

LARSEN CONSULTING GROUP architecture • engineering • surveying

CITY OF UNALASKA FEBRUARY 14, 2014 ADDENDUM 06

TO: ALL PLAN HOLDERS

SUBJECT: INVITATION TO BID UNALASKA PYRAMID WATER TREATMENT PLANT – LT2 UPGRADE PROJECT NUMBER: DPW # 13401

BID DATE: Thursday, February 20, 2014 2:00 PM (AST)

This addendum consists of: Two (2) 8¹/₂" x 11" sheet. One attachment (18 pages).

The following corrections, changes, additions, deletions, revisions, and or clarifications are hereby made a part of the documents for the Unalaska Pyramid Water Treatment Plant – LT2 Upgrade dated December 6, 2013. In case of conflicts between this Addendum and previously issued documents, this Addendum shall take precedence.

Note to Bidders: Bidders are required to acknowledge this addendum on the bid form.

GENERAL CLARIFICATION:

NONE

CHANGES TO SPECIFICATIONS:

1. Section 00100, 12.C: Replace with the following:

"Attachments.

Bidder shall complete and submit the following forms with its Bid:

• Section 00300 - Bid Form (with attachments provided in Addendum 06)"

[This supersedes changes to 00100, 12.C in previous Addenda.]

- 2. Section 00100, 13: Add "C. Bidders may make changes to the original Bid Form with the same methods outlined in 00100 13.A." [See Addendum 01 for change to 00100 13.A]
- 3. Section 00300: Replace in its entirety with Attachment 1 of this Addendum.



LARSEN CONSULTING GROUP architecture • engineering • surveying

4. Section 00500: Add "All Appendices" to the list of Contract Documents between the OWNER and CONTRACTOR.

CHANGES TO DRAWINGS:

NONE

RESPONSES TO CONTRACTOR QUESTIONS:

To be addressed in an upcoming addendum.

ATTACHMENTS:

1. Section 00300 - Bid Form

Section 00300 BID FORM

То:	City of Unalaska, Department of Public Works
Address:	P.O. Box 610, Unalaska, Alaska 99685
Project Identification:	City of Unalaska PYRAMID WATER TREATMENT PLANT - LT2 UPGRADE
DEFINITIONS	

The terms used in this Bid which are defined in the General Conditions and Instructions to Bidders included as part of the Contract Documents are used with the same meaning in this Bid.

BIDDERS DECLARATION AND UNDERSTANDING

This Bid is genuine and not made in the interest of or on behalf of any undisclosed person, firm, or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization, or corporation; Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid; Bidder has not solicited or induced any person, firm, or corporation to refrain from bidding; and Bidder has not sought by collusion to obtain for itself any advantage over any other Bidder or over the City.

In submitting this Bid, Bidder represents, as more fully set forth in the Agreement, that Bidder has examined copies of all the Bidding Documents.

Bidder has familiarized itself with the nature and extent of the Contract Documents, work, site, locality, general nature of work to be performed by Owner or others at the site that relates to work for which this Bid is submitted as indicated in the Contract Documents, and all local conditions and all federal, state, and local Laws and Regulations that in any manner may affect cost, progress, performance, or furnishing of the work.

Bidder has reviewed and checked all information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or contiguous to the site and assumes responsibility for the accurate location of said Underground Facilities. No additional examinations, investigations, explorations, tests, reports, or similar information or data in respect of said Underground Facilities are or will be required by Bidder in order to perform and furnish the work at the Contract Price, within the Contract Time, and in accordance with the other terms and conditions of the Contract Documents, including specifically the provisions of paragraph 4.3 of the General Conditions.

Bidder has correlated information known to Bidder and the results of all such observations, examinations, investigations, explorations, tests, and studies with the Contract Documents.

Bidder has given the City written notice of all conflicts, errors, ambiguities or discrepancies that it has discovered in the Contract Documents and the written resolution thereof by the City is acceptable to Bidder, and the Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performing and furnishing the work for which this Bid is submitted.

CONTRACT EXECUTION AND BONDS

The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an agreement with the City in the form included in the Contract Documents to perform and furnish all work as

BID FORM

specified or indicated in the Contract Documents for the Contract price and within the Contract Time indicated in this Bid and in accordance with the other terms and conditions of the Contract Documents.

