than 1/2 inch at the point of termination to maintain cable geometry.

D. Installation of Control-Circuit Conductors:

1. Install wiring in raceways.
2. Use insulated spade lugs for wire and cable connection to screw terminals.
3. Comply with requirements specified in Section 260533 "Raceways and Boxes for Electrical Systems."

E. Separation from EMI Sources:

1. Comply with BICSI TDMM and TIA-569-D recommendations for separating unshielded copper voice and data communications cable from potential EMI sources including electrical power lines and equipment.

2. Separation between open communications cables or cables in nonmetallic raceways and unshielded power conductors and electrical equipment shall be as follows:
  - a. Electrical Equipment or Circuit Rating Less Than 2 kVA: A minimum of 5 inches.
  - b. Electrical Equipment or Circuit Rating between 2 and 5 kVA: A minimum of 12 inches.
  - c. Electrical Equipment or Circuit Rating More Than 5 kVA: A minimum of 24 inches.
3. Separation between communications cables in grounded metallic raceways and unshielded power lines or electrical equipment shall be as follows:
  - a. Electrical Equipment or Circuit Rating Less Than 2 kVA: A minimum of 2-1/2 inches.
4. Separation between communications cables in grounded metallic raceways and power lines and electrical equipment located in grounded metallic conduits or enclosures shall be as follows:
  - a. Electrical Equipment or Circuit Rating Less Than 2 kVA: No requirement.
  - b. Electrical Equipment or Circuit Rating between 2 and 5 kVA: A minimum of 3 inches.
  - c. Electrical Equipment or Circuit Rating More Than 5 kVA: A minimum of 6 inches.
5. Separation between Communications Cables and Electrical Motors and Transformers, 5 kVA or 5 HP and Larger: A minimum of 48 inches.
6. Separation between Communications Cables and Fluorescent Fixtures: A minimum of 5 inches.

### 3.4 REMOVAL OF CONDUCTORS AND CABLES

- A. Remove abandoned conductors and cables. Abandoned conductors and cables are those installed that are not terminated at equipment and are not identified with a tag for future use.

### 3.5 CONTROL-CIRCUIT CONDUCTORS

- A. Minimum Conductor Sizes:
  1. Class 1 remote-control and signal circuits; No 14 AWG.
  2. Class 2 low-energy, remote-control, and signal circuits; No. 18 AWG.
  3. Class 3 low-energy, remote-control, alarm, and signal circuits; No 12 AWG.

### 3.6 FIRESTOPPING

- A. Comply with requirements in Section 078413 "Penetration Firestopping."



- B. Comply with TIA-569-D, Annex A, "Firestopping."
- C. Comply with BICSI TDMM, "Firestopping" Chapter.

### 3.7 GROUNDING

- A. For low-voltage control wiring and cabling, comply with requirements in Section 260526 "Grounding and Bonding for Electrical Systems."

### 3.8 IDENTIFICATION

- A. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- B. Identify data and communications system components, wiring, and cabling according to TIA-606-B; label printers shall use label stocks, laminating adhesives, and inks complying with UL 969.
- C. Identify each wire on each end and at each terminal with a number-coded identification tag. Each wire shall have a unique tag.

### 3.9 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Tests and Inspections:
  - 1. Visually inspect cable jacket materials for UL or third-party certification markings. Inspect cabling terminations to confirm color-coding for pin assignments and inspect cabling connections to confirm compliance with TIA-568-C.1.
  - 2. Visually inspect cable placement, cable termination, grounding and bonding, equipment and patch cords, and labeling of all components.
  - 3. Test cabling for direct-current loop resistance, shorts, opens, intermittent faults, and polarity between conductors. Test operation of shorting bars in connection blocks. Test cables after termination, but not after cross-connection.
    - a. Test instruments shall meet or exceed applicable requirements in TIA-568-C.2. Perform tests with a tester that complies with performance requirements in its "Test Instruments (Normative)" Annex, complying with measurement accuracy specified in its "Measurement Accuracy (Informative)" Annex. Use only test cords and adapters that are qualified by test equipment manufacturer for channel or link test configuration.

- C. Document data for each measurement. Print data for submittals in a summary report that is formatted using Table 10.1 in BICSI TDMM as a guide or transfer the data from the instrument to the computer, save as text files, print, and submit.
- D. End-to-end cabling will be considered defective if it does not pass tests and inspections.
- E. Prepare test and inspection reports.

END OF SECTION 260523

SECTION 260526 - GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes grounding and bonding systems and equipment.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.

1.4 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.

1.5 QUALITY ASSURANCE

- A. All products shall be installed per the manufacturers' instructions.

PART 2 - PRODUCTS

2.1 SYSTEM DESCRIPTION

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency (NRTL), and marked for intended location and application.
- B. Comply with UL 467 for grounding and bonding materials and equipment.

2.2 MANUFACTURERS

- A. Burndy, or approved equal.

### 2.3 CONDUCTORS

- A. Insulated Conductors: Copper wire with green insulation or cable insulated for 600 V unless otherwise required by applicable Code or authorities having jurisdiction.
- B. Bare Copper Conductors:
  - 1. Solid Conductors: ASTM B 3.
  - 2. Stranded Conductors: ASTM B 8.
  - 3. Bonding Jumper: Copper tape, braided conductors terminated with copper ferrules; 1-5/8 inches wide and 1/16 inch thick, or equal.
- C. Grounding Bus: Predrilled rectangular bars of annealed copper, 1/4 by 4 inches in cross section, with 9/32-inch holes spaced 1-1/8 inches apart. Stand-off insulators for mounting shall comply with UL 891 for use in switchboards, 600 V and shall be Lexan or PVC, impulse tested at 5000 V.

### 2.4 CONNECTORS

- A. Listed and labeled by an NRTL acceptable to authorities having jurisdiction for applications in which used and for specific types, sizes, and combinations of conductors and other items connected.
- B. Welded Connectors: Exothermic-welding kits of types recommended by kit manufacturer for materials being joined and installation conditions.
- C. Bus-Bar Connectors: Mechanical type, cast silicon bronze, solderless compression-type wire terminals, and long-barrel, two-bolt connection to ground bus bar.
- D. Bus-Bar Connectors: Compression type, copper or copper alloy, with two wire terminals.
- E. Beam Clamps: Mechanical type, terminal, ground wire access from four directions, with dual, tin-plated or silicon bronze bolts.
- F. Cable-to-Cable Connectors: Compression type, copper or copper alloy.
- G. Cable Tray Ground Clamp: Mechanical type, zinc-plated malleable iron.
- H. Conduit Hubs: Mechanical type, terminal with threaded hub.
- I. Ground Rod Clamps: Mechanical type, copper or copper alloy, terminal with hex-head bolt or socket set screw.
- J. Ground Rod Clamps: Mechanical type, copper or copper alloy, terminal with hex head bolt.
- K. Lay-in Lug Connector: Mechanical type, copper rated for direct burial terminal with set screw.

- L. Service Post Connectors: Mechanical type, bronze alloy terminal, in short- and long-stud lengths, capable of single and double conductor connections.
- M. Signal Reference Grid Clamp: Mechanical type, stamped-steel terminal with hex head screw.
- N. Straps: Solid copper, with copper lugs, rated for 600 A.
- O. Tower Ground Clamps: Mechanical type, copper or copper alloy, terminal two-piece clamp.
- P. U-Bolt Clamps: Mechanical type, copper or copper alloy, terminal listed for direct burial.
- Q. Water Pipe Clamps:
  - 1. Mechanical type, two pieces with stainless-steel bolts.
    - a. Material: Die-cast zinc alloy.
    - b. Listed for direct burial.
  - 2. U-bolt type with malleable-iron clamp and copper ground connector rated for direct burial.

## 2.5 GROUNDING ELECTRODES

- A. Ground Rods: Copper-clad steel; 3/4 inch by 10 feet.
- B. Ground Plates: 1/4-inch thick, hot-dip galvanized.

## PART 3 - EXECUTION

### 3.1 APPLICATIONS

- A. Conductors: Install stranded conductor for No. 8 AWG and larger, and solid conductors for No. 10 AWG and smaller unless otherwise indicated.
- B. Grounding Bus: Install where shown in the drawings.
  - 1. Install bus horizontally, on insulated spacers 2 inches minimum from wall, 6 inches above finished floor unless otherwise indicated.
  - 2. Where indicated on both sides of doorways, route bus up to top of door frame, across top of doorway, and down; connect to horizontal bus.
- C. Conductor Terminations and Connections:
  - 1. Pipe and Equipment Grounding Conductor Terminations: Bolted connectors.

3.2 GROUNDING AT THE "SERVICE"

3.3 Equipment grounding conductors and grounding electrode conductors shall be connected to the ground bus. Check tightness of main bonding jumper between the neutral and ground buses in the lower section of the switchgear.

3.4 EQUIPMENT GROUNDING

A. Install insulated equipment grounding conductors with all feeders and branch circuits.

3.5 INSTALLATION

A. Grounding Conductors: Route along shortest and straightest paths possible unless otherwise indicated or required by Code. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.

B. Bonding Straps and Jumpers: Install in locations accessible for inspection and maintenance except where routed through short lengths of conduit.

C. Grounding and Bonding for Piping:

1. Metal Water Service Pipe: Install insulated copper grounding conductors, in conduit, from building's main service equipment, or grounding bus, to main metal water service entrances to building. Connect grounding conductors to main metal water service pipes; use a bolted clamp connector or bolt a lug-type connector to a pipe flange by using one of the lug bolts of the flange. Where a dielectric main water fitting is installed, connect grounding conductor on street side of fitting. Bond metal grounding conductor conduit or sleeve to conductor at each end.
2. Water Meter Piping: Use braided-type bonding jumpers to electrically bypass water meters. Connect to pipe with a bolted connector.
3. Bond each aboveground portion of gas piping system downstream from equipment shutoff valve.

D. Connections: Make connections so possibility of galvanic action or electrolysis is minimized. Select connectors, connection hardware, conductors, and connection methods so metals in direct contact are galvanically compatible.

1. Use electroplated or hot-tin-coated materials to ensure high conductivity and to make contact points closer in order of galvanic series.
2. Make connections with clean, bare metal at points of contact.
3. Make aluminum-to-steel connections with stainless-steel separators and mechanical clamps.
4. Make aluminum-to-galvanized-steel connections with tin-plated copper jumpers and mechanical clamps.
5. Coat and seal connections having dissimilar metals with inert material to prevent future penetration of moisture to contact surfaces.

3.6 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Tests and Inspections:
  - 1. After installing grounding system but before permanent electrical circuits have been energized, test for compliance with requirements.
  - 2. Inspect physical and mechanical condition. Verify tightness of accessible, bolted, electrical connections with a calibrated torque wrench according to manufacturer's written instructions.
  - 3. Prepare dimensioned as-built drawings locating each test well, ground rod and ground-rod assembly, and other grounding electrodes. Identify each by letter in alphabetical order, and key to the record of tests and observations. Include the number of rods driven and their depth at each location and include observations of weather and other phenomena that may affect test results. Describe measures taken to improve test results.
- C. Prepare test and inspection reports.

END OF SECTION 260526

SECTION 260529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections also apply to this Section.

1.2 SUMMARY

- A. This Section Includes:

1. Steel slotted support systems.
2. Aluminum slotted support systems.
3. Conduit and cable support devices.
4. Support for conductors in vertical conduit.
5. Structural steel for fabricated supports and restraints.
6. Mounting, anchoring, and attachment components, including powder-actuated fasteners, mechanical expansion anchors, concrete inserts, clamps, through bolts, toggle bolts, and hanger rods.
7. Fabricated metal equipment support.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product to be used for support.

1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for the following:
  - a. Slotted support systems, hardware, and accessories.
  - b. Clamps.
  - c. Hangers.
  - d. Sockets.
  - e. Eye nuts.
  - f. Fasteners.
  - g. Anchors.
  - h. Saddles.
  - i. Brackets.
2. Include rated capacities and furnished specialties and accessories via product cut sheet(s).



1.4 INFORMATIONAL SUBMITTALS

- A. Structural: hangers and supports for electrical equipment and systems, accessories, and components, from manufacturer.
  - 1. Basis: Indicate structural calculation, for critical supports.
  - 2. Detailed description of equipment anchorage devices on which the support is based and on the installation requirements.
- B. Welding certificates.

1.5 QUALITY ASSURANCE

- A. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Engage a professional structural engineer, where required by State statute.

2.2 SUPPORT, ANCHORAGE, AND ATTACHMENT COMPONENTS

- A. Due to the corrosive atmosphere, carbon steel and galvanized steel products are not allowed. Only stainless steel or non-metallic products are allowed.
- B. Stainless Steel Slotted Support Systems: Preformed steel channels and angles with minimum 13/32-inch- diameter holes at a maximum of 8 inches o.c. in at least one surface.
  - 1. Manufacturer: Unistrut; Part of Atkore International, or equal.
  - 2. Standard: Comply with MFMA-4 factory-fabricated components for field assembly.
  - 3. Material for Channel, Fittings, and Accessories: Stainless Steel.
  - 4. Channel Width: 1-5/8 inches, or 1-1/4 inches, or 13/16 inches.
- C. Nonmetallic Slotted Support Systems: Structural-grade, factory-formed, glass-fiber-resin channels and angles with minimum 13/32-inch- diameter holes at a maximum of 8 inches o.c., in at least one surface.
  - 1. Manufacturers: Select as appropriate.
  - 2. Standard: Comply with MFMA-4 factory-fabricated components for field assembly.
  - 3. Channel Width: Select for load criteria.
  - 4. Fittings and Accessories: Products provided by channel and angle manufacturer and designed for use with those items.

5. Fitting and Accessory Materials: Same as those for channels and angles, except metal items may be stainless steel.
  6. Rated Strength: Selected to suit applicable load criteria.
  7. Protect finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- D. Conduit and Cable Support Devices: Steel hangers, clamps, and associated fittings, designed for types and sizes of raceway or cable to be supported.
- E. Support for Conductors in Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug or plugs for nonarmored electrical conductors or cables in riser conduits. Plugs shall have number, size, and shape of conductor gripping pieces as required to suit individual conductors or cables supported.
- F. Structural Steel for Fabricated Supports and Restraints: ASTM A 36/A 36M steel plates, shapes, and bars; Stainless Steel.
- G. Mounting, Anchoring, and Attachment Components: Items for fastening electrical items or their supports to building surfaces include the following:
1. Mechanical-Expansion Anchors: Insert-wedge-type, Stainless steel, for use in hardened cement concrete, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
    - a. Subject to compliance with requirements, provide products by one of the following:
      - 1) B-line, an Eaton business.
      - 2) Hilti, Inc.
  2. Concrete Inserts: Stainless steel, slotted support system units similar to MSS Type 18 units and comply with MFMA-4 or MSS SP-58.
  3. Clamps for Attachment to Steel Structural Elements: MSS SP-58 units suitable for attached structural element.
  4. Through Bolts: Structural type, hex head, and high strength. Comply with ASTM A 325.
  5. Hanger Rods: Threaded stainless steel.

### 2.3 FABRICATED METAL EQUIPMENT SUPPORT ASSEMBLIES

- A. Description: Welded or bolted structural, stainless steel shapes, shop or field fabricated to fit dimensions of supported equipment.

PART 3 - EXECUTION

3.1 APPLICATION

- A. Comply with the following standards for application and installation requirements of hangers and supports, except where requirements on Drawings or in this Section are stricter:
  - 1. NECA 1.
  - 2. NECA 101
  - 3. NECA 102.
  - 4. NECA 105.
  - 5. NECA 111.
- B. Comply with requirements for raceways and boxes specified in Section 260533 "Raceways and Boxes for Electrical Systems."
- C. Maximum Support Spacing and Minimum Hanger Rod Size for Raceways: Space supports for EMT, IMC, and RMC as required in NECA 1, where its Table 1 lists maximum spacings that are less than those stated in NFPA 70. Minimum rod size shall be 1/4 inch in diameter.
- D. Multiple Raceways or Cables: Install trapeze-type supports fabricated with steel slotted or other support system, sized so capacity can be increased by at least 25 percent in future without exceeding specified design load limits.
  - 1. Secure raceways and cables to these supports with single or two bolt conduit clamps, as required.

3.2 SUPPORT INSTALLATION

- A. Comply with NECA 1 and NECA 101 for installation requirements except as specified in this article.
- B. Raceway Support Methods: In addition to methods described in NECA 1, EMT, IMC and RMC may be supported by openings through structure members, according to NFPA 70.
- C. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 25 percent.
- D. Mounting and Anchorage of Surface-Mounted Equipment and Components: Anchor and fasten electrical items and their supports to building structural elements by the following methods unless otherwise indicated by code:
  - 1. To Existing Concrete: Expansion anchor fasteners or epoxied stainless steel or all-thread rod.

2. To Steel: Welded threaded studs complying with AWS D1.1/D1.1M, with lock washers and nuts, or Beam clamps (MSS SP-58, Type 19, 21, 23, 25, or 27), complying with MSS SP-69 Spring-tension clamps.
  3. To Light Steel: Sheet metal screws.
- E. Drill holes for expansion anchors in concrete at locations and to depths that avoid the need for reinforcing bars.

### 3.3 INSTALLATION OF FABRICATED METAL SUPPORTS

- A. Cut, fit, and place miscellaneous metal supports accurately in location, alignment, and elevation to support and anchor electrical materials and equipment.
- B. Field Welding: Comply with AWS D1.1/D1.1M.

END OF SECTION 260529

SECTION 260533 - RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Conduits and fittings.
- 2. Wireways and auxiliary gutters.
- 3. Boxes, enclosures, and cabinets.

- B. Related Requirements:

- 1. Section 260529 – Hangers and Supports For Electrical Systems

1.3 DEFINITIONS

- A. RNC: Rigid Nonmetallic Conduit
- B. ENT: Non-Metallic Tubing
- C. LFNC: Liquidtight Flexible Nonmetallic Conduit

1.4 ACTION SUBMITTALS

- A. Product Data: For wireways and fittings, hinged-cover enclosures, and cabinets.
- B. Shop Drawings: For custom enclosures and cabinets – refer to the Drawings.

PART 2 - PRODUCTS

2.1 CONDUITS AND FITTINGS

A. Nonmetallic Conduit:

1. Listing and Labeling: Nonmetallic conduit shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
2. Wall Thickness: Schedule 80.
3. ENT: Comply with NEMA TC 13 and UL 1653.
4. RNC: Comply with NEMA TC 2 and UL 651 unless otherwise indicated.
5. LFNC: Comply with UL 1660.

B. Nonmetallic Fittings:

1. Fittings, General: Listed and labeled for type of conduit, location, and use.
2. Fittings for ENT and RNC: Comply with NEMA TC 3; match to conduit or tubing type and material.
  - a. Fittings for LFNC: Comply with UL 514B.
3. Solvents and Adhesives: As recommended by conduit manufacturer.

2.2 BOXES, ENCLOSURES, AND CABINETS

A. General Requirements for Boxes, Enclosures, and Cabinets: Boxes, enclosures, and cabinets shall be listed for use in wet locations.

B. Nonmetallic Outlet and Device Boxes: Comply with NEMA OS 2 and UL 514C.

C. Luminaire Outlet Boxes: Nonadjustable, designed for attachment of luminaire weighing 50 lb. Outlet boxes designed for attachment of luminaires weighing more than 50 lb shall be listed and marked for the maximum allowable weight.

D. Gangable boxes are allowed.

E. Hinged-Cover Enclosures: Comply with UL 50 and NEMA 250, with continuous-hinge cover with flush latch unless otherwise indicated.

1. Type 12 Metal Enclosures: Stainless Steel
2. Nonmetallic Enclosures: Plastic or Fiberglass
3. Interior Panels: Stainless Steel

F. Cabinets:

1. NEMA 250, Type 4X, stainless-steel, plastic or fiberglass box with removable interior panel and removable front.
2. Hinged door in front cover with flush latch and concealed hinge.

3. Key latch to match panelboards.
4. Stainless steel barriers to separate wiring of different systems and voltage.
5. Accessory feet where required for freestanding equipment.
6. Nonmetallic cabinets shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

### PART 3 - EXECUTION

#### 3.1 RACEWAY APPLICATION

- A. Outdoors:
  1. Exposed: RNC
  2. Connection to Vibrating Equipment: LFNC.
  3. Boxes and Enclosures, Aboveground: Type 4X.
- B. Indoors:
  1. Exposed: RNC
  2. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFNC
  3. Boxes and Enclosures: Type 4X stainless steel
- C. Minimum Raceway Size: 3/4-inch (21-mm) trade size.
- D. Raceway Fittings: Compatible with raceways and suitable for use and location.
  1. Flexible Conduit: Fittings listed for use with flexible conduit.
- A. Do not install nonmetallic conduit where ambient temperature exceeds 120 deg F.

#### 3.2 INSTALLATION

- A. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems" for hangers and supports.
- B. Comply with NECA 1 and NECA 101 for installation requirements except where requirements on Drawings or in this article are stricter.
- C. Do not fasten conduits onto the bottom side of a metal deck roof.
- D. Keep raceways at least 6 inches away from parallel runs of flues and steam or hot-water pipes. Install horizontal raceway runs above water and steam piping.
- E. Complete raceway installation before starting conductor installation.

- F. Install no more than the equivalent of three 90-degree bends in any conduit run except for control wiring conduits, for which fewer bends are allowed. Support within 12 inches of changes in direction.
- G. Make bends in raceway using large-radius preformed ells. Field bending shall be according to NFPA 70 minimum radii requirements. Use only equipment specifically designed for material and size involved.
- H. Support conduit within 12 inches of enclosures to which attached.
- I. Threaded Conduit Joints: Apply listed compound to threads of raceway and fittings before making up joints. Follow compound manufacturer's written instructions.
- J. Terminate threaded conduits into threaded hubs or with locknuts on inside and outside of boxes or cabinets. Install bushings on conduits up to 1-1/4-inch trade size and insulated throat bushings on 1-1/2-inch trade size and larger conduits terminated with locknuts.
- K. Install raceways square to the enclosure and terminate at enclosures with locknuts. Install locknuts hand tight plus 1/4 turn more.
- L. Do not rely on locknuts to penetrate nonconductive coatings on enclosures. Remove coatings in the locknut area prior to assembling conduit to enclosure to assure a continuous ground path.
- M. Cut conduit perpendicular to the length. For conduits 2-inch trade size and larger, use roll cutter or a guide to make cut straight and perpendicular to the length.
- N. Install pull wires in empty raceways. Use polypropylene or monofilament plastic line with not less than 200-lb tensile strength. Leave at least 12 inches of slack at each end of pull wire. Cap underground raceways designated as spare above grade alongside raceways in use.
- O. Surface Raceways:
  - 1. Install surface raceway with a minimum 2-inch radius control at bend points.
  - 2. Secure surface raceway with screws or other anchor-type devices at intervals not exceeding 48 inches and with no less than two supports per straight raceway section. Support surface raceway according to manufacturer's written instructions. Tape and glue are not acceptable support methods.
- P. Install devices to seal raceway interiors at accessible locations. Locate seals so no fittings or boxes are between the seal and the following changes of environments. Seal the interior of all raceways at the following points:
  - 1. Where conduits pass from warm to cold locations, such as boundaries of refrigerated spaces.
  - 2. Where an underground service raceway enters a building or structure.
  - 3. Conduit extending from interior to exterior of building.
  - 4. Conduit extending into pressurized duct and equipment.
  - 5. Conduit extending into pressurized zones that are automatically controlled to maintain different pressure set points.



6. Where otherwise required by NFPA 70.

Q. Expansion-Joint Fittings:

1. Install in each run of aboveground conduit that is located where environmental temperature change may exceed 30 deg F and that has straight-run length that exceeds 25 feet. Install in each run of aboveground conduit that is located where environmental temperature change may exceed 100 deg F and that has straight-run length that exceeds 100 feet.
2. Install expansion fittings at all locations where conduits cross building or structure expansion joints.
3. Install each expansion-joint fitting with position, mounting, and piston setting selected according to manufacturer's written instructions for conditions at specific location at time of installation. Install conduit supports to allow for expansion movement.

R. Comply with manufacturer's written instructions for solvent welding RNC and fittings.

S. Flexible Conduit Connections: Comply with NEMA RV 3. Use a maximum of 36 inches of flexible conduit for equipment subject to vibration, noise transmission, or movement; and for transformers and motors.