Bidder accepts all of the terms and conditions of the Invitation to Bid and Instructions to Bidders, including without limitation those dealing with the disposition of Bid security. This Bid will remain subject to acceptance for 60 days after the day of Bid opening. Bidder will sign and deliver the required number of counterparts of the Agreement with the Bonds and City of Unalaska business license and other documents required by the Bidding Requirements within 10 days after the date of Owner's Notice of Award.

CERTIFICATE OF INSURANCE

Bidder agrees to furnish the City, before commencing any Physical Work related to this Contract and as required elsewhere, the certificates of insurance as specified in these Documents.

Bidder further agrees that the amount stated herein includes specific consideration for the insurance coverages, including contractual liability, specified in the Contract Documents.

CONTRACT COMPLETION TIME

Bidder agrees that the work will be completed and ready for final payment in accordance with the number of calendar days or completion date indicated in the Agreement.

LIQUIDATED DAMAGES

Bidder accepts the provisions of the Agreement as to liquidated damages in the event of failure to complete the work within the times specified in the Agreement.

ADDENDA

The Bidder hereby acknowledges that it has received Addenda No's _____, ____, ____, ____, ____, ____, ____, ____, ____, ____, ____, (Bidder shall insert No. of each Addendum received) and agrees that all addenda issued are hereby made part of the Contract Documents, and the Bidder further agrees that its Bid(s) includes all impacts resulting from said addenda.

SALES AND USE TAXES

The Bidder agrees that all sales and use taxes are included in the stated bid prices for the work, unless provision is made herein for the Bidder to separately itemize the estimated amount of sales tax.

SUBCONTRACTORS

The Bidder further agrees that if the bid is the apparent low bid, he shall submit, within 5 days after the bid opening, a listing of subcontracting firms or businesses that will be awarded subcontracts for work in excess of \$5,000.

BID TABULATION AND SUMMARY

The Bidder further proposes to accept, as full payment for work proposed herein, the amount computed under provisions of the Contract Documents and based on the following Bid amounts, it being expressly understood that the unit quantities of work shown on the plans is independent of the

BID FORM

exact quantities involved. The Bidder agrees that the bid amount represent(s) a true measure of the labor and materials required to furnish, install, or provide the item of Work, including all allowances for overhead and profit. The amount shall be shown in both words and figures. In case of a discrepancy, the amount shown in words shall govern.

Bidder agrees to perform all of the work described in the Documents including the specifications, special provisions, and as generally shown on the plans for the prices stated in the Bid Schedules. Bidder understands that the Owner reserves the right to reject any or all bids and to waive any informalities in the bidding. Bidder understands that the Owner reserves the right to pick and choose what bid items will be constructed as part of this work, recognizing that Mobilization and Demobilization will be common to the remaining items of Work.

City of Unalaska PYRAMID WATER TREATMENT PLANT - LT2 UPGRADE

BIDDER

If the Bidder is awarded a construction Contract on this Proposal, the surety who provides the Performance Bond and Payment Bond will be ______

		whose address is	
St	treet	, City	
State	Zip		
<u>BIDDER</u>			
An Individual			
By			(SEAL)
		(Individual's name)	(~~~~~)
doing business as			
Fax No.:			

A Partnership

By		(SEAL)
	(Firm name)	
	(general partner)	
Business address:		
Phone No.:		
Fax No.:		
Email address:		
A Corporation		
By	(Corporation name)	
	(state of incorporation)	
By	(name of person authorized to sign)	
	(Title)	
(Corporate Seal)		
Attest	(Secretary)	
Business address:	(Secretary)	
Fax No.:		
Email address:		

A Joint Venture

By
(Name)
(Address)
(Address)
By
(Name)
(Address)
Phone Number and Address for receipt of official communications
Business address:
Phone No.:
Fax No.:
Email address:
(Each joint venturer must sign. The manner of signing for each individual, partnership, and corporation that is a party to the joint venture should be in the manner indicated above.)
SUBMITTED on, 20