1. Use LFNC

T. Mount boxes at heights indicated on Drawings. If mounting heights of boxes are not individually indicated, give priority to ADA requirements. Install boxes with height measured to center of box unless otherwise indicated.

U. Horizontally separate boxes mounted on opposite sides of walls so they are not in the same vertical channel.

V. Locate boxes so that cover or plate will not span different building finishes.

W. Support boxes of three gangs or more from more than one side by spanning two framing members or mounting on brackets specifically designed for the purpose.

X. Fasten junction and pull boxes to or support from building structure. Do not support boxes by conduits.

Y. Set floor boxes level and flush with finished floor surface.

### 3.3 FIRESTOPPING

A. Install firestopping at penetrations of fire-rated floor and wall assemblies. Comply with requirements in Section 078413 "Penetration Firestopping."

3.4 PROTECTION

- A. Protect coatings, finishes, and cabinets from damage and deterioration.
  - 1. Repair damage to finishes as recommended by manufacturer.
  - 2. Repair damage to PVC coatings or paint finishes with matching touchup coating recommended by manufacturer.

END OF SECTION 260533

SECTION 260553 - IDENTIFICATION FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

1. Color and legend requirements for raceways, conductors, and warning labels and signs.
2. Identification Labels
3. Arc-Flash Warning Labels.
4. Tags.
5. Signs.
6. Cable ties.
7. Paint for identification.
8. Fasteners for labels and signs.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for electrical identification products.

- B. Samples: For each type of label and sign to illustrate composition, size, colors, lettering style, mounting provisions, and graphic features of identification products.

- C. Identification Schedule: For each piece of electrical equipment and electrical system components to be an index of nomenclature for electrical equipment and system components used in identification signs and labels. Use same designations indicated on Drawings.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Comply with ASME A13.1.
- B. Comply with NFPA 70.
- C. Comply with 29 CFR 1910.144 and 29 CFR 1910.145 for safety color codes.
- D. Comply with ANSI Z535.4 for safety signs and labels.
- E. Comply with NFPA 70E requirements for arc-flash warning labels.
- F. Adhesive-attached labeling materials, including label stocks, laminating adhesives, and inks used by label printers, shall comply with UL 969.

2.2 COLOR AND LEGEND REQUIREMENTS

- A. Raceways and Cables Carrying Circuits at 600 V or Less:
  - 1. Black letters on an orange field.
  - 2. Legend: Indicate voltage and system or service type.
- B. Color-Coding for Phase and Voltage-Level Identification, 600 V or Less: Use colors listed below for ungrounded feeder and branch-circuit conductors.
  - 1. Color shall be factory applied or field applied for sizes larger than No. 8 AWG.
  - 2. Colors for 208/120-V Circuits:
    - a. Phase A: Black.
    - b. Phase B: Red.
    - c. Phase C: Blue.
  - 3. Colors for 240-V Circuits:
    - a. Phase A: Black.
    - b. Phase B: Red.
  - 4. Colors for 480/277-V Circuits:
    - a. Phase A: Brown.
    - b. Phase B: Orange.
    - c. Phase C: Yellow.
  - 5. Color for Neutral: White.

6. Color for Equipment Grounds: Green.
7. Colors for Isolated Grounds: Green with white stripe.

C. Warning Label Colors:

1. Identify system voltage with black letters on an orange background.

D. Warning labels and signs shall include, but are not limited to, the following legends:

1. Workspace Clearance Warning: "WARNING - OSHA REGULATION - AREA IN FRONT OF ELECTRICAL EQUIPMENT MUST BE KEPT CLEAR FOR 36 INCHES," or distance required by the NEC.

E. Equipment Identification Labels:

1. Black letters on a white field.

## 2.3 LABELS

A. Vinyl Wraparound Labels: Preprinted, flexible labels laminated with a clear, weather- and chemical-resistant coating and matching wraparound clear adhesive tape for securing label ends.

B. Self-Adhesive Wraparound Labels: Preprinted, 3-mil- thick, vinyl flexible label with acrylic pressure-sensitive adhesive.

1. Brady, or approved equal.
2. Self-Lamination: Clear; UV-, weather- and chemical-resistant; self-laminating, protective shield over the legend. Labels sized such that the clear shield overlaps the entire printed legend.
3. Marker for Labels: Machine-printed, permanent, waterproof, black ink recommended by printer manufacturer.

C. Self-Adhesive Labels: Polyester, thermal, transfer-printed, 3-mil- thick, multicolor, weather- and UV-resistant, pressure-sensitive adhesive labels, configured for intended use and location.

1. Brady, or approved equal.
2. Minimum Nominal Size:
  - a. 1-1/2 by 6 inches for raceway and conductors.
  - b. 3-1/2 by 5 inches for equipment.

D. Heat-shrinkable Wire Labels: Tubular, Machine-printed, heat-shrink polyolefin. UL244 Recognized.

1. Brady, Dymo or approval equal.

## 2.4 TAGS

- A. Metal Tags: Stainless steel, 2 by 2 by 0.05 inch, with stamped legend, punched for use with self-locking cable tie fastener.

## 2.5 SIGNS

- A. Laminated Acrylic or Melamine Plastic Signs:
  - 1. Engraved legend.
  - 2. Thickness:
    - a. For signs up to 20 sq. in., minimum 1/16 inch thick.
    - b. For signs larger than 20 sq. in., 1/8 inch thick.
    - c. Engraved legend with black letters on white face.
    - d. Punched or drilled for mechanical fasteners with 1/4-inch grommets, or self-adhesive, in corners for mounting.
    - e. Framed with mitered acrylic molding and arranged for attachment at applicable equipment.

## 2.6 CABLE TIES

- A. Manufacturer: 3M, or approved equal.
- B. General-Purpose Cable Ties: Fungus inert, self-extinguishing, one piece, self-locking, and Type 6/6 nylon.
  - 1. Minimum Width: 3/16 inch.
  - 2. Tensile Strength at 73 Deg F according to ASTM D 638: 12,000 psi.
  - 3. Temperature Range: Minus 40 to plus 185 deg F.
  - 4. Color: Black, except where used for color-coding.
- C. UV-Stabilized Cable Ties: Fungus inert, designed for continuous exposure to exterior sunlight, self-extinguishing, one piece, self-locking, and Type 6/6 nylon.
  - 1. Minimum Width: 3/16 inch.
  - 2. Tensile Strength at 73 Deg F according to ASTM D 638: 12,000 psi.
  - 3. Temperature Range: Minus 40 to plus 185 deg F.
  - 4. Color: Black.

## 2.7 MISCELLANEOUS IDENTIFICATION PRODUCTS

- A. Fasteners for Labels and Signs: Self-tapping, stainless-steel screws or stainless-steel machine screws with nuts and flat and lock washers.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Self-Adhesive Identification Products: Before applying electrical identification products, clean substrates of substances that could impair bond, using materials and methods recommended by manufacturer of identification product.

3.2 INSTALLATION

- A. Identification requirements applies to all new, relocated, and modified equipment. Existing equipment that is not being relocated or modified by new work is excluded.
- B. Verify and coordinate identification names, abbreviations, colors, and other features with requirements in other Sections requiring identification applications, Drawings, Shop Drawings, manufacturer's wiring diagrams, and operation and maintenance manual. Use consistent designations throughout Project.
- C. Install identifying devices before installing acoustical ceilings and similar concealment.
- D. Verify identity of each item before installing identification products.
- E. Coordinate identification with Project Drawings, manufacturer's wiring diagrams, and operation and maintenance manual.
- F. Apply identification devices to surfaces that require finish after completing finish work.
- G. Install signs with approved legend to facilitate proper identification, operation, and maintenance of electrical systems and connected items.
- H. System Identification for Raceways and Cables under 600 V: Identification shall completely encircle cable or conduit. Place identification of two-color markings in contact, side by side.
  - 1. Secure tight to surface of conductor, cable, or raceway.
- I. Elevated Components: Increase sizes of labels, signs, and letters to those appropriate for viewing from the floor.
- J. Accessible Fittings for Raceways: Identify the covers of each junction and pull box of the following systems with the wiring system legend and system voltage. System legends shall be as follows:
  - 1. "POWER."
  - 2. "UPS."
  - 3. "CONTROL."
  - 4. "INSTRUMENTATION."
  - 5. "NETWORK."

- K. Vinyl Wraparound Labels:
1. Secure tight to surface of raceway or cable at a location with high visibility and accessibility.
  2. Attach labels that are not self-adhesive type with clear vinyl tape, with adhesive appropriate to the location and substrate.
- L. Self-Adhesive Wraparound Labels: Secure tight to surface at a location with high visibility and accessibility.
- M. Self-Adhesive Labels:
1. On each item, install unique designation label that is consistent with wiring diagrams, schedules, and operation and maintenance manual.
  2. Unless otherwise indicated, provide a single line of text with 1/2-inch- high letters on 1-1/2-inch- high label; where two lines of text are required, use labels 2 inches high.
- N. Marker Tapes: Secure tight to surface at a location with high visibility and accessibility.
- O. Self-Adhesive Vinyl Tape: Secure tight to surface at a location with high visibility and accessibility.
1. Field-Applied, Color-Coding Conductor Tape: Apply in half-lapped turns for a minimum distance of 6 inches where splices or taps are made. Apply last two turns of tape with no tension to prevent possible unwinding.
- P. Tape and Stencil: Comply with requirements in painting Sections for surface preparation and paint application.
- Q. Floor Marking Tape: Apply stripes to finished surfaces following manufacturer's written instructions.
- R. Metal Tags:
1. Place in a location with high visibility and accessibility.
  2. Secure using general-purpose cable ties.
- S. Laminated Acrylic or Melamine Plastic Signs:
1. Attach signs that are not self-adhesive type with mechanical fasteners appropriate to the location and substrate.
  2. Unless otherwise indicated, provide a single line of text with 1/2-inch- high letters on 1-1/2-inch- high sign; where two lines of text are required, use labels 2 inches high.
- T. Cable Ties: General purpose, for attaching tags, except as listed below:
1. Outdoors: UV-stabilized nylon.



### 3.3 IDENTIFICATION SCHEDULE

- A. Install identification materials and devices at locations for most convenient viewing without interference with operation and maintenance of equipment. Install access doors or panels to provide view of identifying devices.
- B. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, pull points, and locations of high visibility. Identify by system and circuit designation.
- C. Accessible Raceways and Metal-Clad Cables, 600 V or Less, for Service, Feeder, and Branch Circuits, More Than 30V to Ground: Identify with self-adhesive raceway labels.
  - 1. Locate identification at changes in direction, at penetrations of walls and floors, at 50-foot maximum intervals in straight runs, and at 25-foot maximum intervals in congested areas.
- D. Accessible Fittings for Raceways and Cables within Buildings: Identify the covers of each junction and pull box of the following systems with self-adhesive labels containing the wiring system legend and system voltage. System legends shall be as follows:
  - 1. "POWER."
  - 2. "UPS."
  - 3. "CONTROL."
  - 4. "INSTRUMENTATION."
  - 5. "NETWORK."
- E. Power-Circuit Conductor Identification, 600 V or Less: For conductors in pull and junction boxes, use vinyl wraparound labels, or self-adhesive wraparound labels to identify the phase.
  - 1. Locate identification at changes in direction, at penetrations of walls and floors, at 50-foot maximum intervals in straight runs, and at 25-foot maximum intervals in congested areas.
- F. Control-Circuit Conductor Identification: For conductors and cables in pull and junction boxes, use self-adhesive labels with the conductor or cable designation, origin, and destination.
- G. Control-Circuit Conductor Termination Identification: For identification at terminations, provide machine-printed, heat-shrinkable labels with the conductor designation.
- H. Warning Labels for Indoor Cabinets, Boxes, and Enclosures for Power and Lighting: Self-adhesive labels.
  - 1. Apply to exterior of door, cover, or other access.
- I. Emergency Operating Instruction Signs: Self-adhesive labels or Baked-enamel warning signs with white legend on a red background with minimum 3/8-inch- high letters for emergency instructions at equipment used for power transfer, load shedding or standby generator connection.
- J. Equipment Identification Labels:

1. Indoor Equipment: Self-adhesive label.
2. Equipment to Be Labeled:
  - a. Panelboards: Typewritten directory of circuits in the location provided by panelboard manufacturer. Panelboard identification shall be in the form of a self-adhesive laminated acrylic or melamine label.
  - b. Enclosures and electrical cabinets.
  - c. Emergency system boxes and enclosures.
  - d. Enclosed switches.
  - e. Enclosed circuit breakers.
  - f. Enclosed controllers.
  - g. Push-button stations.
  - h. Battery racks.
  - i. Power-generating units.
  - j. Monitoring and control equipment.
  - k. UPS equipment.
  - l. Fiber Optic Cabling and Systems

END OF SECTION 260553

SECTION 260805 – GENERAL REQUIREMENTS FOR ELECTRICAL WORK

PART 1 GENERAL

1.1 SUMMARY

A. This Section Includes:

1. General requirements specifically applicable to Division 26, Division 27, Division 28, in addition to Division 1 provisions.
2. The electrical system equipment and installation shall comply with all provisions and requirements of this specification, as well as any and all applicable national, state and local codes and standards.

1.2 WORK SEQUENCE

- A. Construct Work in sequence under provisions of Division 01.

1.3 COORDINATION

- A. Coordinate the Work specified in this Division under provisions of Division 01.

1.4 REFERENCES

- A. ANSI/NFPA 70 – National Electrical Code (NEC), the latest adopted edition by the State of Alaska
- B. NECA – Standard of Installation.
- C. NETA ATS – Acceptance Testing Specifications for Electrical Power Distribution Equipment and Systems.
- D. ANSI/IEEE C2 – National Electrical Safety Code, the latest adopted edition.

1.5 SUBMITTALS

- A. Provide equipment submittals for material not provided by the Owner. Submittals shall be approved by the Owner's Engineer before purchase. Submittals shall include wiring diagrams, component parts, dimensions, etc.

1.6 ASBUILT DRAWINGS

- A. Mark up a clean set of drawings as the work progresses to show changes. Use red for additions, and green for deletions.

1.7 OPERATION AND MAINTENANCE MANUALS

- A. Provide one (1) operation and maintenance manuals for approval by the Owner. After approval, provide two (2) copies for use by the Owner's O & M personnel.
- B. Manuals shall be hard cover, loose-leaf binders with pages reinforced to prevent pullout and shall be separate from other divisions.

1.8 DEMONSTRATION OF ELECTRICAL SYSTEMS

- A. During the substantial completion inspection:
  - 1. Conduct operating test for approval under provisions of Division 01.
  - 2. Demonstrate installation to operate satisfactorily in accordance with the requirements of the contract documents.
  - 3. Have instruments available for measuring voltage and current values, and for demonstration of continuity, grounds or open circuit conditions.
  - 4. Provide personnel to assist in taking measurements, making tests, and demonstrating that all systems operate correctly.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

- A. All Materials and Equipment shall be new and shall be listed and labeled by Underwriter's Laboratories (UL), or another third-party listing agency with NRTL authority.
- B. In general, materials shall be stainless steel or nonmetallic.

PART 3 EXECUTION

3.1 WORKMANSHIP

- A. Install Work using procedures defined in the NECA Standard of Installation and/or the manufacturer's installation instructions. Install Work meeting the requirements of the adopted codes (NFPA 70, etc.), industry standards (IEEE, etc.), and industry best practices for the region.

3.2 TESTS

- A. As specified in Section 260810 – Testing Electrical Systems

END OF SECTION 260805

SECTION 260810 – TESTING ELECTRICAL SYSTEMS

PART 1 GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 REFERENCES

- A. NETA ATS – Acceptance Testing Specifications for Electrical Power Distribution Equipment and Systems.
- B. ANSI/TIA/EIA – 568-B.1 and Addendums, General Cabling System requirements

1.3 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: Submit Test Reports

1.4 COORDINATION

- A. Provide written ten (10) days advance notice of all tests to be performed to allow Owner's Representative to witness testing.

1.5 REQUIRED TEST INSTRUMENTS

- A. Megohmmeter
- B. Multimeter

1.6 QUALITY ASSURANCE

- A. Testing Equipment and Instrumentation Quality and Calibration: For test equipment and instrumentation required to perform electrical QA work, perform the following:
  - 1. Submit test equipment and instrumentation list. For each equipment or instrument, identify the following:
    - a. Equipment/instrument identification number.
    - b. Planned application or use.
    - c. Manufacturer, make, model, and serial number.

- d. Calibration history, including certificates from agencies that calibrate the equipment and instrumentation.
- 2. Test equipment and instrumentation shall meet the following criteria:
  - a. Capable of testing and measuring performance within the specified acceptance criteria.
  - b. Be calibrated at manufacturer's recommended intervals with current calibration tags permanently affixed to the instrument being used.
  - c. Be maintained in good repair and operating condition throughout duration of use on Project.
  - d. Be recalibrated/repared if dropped or damaged in any way since last calibrated.

#### 1.7 MINIMUM REPORT INFORMATION

- A. Report Contents: Clearly labeled test, date, identification of product, type of test and results of tests.
- B. Submit copy of all tests performed in the O&M manual.

#### 1.8 GENERAL REQUIREMENTS

- A. Submit test results and report within seven (7) working days of tests to the Field Engineer Representative.
- B. Promptly notify Owner's representative of irregularities and non-compliance of Work or products.
- C. Perform additional tests when test if performed incorrectly, deemed inaccurate, or incorrectly documented.
- D. Perform and submit all testing prior to substantial completion and system acceptance.
- E. Replace and retest all material installed which does not meet or exceed the minimum acceptable limits set forth in this specification.

PART 2 PRODUCTS – Not Used

PART 3 EXECUTION

### 3.1 GENERAL TESTING REQUIREMENTS

- A. Certify that electrical systems, subsystems, and equipment have been installed, calibrated, and started and that they are operating according to the Contract Documents and approved Shop Drawings and submittals.
- B. Certify that electrical instrumentation and control systems have been completed and calibrated, that they are operating according to the Contract Documents and approved Shop Drawings and submittals, and that pretest set points have been recorded.
- C. Set systems, subsystems, and equipment into operating mode to be tested according to approved test procedures (for example, normal shutdown, normal auto position, normal manual position, unoccupied cycle, emergency power, and alarm conditions).
- D. Measure capacities and effectiveness of systems, assemblies, subsystems, equipment, and components, including operational and control functions to verify compliance with acceptance criteria.
- E. Test systems, assemblies, subsystems, equipment, and components operating modes, interlocks, control responses, and responses to abnormal or emergency conditions, and response according to acceptance criteria.
- F. If tests cannot be completed because of a deficiency outside the scope of the electrical system, document the deficiency and report it to Owner. After deficiencies are resolved, reschedule tests.
- G. Comply with Construction Checklist requirements, including material verification, installation checks, startup, and performance tests requirements specified in Sections specifying electrical systems and equipment.

### 3.2 FEEDER CONDUCTOR TEST

- A. Tests Criteria:
  - 1. Use Megohm meter to test all feeder cables
  - 2. Perform insulation-resistance test on all conductors – phase to ground, phase to neutral and phase to phase and record results. Test 600 volt rated cable at 1000 volts DC.
  - 4. Test duration shall be one (1) minute.
  - 5. Perform continuity test to insure correct cable connection.
  - 6. Submit test results after completion.
- B. Test Values:



1. Minimum insulation resistance value: 50 megohms.
2. Investigate deviations between phases.

END OF SECTION 260800

PART 1 - GENERAL

1.1 SUMMARY

A. Related Documents:

1. Review these documents for coordination with additional requirements and information that apply to work under this Section.

B. Section Includes:

1. Wall switches.
2. Receptacles.
3. Device plates and box covers.

C. Related Sections:

1. 260519 Low-Voltage Electrical Power Conductors
2. 260526 Grounding and Bonding for Electrical Systems
3. 260533 Raceways and Boxes for Electrical Systems
4. 260805 General Requirements for Electrical Work

1.2 REFERENCES

A. General:

1. Unless otherwise noted, the referenced standard edition is the currently accepted edition adopted by the State of Alaska.

B. ANSI/NFPA 70 - National Electrical Code.

C. FS – Federal Specifications:

1. FS WC-596 Electrical Power Connector, Plug, Receptacle, and Cable Outlet
2. FS W-S-896-E Switch, Toggle

D. NEMA – National Electrical Manufacturers Association:

1. NEMA WD 1 General Color Requirements for Wiring Devices.
2. NEMA OS 3 Selection and Installation Guidelines for Electrical Outlet Boxes.
3. NEMA WD 6 Wiring Devices-Dimensional Requirements.
4. NEMA FB 11 Plugs, Receptacles and Connectors of the Pin and Sleeve Type for Hazardous Locations.

E. NETA ATS - Acceptance Testing Specifications for Electrical Power Distribution Equipment and Systems

1.3 SUBMITTALS

- A. Submit manufacturer's literature and make and model number for approval.
- B. Submit Shop Drawings and Product Data.

1.4 QUALITY ASSURANCE

- A. Products shall be tested, approved and labeled/listed by Underwriters Laboratories, Inc., or by a nationally recognized testing laboratory (NRTL).
- B. Electrical equipment and materials shall be new and within one year of manufacture, complying with the latest codes and standards. No used, re-built, refurbished and/or re-manufactured electrical equipment and materials shall be furnished on this project.

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Deliver materials to site in unopened cartons or bundles as appropriate, clearly identified with manufacturer's name, Underwriter's or other approved label, grade or identifying number.
- B. Refer to construction drawings for the type of the wiring devices to installed and wired under this project.

PART 2 - PRODUCTS

2.1 WALL SWITCHES – FOR REFERENCE

- A. Wall Switches for Lighting Circuits: Industrial grade, AC switch with toggle handle, rated 20 A and 120-277 VAC. Handle: 'White' in non-laboratory areas, and 'Gray' in laboratory and hi-bay areas with stainless steel covers. Bryant No.: Single pole 4901-W (white) and 4901-GRY (gray); 3-Way 4903-W (white) and 4903-GRY (gray); and 4-Way 4904-W (white) and 4904-GRY (gray); Hubbell or equal.
- B. Locator Type: Lighted handle. Bryant No.: 4901-PLC120 (clear pilot handle for 120Vac) and 4901-PLC277 (clear pilot handle for 277Vac).

2.2 RECEPTACLES

- A. In general, receptacle face color shall be white or gray. For receptacles served from isolated ground system the color shall be orange, receptacles served from the emergency power, the color shall be red, and the surge protected receptacles color shall be blue. Refer to sections below under Paragraph for other specified colors.

- B. Industrial grade, 20A, 125V, 2-pole, 3-wire, NEMA 5-20R duplex for multi-outlet 20A branch circuits or where only one receptacle is connected to a 20A branch circuit. Bryant No. 5362-W (white), 5362-GRY (gray), 5362-Red (emergency) and 5362-IG (orange); Hubbell or equal.
- C. Heavy duty, 20A, 125V, 2-pole, 3-wire, NEMA 5-20R duplex for a 20A branch circuits, only where specified or shown on the drawings. Hubbell or equal.
- D. Industrial grade, 30A, 125V, 2-pole, 3-wire, NEMA 5-30R single receptacle is connected to a 30A branch circuit. Bryant No. 9530-FR (black), and 9530-IG (orange); Hubbell or equal.
- E. Industrial grade, 20A, 250V, 2-pole, 3-wire, NEMA 6-20R single receptacles for single 20A branch circuit. Bryant No. 5461-W (white), 5461 (gray), and 5461-Red (emergency); Hubbell or equal.
- F. Industrial grade, 20A, 250V, 2-pole, 3-wire, NEMA 6-20R duplex receptacles for a 20A branch circuit. Bryant No. 5462-W (white), 5462-GRY (gray) and 5462-Red (emergency) and 5462-IG (orange); Hubbell or equal.
- G. Industrial grade/Heavy duty, 30A, 250V, 2-pole, 3-wire, NEMA 6-30R single receptacle for a 30A branch circuit. Bryant No. 9630-FR (black) and 9630-IG (orange); Hubbell or equal.
- H. Ground Fault Interrupting, duplex receptacle, 20A, 125V, 2-pole, 3-wire, NEMA 5-20R. Bryant No. GFR53FT-W (white), GFR53FT-GRY (gray); Hubbell or equal.
- I. All other special purpose receptacles/plug assemblies as specified on the construction drawings.