BID PROPOSAL City of Unalaska **PYRAMID WATER TREATMENT PLANT - LT2 UPGRADE**

		DEGONATION
ITEM	ESTIMATED	DESCRIPTION
NO.	QUANTITY	(Write Unit Bid Price in Words)
1	Lump Sum	All work necessary for a complete functional Water Treatment Plant as described in these documents except what is described below as an Alternate.
		Per lump sum
Alternate A	Lump Sum	Buried Pipe Portion of the Discharge Water Line. Includes all work associated with installation of the buried 24" diameter ductile iron pipe between Station 0+50 and 1+50 as described on Drawing C1.3, Buried Discharge Water Line Plan and Profile.
		Per lump sum
Alternate B	Lump Sum	Above Ground Pipe Portion of the Discharge Water Line. Includes all work associated with installation of the above ground 24" diameter ductile iron pipe between Station 0+50 and 1+50 as described on Drawing C1.4, Above Ground Discharge Water Line Plan and Profile.
		Per lump sum

Total Bid Price <u>\$</u>	
Total Bid Price (in words):	
Total Price (Alternate A) <u>\$</u>	_Total Price (Alternate B) <u>\$</u>
Bidding Company:	
Name (Printed):	
Signature:	_Date:
Contractors License No	_Business License No

BID BOND

KNOW ALL MEN BY THESE PRESENTS: that

(Name of Contractor)
(Address of Contractor)
as Principal, hereinafter called Principal, and
(Name of Surety)
(Address of Surety)
a corporation duly organized under the laws of the State of Alaska as Surety, hereinafter called Surety are held and firmly bound unto
City of Unalaska
(Name of Owner)
PO Box 610, Unalaska, Alaska 99685
(Address of Owner)
as Obligee, hereinafter called Obligee, in the sum of
Dollars, (\$) for the payment of which sum well and truly to be
made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.
WHEREAS, the Principal has submitted a bid for the City of Unalaska PYRAMID WATER TREATMENT PLANT - LT2 UPGRADE, located in Unalaska, Alaska.
NOW THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such hid, and size such hand or hands.

a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and Sealed this _____ day of _____ 2014

(Principal)

Seal

(Witness)

(Title)

Seal

BID FORM

00300-8

APPENDIX A

SMALL, WOMEN, AND MINORITY BUSINESS ENTERPRISES CONTACTED

Project:	
Name of Bidder:	
(Complete for each DBE firm contacted)	Check all that apply:
Name of Firm:	MBE
Address:	SBE
Phone:	WBE
Description of Work Element or Supplies Requested:	Joint Venture%
	Other
Date and Time contacted:	Subcontractor
Amount to be contracted: \$ OR	Supplier
Reason not selected:	Manufacturer
Name of Firm:	MBE
Address:	SBE
Phone:	WBE
Description of Work Element or Supplies Requested:	Joint Venture%
	Other
Date and Time contacted:	Subcontractor
Amount to be contracted: \$ OR	Supplier
Reason not selected:	Manufacturer
Name of Firm:	MBE
Address:	SBE
Phone:	WBE
Description of Work Element or Supplies Requested:	Joint Venture%
	Other
Date and Time contacted:	Subcontractor
Amount to be contracted: \$ OR	Supplier
Reason not selected:	Manufacturer

Use as many pages as necessary

APPENDIX B

SWORN STATEMENT OF COMPLIANCE WITH SMALL WOMEN AND MINORITY BUSINESS UTILIZATION REQUIREMENTS

To be eligible for award of this contract, each bidder must execute, and submit, as part of their proposal, and together with their bid, the following certification relating to SBE/WBE/MBE participation. The certification below shall be deemed a part of the resulting contract.

The bidder has taken the following "Good Faith Efforts" in awarding subcontracts:

- 1. Ensure DBEs are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities. For Indian Tribal, State and Local and Government recipients, this will include placing DBEs on solicitation lists and soliciting them whenever they are potential sources.
- 2. Make information on forthcoming opportunities available to DBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by DBEs in the competitive process. This includes, whenever possible, posting solicitations for bids or proposals for a minimum of 30 calendar days before the bid or proposal closing date.
- 3. Consider in the contracting process whether firms competing for large contracts could subcontract with DBEs. For Indian Tribal, State and local Government recipients, this will include dividing total requirements when economically feasible into smaller tasks or quantities to permit maximum participation by DBEs in the competitive process.
- 4. Encourage contracting with a consortium of DBEs when a contract is too large for one of these firms to handle individually.
- 5. Use the services and assistance of the SBA and the Minority Business Development Agency of the Department of Commerce.
- 6. If the prime contractor awards subcontracts, require the prime contractor to take the above steps.