### 2.3 DEVICE PLATES AND BOX COVERS

- A. Shall be Type 304 or 316 stainless steel. Device plate manufacturer shall be the same as other flush mounted device plates.
- B. Weatherproof Cover Plate: Metal, hinged device cover with provision for cord attachment with cover closed. TYMAC #MM400 or approved equal.
- C. Device Plates on Surface Outlets: shall match the type of the box device is mounted on. Provide matching gasketed cover plates for devices mounted in FS and FD boxes. Type Crouse-Hinds (WLR-1, -2, WLRD-1, WLG-1, WLG-2, DS224, DS21G, DS35, DS/DSS23, DS32, DS185 etc.), Appleton or equal.

## PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. Install devices and wall plates surface mounted, level and parallel to walls.
- B. Install wall switches 48 inches above floor, OFF position down.

- C. Unless noted otherwise on the Drawings, install convenience receptacles 18 inches on center, above floor or finished grade, with grounding receptacle pole on top.
- D. Install specific-use receptacles at heights, shown on construction drawings.
- E. Provide weatherproof, gasketed cover plates on flush mounted devices where shown, type and configuration to suit flush device and its orientation.
- F. Install blank and device cover plates on switches, receptacles and boxes.
- G. Provide GFCI protected 20Ampere receptacles in accordance with NEC - "Ground Fault Protection for Personnel" in all areas.
- H. All GFCI receptacles in wet areas shall be in weatherproof enclosure.
- I. In addition, GFI protection shall be provided for:
  - 1. Out-door electrical receptacles with weatherproof enclosure/protection.
  - 2. Receptacles within six feet of an emergency eye wash/shower.

### 3.2 GROUNDING

- A. Permanently and effectively ground wiring devices in accordance with Division 26 Section "Secondary Grounding for Electrical Systems".

END OF SECTION 262726

SECTION 262816 - ENCLOSED SWITCHES AND CIRCUIT BREAKERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Non-fusible switches.
  - 2. Molded-case circuit breakers (MCCBs).
  - 3. Molded-case switches.
  - 4. Enclosures.

1.3 DEFINITIONS

- A. NC: Normally closed.
- B. NO: Normally open.
- C. SPDT: Single pole, double throw.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of enclosed switch, circuit breaker, accessory, and component indicated. Include nameplate ratings, dimensioned elevations, sections, weights, and manufacturers' technical data on features, performance, electrical characteristics, ratings, accessories, and finishes.
  - 1. Enclosure types and details for types other than NEMA 250, Type 1.
  - 2. Current and voltage ratings.
  - 3. Short-circuit current ratings (interrupting and withstand, as appropriate).
  - 4. Include evidence of a nationally recognized testing laboratory (NRTL) listing for series rating of installed devices.
  - 5. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices, accessories, and auxiliary components.

6. Include time-current coordination curves (average melt) for each type and rating of overcurrent protective device; include selectable ranges for each type of overcurrent protective device. Provide in PDF electronic format.

#### 1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For enclosed switches and circuit breakers to include in emergency, operation, and maintenance manuals.
  1. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:
    - a. Manufacturer's written instructions for testing and adjusting enclosed switches and circuit breakers.
    - b. Time-current coordination curves (average melt) for each type and rating of overcurrent protective device; include selectable ranges for each type of overcurrent protective device. Provide in PDF electronic format.

#### 1.6 FIELD CONDITIONS

- A. Environmental Limitations: Keep temperature sensitive materials in warm storage.

#### 1.7 WARRANTY

- A. Manufacturer's Warranty: Manufacturer and Installer agree to repair or replace components that fail in materials or workmanship within specified warranty period.
  1. Warranty Period: One year from date of Substantial Completion.

### PART 2 - PRODUCTS

#### 2.1 PERFORMANCE REQUIREMENTS

- A. Seismic Performance: Enclosed switches and circuit breakers shall withstand the effects of earthquake motions determined according to ASCE.
  1. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified and the unit will be fully operational after the seismic event."

## 2.2 GENERAL REQUIREMENTS

- A. Source Limitations: Obtain enclosed switches and circuit breakers, overcurrent protective devices, components, and accessories, within same product category, from single manufacturer.
- B. Product Selection for Restricted Space: Drawings indicate maximum dimensions for enclosed switches and circuit breakers, including clearances between enclosures, and adjacent surfaces and other items. Comply with indicated maximum dimensions.
- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by an NRTL, and marked for intended location and application.
- D. Comply with NFPA 70, the National Electrical Code (NEC).
- E. Type GD, General Duty, Three Pole, Single Throw, 240-V ac, 600 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, lockable handle with capability to accept two padlocks, and interlocked with cover in closed position.
- F. Type HD, Heavy Duty, Three Pole, Single Throw, 600-V ac, 1200 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, lockable handle with capability to accept three padlocks, and interlocked with cover in closed position.
- G. Type HD, Heavy Duty, Six Pole, Single Throw, 600-V ac, 200 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, lockable handle with capability to accept three padlocks, and interlocked with cover in closed position.

## 2.3 MOLDED-CASE CIRCUIT BREAKERS

- A. Circuit breakers shall be constructed using glass-reinforced insulating material. Current carrying components shall be completely isolated from the handle and the accessory mounting area.
- B. Circuit breakers shall have a toggle operating mechanism with common tripping of all poles, which provides quick-make, quick-break contact action. The circuit-breaker handle shall be over center, be trip free, and reside in a tripped position between on and off to provide local trip indication. Circuit-breaker escutcheon shall be clearly marked on and off in addition to providing international I/O markings. Equip circuit breaker with a push-to-trip button, located on the face of the circuit breaker to mechanically operate the circuit-breaker tripping mechanism for maintenance and testing purposes.
- C. The maximum ampere rating and UL, IEC, or other certification standards with applicable voltage systems and corresponding interrupting ratings shall be clearly marked on face of circuit breaker.
- D. MCCBs shall be equipped with a device for locking in the isolated position.
- E. Lugs shall be suitable for 70C rated wire.
- F. Standard: Comply with UL 489 with interrupting capacity to comply with available fault currents.



- G. Thermal-Magnetic Circuit Breakers: Inverse time-current thermal element for low-level overloads and instantaneous magnetic trip element for short circuits. Adjustable magnetic trip setting for circuit-breaker frame sizes 250 A and larger.
- H. Adjustable, Instantaneous-Trip Circuit Breakers: Magnetic trip element with front-mounted, field-adjustable trip setting.
- I. Features and Accessories:
  - 1. Standard frame sizes, trip ratings, and number of poles.
  - 2. Lugs: Mechanical Compression type, suitable for number, size, trip ratings, and conductor material.

#### 2.4 MOLDED-CASE SWITCHES

- A. Description: MCCB with fixed, high-set instantaneous trip only, and short-circuit withstand rating equal to equivalent breaker frame size interrupting rating.
- B. Standard: Comply with UL 489 with interrupting capacity to comply with available fault currents.
- C. Features and Accessories:
  - 1. Standard frame sizes and number of poles.
  - 2. Lugs:
    - a. Mechanical Compression type, suitable for number, size, trip ratings, and conductor material.
    - b. Lugs shall be suitable for 70C rated wire.

#### 2.5 ENCLOSURES

- A. Enclosed Switches and Circuit Breakers: UL 489, NEMA KS 1, NEMA 250, and UL 50, to comply with environmental conditions at installed location.
- B. Enclosure Finish: The enclosure shall be finished with a brush finish on Type 304/316 stainless steel (NEMA 250, Type 4X stainless steel).
- C. Conduit Entry: NEMA 250, Type 4X, enclosures shall contain no knockouts.
- D. Operating Mechanism: The circuit-breaker operating handle shall be externally operable with the operating mechanism being an integral part of the box. The cover interlock mechanism shall have an externally operated override. The override shall not permanently disable the interlock mechanism, which shall return to the locked position once the override is released. The tool used to override the cover interlock mechanism shall not be required to enter the enclosure in order to override the interlock.

- E. Enclosures designated as NEMA 250, Type 4X stainless steel, shall have a dual cover interlock mechanism to prevent unintentional opening of the enclosure cover when the circuit breaker is ON and to prevent turning the circuit breaker ON when the enclosure cover is open.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine elements and surfaces to receive enclosed switches and circuit breakers for compliance with installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.
  - 1. Commencement of work shall indicate Installer's acceptance of the areas and conditions as satisfactory.

#### 3.2 PREPARATION

- A. Interruption of Existing Electric Service: Do not interrupt electric service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary electric service according to requirements indicated:
  - 1. Provide in writing for Owner's approval, two (2) days in advance, of interruption of power for installation work this Project.
  - 2. Comply with NFPA 70E.

#### 3.3 ENCLOSURE ENVIRONMENTAL RATING APPLICATIONS

- A. Enclosed Switches and Circuit Breakers: Provide enclosures at installed locations with the following environmental ratings.
  - 1. All locations: Type 4X, stainless steel

#### 3.4 INSTALLATION

- A. Coordinate layout and installation of switches, circuit breakers, and components with equipment served and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.
- B. Install individual wall-mounted switches and circuit breakers with tops at uniform height unless otherwise indicated.
- C. Comply with NFPA 70 and NECA 1.

### 3.5 IDENTIFICATION

- A. Comply with requirements in Section 260553 "Identification for Electrical Systems."
  - 1. Identify field-installed conductors, interconnecting wiring, and components; provide warning signs.
  - 2. Label each enclosure with engraved metal or laminated-plastic nameplate.

### 3.6 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Tests and Inspections for Switches:
  - 1. Visual and Mechanical Inspection:
    - a. Inspect physical and mechanical condition.
    - b. Inspect anchorage, alignment, grounding, and clearances.
    - c. Verify that the unit is clean.
    - d. Inspect bolted electrical connections for high resistance using one of the two following methods:
      - 1) Use a low-resistance ohmmeter.
        - a) Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from those of similar bolted connections by more than 50 percent of the lowest value.
      - 2) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data or NETA ATS Table 100.12.
        - a) Bolt-torque levels shall be in accordance with manufacturer's published data. In the absence of manufacturer's published data, use NETA ATS Table 100.12.
    - e. Verify correct phase barrier installation.
    - f. Verify lubrication of moving current-carrying parts and moving and sliding surfaces.
  - 2. Electrical Tests:
    - a. Perform resistance measurements through bolted connections with a low-resistance ohmmeter. Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from adjacent poles or similar switches by more than 50 percent of the lowest value.
    - b. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with switch closed, and across each open pole. Apply voltage in accordance with manufacturer's published data. In the absence of manufacturer's published data, use Table 100.1 from the NETA ATS. Investigate values of insulation resistance less than those published in Table 100.1 or as recommended in manufacturer's published data.
    - c. Perform ground fault test according to NETA ATS 7.14 "Ground Fault Protection Systems, Low-Voltage."

- C. Tests and Inspections for Molded Case Circuit Breakers:
1. Visual and Mechanical Inspection:
    - a. Verify that equipment nameplate data are as described in the Specifications and shown on the Drawings.
    - b. Inspect physical and mechanical condition.
    - c. Inspect anchorage, alignment, grounding, and clearances.
    - d. Verify that the unit is clean.
    - e. Operate the circuit breaker to ensure smooth operation.
    - f. Inspect bolted electrical connections for high resistance using one of the two following methods:
      - 1) Use a low-resistance ohmmeter.
        - a) Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from those of similar bolted connections by more than 50 percent of the lowest value.
      - 2) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data or NETA ATS Table 100.12.
        - a) Bolt-torque levels shall be in accordance with manufacturer's published data. In the absence of manufacturer's published data, use NETA ATS Table 100.12.
    - g. Inspect operating mechanism, contacts, and chutes in unsealed units.
    - h. Perform adjustments for final protective device settings in accordance with the coordination study.
  2. Electrical Tests:
    - a. Perform resistance measurements through bolted connections with a low-resistance ohmmeter. Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from adjacent poles or similar switches by more than 50 percent of the lowest value.
    - b. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with circuit breaker closed, and across each open pole. Apply voltage in accordance with manufacturer's published data. In the absence of manufacturer's published data, use Table 100.1 from the NETA ATS. Investigate values of insulation resistance less than those published in Table 100.1 or as recommended in manufacturer's published data.
    - c. Perform a contact/pole resistance test. Drop values shall not exceed the high level of the manufacturer's published data. If manufacturer's published data are not available, investigate values that deviate from adjacent poles or similar switches by more than 50 percent of the lowest value.
    - d. Perform insulation resistance tests on all control wiring with respect to ground. Applied potential shall be 500-V dc for 300-V rated cable and 1000-V dc for 600-V rated cable. Test duration shall be one minute. For units with solid state components, follow manufacturer's recommendation. Insulation resistance values shall be no less than two megohms.
- D. Enclosed switches and circuit breakers will be considered defective if they do not pass tests and inspections.

- E. Prepare test and inspection reports.
  - 1. Test procedures used.
  - 2. Include identification of each enclosed switch and circuit breaker tested and describe test results.
  - 3. List deficiencies detected, remedial action taken, and observations after remedial action.

### 3.7 ADJUSTING

- A. Adjust moving parts and operable components to function smoothly and lubricate as recommended by manufacturer.

END OF SECTION 262816

SECTION 264313 – SURGE PROTECTIVE DEVICES

Note: The City is procuring the main multi-bay electrical cabinet that includes all required Surge Protective Device(s) as defined in the specification below. All manufacturers' documentation will be made available to CONTRACTOR for use in installation.

PART 1 – GENERAL

1.1 SUMMARY

- A. The CONTRACTOR shall install the City supplied equipment that includes Surge Protective Device (SPD) equipment having the electrical characteristics, ratings, and modifications as specified herein and as shown on the contract drawings. The ac surge protection shall be integrated into electrical distribution equipment such as (turbine) control centers provided by the City.

1.1 REFERENCE STANDARDS

- A. SPD units and all components shall be designed, manufactured, and tested in accordance with the latest applicable UL standard (ANSI/UL 1449 3<sup>rd</sup> Edition).

1.2 SUBMITTALS

- A. The following information shall be submitted to the Engineer:
  - 1. Provide verification that the SPD complies with the required ANSI/UL 1449 3<sup>rd</sup> Edition listing by Underwriters Laboratories (UL) or other Nationally Recognized Testing Laboratory (NRTL). Compliance may be in the form of a file number that can be verified on UL's website or on any other NRTL's website, as long as the website contains the following information at a minimum: model number, SPD Type, system voltage, phases, modes of protection, Voltage Protection Rating (VPR), and Nominal Discharge Current ( $I_n$ ).
- B. The following additional information shall be submitted to the engineer:
  - 1. Descriptive bulletins.
  - 2. Product sheets.

1.3 QUALIFICATIONS

- A. The manufacturer of the assembly shall be the manufacturer of the major components within the assembly.
- B. For the equipment specified herein, the manufacturer shall be ISO 9001 or 9002 certified.

- C. The manufacturer of this equipment shall have produced similar electrical equipment for a minimum period of five (5) years. When requested by the Engineer, an acceptable list of installations with similar equipment shall be provided demonstrating compliance with this requirement.
- D. The SPD shall be compliant with the Restriction of Hazardous Substances (RoHS) Directive 2002/95/EC.

#### 1.4 DELIVERY, STORAGE AND HANDLING

- A. Equipment shall be handled and stored in accordance with manufacturer's instructions. One (1) copy of manufacturer's instructions shall be included with the equipment at time of shipment.

#### 1.5 OPERATION AND MAINTENANCE MANUALS

- A. Operation and maintenance manuals shall be provided with each SPD shipped.

### PART 2 – PRODUCTS

#### 2.1 MANUFACTURERS

- A. Eaton
- B. Siemens
- C. General Electric
- D. Advanced Protection Technologies
- E. Or Approved Equal.

#### 2.2 VOLTAGE SURGE SUPPRESSION

- A. Electrical Requirements
  1. Unit Operating Voltage – Refer to drawings for operating voltage and unit configuration.
  2. Maximum Continuous Operating Voltage (MCOV) – The MCOV shall not be less than 115% of the nominal system operating voltage.
  3. The suppression system shall incorporate thermally protected metal-oxide varistors (MOVs) as the core surge suppression component for the service entrance and all other distribution levels.
  4. Protection Modes – The SPD must protect all modes of the electrical system being utilized. The required protection modes are indicated by bullets in the following table:

Configuration	Protection Modes			
	L-N	L-G	L-L	N-G
Wye	●	●	●	●
Delta	N/A	●	●	N/A
Single Split Phase	●	●	●	●
High Leg Delta	●	●	●	●

5. Nominal Discharge Current ( $I_n$ ) – All SPDs applied to the distribution system shall have a 20kA  $I_n$  rating regardless of their SPD Type or operating voltage.
6. ANSI/UL 1449 3rd Edition Voltage Protection Rating (VPR) – The maximum ANSI/UL 1449 3rd Edition VPR for the device shall not exceed the following:

MODES	208Y/120	480Y/277	600Y/347
L-N; L-G; N-G	700	1200	1500
L-L	1200	2000	3000

**B. SPD Design**

1. Maintenance Free Design – The SPD shall be maintenance free and shall not require any user intervention throughout its life.
2. Balanced Suppression Platform – The surge current shall be equally distributed to all MOV components to ensure equal stressing and maximum performance. The surge suppression platform must provide equal impedance paths to each matched MOV.
3. Electrical Noise Filter – Each unit shall include a high-performance EMI/RFI noise rejection filter. Noise attenuation for electric line noise shall be up to 50 dB from 10 kHz to 100 MHz using the MIL-STD-220A insertion loss test method.
4. Internal Connections – No plug-in component modules or printed circuit boards shall be used as surge current conductors. All internal components shall be soldered, hardwired with connections utilizing low impedance conductors.
5. Monitoring Diagnostics – Each SPD shall provide the following integral monitoring options:
  - a. Protection Status Indicators - Each unit shall have a green / red solid-state indicator light that reports the status of the protection on each phase.
    - 1) For wye configured units, the indicator lights must report the status of all protection elements and circuitry in the L-N and L-G modes. Wye configured units shall also contain an additional green / red solid-state indicator light that reports the status of the protection elements and circuitry in the N-G mode.
    - 2) For delta configured units, the indicator lights must report the status of all protection elements and circuitry in the L-G and L-L modes.
    - 3) The absence of a green light and the presence of a red light shall indicate that damage has occurred on the respective phase or mode. All protection status indicators must indicate the actual status of the protection on each phase or mode. If power is removed from any one phase, the indicator lights must



- continue to indicate the status of the protection on all other phases and protection modes.
- b. Remote Status Monitor – The SPD must include Form C dry contacts (one NO and one NC) for remote annunciation of its status. Both the NO and NC contacts shall change state under any fault condition.
  - c. Audible Alarm and Silence Button – The SPD shall contain an audible alarm that will be activated under any fault condition. There shall also be an audible alarm silence button used to silence the audible alarm after it has been activated.
  - d. Surge Counter – The SPD shall be equipped with an LCD display that indicates to the user how many surges have occurred at the location. The surge counter shall trigger each time a surge event with a peak current magnitude of a minimum of  $50 \pm 20A$  occurs. A reset pushbutton shall also be standard, allowing the surge counter to be zeroed. The reset button shall contain a mechanism to prevent accidental resetting of the counter via a single, short-duration button press. In order to prevent accidental resetting, the surge counter reset button shall be depressed for a minimum of 2 seconds in order to clear the surge count total.
    - 1) The ongoing surge count shall be stored in non-volatile memory. If power to the SPD is completely interrupted, the ongoing count indicated on the surge counter's display prior to the interruption shall be stored in non-volatile memory and displayed after power is restored. The surge counter's memory shall not require a backup battery in order to achieve this functionality.
6. Overcurrent Protection
- a. The unit shall contain thermally protected MOVs. These thermally protected MOVs shall have a thermal protection element packaged together with the MOV in order to achieve overcurrent protection of the MOV. The thermal protection element shall disconnect the MOV(s) from the system in a fail-safe manner should a condition occur that would cause them to enter a thermal runaway condition.
7. Fully Integrated Component Design – All of the SPD's components and diagnostics shall be contained within one discrete assembly. SPDs or individual SPD modules that must be ganged together in order to achieve higher surge current ratings or other functionality shall not be accepted.

### 2.3 SYSTEM APPLICATION

- A. The SPD applications covered under this section include distribution and branch panel locations, motor control centers (MCC), and switchboard assemblies. All SPDs shall be tested and demonstrate suitability for application within ANSI/IEEE C62.41 Category C, B, and A environments.
- B. Minimum surge current capability shall be as shown in the following table:

<b>Minimum surge current capacity based on ANSI / IEEE C62.41 location category</b>			
<b>CATEGORY</b>	<b>APPLICATION</b>	<b>PER PHASE</b>	<b>PER MODE</b>
C	Service Entrance Locations (Switchboards, Switchgear, MCC, Main Entrance)	300 kA	150 kA
B	High Exposure Roof Top Locations (Distribution Panel boards)	200 kA	100 kA
A	Branch Locations (Panel boards, MCC's, Busway)	100 kA	50 kA

2.4 Lighting and Distribution Panelboard Requirements

A. The SPD applications covered under this section includes lighting and distribution panelboards. The SPD units shall be tested and demonstrate suitability for application within ANSI/IEEE C62.41 Category B environments.

1. The SPD shall not limit the use of through-feed lugs, sub-feed lugs, and sub-feed breaker options.
2. SPDs shall be installed immediately following the load side of the main breaker. SPDs installed in main lug only panelboards shall be installed immediately following the incoming main lugs.
3. The panelboard shall be capable of re-energizing upon removal of the SPD.
4. The SPD shall be interfaced to the panelboard via a direct bus bar connection.
5. The SPD shall be included and mounted within the panelboard by the manufacturer of the panelboard.
6. The SPD shall be of the same manufacturer as the panelboard.
7. The complete panelboard including the SPD shall be UL67 listed.

B. Switchboard and MCC Requirements

1. The SPD application covered under this section is for switchboards and MCCs shall be service entrance located SPDs shall be tested and demonstrate suitability for application within ANSI/IEEE C62.41 Category C environments.
2. The SPD shall be of the same manufacturer as the switchboard and MCC.
3. The SPD shall be factory installed inside the assembly by the original equipment manufacturer.
4. The SPD shall be connected through a 30A circuit breaker. The circuit breaker shall be located in immediate proximity to the SPD. Connection shall be made via bus, conductors, or other connections originating in the SPD and shall be kept as short as possible.
5. All monitoring and diagnostic features shall be visible from the front of the equipment.

C. Side-mount Applications Installation (SPD mounted external to electrical assembly)

1. Lead length between the breaker and suppressor shall be kept as short as possible (less than 24”) to ensure optimum performance. Any excess conductor length shall be trimmed in order to minimize let-through voltage. The installer shall gently twist wires together and comply with the manufacturer's recommended installation and wiring practices.

2. Installer may reasonably rearrange breaker locations to ensure the shortest and straightest possible leads to SPDs.
3. At the service entrance or transfer switch locations, a UL approved disconnect switch shall be provided as a means of servicing the SPDs, if a 60A breaker is not available.
4. At distribution, MCC, and branch locations, the SPD shall have an independent means of disconnect so servicing of the SPD can be performed. The disconnect means shall not interrupt service to the protected panel, a 30A breaker or larger may be used to serve this function.

## 2.5 ENCLOSURES

- A. All enclosed equipment shall have NEMA Type 4X enclosures. Provide enclosures suitable for locations and/or as indicated on the drawings.

## PART 3 – EXECUTION

### 3.1 FACTORY TESTING

- A. Standard factory tests shall be performed on the equipment under this section. All tests shall be in accordance with the latest version of NEMA and UL standards.

### 3.2 INSTALLATION

- A. The CONTRACTOR shall install all equipment per the manufacturer's recommendations and the contract drawings.

### 3.3 WARRANTY

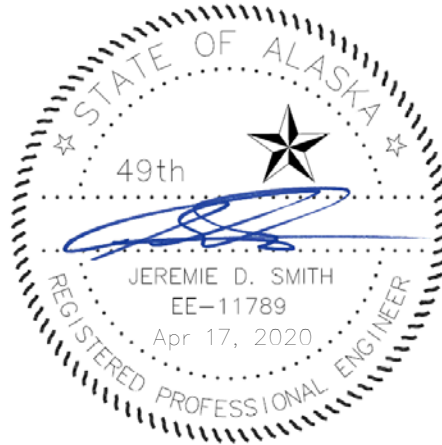
- A. The manufacturer shall provide a fifteen (15) month warranty from the date of delivery, or one (1) year after commercial operation (whichever occurs first), against any SPD part failure when installed in compliance with manufacturer's written instructions and any applicable national or local code.