Signature

Date

Name and title of signer (please type)

Email address

OMB Control No:	
Approved:	
Approval Expires:	



Disadvantaged Business Enterprise Program DBE Subcontractor Performance Form

NAME OF SUBCO	ONTRACTOR ¹	PROJECT NAME	
ADDRESS		BID/PROPOSAL NO.	
TELEPHONE NO.		E-MAIL ADDRESS	
PRIME CONTRA	CTOR NAME		
CONTRACT ITEM NO.	ITEM OF WORK OR DESCRIPTION BID TO PRIME	N OF SERVICES	PRICE OF WORK SUBMITTED TO PRIME CONTRACTOR
Currently certified	as an MBE or WBE under EPA's DI	BE Program? Yes	No
Signature of Prime	Contractor	Date	
Print Name	<u>(6)</u>	Title	
Signature of Subco	ntractor	Date	
Print Name		Title	

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-3 (DBE Subcontractor Performance Form)

OMB Control No: _	
Approved: Approval Expires:	



Environmental Protection Agency

Disadvantaged Business Enterprise Program DBE Subcontractor Utilization Form

BID/PROPOSAL NO.	PROJECT NAME
NAME OF PRIME BIDDER/PROPOSER	E-MAIL ADDRESS
ADDRESS	
TELEPHONE NO.	FAX NO.

COMPANY NAME, ADDRESS, PHONE NUMBER, AND E-MAIL ADDRESS	TYPE OF WORK TO BE PERFORMED	ESTIMATE D DOLLAR AMOUNT	CURRENTLY CERTIFIED AS AN MBE OR WBE?	
I certify under penalty of perjury that th replacement of a subcontractor, I will ad Section 33.302(c).				
Signature Of Prime Contractor	Date	Date		
		Title		

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-4 (DBE Subcontractor Utilization Form)

U.S. Environmental Protection Agency

APPENDIX C

CONTRACTOR'S COMPLIANCE STATEMENT (EXECUTIVE ORDER #11246)

Date _____

This statement relates to a proposed contract with_____

(Name of grantee)

who expects to finance the contract with assistance from the Environmental Protection Agency. I am the undersigned bidder or prospective contractor. I represent that:

I have _____ I have not _____ participated in a previous contract or subcontract subject to Executive Order 11246 of September 24, 1965 (regarding equal employment opportunity) or a preceding similar Executive Order. I agree to comply with all the provisions of this Executive Order and the rules, regulations and relevant orders of the Secretary of Labor. (60-1.4(b)(4))

Signature

Date

Name and title of signer (please type)

U.S. Environmental Protection Agency

APPENDIX D

CERTIFICATION OF NONSEGREGATED FACILITIES

(Applicable to federally assisted construction contracts and related subcontracts exceeding \$10,000 which are not exempt from the Equal Opportunity clause.)

The federally assisted construction contractor certifies that he does not maintain or provide for his employees any segregated facilities at any of his establishments, and that he does not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The federally assisted construction contractor certified, further that he will not maintain or provide for his employees any segregated facilities at any of his establishments, and that he will not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The federally assisted construction contractor certified for his employees to perform their services at any location, under his control, where segregated facilities are maintained. The federally assisted construction contractor agrees that a breach of this certification is a violation of the Equal Opportunity clause in this contract.

As used in this certification, the term "segregated facilities" means any waiting rooms, work area, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or area, in fact, segregated on the basis of race, creed, color, or national origin, because of habit, local custom, or otherwise. The federally assisted construction contractor agrees that (except where he has obtained identical certifications from proposed contractors for specific time periods) he will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity clause, and that he will retain such, certification in this file.

Signature

Date

Name and title of signer (please type)



EPA Project Control Number

United States Environmental Protection Agency Washington, DC 20460 **Certification Regarding** Debarment, Suspension, and Other Responsibility Matters

The prospective participant certifies to the best of its knowledge and belief that it and the principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared in eligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judg ment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction: violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1) (b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated or cause or default.

I understand that a false statement on this certification may be ground for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Typed Name & Title of Authorized Representative

Signature of Authorized Representative Date

I am unable to certify to the above statements. My explanation is attached.