END OF SECTION 264313

**SCADA/Control Specifications**

409513.01 – Integration with SCADA

409513.02 – Electrical Power Monitoring and Control



These documents were prepared under the supervision of a registered Professional Engineer.

SECTION 409513.01 INTEGRATION WITH SCADA

PART 1 GENERAL

Note: This specification assumes that the City of Unalaska's designated SCADA integrator – Boreal Controls Inc (BCI) provides these services as a direct contractor to the City.

1.1 SUMMARY

- A. This section includes the integration of the Turbine Control Panel (TCP) with the Unalaska SCADA system.

1.2 RELATED REQUIREMENTS

- A. Section 409513.02 Electrical Power Monitoring and Control

1.3 REFERENCE STANDARDS

- A. All work and materials shall be in accordance with Division 26, the NEC, local and state electrical codes, and accepted engineering best practices.
- B. ANSI/ISA-50.1-1982 (R1992) - Compatibility of Analog Signals for Electronic Industrial Process Instruments

1.4 SYSTEM DESCRIPTION

BCI shall perform integration of the existing Unalaska SCADA system with the TCP Panel in accordance with this specification and specification 409513.02.

- A. Operation of the energy recovery system shall be controlled by a PLC contained in the TCP. Interface to the Unalaska SCADA, enabling the control room operator to monitor system operational statuses and alarms as well as input and discharge pressures and power production (KW). The control room operator is to have the capability to override the controls to remotely shut down each turbine-generator.
- B. The TCP shall have a port for Ethernet/IP communications to the existing SCADA PLC.
- C. Unalaska SCADA systems integrator scope of work shall be inclusive of, but may not be limited to:
  - 1. Development of application programming sequences to be programmed into Unalaska SCADA system for remote operator monitoring of performance and statuses, as well as the capability for remote override shutdown.
  - 2. Design and development of one or more new graphic display screens and modifications or

updates to existing graphic display screens to accommodate operator monitoring status of energy recovery system and power generation, as well as remote override shutdown of turbine generators when remote control is enabled at the TCP.

3. Update of graphic display screens and application programming to accommodate the monitoring and remote control of new 16-inch flow control valves.

## 1.5 SUBMITTALS

- A. Provide all submittals and deliverables as specified herein and in accordance with DIVISION 01 - General Requirements
- B. Provide shop drawings or updates to existing shop drawings for any hardware changes to the existing SCADA system.
- C. Provide process descriptions for programmed and keyboard-controlled monitoring and control functions and operating instructions.
- D. Prepare programming documentation for PLC and SCADA system modifications in accordance with Customer standards and practice.
- E. Graphic Display Screens:
  1. SCADA System Integrator shall prepare a graphic display screen in accordance with Unalaska's display criteria to display relevant Energy Recovery System status and measurement information. Symbols and color coding to be in accordance with Unalaska's SCADA conventions.
  2. SCADA system shall monitor and log real-time power input (kW) as transmitted from TCP. Monitoring frequency shall be at least one scan per minute. SCADA system shall be programmed to integrate energy (kWH) and display as screen display for 24-hour and annual (YTD) periods or any other period as determined by Unalaska.

## 1.6 SPARE PARTS – Not applicable

## PART 2 PRODUCTS

### 2.1 SIGNALS TO/FROM TURBINE CONTROL PANEL and SCADA PLC

- A. Monitoring and control signals are as defined in Specifications Section 409513.02 Electrical Power Monitoring and Control

### 2.2 PROGRAMMING AND INTEGRATION

- A. Updated interactive displays in SCADA based Operator terminals that provide monitoring and control of the MICROTURBINE SYSTEM.

PART 3 EXECUTION

3.1 ON-SITE COMMISSIONING

- A. SCADA System Integrator scope of work is inclusive of on-site support to accommodate the existing SCADA system integration with the TCP and system start-up and acceptance test procedures.

END OF SECTION 409513.01

SECTION 409513.02 ELECTRICAL POWER MONITORING AND CONTROL

Note: The City has procured directly the supply of the main electrical Turbine Control Panel (TCP). This scope includes all programming of the TCP's PLC. The City will secure a direct contract with its SCADA integrator – Boreal Controls (BCI) for the SCADA portion of the work defined below.

PART 1 GENERAL

1.1 SUMMARY

- A. Section includes: Monitoring and control signal interface from Turbine Control Panel and switchgear cabinet (TCP) to customer supplied ports on existing PLC and application programming.

1.2 RELATED REQUIREMENTS:

- A. Section 409513.01: Integration With SCADA

1.3 REFERENCE STANDARDS

- A. All work and materials shall be in accordance with Division 26, the NEC, local and state electrical codes, and accepted engineering best practices.
- B. ANSI/ISA-50.1-1982 (R1992) - Compatibility of Analog Signals for Electronic Industrial Process Instruments

1.4 SYSTEM DESCRIPTION

Unalaska designated SCADA Systems Integrator (BCI) shall perform integration of the existing Unalaska SCADA system with the TCP in accordance with this specification, Section 409513.01.

- A. Operation of the energy recovery system shall be controlled by the PLC contained in the TCP. Interface to the Unalaska SCADA PLC enabling the control room operator to monitor system operational status and alarms as well as turbine system inlet and discharge pressures and power production (KW). The control room operator is to also have the capability to override control to remotely shut down each of turbine-generators operations.
- B. The turbine control panel shall have a terminal block for hard-wire connections of:
  - 1. Discrete control signals from the SCADA system PLC to the TCP PLC:
    - a. Turbine No. 1 Remote Start/Stop
    - b. Turbine No. 2 Remote Start/Stop



2. Analog Measurements from field instruments:
    - a. Input pressure (PSIG)
    - b. Turbine Discharge Pressure (PSIG)
  3. Port for Ethernet/IP Serial Communication
    - a. WTP Treated Water Output Flowmeter (GPM)
    - b. Raw Water Discharge Line Flowmeter (GPM)
- C. The turbine control panel PLC application programming shall be inclusive of, but may not be limited to:
1. Development of application programming sequences for interface with Unalaska SCADA system for remote operator monitoring of performance and statuses, as well as the capability for remote SCADA override shutdown.
  2. Design and development of operator interface terminal (OIT) screen displays on turbine control panel faceplate to accommodate operator monitoring status of energy recovery system and power generation, as well as remote override shutdown of turbine generators when remote control is enabled at the TCP.

## 1.5 SUBMITTALS

- A. Provide all submittals and deliverables as specified herein and in accordance with DIVISION 01-General Requirements
- B. Provide wiring schedules and bill of materials if relevant.
- C. Provide shop drawings for any conduit runs or other hardware
- D. Provide process descriptions for programmed and keyboard-controlled monitoring and control functions and operating instructions.
- E. Prepare programming documentation for PLC and SCADA system modifications in accordance with Customer standards and practice.

## 1.6 SPARE PARTS – Not applicable

## PART 2 PRODUCTS

- ### 2.1 DIGITAL SIGNAL TRANSMISSION BETWEEN ETHERNET PORTS AT TURBINE CONTROL PANEL AND AT SCADA SYSTEM PLC
- A. Real Power Generated (kW)
  - B. Inlet Pressure (PSIG)
  - C. Discharge Pressure (PSIG)
  - D. Turbine-Generator No. 1 AUTO Enable

- E. Turbine-Generator No. 1 Status (ON/OFF)
- F. Turbine-Generator No.1 Fail to Start
- G. Turbine-Generator No. 2 AUTO Enable
- H. Turbine Generator No. 2 Status (ON/OFF)
- I. Turbine Generator No. 2 Fail to Start
- J. Inlet High-Pressure Alarm
- K. Inlet Low-Pressure Alarm
- L. Inlet Control Valve No. 1 Failure
- M. Inlet Control Valve No. 2 Failure
- N. Discharge High-Pressure Alarm
- O. Discharge Low-Pressure Alarm
- P. Multi-Function Relay Trip
- Q. Generator No. 1 Bearing RTD Trip
- R. Generator No. 2 Bearing RTD Trip
- S. Generator No. 1 Winding Temperature Trip
- T. Generator No. 2 Winding Temperature Trip
- U. PS/UPS Alarm
- V. Emergency Stop Switch
- W. Master Control Relay
- X. Turbine-Generator No. 1 Start/Stop Command from SCADA
- Y. Turbine-Generator No. 2 Start/Stop Command from SCADA
- Z. Inlet Control Valve No. 1 Position
- AA. Inlet Control Valve No. 2 Position
- BB. Turbine System Inlet Pressure
- CC. Turbine System Discharge Pressure
- DD. Turbine No. 1 Speed
- EE. Turbine No. 2 Speed
- FF. Turbine No. 1 Bearing Temperature
- GG. Turbine No. 2 Bearing Temperature

END OF SECTION 409513.02

**Part 6**

**DRAWINGS**

**Part 7**

**APPENDICES**



## CITY OF UNALASKA

# PYRAMID WTP MICROTURBINE PROJECT



**100% Project Design Manual**

**April 2020**

**SUBMITTED BY**

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# 1 Executive Summary

## 1.1 Problem Statement

The purpose of this project is to install two water turbine generators to utilize the excess head pressure provided by the elevation of Icy Creek reservoir to create usable electricity. The existing Pyramid Water Treatment Plant (PWTP) will be reconfigured to allow hydroelectric power generation which will be tied into the existing water plant main distribution panel (MDP) to allow for distribution to the water plant’s electric power system.

## 1.2 Design Summary

The City of Unalaska, Alaska (City) owns and operates the PWTP. The PWTP is the main source of fresh drinking water to the City’s government facilities and its residential and commercial customers. The source supply for the PWTP is the Icy Creek reservoir. The PWTP has the design capability to treat 6,250 gpm of water. The actual treated water processing demand is typically 500 to 5000 gpm during a calendar year.

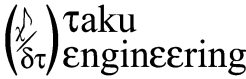

The inlet pressure of the supply from the reservoir is typically 150-200 feet of head. The finished drinking water exits the PWTP and flows into the Chlorine Contact Tank adjacent to the PWTP. The head required to maintain the Chlorine Contact Tank level is ~30-40 feet. The difference between the inlet and outlet pressures (~160-170 feet) is reduced using pressure reduction valves.

The City desires to utilize the excess pressure to generate clean renewable power for use at the PWTP and place any excess on the City’s grid; thereby reducing its dependency on fossil fuels. This project encompasses the work necessary to incorporate a hydropower system into the PWTP that will capture this energy while allowing the City to deliver on its primary mission of supplying safe drinking water to its customers.

The City also desires to have the option to utilize water from the reservoir that is in excess of processing demand to generate an additional increment of power. The amount and duration of use of the excess will be determined on reservoir level, processing demand and weather/moisture patterns. Accordingly, the PWTP will be designed to accommodate varying water processing demands and utilize an excess of water for generation at a combined rate of up to 7000 gpm. The excess water will be discharged prior to water processing operations to the Pyramid Creek.

The top-level functional design criteria are:

1. Operation of the hydropower system will always be under the full control of the City via its SCADA system.
2. Power generation will be transparent to water processing and quality.

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3. All hydropower equipment will be compliant with the water quality standards as required by Alaska’s permitting agencies.
4. Power generation, control, and instrumentation will be designed in accordance with applicable codes and standards including, but not limited to, the NEC and UL-508A.
5. Mechanical design of the system will have features to preclude any challenges to mechanical integrity.
6. Maximizing power, while a goal, is secondary to the City’s mission to supply safe, reliable drinking water.
7. Optimize system/component design with a goal to preclude any modifications to the footprint or interior walls/floors of the PWTP.

The design of the hydropower system will provide the ability to generate varying amounts of power over the expanded flow into the plant which will span 500 to 7000 gpm. The design modifications for the PWTP that follow the above functional criteria include:

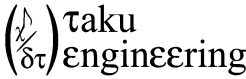

1. Situate two hydropower turbine generators and control/isolation valves just downstream of the raw water supply inlet to the PWTP.
2. Size and locate two PRVs hydraulically in parallel to the turbine generators.
3. Replace the current PRVs with modulating flow control valves to manage Chlorine Contact Tank level without pressure loss.
4. Relocate the parallel strainers upstream of the turbine generators and PRVs.
5. Replace the existing Chlorine Addition Pumps with two VFD driven pumps for use at a varying lower pressure head.
6. Redesign the bypass piping that discharges to Pyramid Creek with a configuration that is mechanically and structurally capable of handling 11,000 gpm flows during infrequent high silt/turbidity events as well as excess flow used exclusively for power generation.
7. Locate the main switchgear/control panel for the hydropower system and supply the power to the PWTP’s electrical system and any excess to the City through the Main Distribution Panel.
8. Define the needed modifications to the existing SCADA system such that it can interface with the hydropower system PLC and to control the new flow control valves at the discharge of the PWTP.