EPA Form 5700-49 (11-88)

NON-COLLUSIVE AFFIDAVIT For Advertised Bids

State of County of)
		, being first
duly sworr	n, deposes a	and says that:
(1)	He is	
		(Owner, Partner, Officer, Representative or Agent)
of		, the Bidder that has submitted
the attache	d bid;	
(2)		fully informed respecting the preparation and contents of the attached bid and pertinent circumstances respecting such bid;

(3) Such bid is genuine and is not a collusive or sham bid;

(4) Neither the said Bidder nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affidavit, has in any way colluded, conspired, connived, or agreed, directly or indirectly with any other bidder, firm or person to submit a collusive or sham bid in connection with the contract for which the attached bid has been submitted or to refrain from bidding in connection with such contract, or has in any manner, directly or indirectly, sought by unlawful agreement or collusion or communication or conference with any other bidder, firm or person to fix the price or prices in the bid price or the bid price of any other bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the City of Unalaska or any person interested in the proposed contract; and

(5) The price or prices in the attached bid are fair and proper and are not tainted by any collusion, conspiracy, connivance or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(Name)

(Title)

Subscribed and sworn to before me this _____ day of _____, 20____

My Commission Expires _____

STATE OF ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION					
EQUAL EMPLOYMENT OPPORTUNITY STATEMENT OF ACKNOWLEDGEMENT					
This statement of acknowledgement is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)) and must be completed by each Bidder and proposed Subcontractor participating in this contract.					
PLEASE CHECK THE APPROP	RIATE BOXES				
THE Bidder proposed Subcontrac	tor hereby CERTIFIES:				
PART A. Bidders and proposed subcontractors with 50 or more employees and a federal contract amounting to \$50,000 or more are required to submit one federal Standard Report Form 100 during each year the two conditions (50 employees and a \$50,000 federal contract) exist.					
The company named below (Part C) is exempt from the requirements of submitting the Standard Report Form 100 this year.					
NO (go to PART B)	YES (go to PART C)				
PART B. The company named below (Part C) has submitted the Standard Report Form 100 this year, or intends to at this time.					
	YES				
NOTE: Bidders and proposed Subcontractors who file Standard Report Form 100 may also be required to file Form CC-257 Monthly Employment Utilization Report if the project has significant financial impact on a community, or the bidder/subcontractor has signed an agreement to do so. At a minimum, the bidder/subcontractor is required to maintain records which reflect the reporting requirements of CC-257. Standard Report Form 100 and instructions may be obtained by writing to:					
	EEO-1 Joint Reporting Committee				
Washington, DC 20036-	Washington, DC 20036-9100				
Telephone (866) 286-6440 Email: <u>el.techassistance@eeoc.gov</u>					
PART C.					
Signature of Authorized Representative of Company	Date				
Name of Company	() Telephone No.				
Address of Company	Zip Code				
Project Name	Contract Number				

c

STATE OF ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION

DISADVANTAGE BUSINESS ENTERPRISES (MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES) COMPLIANCE STATEMENT

To be eligible for award of this contract, the bidder/proposer must execute and submit, as part of his or her bid proposal, this statement relating to Disadvantage Business Enterprises (Minority and Woman-Owned Business Enterprises). This statement shall be deemed a material factor in the City's evaluation of this bid proposal. Failure to complete and submit this statement, or the inclusion of a false statement, shall render the bid proposal non-responsive.

The ______ (Company Name) acknowledges that Minority/Woman-Owned Business Enterprises (MBE/WBE) goal of <u>5.91</u>% participation (with a good faith effort of <u>3.89</u>% MBE and <u>2.02</u>% WBE, Effective July 1, 2013 thru June 30, 2016) has been established for this contract, and hereby assures that it will meet the goal or provide documentation to show that the mandatory good faith efforts have been made.

The undersigned certifies that this bidder/proposer is aware of and will comply with MBE/WBE goals of this project and all applicable federal and state statutes and regulations concerning Disadvantage Business Enterprises (Minority and Woman-owned Business Enterprises).

We certify that should we be declared successful bidder/best proposer we shall submit such data as required for award of the contract within the time limits set forth in the contract specifications unless otherwise specified. In addition, we acknowledge that Minority/Woman-Owned Business Enterprises Contract and Procurement Reports will be submitted to the City for each half year of active construction.

We understand that if we are the successful bidder/best proposer and we fail to meet the MBE and/or WBE goals, or fail to demonstrate that we have made the required good faith effort the City can render the bid proposal non-responsive.

_____RFP/Contract_____

Authorized Signature_____

Title_____

DBE Compliance Statement