## 2 Existing Conditions

The existing water plant is fed by a 24” ductile iron pipe from the Icy Creek Reservoir. The reservoir lies approximately 200 feet in elevation above the plant floor. The water leaves the plant and enters a chlorine contact tank. Following the contact tank, the treated water leaves the area and is sent down the hill for distribution. There is also a 16” raw water discharge pipe that leaves the water treatment plant and returns to Pyramid Creek via a constructed outfall.

Inside the water treatment plant, the raw water comes into the plant and passes through a strainer system, to UV reactors, the chlorine injection point, followed by the treatment system flow meter. After the water is processed, two parallel pressure reducing valves are used to control the level in



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the chlorine contact tank located outside the water treatment plant. Prior to the strainers is a straight run of 16" piping approximately 40 feet long that has been purposely set aside for the future use of hydroelectric generation.

The processing flow rate is controlled by the level control valves as they sense the dropping pressure in the contact tank and open further to allow more flow through the valves, or close as the tank reaches maximum level. The water plant meters the flow before the level control valves with an inline 16" Rosemont magnetic flow meter. The flow meter is tied into the SCADA system and ramps the UV reactors up and down to match the flow rate. The SCADA system also matches the chlorine dosing rate by running one of the four different sized chlorine dosing pumps. During high silt/turbidity events the treatment system is turned off and raw water is dumped straight into Pyramid Creek via the 16" raw water discharge piping until the water has returned to an acceptable level.

For reference, see Appendix A for photographs of the existing system.

### 3 Scope

#### 3.1 Scope Overview

The purpose of this project is to install two turbine generators to utilize the excess head pressure provided by the elevation of Icy Creek Reservoir to create usable electricity. The water treatment plant will be reconfigured to allow hydroelectric power generation which will be tied into the existing MDP to allow for distribution to the city of Unalaska.

The existing strainer section will be relocated to be the first section of piping when raw water enters the facility. Following the strainers, the turbine generators and parallel pressure reducing valves will be installed. This configuration of generators and pressure reducing valves will allow the facility to fully utilize up to the maximum permitted water usage for power generation, or to treat water to the maximum capability of the system while generating now power.

After the generator section, the piping will tee to the water treatment process, or to the discharge piping. This arrangement will allow the generators to continuously provide power by discharging water through the turbines to Pyramid Creek when the treatment system is not running. The discharge piping within the plant will be reconfigured to allow the installation of a flow control valve, bypass piping around the strainers and generators, and to configure the piping to allow the discharge flow meter to more accurately read the flowrate.

The treatment system will require the replacement of the existing chlorine injection pumps to work with the new lower treatment pressures. The existing four pumps will be replaced with two VFD driven pumps. The existing chlorine injection system will be reconfigured in a duplex configuration so that either pump can drive the chlorine system. After the treatment section, the existing Cla-Val level control valves (which controls the level in the chlorine contact tank) will be

replaced with modulating butterfly valves. The butterfly valves will respond to SCADA control to maintain the chlorine tank level to set levels.

## **3.2 Mechanical**

The mechanical scope for this project includes the piping to support the turbine generators, associated pressure reducing valves, relocation of the existing strainers, and the replacement of the existing pressure reducing valves being utilized as level control for the contact tank. The existing chlorine pumps will also need to be replaced with VFD driven units to meet the new reduced and variable pressure in the treated water section.

The piping is to all be 304 or 316 stainless steel, schedule 40, butt welded and raised-faced flanged rated at 150#. The construction will need to be staged in order to minimize the water treatment system downtime during the duration of the construction which is planned to occur between fishing seasons on the island.

### **3.2.1 Demolition Work**

The demolition consists of two distinct sections of piping and valving. The primary demolition work lies between the 24" incoming raw water flanged tee, the 16" ductile iron raw water discharge flange through the floor, and the 24" header piping located immediately before the tees to the UV reactors. Within this section of piping is a parallel train of strainers and automatic valves. This filter equipment, valving, and associated piping is to be relocated to immediately following the 24" incoming raw water tee.

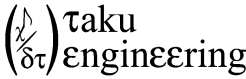

There are also two parallel 16" Cla-Val pressure reducing valves located immediately before the tee into the 16" treated water piping headed into the chlorine contact tank adjacent to the water treatment plant. These two valves will be relocated in the system per the drawings.

The existing four chlorine pumps will also need to be demolished, and the piping associated with two of the pumps.

The demolition must be staged and timed to minimize downtime to the water treatment plant.

### **3.2.2 New Work**

The existing filter train, associated piping, and valving is to be relocated to a point adjacent to the 24" incoming raw water tee. Downstream of the strainers the two turbine generators are to be installed in parallel utilizing the minimum amount of pipe fittings. Parallel to the turbine generators, a large and small pressure reducing Cla-Val are to be installed for full turbine bypass and to handle small flow variances,

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respectively. The large Cla-Val is a relocated 16” unit from the demolition, the smaller one is a new one purchased for this project.

Following the energy recovery section of piping, the piping shall tee to the water treatment system or to the raw water discharge piping. The raw water discharge piping shall have a relocated 16” Cla-Val installed to control the discharge flow rate and maintain backpressure so the chlorine contact tank can be filled simultaneously while discharging raw water. The raw water discharge piping shall also be rearranged to correct the existing piping configuration to allow the existing flow meter to read the discharge flow rate accurately. After the flowmeter before entering the buried raw water discharge piping, a vacuum relief valve shall be installed in the piping, vented to outside.

After the water treatment equipment, the two existing 16” Cla-Val pressure reducing valves utilized for chlorine contact tank level control shall be replaced with 16” modulating butterfly valves. These valves shall be changed one at a time to allow for continuous water processing through the system during construction.

Off of the top flange of the 24” incoming raw water tee is where the process piping will connect. Downstream of the process connection, a 16” system bypass line is to be installed which will discharge upstream of the discharge piping flow meter M102. This piping section is to be installed first in the plant to be utilized as the temporary piping feeding into the treatment system during the remainder of construction.

The existing chlorine injection pumps will be replaced with new, lower discharge pressure pumps to match the new treated water processing pressures that will be driven off VFD’s to account for variable pressures. The chlorine injection piping will be reconfigured so that each of the two new pumps can run the entire chlorine system.

**3.3 Electrical**

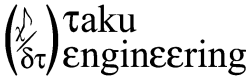

The electrical scope for this project includes the complete installation of the low-voltage (600V) electrical equipment, conductors, and raceway associated with the new water turbine generators, VFD driven pumps, and ancillary systems as shown on the drawings.

**3.3.1 Demolition Work**

Electrical demolition consists of relocating electric power, wiring, and associated raceway for existing process valves and process equipment that is relocated within the water plant. Unless otherwise noted, all devices, raceway, junction boxes, etc. that are not re-used shall be removed by the contractor. Refer to the electrical and mechanical drawings to reference specific pieces of equipment.

**3.3.2 New Work**

The electrical scope for this project includes the complete installation of all low-voltage (600V) electrical equipment, conductors, and raceways to support the installation of the

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new water turbine generators. Bulk electrical equipment includes, but is not limited to, support with the installation of the new water turbine generators, installation of a new water turbine generator control panel, support for the installation of new VFD driven chlorine injection pumps, and the installation of a new molded case circuit breaker (MCCB) in the existing main distribution panel (MDP). Contractor to provide the complete installation of all ancillary electrical equipment as well as all interconnecting low-voltage and control conductors and raceway.

**3.4 SCADA / Control**

The SCADA/control scope for this project includes the complete integration of the new water turbine control panel with the existing Allen-Bradley based WTP main control panel and WTP SCADA system. Contractor to provide a complete and functional SCADA control system that integrates with the existing SCADA systems.

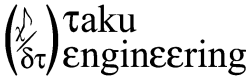

**3.4.1 Demolition Work**

SCADA/Controls demolition consists of relocating the controls and instrumentation devices, wiring, and associated raceway for existing process valves and process equipment that is relocated within the water plant. Unless otherwise noted, all devices, raceway, junction boxes, etc. that are not re-used shall be removed by the contractor. Refer to the electrical and mechanical drawings to reference specific pieces of equipment.

**3.4.2 New Work**

The SCADA/control scope for this project includes the complete installation and integration of a new water turbine control panel with the existing Allen-Bradley based WTP main control panel. SCADA and Human Machine Interface (HMI) programing associated with the water treatment plant main control panel (MCP) will be provided by the Owner’s SCADA contractor. SCADA and HMI programing associated with the new turbine generator control center will be provided by the turbine generator control center supplier. The contractor shall support and assist the Owner’s SCADA contractor and the turbine generator control center supplier as required to complete the installation.

The existing MCP is limited by the number of I/O spares available. A new I/O expansion panel shall be installed adjacent to the existing MCP. The I/O expansion panel design will be provided to the contractor after the contract award as a change. The contractor shall provide, install, and integrate the new I/O expansion panel with the existing MCP. SCADA and Human Machine Interface (HMI) programing associated with the new I/O expansion panel will be provided by the Owner’s SCADA contractor. The contractor shall support and assist the Owner’s SCADA contractor as required to complete the installation of the new I/O expansion panel.

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### 3.5 Structural

The structural scope for this project includes equipment anchors and pipe supports for the new piping and equipment.

#### 3.5.1 Demolition Work

Pipe stands shall be demolished and relocated as required to accommodate the new piping configuration. The hoist rack for the strainers shall also be demolished.

#### 3.5.2 New Work

The structural scope for this project includes new equipment foundations, anchors, and supports. New pipe rack supports shall be fabricated to support the new equipment and piping associated with this project as shown on the drawings. A new strainer hoist will be integrated into the new support framework. Supports for equipment, raceway, and ancillary systems will be provided as needed.

## 4 Codes and Standards

This project meets the requirements of the currently adopted versions of the following industry codes and standards:

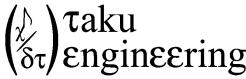

- Alaska Department of Environmental Conservation (ADEC): 18 AAC 80 – Drinking Water
- American Society of Mechanical Engineers (ASME) B16.5 – Pipe Flanges and Flanged Fittings
- National Fire Protection Association 70 – National Electric Code
- National Fire Protection Association 70E – Standard for Electrical Safety in the Workplace
- Underwriters Laboratories (UL) 508A – Standard for Industrial Control Panels
- Occupational Safety and Health Administration (OSHA) Nationally Recognized Testing Laboratories (NRTL) Certification
- Alaska Department of Labor Requirements for OSHA NRTL Certification
- International Building Code
- International Mechanical Code
- International Fire Code
- National Sanitation Foundation/American National Standards Institute (NSF/ANSI) 61 – Drinking Water System Components – Health Effects

## 5 Construction Documentation Requirements

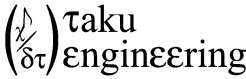

### 5.1 As-Built Drawings

The Contractor is responsible for developing and maintaining a complete master set of redlined IFC drawings showing any design changes and deviations.

At the end of construction, the Contractor will verify the installation against the master set of redlines and sign and date each drawing indicating that the redlined drawing is “As Installed”. If

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the installation did not deviate from the original design drawing, the Contractor will stamp “Installed as Designed” on that drawing. The “As-Built” package from the Contractor will include all issued drawings.

 The logo for taku engineering features a stylized Greek letter tau ( $\tau$ ) with a delta ( $\delta$ ) inside a circle, followed by the text "taku engineering".	<p style="text-align: center;"><b>100% Project Manual</b></p> <p><b>Client:</b> City of Unalaska <b>Project:</b> City Of Unalaska Pyramid WTP Microturbine Project</p>	 rentricityThe logo for rentricity is a stylized yellow lightbulb with a grey base, positioned above the word "rentricity" in a lowercase sans-serif font.
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## Appendix A: Reference Photos

